

October 30, 1994

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The ~~whole~~ idea is that we have some central over maps in  $M$ , but not  $M_t$ , however under noetherian hypotheses - say restricting to finitely generated <sup>rep. presented</sup> modules -  $M$  and  $M_t$  maps might coincide.

For example if  $R$  is left noetherian, then  $\text{mod}(R)$  is a locally noetherian abelian category, the ind category of the full subcategory  $\text{modfg}(R)$  of noetherian objects. There should be a 1-1 correspondence between Serre subcategories of  $\text{mod}(R)$  and Serre subcategories of  $\text{mod}(R)$  closed under direct sums. Then  $M_t(R, I) \blacksquare$  should be the locally noetherian category associated to the full subcategory  $\text{modfg}(R)/\text{nilfg}(R, I) \subset M(R, I)$ . Note that for a noetherian module  $M$ , nil is equivalent to torsion since the increasing chain  $M$  is stationary.

I

Let's proceed directly starting from

$$\text{Hom}_M(M, N) = \varinjlim_n \text{Hom}_R(M, \text{Hom}_R(I^{(n)}, N))$$

If  $M$  is finitely presented we can take the  $\varinjlim$  inside to get

$$\text{Hom}_M(M, N) = \text{Hom}_R(M, \underbrace{\varinjlim_n \text{Hom}_R(I^{(n)}, N)}_{\text{call this } N^\#})$$

Notice that the map  $N \rightarrow N^\#$  is the inductive limit of the nil functors.  $N \rightarrow \text{Hom}_R(I^{(n)}, N)$ , and

hence  $N \rightarrow N^\#$  is a torsion-ism.

Now if  $I$  is finitely presented, then

$$\text{Hom}_M(I, N) = \text{Hom}_R(I, N^\#)$$

$\uparrow \cong$

$$\text{Hom}_M(R, N) = \text{Hom}_R(R, N^\#) = N^\#$$

so  ~~$N^\#$  is solid~~  $N^\#$  is solid. Thus we've proved:

Prof. When  $I$  is a finitely presented  $R$  module, the right adjoint  $f^*: M_t(R, I) \rightarrow \text{mod}(R)$  (for the quotient functor  $j^*$ ) is given by

$$f^*(j^*N) = \varinjlim_n \text{Hom}_R(I^{(n)}, N)$$

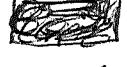
(Pf:  $j^*(j^*N)$  is characterized by the fact that it is solid and comes with a torsion  $\text{sim}$   $N \rightarrow j^*(j^*N)$ .)

Furthermore we have for  $M$  f.p.

$$\text{Hom}_M(M, N) = \text{Hom}_R(M, j^*(j^*N)) = \text{Hom}_{M_t}(j^*M, j^*N)$$

October 31, 1994

Let  $S = \bigoplus_{n \geq 0} S_n$  be an  $\mathbb{N}$ -graded <sup>unital</sup> ring, and consider  $\mathbb{Z}$ -graded  $S$ -modules  $M = \bigoplus_{n \in \mathbb{Z}} M_n$ .

On  $M$  we have besides the multiplication operators by elements of  $S$  the projections   $e_n: M \rightarrow M_n \subset M$  for  $n \in \mathbb{Z}$ . The following relations hold:

$$e_j e_k = \delta_{jk} e_k$$

$$e_n f = f e_{|n-f|}$$

Let  $T = \bigoplus_{n \in \mathbb{Z}} \mathbb{Z} e_n$  be the (non-unital) ring generated by orthogonal idempotents  $e_n, n \in \mathbb{Z}$ , let be the ring

$$A = S \otimes T = \bigoplus_{\mathbb{Z}} S_f e_k$$

with multiplication  $(f e_k) g e_l = f g e_{k-l+1} e_l = \begin{cases} f g e_l & \text{if } k = l \\ 0 & \text{otherwise} \end{cases}$

Note that  $A$  has "local" left and right identities, namely for any  $a \in A$  one has  $a z = z a = a$  with  $z = \sum_{|n| \leq N} e_n$  for some  $N$ .



Let's embed  $A$  as ideal in the unital ring  $R = S \otimes \tilde{T} = S \oplus A$  (semi-direct product).

An  $R$ -module  is the same thing as an  $S$ -module  $N$  equipped with <sup>orthogonal</sup> projections  $e_n, n \in \mathbb{Z}$ , satisfying  $e_n f = f e_{|n-f|}$ , hence one has a diagram

$$\begin{array}{ccc} & \nearrow N & \\ \bigoplus_n e_n N & \hookrightarrow & \prod_n e_n N \end{array}$$

(Suppose we wanted to consider  $\mathbb{N}$ -graded  $S$ -modules  $M = \bigoplus_{n \geq 0} M_n$ . These are the same as

$\mathbb{Z}$ -graded  $S$ -modules killed by  $e_k$  for  $k < 0$ . Note that the relation  $e_n f = f e_{n-|f|}$  implies that  $\bigoplus_{k < 0} S e_k$  is an ideal in  $A$ . Thus to restrict to  $\mathbb{N}$ -graded  $S$ -modules we should replace  $A$  by

$$A / \bigoplus_{k < 0} S e_k = \bigoplus_{n \geq 0} S e_n = \bigoplus_{0 \leq j \leq k} e_k S_j.$$

Next consider the ideal  $I = S^{>0} T$  in  $A$ . Recall associated to  $I \subset A \subset R$  we have an 'exact' sequence

$$\bigcup_n M(R/I^n, A/I^n) \hookrightarrow M(R, A) \longrightarrow M(R, I)$$

or in non-unital ring terms

$$\bigcup_n M(A/I^n) \hookrightarrow M(A) \longrightarrow M(I)$$

Now  $A = A^2$ , ~~so  $A$  is right  $R$ -flat~~ in fact  $R/A$  is right  $R$ -flat since  $A$  has local left identities. So we know that  $M(A)$  can be identified with the category of  $R$ -modules  $M$  such that  $AM = M$ , i.e. such that  $M = \sum_n e_n M$ , as  $A = ST = TS$ . Thus  $M(A)$  can be identified with the category of graded  $S$ -modules.

$$M(A) = \text{grmod}(S)$$

Now  $I = S^{>0} T$  and  $TS_j = \bigoplus_k e_k S_j = \bigoplus_k S_j e_{k-j} = S_j T$ . Thus  $I^2 = S^{>0} T S^{>0} T = (S^{>0})^2 T$  and similarly  $I^n = (S^{>0})^n T$ . Hence  $A/I^n = (S/(S^{>0})^n) T$  and so

$$M(A/I^n) = \text{grmod}(S/(S^{>0})^n)$$

So at this point if we take  $S$  to be commutative (noetherian\* to be safe) such that  $S$  is generated by  $S_1$  over  $S_0$ , so that  $(S^{>0})^n = S^{>n}$ , then  $\bigcup_n \mathbf{m}(A/I^n)$  is the category of graded  $S$ -modules such that every element is killed by a power of  $S^{>0}$ . It should follow then that

$$\mathbf{m}(I) \simeq \text{quasi coherent sheaves on } \text{Proj}(S)$$

\* It's likely that the important condition is that  $S$  is generated by  $S_1$  over  $S_0$  and that  $S$ , is a finitely generated  $S$ -module (this implies that  $S^{>0}$  is then a finitely generated  $S$ -module).

$$\text{Return to } \mathbf{Hom}_{A/S}(M, N) = \varinjlim_{\substack{M' \hookrightarrow S \\ N \twoheadrightarrow N'}} \mathbf{Hom}_A(M', N')$$

We've seen that a triple  $(M' \hookrightarrow M, N \twoheadrightarrow N', f: M' \rightarrow N')$  is equivalent to a subobject  $W \subset M \oplus N$ . Consider the diagram

$$\begin{array}{ccc} W & \longrightarrow & N \\ \downarrow & \text{cart} & \downarrow \\ M' & \xrightarrow{f} & N' \\ \downarrow & \text{cocart} & \downarrow \\ M & \longrightarrow & V \end{array}$$

obtained from such a triple  $(M', N', f)$ . Thus  $W = M' \times_{N'} N$  and  $V = M \amalg N'$ . In fact these squares are bicartesian since  $N \twoheadrightarrow N'$  and  $M' \hookrightarrow M$ .

This is clear because one has

$$0 \rightarrow W \rightarrow M' \oplus N \rightarrow N' \rightarrow 0$$

exact here  
 by defn of \$W\$      exact here as \$N \rightarrow N'\$.

