

9/29/23

Clay, Oxford

Integrable many-particle systems:

generalized Entropy and Scattering

Herbert Spohn

TUMünchen

# 1. Entropy of integrable systems

many particles

fine-tuned

Stat Mech

Gibbs entropy

$\longleftrightarrow$   
Legendre

free energy

- conservation laws  $N, H$

$$F(\mu\beta, \beta) = -\log Z(\mu\beta, \beta)$$

$$Z(\mu\beta, \beta) = \int dq dp e^{-\beta(H - \mu N)}$$

- integrable system *extensive*

$$Q^{[0]}, Q^{[1]}, Q^{[2]}, \dots$$

$N \quad P \quad H$

$$\Rightarrow Z(\vec{\mu}) = \int dq dp e^{-\sum_{n \geq 0} \mu_n Q^{[n]}}$$

GGE generalized Gibbs

thermal  $\mu_n = 0$  for  $n \geq 3$

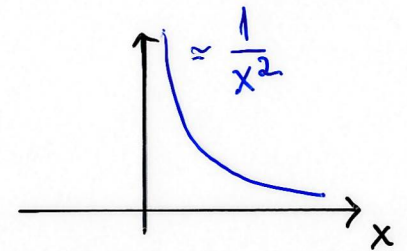
|| Entropy is functional!

|| shows in linearized GHD ||

## 2. Calogero fluid

$$H = \sum_{j=1}^N \frac{1}{2} P_j^2 + \frac{1}{2} \sum_{i \neq j=1}^N V_{ca}(q_i - q_j)$$

$$V_{ca}(x) = \frac{1}{\sinh^2 x}$$



- Lax matrix

$$L_{ij} = \delta_{ij} P_j + i (1 - \delta_{ij}) \frac{1}{\sinh(q_i - q_j)}$$

$N \times N$

$L \psi_j = \lambda_j \psi_j$  eigenvalues  $\Rightarrow$  locally conserved fields  $\Leftarrow$

$$Q^{[n]} = \text{tr} L^n = \sum_{j=1}^N (L^n)_{jj} = \sum_{j=1}^N (\lambda_j)^n$$

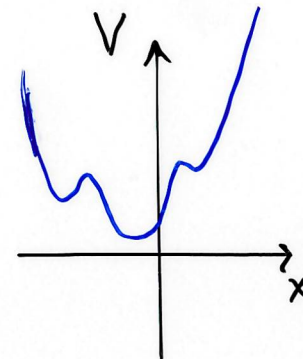
• density  $Q^{[n]}(x) = \sum_{j=1}^N (L^n)_{jj} \delta(q_j - x) \quad \Rightarrow \quad \partial_t Q^{[n]}(x,t) + \partial_x \mathcal{J}^{[n]}(x,t) = 0$

$$N = \text{tr} L^0, \quad P = \text{tr} L, \quad H = \text{tr} L^2$$

$$\Rightarrow \text{GGE} \quad e^{-\text{tr}(V(L))}$$

formally

$$V(x) = \sum_{n \geq 0} \mu_n x^n$$



"confining potential"



GGE  $dq dp e^{-\text{tr} V(L)} e^{-\sum_{j=1}^N e^{-l} \cosh q_j}$

forces size  $l$   
 $l \rightarrow \infty \quad \frac{l}{N} = \nu$  fixed

$L$  is random matrix under GGE

• density of states  $\frac{1}{N} \sum_{j=1}^N \delta(\lambda_j - w) \xrightarrow{l \rightarrow \infty} \rho_P(w) dw$  non random

RESULT

$\rho \gg 0, \int \rho dw = \nu,$

(HS (2023))

$$F(\rho) = \int dw \rho(w) \left( \underbrace{V(w) - 1 + \log \rho(w)}_{\text{ideal gas velocities } e^{-V(w)}} - \log \left( 1 + \underbrace{\int dw' \rho(w') \phi_{ca}(w-w')}_{\text{interaction}} \right) \right)$$

$\phi_{ca}(w) = -\log\left(1 + \frac{1}{w^2}\right)$  minimizer  $\rho_P$

$\lim_{l \rightarrow \infty} \frac{1}{l} F_l(\nu, V) = F(\rho_P)$

scattering theory

Hubacher 1989

$$H = \sum_{j=1}^N \frac{1}{2} P_j^2 + \frac{1}{2} \sum_{i \neq j=1}^N V_{mec} (q_i - q_j)$$