Thus the big square is cartesian:

$$\begin{array}{ccc} W & \longrightarrow & N \\ \downarrow & \text{cart} & \downarrow \\ M & \longrightarrow & V \end{array}$$

which means \$W\$ and \$V\$ determine each other.  
In fact the exact sequence

$$0 \rightarrow W \rightarrow M \oplus N \rightarrow V \rightarrow 0$$

shows that \$V\$ is the quotient of \$M \oplus N\$ by \$W\$  
(up to the autom \$(\overset{!}{\circ}\_1)\$ of \$M \oplus N\$).

I should check carefully that

$$\varinjlim_{\substack{M'' \hookrightarrow M}} \text{Hom}_a(M'', N) \xrightarrow{\sim} \varinjlim_{\substack{M' \hookrightarrow M \\ N \twoheadrightarrow N'}} \text{Hom}_a(M', N')$$

$$fs^{-1} \longrightarrow \text{Im}\{s, f\}: M'' \rightarrow M \oplus N$$

Because the ~~black~~ indexing categories are filtering,  
the \$\varinjlim\$'s are the same in sets and Ab.

Now \$\varinjlim F\$ in sets is just the set of components  
of the fibred category \$C/F\$. So we are comparing  
components of the category of pairs \$(M \xleftarrow{s} M'' \rightarrow N)\$, or  
better the category of arrows \$M'' \rightarrow M \oplus N\$ ~~black~~ s.t.  
\$M'' \rightarrow M\$ is an \$S\$-isom., with the ~~poset~~ of \$W \subset M \oplus N\$  
such that \$W \rightarrow M\$ is an \$S\$-isom. The former category  
is fibred over the latter it seems by the functor  
 $M'' \rightarrow M \oplus N \mapsto \text{Im}(M'' \rightarrow M \oplus N)$ : ~~wrong ordering  
see p.90~~

$$W' \times_W M'' \subset M''$$

$$\downarrow \quad \quad \quad \downarrow$$

so it suffices to check  
that the fibre over a

given  $W'$  is connected. This fibre consists of  
all surjective  $S$ -funct.  $M'' \rightarrow W'$ , and it is  
contractible because there's ~~a final~~<sup>a final</sup> object.

November 1, 1994

Let us see if there's something we can say about  $D^+(\text{tors}(R, I)) \rightarrow D^+(R)$  being fully faithful. Recall in the Grothendieck category situation  $\mathcal{I} \xrightarrow{\cong} \mathcal{A} \xrightarrow{\cong} \mathcal{A}/\mathcal{I}$  the issue. I think we know that  $\iota_*: D^+(\mathcal{I}) \rightarrow D^+(\mathcal{A})$  is fully faithful (resp. an equivalence) iff for any injective  $E$  in  $\mathcal{A}$  one has  $R\iota^!(E/\iota_*\iota^!E) = 0$ . Indeed, assuming this condition and given  $X$  in  $D^+(\mathcal{A})$  which wma is a complex of injectives we then have an exact sequence

$$0 \rightarrow \iota_*\iota^!E \longrightarrow E \longrightarrow E/\iota_*\iota^!E \rightarrow 0$$

i.e. a triangle

$$\iota_*R\iota^!(X) \longrightarrow X \longrightarrow X^\# \longrightarrow$$

where  $R\iota^!(X^\#) = 0$ , and hence (by the minimal injective complex argument)  $X^\# = Rf_*(j^*X^\#) = Rf_*(j^*X)$ .  
 (This is the criterion of Yao).

The difficulty in the case of  $\mathcal{I} = \text{tors}(R, I)$ ,  $\mathcal{A} = \text{mod}(R)$  is that we don't have much control over  $\iota^!$  in general. One case in which we do know something about  $\iota^!$  is when  $I$  is finitely generated as left  $R$ -module. In this case

$$\iota_*\iota^!(M) = \varinjlim_n \text{Hom}_R(R/I^n, M) = \bigcup_n I^n M$$

is the submodule of elements which are killed by some power of  $I$ . In effect

$$0 \rightarrow \bigcup_n I^n M \longrightarrow M \longrightarrow \varinjlim_n \text{Hom}_R(I^n, M)$$

is exact and  $R/I$  is finitely presented so

$$\begin{aligned}
 & \text{Hom}_R(R/I, \varinjlim_n \text{Hom}_R(I^n, M)) \\
 &= \varinjlim_n \text{Hom}_R(R/I, \text{Hom}_R(I^n, M)) \\
 &= \varinjlim_n \text{Hom}_R(\underbrace{I^n \otimes_R R/I}_{I^n/I^{n+1} \text{ an essentially zero}} M) = 0
 \end{aligned}$$

showing that  $\varinjlim_n \text{Hom}_R(I^n, M)$  is torsion-free.

Let  $E$  be an injective  $R$ -module. Then

$$E/c_*c^! E = \varinjlim_n \text{Hom}_R(I^n, E)$$

What is  $Rc^!$ ? Since

$$c^!(M) = \varinjlim_n \text{Hom}_R(R/I^n, M)$$

if  $X \in D^+(R)$  and  $X \rightarrow E$  is an injective resolution, then

$$\begin{aligned}
 R c^!(X) &= c^!(E) = \varinjlim_n \text{Hom}_R(R/I^n, E) \\
 &= \varinjlim_n R \text{Hom}_R(R/I^n, X).
 \end{aligned}$$

Let  $P^{(n)} \rightarrow R/I^n$  be a projective resolution for each  $n$ , and choose maps  $P^{(n)} \rightarrow P^{(n-1)}$  over  $R/I^n \rightarrow R/I^{n-1}$  for each  $n$ . Then ~~we have~~

$$\text{Hom}_R(R/I^n, E) \xrightarrow{\text{quis}} \text{Hom}_R(P^{(n)}, E) \xleftarrow{\text{quis}} \text{Hom}_R(P^{(n)}, X)$$

$$\text{so } R c^!(X) = \varinjlim_n \text{Hom}_R(P^{(n)}, X).$$

Return now to the injective module  $E$ .

Then

$$R\mathcal{L}^!(E/\mathcal{L}_*\mathcal{L}^!E)$$

$$= \varinjlim_n \text{Hom}_R(P^{(n)}, \varinjlim_k \text{Hom}_R(I^k, E))$$

Assume now that  $R/I^n$  is " $\infty$ -pseudo-coherent", i.e.  $P^{(n)}$  can be chosen f.m. gen. free in each degree. Then (note  $P^{(n)}$  supported in  $[-\infty, 0]$  while  $E$  is left bdd) we have

$$\begin{aligned} R\mathcal{L}^!(E/\mathcal{L}_*\mathcal{L}^!E) &= \varinjlim_n \varinjlim_k \text{Hom}_R(P^{(n)}, \text{Hom}_R(I^k, E)) \\ &= \varinjlim_n \varinjlim_k \text{Hom}_R(\underbrace{I^k \otimes_R P^{(n)}}_{I^k \otimes_R^L R/I^n}, E) \end{aligned}$$

so this vanishes when  $I^\infty \otimes_R^L R/I^\infty = 0$ , which is exactly approx. h-unitarity.

So summarize: The first assumption is that  $R/I^n$  is  $\infty$ -pseudo-coherent, in particular  $I^n$  is of finite presentation. In this case we know

$$f_*(f^*M) = \varinjlim_n \text{Hom}_R(I^{(n)}, M).$$

Also we assume  $I$  approx h-unital, in particular  $I^{(\infty)} \simeq I^\infty$ . The conclusion then is that we have the desired equivalence

$$D^+(\text{tors}(R, I)) \xrightarrow{\sim} D^+(R)_{\text{tors}(R, I)}$$

besides the one  $D^b(\text{nil}(R, I)) \xrightarrow{\sim} D^b(R)_{\text{nil}(R, I)}$ .

November 3, 1994

Let  $A$  be an  $h$ -unital ring:  $A \otimes_A A \xrightarrow{\sim} A$ , let  $M$  be an  $A$  module such that  $AM = M$  but  $A \otimes_A M \rightarrow M$  is not an isomorphism. For example  $A = C_\alpha((0, 1))$   $M = A/\tilde{A}^\times$ , see p. 59. Consider the semi-direct product  $A \oplus M$  where the right multiplication of  $A$  on  $M$  is zero. Put  $R = \tilde{A} \oplus M$ . Then  $RA = (\tilde{A} \oplus M)A = A$ ,  $AR = A(\tilde{A} \oplus M) = A \oplus M$ . In this situation we have a Morita equivalence  $M(R, \underbrace{A \oplus M}_{AR}) \xrightarrow{\sim} M(\tilde{A}, A)$  given by restriction of scalars. Now  $AR$  is not firm for  $(\tilde{A}, A)$  since

$$A \otimes_{\tilde{A}} (A \oplus M) = A \otimes_{\tilde{A}} A \oplus A \otimes_{\tilde{A}} M$$

$$\downarrow s \qquad \downarrow \text{not } \simeq$$

$$A \qquad M$$

and so  $AR$  is not firm for  $(R, AR)$ . In particular  $AR$  is not  $h$ -unital.

November 6, 1994

Problem from long ago. Let  $P(A)$  be the category of f.g. projective  $A$ -modules. The problem is to construct an infinite general linear group out of the groups  $\text{Aut}(P)$  for  $P \in P(A)$ . The construction is to be as *intrinsic* as possible.

Note that given a split injection  $P \xrightarrow{\begin{smallmatrix} u^* \\ u \end{smallmatrix}} Q$  in  $P(A)$  there is an induced homomorphism  $(u, u^*)_* : \text{Aut}(P) \rightarrow \text{Aut}(Q)$  defined by  $g \mapsto ug u^* + 1 - uu^*$ .

This gives us a functor from the category of f.g. proj.  $A$ -modules and split injections to the category of groups.

Now suppose one is given only an admissible ~~split~~ injection  $u : P \hookrightarrow Q$ , i.e. such that  $u(P)$  is a summand of  $Q$ . The possible splittings  $\begin{smallmatrix} u^* \\ u \end{smallmatrix}$  of

$$0 \longrightarrow P \xrightarrow{\begin{smallmatrix} u \\ u \end{smallmatrix}} Q \longrightarrow Q/P \longrightarrow 0$$

form a torsor under  $1 + \text{Hom}(Q/P, P) \subset \text{Aut}(Q)$ .

Put  $Z = 1 + \text{Hom}(Q/P, P) \subset \text{Aut}(Q)$  and

let  $u^* : Q \rightarrow P$  be a splitting:  $u^*u = 1$ . ~~Let~~ Let us now compare the homomorphisms

$$g \mapsto ug(u^*z) + 1 - u(u^*z) \quad g \mapsto ug u^* + 1 - uu^*$$

associated to the two splittings  $u^*$  and  $u^*z$ .

Use matrix notation relative to  $Q = P \oplus C$  where  $C = \text{Ker}(u^*)$ . Then  $u = \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$   $u^* = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$$\text{and } z = \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \quad a \in \text{Hom}(C, P)$$

$$\text{Then } u^*z = (1 \ 0) \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} = (1 \ a)$$

$$ugu^* + (1 - uu^*) = \begin{pmatrix} 1 \\ 0 \end{pmatrix} g(1 \ 0) + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 \ 0)$$

$$= \begin{pmatrix} g & 0 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix}$$

$$ug(u^*z) + 1 - u(u^*z) = \begin{pmatrix} 1 \\ 0 \end{pmatrix} g(1 \ a) + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 \ a)$$

$$= \begin{pmatrix} g & ga \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -a \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} g & ga-a \\ 0 & 1 \end{pmatrix}$$

$$z^{-1}(ugu^* + (1 - uu^*))z = \begin{pmatrix} 1 & -a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & -a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} g & ga \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} g & ga-a \\ 0 & 1 \end{pmatrix}$$

Thus

$$\boxed{z^{-1}(ugu^* + (1 - uu^*))z = ug(u^*z) + (1 - u(u^*z))}$$

i.e.

$$\boxed{(u, u^*z)(g) = z^{-1}(u, u^*)(g)z}$$

We want to use this to construct a cofibred category over category of f.g. proj. A-modules and admissible injections with fibre  $\text{Aut}(P)$  at  $P$ . We already have such a cofibred category (which is cocomplete) over the cat. of fg proj A-modules and split injections associated to the functor  $P \mapsto \text{Aut}(P)$ . Let's describe it. The objects are the  $P \in \text{Ob } \mathcal{P}(A)$ . A map from  $P$  to  $Q$  is a split injection  $(u, u^*): P \rightarrow Q$  together with

an element  $g \in \text{Aut}(Q)$ . Let us write  $(g, u, u^*)$  for this map. Then composition is given by

$$P \xrightleftharpoons[u]{\quad} Q \xrightleftharpoons[v]{\quad} R$$

$$(h, v, v^*)(g, u, u^*) = (h(v, v^*)(g), vu, u^*v^*)$$

Let us now define an equivalence relation on maps by

$$(g, u, u^*) \sim (gz, u, u^*z)$$

where we recall that if  $u: P \rightarrow Q$ , then  $z \in 1 + \text{Hom}(Q/uP, uP)$ . This group acts simply-trans. on the possible  $u^*$ , so upon choosing a  $u^*$  the equivalence classes are in one-one correspondence with elements of  $\text{Aut}(Q)$ .

Let's now check compatibility of composition with this equivalence relation. **NO**

$$\begin{aligned} (h, v, v^*)(gz, u, u^*z) &= (h(v, v^*)(gz), vu, u^*zv^*) \\ &= (h(v, v^*)(g)(v, v^*)(z), vu, u^*zv^*) \\ &= (h(v, v^*)(g)((v, v^*)(z)), vu, u^*\underbrace{v^*(v, v^*)(z)}) \\ &\sim (h(v, v^*)(g, u, u^*)) \quad v^*(vzv^* + 1 - vv^*) \\ &\qquad\qquad\qquad = zv^* \end{aligned}$$

Next let  $\mathfrak{f} \in 1 + \text{Hom}(R/vQ, vQ)$ . Then

$$\begin{aligned} (h\mathfrak{f}, v, v^*\mathfrak{f})(g, u, u^*) &= (h\mathfrak{f} \underbrace{(v, v^*\mathfrak{f})(g)}, vu, u^*v^*\mathfrak{f}) \\ &= (h(v, v^*)(g)\mathfrak{f}, vu, u^*v^*\mathfrak{f}) \sim (h(v, v^*)(g), vu, u^*v^*) \end{aligned}$$

You've forgotten to check  $\mathfrak{f} \in 1 + \text{Hom}(R/vuP, vuP)$ . Same mistake from April 30, 1971

November 9, 1994

Lundell review. Let  $G$  be a compact (Lie) group. Consider a family (smooth) of f.d. unitary representations of  $G$  parametrized by the manifold  $M$ , i.e. a hermitian vector bundle  $E$  over  $M$  with  $G$  action on  $E$ ,  $G$  acting trivially on  $M$ . Then we have a canonical splitting

$$E = \bigoplus_X V_X \otimes \text{Hom}_G(V_X, E)$$

where  $X$  runs over the irreducible characters of  $G$  and  $V_X$  is an irred. repn. with character  $X$ .

Thus the family up to isomorphism is equivalent to a set of vector bundles  $\{\text{Hom}_G(V_X, E)\}$  indexed by the irred. repns. almost all zero.

Lundell situation. The Bott periodicity map

$$S^2 : U_n \rightarrow U_{2n} \text{ can be described as follows.}$$

Identify  $C^2 \otimes C^n$  with  $C^{2n}$  as hermitian vector spaces. Let  $U_n$  act on  $e_1 \otimes C^n$  via the standard repn ~~on~~ on  $C^n$  and let  $U_n$  act trivially on  $e_2 \otimes C^n$ ; here  $e_1, e_2$  is the standard basis for  $C^2$ . Note that ~~all~~ the subgroup  $\Delta U_1 \subset U_2 = U(C^2) \subset U(C^2 \otimes C^n)$  centralizes this action of  $U_n$ , so conjugating by  $U_2$  leads to a family of homomorphisms  $U_n \rightarrow U_{2n}$  parametrized by  $U^2 / \Delta U_1 = CP^1 = S^2$ . Specifically  $\varphi_L : U_n \rightarrow U_{2n}$  is the standard repn on  $L \otimes C^n$  and the trivial representation on  $L^\perp \otimes C^n$ . If  $L_0$  is the base point of  $CP^1$ , then  $L \mapsto \varphi_L^{-1} \varphi_{L_0}$  is the Bott map.

What we have here is a family  
of representations  $\underbrace{\mathbb{C}^n}_{\text{standard repn}} \otimes \mathcal{O}(-1)$  of  $U_n$

parametrized by  $\mathbb{CP}^1 = S^2$ , which we have  
embedded in the trivial bundle with fibre  $\mathbb{C}^{2^n}$ .

Now we know the vector bundle  $\mathcal{O}(-1)^{\oplus n}$  over  
 $\mathbb{CP}^1$  can be embedded in the trivial bundle with  
fibre  $\mathbb{C}^{n+1}$ , whence Lundell's theorem that the  
Bott map can be deformed to  $S^2 \wedge U_n \rightarrow U_{n+1}$ .

November 10, 1994: (Item 54)

$R$  commutative,  $I = \sum_{i=1}^s R a_i$  fin. gen.