$$t \rightarrow \pm \infty \quad \frac{1}{t} q_j(t) \rightarrow P_j^\pm$$

$$\lim_{t \rightarrow \pm \infty} q_j(t) - P_j t = \phi_j^\pm$$

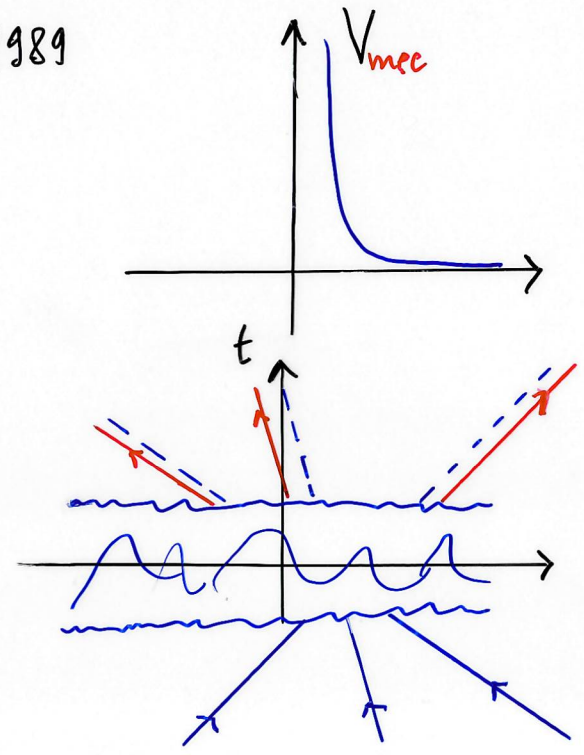
relative shift  $\phi_{N-j+1}^+ - \phi_j^- = k_j$

• integrable Calogero

$$P_{N-j+1}^+ = P_j^- = \lambda_j$$

$$k_j = - \sum_{i=1}^{j-1} \phi_{ca} (w_i - w_j) + \sum_{i=j+1}^N \phi_{ca} (w_i - w_j)$$

2-particle scattering shift



quasi-particles ONLY integrable

WHY?

holds also for

- Toda chain
- Lieb-Liniger  $\delta$ -Bose

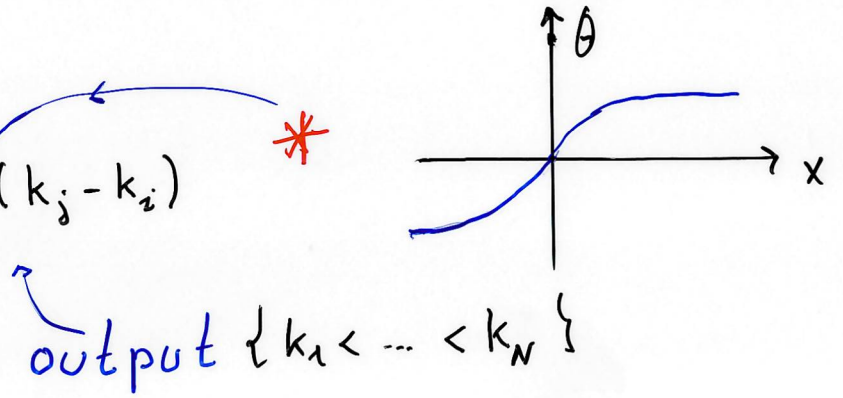
3. No surprise integrable quantum models

Lieb-Liniger  $H = \sum_{j=1}^N \frac{1}{2} P_j^2 + c \frac{1}{2} \sum_{i \neq j=1}^N \delta(x_i - x_j) \quad c > 0$  repulsive  $P_j = -i \frac{\partial}{\partial x_j}$

eigenvalues **Bethe ansatz**

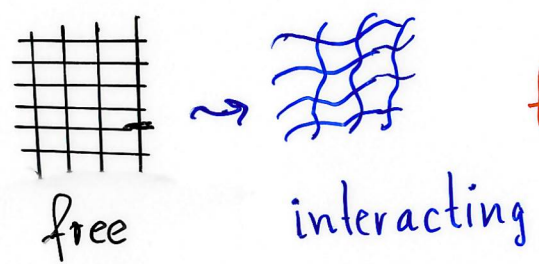
Bethe equations  $2\pi I_j = l k_j + \sum_{i=1}^N \theta(k_j - k_i)$

integer input  $\{I_1 < \dots < I_N\}$



Bethe roots

GGE  $e^{-\sum_{j=1}^N V(k_j)}$  x counting



thermal  $V(x) = x^2 \beta$

Yang, Yang 1969

Yang, Yang free energy functional

$$\theta'(w) = \frac{2c}{w^2 + c^2}$$

• Lewis et al 1989

2-particle scattering shift

\* mean field (empirical measure)