In this case the localization of  $M$   for the torsion theory  $\text{tors}(R, I)$  is, I claim,

$$M^\# = \Gamma(Sp(R) - Sp(R/I), \tilde{M}) = \check{H}^0(\mathcal{U}, \tilde{M})$$

where  $\mathcal{U}$  is the <sup>affine</sup> open covering  $\{Sp(R_{a_i})\}$  of  $Sp(R) - Sp(R/I)$ .

i.e.  $M^\# = \text{Ker} \left\{ \prod_i M_{a_i} \xrightarrow{\longrightarrow} \prod_{i,j} M_{a_i a_j} \right\}$

Why? We have to show  $M^\#$  is solid and that the canonical map  $M \rightarrow M^\#$  is a  $\text{tors}(R, I)$  isomorphism. For  $a \in I$  we have

$$\text{Hom}_R(I, M_a) = \text{Hom}_{R_a}(R_a \otimes_R I, M_a)$$

$\uparrow$                                      $\uparrow \cong$

$$\text{Hom}_R(R, M_a) = \text{Hom}_{R_a}(R_a \otimes_R R, M_a)$$

since  $0 \rightarrow R_a \otimes_R I \rightarrow R_a \otimes_R R \rightarrow R_a \otimes_R R/I \rightarrow 0$

is exact.  Thus  $M_a$  is solid,  $\underbrace{\phantom{M_a}}$   $O$  as  $a \in I$

so  $M^\#$  being the kernel of a map between solid modules is solid.

To show  $M \rightarrow M^\#$  is a torsion isomorphism it suffices to show  that  $R_a \otimes_R -$  carries it into an isomorphism for any  $a$  in  $I$ ,  for any  $a_i$ . In effect, any element of the kernel  or kernel is killed by  $a_i^n$  for some  $n$ , which can be assumed independent of  $i$  since there are only finitely many  $i$ . Then  $I^{k\{i\}} = 0$  since  $\{I^k\}$  and  $\{\sum_i R a_i^n\}$  are cofinal.

But by exactness of localization  
we have  $(M_a)^\# = (M^\#)_a$ . Finally  
we use that  $(M_a)^\# = M_a$  because of  
the basic calculation:  $\Gamma(Sp(R), \tilde{m}) = M$   
applied to  $R_a, M_a$  the point being that  
one has the open affine covering  $Sp(R_{a,i})$  of  $Sp(R_a)$ .

---

Recall that the other situation in which  
you understand the localization is the case  
where  $I$  is a finitely presented  $R$ -module\*. Then

we have

$$f_* g^* M = \varinjlim_n \text{Hom}_R(I^n, M)$$

$R$  not nec  
commutative

When  $I$  is only finitely generated:  $I = \sum_{j=1}^n R_{aj}$   
one can obtain the localization  $f_* g^* M$  as the  
square of the functor

$$F(M) = \varinjlim_n \text{Hom}_R(I^n, M)$$

To see this note that

$$\begin{aligned} \text{Hom}_R(R/I, F(M)) &= \varinjlim_n \text{Hom}_R(R/I, \text{Hom}_R(I^n, M)) \\ &= \varinjlim_n \text{Hom}(I^n \otimes_R R/I, M) = 0 \end{aligned}$$

because  
 $R/I$  is  
f.p.

$I^n/I^{n+1}$  essentially zero inverse system.

Thus  $M \rightarrow F(M)$  is a torsion isomorphism with  $F(M)$   
torsion-free. In particular  $F(M) = 0$  if  $M$  is torsion.

Then for  $M$  torsion-free  $\xrightarrow{f \text{ torsion}}$

$$0 \rightarrow M \rightarrow F(M) \rightarrow T \rightarrow 0$$

$$\text{so } F^2(M) \xrightarrow{0} F(M) \xrightarrow{\sim} F^2(M) \rightarrow 0 \quad F \text{ left exact}$$

$F^3(M) \xrightarrow{\sim} F^4(M) \xrightarrow{\sim} \dots$  for any  $M$ .

Also  $\text{Ker } \{M \rightarrow F(M)\} = tM$

Finally ~~as above~~ note that  $M \xrightarrow{\sim} F(M)$  if  $M$  is solid is obvious from the definition. The exact sequences

$$0 \rightarrow M/tM \rightarrow F(M) \rightarrow T' \rightarrow 0 \quad T', T'' \text{ torsion}$$

$$0 \rightarrow M/tM \rightarrow j_* j^* M \rightarrow T'' \rightarrow 0$$

yield  $F(M/tM) \xrightarrow{\sim} F^2(M)$

$$F(M/tM) \xrightarrow{\sim} F(j_* j^* M) = j_* j^* M.$$

■ show that  $j_* j^* M = F^2(M)$ .

Another way to understand this is from the general fact that  $j_* j^* M$  for a torsion theory is obtained by squaring the functor

$$F(M) = \varinjlim_{\alpha \in \mathcal{G}} \text{Hom}_R(\alpha, M)$$

where  $\mathcal{G}$  is the Gabriel filter of cotorsion left ideals. In our case where  $I$  is f.g. the powers  $\{I^n\}$  are cofinal in the Gabriel filter.

If you replace ~~a~~ small category by its arrow ring the axioms for a site are formally similar to the axioms for a Gabriel filter. This suggests going through topos theory ideas (coherent topos?) for ideas about  $M_t$ , e.g. good conditions that  $M_t$  is locally noetherian.

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Recall in the  $(A, \delta)$  situation that we identified ~~the~~ elements of

$$\varinjlim_{\substack{M' \subseteq M \\ N \rightarrow N}} \text{Hom}_A(M', N') = \text{Hom}_{A/\delta}(j^*M, j^*N)$$

with equivalence classes of

subobjects  $Z \subset M \oplus N$  such that ~~the~~  $Z \rightarrow M$  is an  $\delta$ -isomorphism. This statement is OK but there's an error on p. 76 about the partial ordering <sup>on</sup> the set of these correspondences.

Recall that there's a 1-1 correspondence between triples  $(M', N', f)$  with  $M' \subseteq M$ ,  $N \rightarrow N'$ , and  $f: M' \rightarrow N'$  and  $Z \subset M \oplus N$  such that  $Z \rightarrow M$  is an  $\delta$ -isom. This correspondence is given by

$$Z = M' \times_{N'} N$$

and

$$M' = Z + N/N$$

$$N' = N/Z \cap N$$

$$f: Z + N/N = Z/Z \cap N \rightarrow N/Z \cap N$$

Put another way,  $M'$  is the domain of the correspondence  $Z$  from  $M$  to  $N$ ,  $N' = N/\text{indeterminacy}$ ,  $f$  is the map  $M' \rightarrow N'$  given by the correspondence. The partial ordering ~~on~~ the correspondences ~~is~~ given by shrinking the domain and increasing the indeterminacy. Thus

$Z_1 \prec Z$  means

$$Z_1 + N \subset Z + N$$

$$Z_1 \cap N \supset Z \cap N$$

$$\begin{array}{ccc} Z + N/N & = Z/Z \cap N & \xrightarrow{f} N/Z \cap N \\ \downarrow & & \\ Z_1 + N/N & = Z_1/Z_1 \cap N & \xrightarrow{f_1} N/Z_1 \cap N \end{array}$$

Example: Suppose  $A = \text{mod}(R)$ ,  $\mathcal{F} = \text{mod}(R/I)$   
where  $I = I^2$ . In this situation we have

$$\text{Hom}_A(\mathcal{F}, f^*M, N) = \text{Hom}_A(I\mathcal{M}, N/I\mathcal{N}) = \text{Hom}_A(M, \mathcal{F}f^*M)$$

three descriptions of maps  $f^*M \rightarrow f^*N$ . A map  
 $f^*M \xrightarrow{u} f^*N$  gives three ~~three~~ correspondences.

- 1)  $\mathcal{F}f^*M \xrightarrow{f} N$  is the initial object of the category  
of pairs  $(s, f)$  representing  $u$ . Let  $Z_\ell = \text{Int}(s, f)$

$$\begin{array}{ccccc}
 & f^*f^*N & & & Z_\ell \text{ has properties} \\
 & \searrow & \swarrow f & & \\
 & Z_\ell & \longrightarrow & N & \\
 & \downarrow & \text{biart} & \downarrow & \\
 IM & \longrightarrow & N' & & \\
 \cap & \text{biart} & \cap & & \\
 M & \longrightarrow & W_\ell & &
 \end{array}$$

$$IZ_\ell = Z_\ell$$

- 2)  $IM \rightarrow N/I\mathcal{N}$  represents the initial object of  
 $M \supset M' \rightarrow N' \leftarrow N$  representing  $u$ . Let  $Z_0$  be the  
associated correspondence

$$\begin{array}{ccc}
 Z_0 & \longrightarrow & N \\
 \downarrow & \text{biart} & \downarrow \\
 IM & \longrightarrow & N/I\mathcal{N} \\
 \cap & \text{biart} & \cap \\
 M & \longrightarrow & W_r
 \end{array}$$

$$IN \subset Z_0 \subset IM + N$$

- 3)  $M \rightarrow \mathcal{F}f^*N$  initial among  $M \xrightarrow{f} N'$

$$\begin{array}{ccc}
 Z_r & \longrightarrow & N \\
 \downarrow & \text{biact} & \downarrow \\
 M' & \longrightarrow & N/I \\
 \cap & \text{biact} & \cap \\
 M & \longrightarrow & W_n \\
 & f & \searrow f^*f^*N \\
 & & \beta
 \end{array}$$

property  
 $IW_n = W_n$

This should be equivalent  
 $(Z_r : I) = Z_n$

The relationship between  $Z_l, Z, Z_r$  is

$$Z_l = IZ_0 \quad Z_r = (Z_0 : I)$$

$$Z_0 = Z_l + \boxed{N} \quad Z_0 = \boxed{Z_r} \cap (IM \oplus N)$$

Check:  $Z = IZ_0 \oplus \boxed{N}$ :  $\Rightarrow$  clear. If  $z \in Z$ , then  $p_1(z) \in IM$  and  $IZ_0 \xrightarrow{p_1} IM$ , so module  $IZ_0$  can assume  $p_1(z) = 0$  i.e.  $z \in Z_0 \cap N = N$ .

$$\text{Similarly } (Z_0 : I) \cap (IM \oplus N) = Z_0.$$

Here's the way to interpret this. The maps

$\alpha: f^*M \rightarrow f^*N$  is equivalent to a subobject  $\Gamma \subset f^*M \oplus f^*N = \boxed{f^*(M \oplus N)}$  such that  $\Gamma \xrightarrow{\cong} f^*M$ , and  $\Gamma$  in turn is equivalent to a  $\delta$ -equivalence class of  $Z \subset M \oplus N$  such that  $Z \rightarrow M$  is an  $\delta$ -isom. In the case  $I = I^2$ , there's a smallest  $Z$ , namely  $Z_l$ , and a largest one, namely  $Z_r$ .  $Z_0$  has the  $\boxed{Z_r}$  smallest image and largest kernel for  $p_1: Z \rightarrow M$ .

Cayley transform idea: Think of  $Z \subset M \oplus N$  have Cayley transform  $g = F\epsilon$  where  $\epsilon = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , and  $F$  is the involution belonging to  $Z$ . Then if  $Z \leftrightarrow (M', N', f)$ , the complement  $M \oplus M'$  and  $\ker(N \rightarrow N')$  are the  $\epsilon$  eigenspaces of the  $-1$  eigenspace for  $g$ .

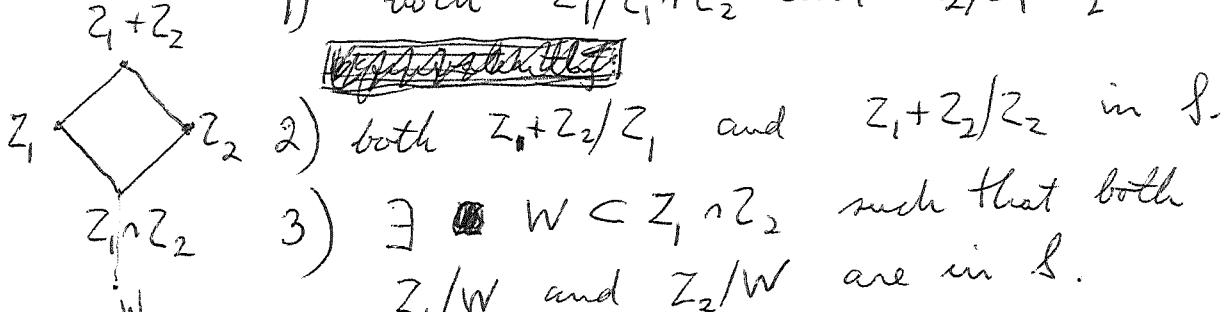
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Let  $\mathcal{Z}(M, N) = \{Z \subset M \oplus N \mid p_1: Z \rightarrow M \text{ is an } \mathcal{S}\text{-isom.}\}$

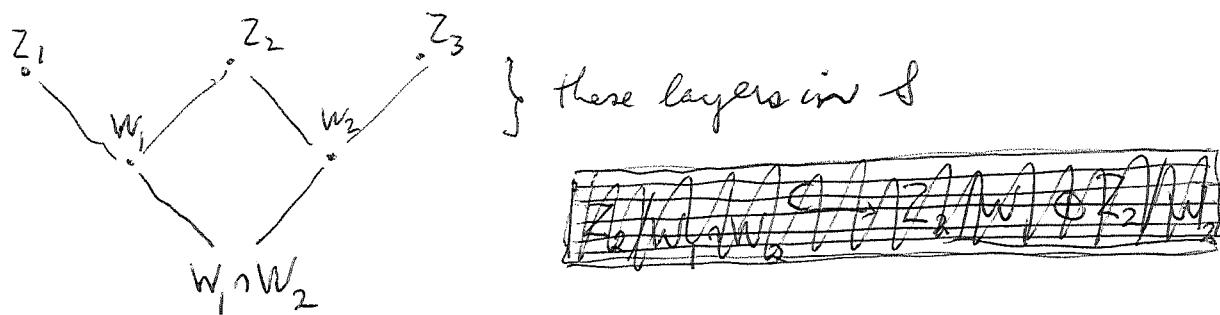
Define  $Z_1 \sim Z_2$  if equivalently

1) both  $Z_1/Z_1 \cap Z_2$  and  $Z_2/Z_1 \cap Z_2$  are in  $\mathcal{S}$ .



4)  $\exists W \supset Z_1+Z_2$  such that  $W/Z_1$  and  $W/Z_2$  are in  $\mathcal{S}$ .

These equivalences use  $\mathcal{S}$  closed under sub and quotient objects. The relation  $\sim$  is reflexive + symmetric. Given



then  $W_1/W_1 \cap W_2 \hookrightarrow Z_2/W_2 \in \mathcal{S} \Rightarrow W_1/W_1 \cap W_2 \in \mathcal{S}$

and  $0 \rightarrow W_1/W_1 \cap W_2 \rightarrow Z_1/W_1 \cap W_2 \rightarrow Z_1/W_1 \rightarrow 0$   
 $\mathcal{S}$

$\Rightarrow Z_1/W_1 \cap W_2 \in \mathcal{S}$ . Similarly  $Z_3/W_1 \cap W_2 \in \mathcal{S}$ . Thus  
 the relation  $\sim$  is transitive.

so  $\sim$  is an equivalence relation, which we call  $\mathcal{S}$ -equivalence. Our objective now is to show

$\underset{\substack{M' \subseteq M \\ \mathcal{S}}}{\varinjlim} \quad \mathrm{Hom}_\mathcal{A}(M', N') = \mathcal{Z}(M, N)/\mathcal{S}\text{-equivalence}$

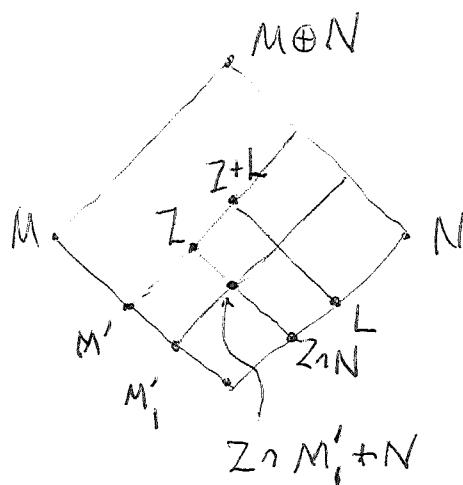
$\underset{\substack{N \rightarrow N' \\ \mathcal{S}}}{\lim_{\rightarrow}} \quad \mathrm{Hom}_\mathcal{A}(M, N') = \mathcal{Z}(M, N)/\mathcal{S}\text{-equivalence}$

Recall that we have identified  
 $Z \in \mathcal{Z}(M, N)$  with triples  $(M', N', f)$ :

$$\begin{array}{ccc}
 Z & \xrightarrow{p_2} & N \\
 \downarrow & \text{cart} & \downarrow \\
 M' & \xrightarrow{f} & N' \\
 \downarrow & & \\
 M & &
 \end{array}
 \quad
 \begin{aligned}
 Z &= M' \times_{N'} N \\
 M' &= p_1(Z) = Z + N/N \\
 \text{Ker}(N \rightarrow N') &= \text{Ker}(p_1) = Z \cap N
 \end{aligned}$$

Both sides of  $\otimes$  are quotient sets of  $\mathcal{Z}(M, N)$   
 $= \{(M', N', f)\}$  by certain equivalence relations. So  
we have to show these two equivalence coincide.