4. Big surprise

~~AK~~ Ablowitz-Ladik (AL) lattice ~~AK~~

$$\alpha_j \in \mathbb{D} = \{z \mid |z| \leq 1\}$$

$$\frac{d}{dt} \alpha_j = i(1 - |\alpha_j|^2) (\alpha_{j-1} + \alpha_{j+1})$$

integrable

discretized defocusing NLS

Mazzuca, Grava 2022

HS 2022

Poisson brackets

Mazzuca, Memin 2022

$$\{f, g\} = i \sum_j p_j^2 (\partial_{\bar{\alpha}_j} f \partial_{\alpha_j} g - \partial_{\alpha_j} f \partial_{\bar{\alpha}_j} g)$$

$$p_j^2 = (1 - |\alpha_j|^2)$$

hamiltonian

$$H = - \sum_j (\alpha_j \bar{\alpha}_{j+1} + \bar{\alpha}_j \alpha_{j+1})$$

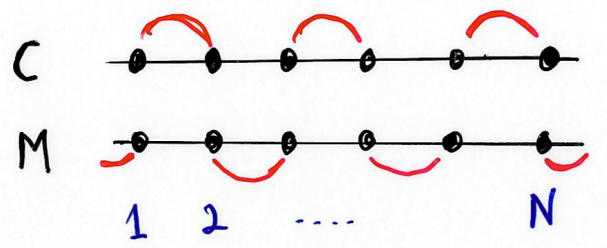
$$\frac{d}{dt} \alpha_j = \{ \alpha_j, H \}$$

GGE

ring  $j = 1, \dots, N$ ,  $N$  even

Lax matrix

I. Nenciu 2005



2x2 block

$$L = \begin{pmatrix} \bar{\alpha}_j & p_j \\ p_j & -\alpha_j \end{pmatrix}$$

$L = CM$   
unitary

• L is CMV matrix

Cantero, Moral, Velazquez 2005

eigen values  $z_1, \dots, z_N$ ,  $|z_j| = 1$

→ locally conserved fields  $\text{tr } L^n = Q^{[n]}$

$\rightsquigarrow$  GGE  $\int \prod_{j=1}^N d^2 \alpha_j (p_j^2)^{-1+\mathbb{P}} e^{-\text{tr } \hat{V}(L)}$   $\left\{ \hat{V} \text{ real-valued} \right.$   
 pressure  $\mathbb{P} > 0$  parameters  $\mathbb{P}, V$

$z_j = e^{i\vartheta_j}$  DOS  $\frac{1}{N} \sum_{j=1}^N \delta(\vartheta_j - w)$

• free energy functional

$$\mathcal{F}(\rho) = \int_0^{2\pi} dw \rho(w) \left( V(w) + \log \rho(w) - \int_0^{2\pi} dw' \log |e^{iw} - e^{iw'}| \rho(w') \right)$$

$\rho \geq 0$ ,  $\int_0^{2\pi} dw \rho(w) = \mathbb{P}$

free energy  $\mathcal{F} = \mathcal{F}(\rho)$



scattering shift  $\log |e^{izw} - e^{izw'}|^2$

Brollo, HS 2023

|| scattering of WHAT ?

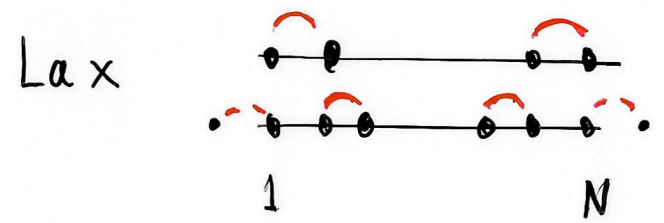
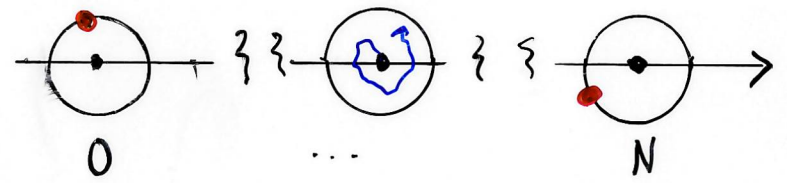
based on Moser 1975

Killip, Nenciu 2007

• closed AL chain, ring  $\Rightarrow$  quasi-periodic motion

$\Rightarrow$  open AL chain

$e^{iz_-}, \alpha_1, \dots, \alpha_{N-1}, e^{iz_+}$



$\lim_{t \rightarrow \infty} \alpha_j(t) = (-1)^{j+1} \bar{z}_1 \dots \bar{z}_j$

$\lim_{t \rightarrow -\infty} \alpha_j(t) = (-1)^{j+1} \bar{z}_{N-j+1} \dots \bar{z}_N$

|| exponentially fast ||

$$\text{tr } L = \sum_{j=1}^N e^{i\vartheta_j} = \sum_{j=1}^N \cos \vartheta_j + i \sum_{j=1}^N \sin \vartheta_j$$