The equivalence relation on the left is  
generated by shrinking  $M' = p_1(Z)$  and by expanding  
 $\text{Ker}(p_1) = Z \cap N$ , these moves being within  $\mathcal{S}$ . Thus  
given  $M'_1 \subset M_1$  with cokernel in  $\mathcal{S}$  we change  $Z$   
to  $M'_1 \times_{M'_1} Z$ , and given  $Z \cap N \subset L \subset N$  with  
 $L/Z \cap N \in \mathcal{S}$  (note these  $\mathcal{S}$  conditions are the same  
as  $M/M'_1$  and  $L$  in  $\mathcal{S}$ ), we change  $Z$  to  $Z+L$ .



These moves  $Z \rightarrow Z+L$ ,  $Z \rightarrow Z \cap M'_1 + N$  do not  
change the  $\mathcal{S}$ -equivalence class of  $Z$ .

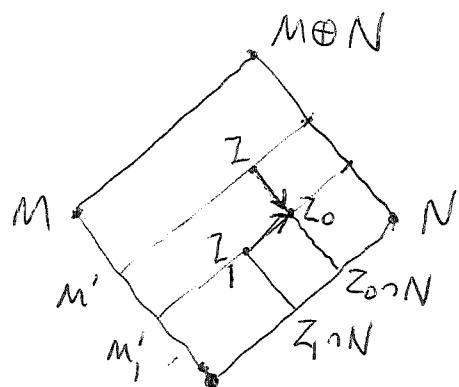
The equivalence relation on the left is  
generated by shrinking  $Z$  to  $Z_1$  such that  $Z/Z_1 \in \mathcal{S}$ .

Put  $Z_0 = Z_1 + Z \cap N \subset Z$ , so  $Z_1 \subset Z_0 \subset Z$ . 95

Then  $Z_0 + N = Z_1 + N$  and

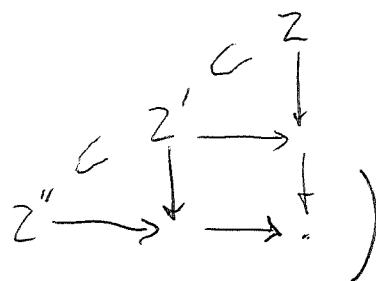
$$Z_0 \cap N = (Z_1 + Z \cap N) \cap N = Z \cap N$$

so the inclusion  $Z_0 \subset Z$  is the type where  $p_1(Z)$  is shrunk and the inclusion  $Z_1 \subset Z_0$  is the type where  $Z_1 \cap N$  is expanded:



So we conclude that equivalence relation is the same, which proves  $\otimes$ .

(Notice that the  $(M', N', f)$  picture yields a thing with two types of arrows - ~~with labels~~ ~~without labels~~ ~~with labels~~ ~~without labels~~ I'm reminded of Artin-Mayer composition:



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TTF theories (see July 18, 1994 p734-7).

A TTF theory is a Serre subcategory  $\mathcal{S}$  of  $\text{mod}(R)$  of the form  $\mathcal{S} = \mathcal{F}_{\tau} = \mathcal{F}_{\tau'}$ , for torsion theories  $\tau, \tau'$ . The claim is that  $\mathcal{S} = \text{mod}(R/I)$  where  $R/I$  is right flat (~~equivalently~~ equiv.  $I$  has local left identities, in particular  $I = I^2$ ).

More generally ~~\*~~ suppose

$$\begin{aligned} \mathcal{S} &= \{M \mid \text{Hom}(M, X) = 0 \quad \forall X \in \mathcal{X}\} \\ &= \{M \mid \text{Hom}(Y, M) = 0 \quad \forall Y \in \mathcal{Y}\} \end{aligned}$$

\* where  $\mathcal{X}$  and  $\mathcal{Y}$  are full subcats of modules. The former shows  $\mathcal{S}$  is closed under quotients, extensions, and  $\oplus$ 's; the latter shows  $\mathcal{S}$  is closed under submodules, extensions and  $\prod$ 's. Thus  $\mathcal{S}$  is a Serre subcategory closed under  $\prod$ 's, hence of the form  $\text{mod}(R/I)$  with  $I = I^2$ .

~~Conversely suppose  $\mathcal{S} = \text{mod}(R/I)$ ,  $I = I^2$ .~~

~~Then we have \*~~ with  $\mathcal{X} = \{X \mid I_X = 0\}$  and  $\mathcal{Y} = \{Y \mid IY = Y\}$ . So far we don't get  $R/I$  to be right flat. However the assumption  $\mathcal{S} = \mathcal{F}_{\tau'}$ , for some torsion theory  $\tau'$  implies that  $\mathcal{S}$  is closed under injective hulls, equivalently the functor  $tM = \text{Hom}_R(R/I, M)$  preserves injectives, equivalently its left adjoint  $M \mapsto R/I \otimes_R M$  is exact.

Here's another way to understand this.

The assumption  $\mathcal{S} = \mathcal{F}_{\tau'}$  supplies the following extra information over \*.

It tells us that  $\mathcal{F}_I$  is closed under injective hulls, which turns out to be equivalent to the class of torsion modules.

$$\begin{aligned} \mathcal{F}_I &= \{Y \mid \text{Hm}(Y, M) = 0 \quad \forall M \Rightarrow IM = 0\} \\ &= \{Y \mid Y = IY\} \end{aligned}$$

being closed under submodules. So I really want the implication

- ? $\Downarrow$
- A)  $y' \subset Y, IY = Y \Rightarrow IY' = Y'$
  - B)  $R/I$  is flat

Suppose  $M' \subset M$ .  $\text{Ker}\left\{R/I \otimes_R M' \rightarrow R/I \otimes_R M\right\} =$   
 $\text{Ker}\left\{M'/IM' \rightarrow M/IM\right\} = M' \cap IM / IM$ .

Take  $y = IM$ ,  $y' = M' \cap IM$ . As  $I = I^2$ , we have  $IY = Y$ .  
Assuming A) we get  $I(M' \cap IM) = M' \cap IM$ . But  
 $I(M' \cap IM) \subset IM' \cap I^2M = IM' \subset M' \cap IM$ , so  $M' \cap IM = IM'$ .

List the equivalent conditions (assume  $I = I^2$ ).

- A)  $\{Y \in \text{mod}(R) \mid IY = Y\}$  is closed under submodules.
  - B)  $R/I$  is right flat.
  - C) If  $Q$  is an injective  $R$ -module, so is  $\text{Hm}(R/I, Q) = I^Q$ .
  - D)  $\text{mod}(R/I)$  is closed under injective hulls in  $\text{mod}(R)$ .
- 

Notice that  $R/I$  right flat  $\Rightarrow$

$$0 \rightarrow j_! j^* j_* j^* M \longrightarrow j_* j^* M \longrightarrow i_* i^* j_* j^* M \rightarrow 0$$

$j_! j^* M$

Thus  $j_! j^* M \rightarrow j_* j^* M$  is injective, equivalently

$$IM \hookrightarrow \text{Hom}_R(I, M)$$

equivalently  $IM \circ IM = 0$ .

---

Observe the canonical adjunction maps

$$j_! j^* M \longrightarrow M \longrightarrow j_* j^* M$$

have composition an injection. Thus an  $R$ -module  $M$  lifting an object  $Z$  of  $M$  is equivalent to a factorization

$$\begin{array}{ccc} & M & \\ j_! Z & \longrightarrow & j_* Z \end{array}$$

of the canonical injection for  $Z$ . This in turn is equivalent by pull-back:

$$\begin{array}{ccc} M & \dashrightarrow & M/j_! Z \\ \downarrow & & \downarrow \varphi \\ j_* Z & \longrightarrow & j_* Z/j_! Z = i_* i^* j_* Z \end{array}$$

to the ~~nil~~-module  $i_* N = M/j_! j^* M = i_* i^* M$  and map  $\varphi: N \rightarrow i^* j_* Z$ .

I'm reminded of dilation by this.

---

Example. Suppose  $I = eR$  where  $e^2 = e$ .

Thus  $ReR = eR$ , i.e.  $e^\perp Re = 0$ .

$$R = \begin{pmatrix} eRe & eRe^\perp \\ 0 & e^\perp Re^\perp \end{pmatrix} \quad I = \begin{pmatrix} eRe & eRe^\perp \\ 0 & 0 \end{pmatrix}$$

$$\begin{array}{ccccccc} 0 & \longrightarrow & IM & \longrightarrow & M & \longrightarrow & M/IM \longrightarrow 0 \\ & & \parallel & & \parallel & & \downarrow \\ & & eM & & M & & e^\perp M \end{array}$$

Firm modules ( $I^M = M$  when  $R/I$  is right flat) are equivalent to  $eRe$ -modules, and we can identify  $f_!: M \rightarrow \text{mod}(R)$  with sending an  $eRe$  module  $V$  to  ~~$\mathbb{R}V$~~   $V$  with  $R$  acting via  $R \rightarrow R/Re^\perp = eRe$ .