energy                      momentum

$$\sin \vartheta_j = \lambda_j$$

$$\lambda_N < \dots < \lambda_1 \quad !!$$

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log p_j^2(t) = \lambda_{N-j+1} - \lambda_{N-j}$$

$$\lim_{t \rightarrow -\infty} \frac{1}{t} \log p_j^2(t) = \lambda_{j+1} - \lambda_j$$

almost surely

$$\left[ -\log p_j^2 \iff q_{j+1} - q_j = \tau_j \right]$$

$$\lim_{t \rightarrow \infty} p_{N-j}^2(t) p_j^2(-t) e^{2(\lambda_{j+1} - \lambda_j)t}$$

$$= |z_{j+1} - z_j|^4 \prod_{m=1}^{j-1} \left| \frac{z_{j+1} - z_m}{z_j - z_m} \right|^2 \prod_{m=j+2}^N \left| \frac{z_j - z_m}{z_{j+1} - z_m} \right|^2$$

implies  $\phi_{AL} = \log |e^{z w} - e^{z' w'}|^2$

modified AL (Schur flow) energy  $\rightsquigarrow$  momentum

$$\tilde{H} = -i \sum_j (\alpha_{j-1} \bar{\alpha}_j - \bar{\alpha}_{j-1} \alpha_j) = -i \text{tr}(C - C^*)$$

$\Rightarrow$  real data propagate  $\leftarrow$   $\alpha_j \in [-1, 1]$

$$\frac{d}{dt} \alpha_j = \rho_j^2 (\alpha_{j+1} - \alpha_{j-1}) \quad \text{b.c. } \alpha_0 = \pm 1, \alpha_N = \pm 1$$

2-fold degenerate eigenvalues  $z, \bar{z}$

order

$$\cos \lambda_j \quad \lambda_1 = \lambda_2 > \lambda_3 = \lambda_4 \dots \lambda_j > \lambda_{j+1}$$

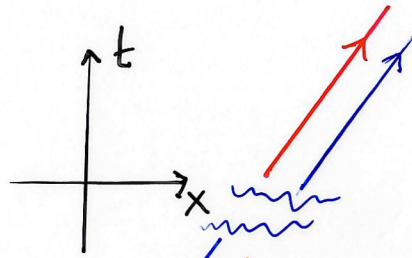
$$\lim_{t \rightarrow \infty} \alpha_j(t) = \frac{1}{2} (z_j + \bar{z}_j) \quad \text{inside } [-1, 1], \quad j \text{ odd}$$
$$= 1, \quad j \text{ even}$$

• higher order corrections  $\leftarrow$



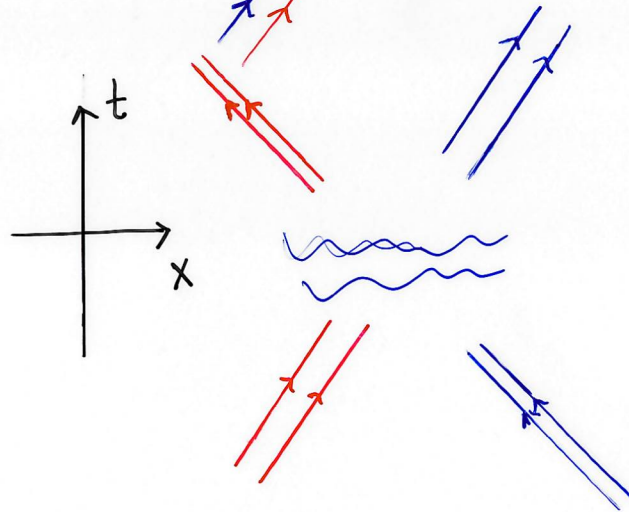
$$r_j = -\log p_j^2$$

- one-body



shift  $z, \bar{z}$       $z = e^{i\vartheta}$   
 $\log \sin^2 \vartheta$

- two-body



shift  $z_1 = e^{i\vartheta_1}, z_2 = e^{i\vartheta_2}$

$$\phi_{1,2} = \log |2 \cos \vartheta_1 - 2 \cos \vartheta_2|$$

- agrees with GGE

$$\mathcal{F}(\rho) = \int_0^\pi dw \rho(w) \left( V(w) + \log \rho(w) + \log \sin w \right) - \mathbb{P} \int_0^\pi dw' \rho(w') \log (2 |\cos w' - \cos w|)$$

1-body
2-body

5. Summary / future

generalized free energy  $\leftrightarrow$  scattering shift

$\Rightarrow$  Toda chain, Calogero fluid, Ablowitz-Ladik chain

low density

further models

- continuum NLS
- Korteweg-de Vries
- box-ball

scattering shift ✓  
 || two solitons ||

particle based }  
 soliton based } GHD