We have

$$\begin{aligned} f_*(V) &= \text{Hom}_{eRe}(eR, V) \\ &= \text{Hom}_{eRe}(eRe \oplus eRe^\perp, V) \\ &= V \oplus \text{Hom}_{eRe}(eRe^\perp, V) \end{aligned}$$

Choosing  $eRe$  and  $eRe^\perp$  suitably one can arrange  $R^g f_*(V) \neq 0$  for arbitrarily large  $g$ .

Also  $f_*(V)/f_!(V) = \text{Hom}_{eRe}(eRe^\perp, V)$  so to extend  $V$  to an  $R$ -module  $M$ , i.e.  $V = eM$ , we must give  $N = e^\perp M$  a nil module together with a map  $\varphi: e^\perp M \rightarrow \text{Hom}_{eRe}(eRe^\perp, eM)$  of  $eRe^\perp$ -modules. Such a  $\varphi$  is equivalent to an  $eRe$ -module map

$$(*) \quad eRe^\perp \otimes_{e^\perp Re^\perp} e^\perp M \longrightarrow eM.$$

So we get the expected picture, namely, an  $R$ -module is a pair  $(e^\perp M, eM)$  together with an arbitrary multiplication (\*).

November 24, 1994

Suslin's ~~square~~ pseudo-free resolutions

Let  $A$  be a nonunital ring such that

$\text{Tor}_p^{\tilde{A}}(\mathbb{Z}, A) = 0$  for all  $p \leq k$ . Suppose

$k \geq 0$ . Then  $0 = \text{Tor}_0^{\tilde{A}}(\mathbb{Z}, A) = \tilde{A}/A \otimes_A A = A/A^2$ , so

we ~~can assume~~ have  $A = \sum_{i \in N_0} Aa_i$ . This

gives a diagram of exact sequences

$$(1) \quad \begin{array}{ccccccc} 0 & \longrightarrow & M_1 & \longrightarrow & A^{(N_0)} & \xrightarrow{e(a_i)} & A \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & K_1 & \longrightarrow & \tilde{A}^{(N_0)} & \xrightarrow{e(a_i)} & A \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \\ & & \mathbb{Z}^{(N_0)} & = & \mathbb{Z}^{(N_0)} & & \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

Then with  $T_p(-) = \text{Tor}_p^{\tilde{A}}(\mathbb{Z}, -)$  we have

$$\begin{array}{c} \underbrace{T_0(M_1) \rightarrow T_0(A^{(N_0)}) \rightarrow T_0(A) \rightarrow 0}_{\rightarrow T_1(M_1) \rightarrow T_1(A^{(N_0)}) \rightarrow T_1(A)} \\ \qquad \qquad \qquad \rightarrow T_2(A) \end{array}$$

Now I know that  $\text{Tor}_*^{\tilde{A}}(\mathbb{Z}, A) \cdot A = 0$ . (This is

~~because  $\text{Tor}_p^{\tilde{A}}(\mathbb{Z}, A) \cdot A = 0$  for  $p \geq 1$~~  because

$$0 \rightarrow \text{Tor}_{p+1}^{\tilde{A}}(\mathbb{Z}, \mathbb{Z}) \rightarrow \text{Tor}_p^{\tilde{A}}(\mathbb{Z}, A) \rightarrow \text{Tor}_{p-1}^{\tilde{A}}(\mathbb{Z}, \tilde{A}) \rightarrow \text{Tor}_p^{\tilde{A}}(\mathbb{Z}, \mathbb{Z})$$

↑  
0 for  $p \geq 1$        $\cong$  for  $p=0$ .

killed by  $a$

Thus the maps  $T_p(A^{(N_0)}) \rightarrow T_p(A)$  all zero for all  $p$ , so we have

$$* \quad 0 \rightarrow T_{p+1}(A) \rightarrow T_p(M_1) \rightarrow T_p(A)^{(N_0)} \rightarrow 0 \quad \forall p \geq 0.$$

Hence if  $k \geq 1$   $T_k(M_1) = 0$ , i.e.  $M_1 = \sum_{i \in N_1} A m_i$

and we get a diagram of exact sequences

$$(2) \quad \begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & M_2 & \longrightarrow & A^{(N_1)} & \longrightarrow & M_1 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & K_2 & \longrightarrow & \tilde{A}^{(N_1)} & \longrightarrow & M_1 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \\ & & \mathbb{Z}^{(N_1)} & = & \mathbb{Z}^{(N_1)} & & \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

Actually I just noticed that \* implies the vanishing range of  $T_p(M_1)$  is exactly one less than the vanishing range of  $T_p(A)$ . At the next stage we have

$$T_p(A)^{(N_1)} \rightarrow T_p(M_1) \rightarrow T_{p-1}(M_2) \rightarrow T_{p-1}(A)^{(N_1)}$$

so the vanishing range of  $T_p(M_2)$  is exactly one less than that of  $T_p(M_1)$

When we splice (1) and (2) together we get

$$\begin{array}{ccccccc}
 & \downarrow & & \downarrow & & & \\
 0 & \longrightarrow M_2 & \longrightarrow A^{(N_1)} & \longrightarrow A^{(N_0)} & \longrightarrow A & \longrightarrow 0 \\
 \parallel & \downarrow & \downarrow & \downarrow & & \parallel \\
 0 & \longrightarrow \tilde{M}_2 & \longrightarrow \tilde{A}^{(N_1)} & \longrightarrow \tilde{A}^{(N_0)} & \longrightarrow A & \longrightarrow 0 \\
 & \downarrow & \downarrow & \downarrow & & \\
 & \mathbb{Z}^{(N_1)} & \xrightarrow{\phi} & \mathbb{Z}^{(N_0)} & & & \\
 & \downarrow & & \downarrow & & & \\
 & 0 & & 0 & & &
 \end{array}$$

Supposing  $k=\infty$ , we ~~can~~ then construct a <sup>chain</sup> complex  $E$  of free  $\tilde{A}$ -modules together with an augmentation  $E_0 = \tilde{A}^{(N_0)} \xrightarrow{\varepsilon} A$  such that

- 1)  $A \otimes_{\tilde{A}} E$  is a resolution of  $A$
- 2)  $\varepsilon : E \rightarrow A$  is a nil-quis.

It might be better to take  $E' = \text{Cone}(E \xrightarrow{\varepsilon} \tilde{A})$ . Then  $A \otimes_A E'$  is acyclic and  $E'$  has nil homology.

---

Let's try for an example of a Suslin pseudo-free resolution.

Let  $B$  be a unital ring, let  ${}_B P$  and  $Q_B$  be ~~left~~ left and right  $B$ -modules respectively equipped with a surjective  $B$ -bimodule maps

$$P \otimes_{\mathbb{Z}} Q \longrightarrow B \quad p \otimes q \mapsto pq$$

Finally let  $A = Q \otimes_B P$  be equipped with the multiplication  
 $(g' \otimes p')(g'' \otimes p'') = g'(p'g'') \otimes p''$

$A$  is then a nonunital ring which is Morita equivalent to  $B$ . If  $\exists p_0 \in P, g_0 \in Q$  such that  $p_0 g_0 = 1 \in B$ , then  $e = g_0 \otimes p_0 \in A$  is idempotent and we have

$$\begin{pmatrix} A & Q \\ P & B \end{pmatrix} = \begin{pmatrix} AeA & Ae \\ eA & B \end{pmatrix}$$

$\underbrace{P}_{\text{We have the equivalence of categories (in general)}}$

$$M(A) \xrightarrow{\sim} \text{mod}(B)$$

$$M \longmapsto P \otimes_A M$$

$$Q \otimes_B N \longleftrightarrow N$$

This identifies  $j_! : M(A) \rightarrow \text{mod}(\tilde{A})$  with the functor  $N \mapsto Q \otimes_B N$ , hence

$$L_p j_!(N) = \text{Tor}_p^B(Q, N).$$

We now ask when  $A$  is h-unital, i.e.  $\bigoplus_{A \otimes_B A}^L = 0$ . This is equivalent to the existence of a firm flat resolution:  $\cdots \rightarrow E_4 \rightarrow E_3 \rightarrow A \rightarrow 0$ .

~~checkable~~ Any complex  $E$  of firm flat modules corresponds to a complex  $F$  of flat  $B$ -modules. We have  $E_i = Q \otimes_B F_i$ ,  $F_i = P \otimes_{\tilde{A}} E_i$ . Moreover if  $F_\bullet$  is a resolution of  $N$ , then  $H_p(Q \otimes_B F) = L_p j_!(N)$ .

So take the firm flat resolution  $E_\bullet$  of  $A = Q \otimes_B P$ . Apply  $P \otimes_{\tilde{A}} -$  and use that  $P$  is a f.g. projective

$\tilde{A}$  module, because one has  $\sum p_i g_i = 1 \in B$ . 104  
 Thus  $F = P \otimes_A E$  resolves  $P \otimes_A A$ , which  
 should be  $\square P$  since  $A = Q \otimes_B P$ . So  $F$   
 is a flat resolution of the  $B$ -module  $P$  and

$$0 = H_*(E) = H_*(Q \otimes_B F) = \text{Tor}_*^B(Q, P)$$

$\square$  is the condition for  $A$  to be h-unital. In  
 general we ~~should~~ have the formula

$$L_{f!}(Q \otimes_B N) = Q \overset{L}{\otimes}_B N$$

as we mentioned, so  $A$  ~~is~~ is h-unital  $\Leftrightarrow$   
 $L_{f!}(A) = A$ .

So now assume  $\text{Tor}_*^B(Q, P) = 0$  and try to  
 construct Buslin's resolution. What we have  
 to do is construct a resolution in  $\text{mod}(B)$

$$\xrightarrow{d} P^{(N_1)} \xrightarrow{d} P^{(N_0)} \xrightarrow{d} P \rightarrow 0$$

where the differentials ~~are~~ are given by matrices  
 over  $A$ . Then applying  $Q \otimes_B -$  to this complex  
 gives the pseudo-free resolution

$$\rightarrow A^{(N_1)} \rightarrow A^{(N_0)} \rightarrow A \rightarrow 0$$

But we have  $\sum_{i=1}^n p_i g_i = 1$  in  $B$  which  
 means we have a  $B$ -module surjection

$$P^n \rightarrow B$$

$$(p_i) \mapsto \sum p_i g_i$$

Thus the composition

$$\begin{aligned} P^n &\rightarrow B \xrightarrow{P} P \\ (p_i) &\mapsto \sum p_i g_i \mapsto \sum p'_i g_i p \end{aligned}$$

is a  $B$ -module map  $P^n \rightarrow P$   
whose matrix components  $g_{ij}P$  are in  $A$ .

Thus by using enough  $P$  to generate  $P$   
over  $B$  we get an exact sequence

$$0 \rightarrow N_1 \rightarrow P^{(N_0)} \xrightarrow{\varphi} P \rightarrow 0$$

where  $\varphi$  is given by a matrix over  $A$ . Then  
~~using~~ generators for  $N_1$ , we get

$$P^{(N_1)} \xrightarrow{\varphi} N_1 \hookrightarrow P^{(N_0)}$$

such that  $\varphi$  has components in  $A$ , etc.

---

Suppose we have an exact sequence

$$0 \rightarrow M_k \rightarrow I^{(N_{k-1})} \rightarrow \dots \rightarrow I^{(N_0)} \xrightarrow{\varphi} I \rightarrow 0$$

of  $R$ -modules, where  $\varphi$  extends to  $I^{(N_0)} \rightarrow I$ . Look  
at the spectral sequence with  $T_p = \text{Tor}_p^R(R/I, -)$ :

$E^1$  term:

$$\begin{cases} T_2(I) \xleftarrow{0} \\ T_1(I) \xleftarrow{0} T_1(I)^{(N_1)} \\ T_0(I) \xleftarrow{0} T_0(I)^{(N_0)} \xleftarrow{0} T_0(I)^{(N_1)} \xleftarrow{0} T_0(M_2) \xleftarrow{0} \end{cases}$$

picture for  $k=2$   
abutment is 0

This immediately gives  $T_0(I) = T_1(I) = \dots = T_{k-1}(I) = 0$   
and  $T_0(M_k) \xrightarrow{0} T_k(I)$ .

November 28, 1994

Suppose we start with

$$S \xrightarrow{c_*} A \xrightarrow{f^*} A/S$$

and ~~assume~~ that a left adjoint  $Lc^*$  for  $c_*: D(S) \rightarrow D(A)$  exists satisfying  $Lc^* c_* \simeq 1$ , equivalently  $c_*: D(S) \rightarrow D(A)$  is fully faithful.

Consider the full subcategories

$$X^b = \text{Ker } Lc^*, \quad X^\# = \text{ess. image of } c_* \text{ of } X = D(A).$$

Then we have orthogonality:

$$\begin{aligned} \text{Hom}_X(X^b, X^\#) &= \text{Hom}_X(X^b, c_* D(S)) \\ &= \text{Hom}_{D(S)}(Lc^*(X^b), D(S)) = 0 \end{aligned}$$

and for every  $X$  a triangle

$$X^b \rightarrow X \xrightarrow{\beta_X} c_* Lc^*(X) \rightarrow$$

so we are in the BBG situation (I forgot to mention that  $X^b, X^\#$  are closed under translation). Thus  $X^\#$  is a thick subcategory of  $X$  such that  $X/X^\# \simeq X^b$ .

I would now like to identify  $X^\#$  with  $D(A)_S = \text{Ker}\{j^*: D(A) \rightarrow D(A/S)\}$ . ~~assume~~

The inclusion  $X^\# = \text{ess Im}(c_*) \subset \text{Ker}(j^*)$  is obvious from  $f^* c_* = 0$ . So let  $X \in \text{Ker}(j^*)$ .

We form the A

$$X^b \rightarrow X \xrightarrow{f_X} i_* L^*(X) \rightarrow$$

really we define  $X^b$  to be the fibre of  $f_X$ . Then  $i_*(X^b) = 0$ , and  $j^*(X^b) = 0$  because  $j^*$  killed both  $X$  and  $\text{Im}(i^*)$ . Now  $X^b \in D^-(R)$  so if  $X^b \neq 0$  there is a least  $n$  such that  $H_n(X^b) \neq 0$ . We then have a nonzero map  $X^b \rightarrow H_n(X^b)[n]$  in  $D^-(R)$ . But  $j^*(X) = 0 \Rightarrow H_n(X^b) \in I$  so  $H_n(X^b)[n] \in \text{Im}(i^*)$  while  $X^b \in \ker(L^*)$  contradicting orthogonality.

It thus seems that we have an equivalence of  $X^b$  with  $X/X^\# = D(a)/D(a)_s = D(a/s)$ , and in particular we get the existence of a left adjoint  $j_!$  for  $j^*: D(a) \rightarrow D(a/s)$ .

This is a little surprising because we seem to be showing  $i^* \dashv j_!$  approximately, but it's probably OKAY since the exact sequence  $0 \rightarrow L_! L^*(M) \rightarrow j_!(j^* M) \rightarrow M \rightarrow i_* L^* M \rightarrow 0$  says roughly that  $j_!$  should exist when  $i^*$  and  $L_! L^*$  do.

November 30, 1994

Let  $A$  be a ring such that  $A^2 = A$ .

Can we associate to  $M(A)$  intrinsically a cyclic homology theory (type) and a K-theory such that when  $A$  is h-unital these are the K-theory and cyclic homology theory of  $A$ ?

The following is necessary for this to work.

Let  $A, B$  be h-unital rings such that  $\exists$  an equivalence  $M(A) \xrightarrow{\sim} M(B)$ . Then corresponding to this equivalence should be an isomorphism of algebraic K-theory and cyclic homology theory.

Let's try to establish this for cyclic homology, really for Hochschild homology. The composition

$$M(A) \xrightarrow{\sim} M(B) \xleftarrow{\quad} \text{mod}(B) \qquad \text{note } B = B^{(2)}$$

$$N \longmapsto B \otimes_A N$$

is right continuous, hence of the form  $M \mapsto P \otimes_A M$  where  $P$ , ~~the~~ the image of  $A$ , is a left  $B$ , right  $A$  bimodule, which is firm for  $A^{\otimes p}$  since the functor is defined on  $M(A)$ , and firm for  $B$  since the image of the functor is contained in  $\text{firm}(B)$ . Similarly for the inverse equivalence. Thus the equivalence  $M(A) \xrightarrow{\sim} M(B)$  should be given by a Morita context  $(A \underset{P}{\otimes} B, Q)$

such that  $P \otimes_A A \xrightarrow{\sim} P \qquad A \otimes_A Q \xrightarrow{\sim} Q$

$$Q \otimes_B B \xrightarrow{\sim} Q \qquad B \otimes_B P \xrightarrow{\sim} P$$

$$P \otimes_A Q \xrightarrow{\sim} B \qquad Q \otimes_B P \xrightarrow{\sim} A$$

(The other two isoms.  $A \otimes_A A \xrightarrow{\sim} A$ ,  $B \otimes_B B \xrightarrow{\sim} B$  come from h-unitality.)

I would like to know that the corresponding isomorphisms hold on the derived category level, (assuming  $A, B$  h-unital, which means  $A \overset{L}{\otimes}_A A \xrightarrow{\sim} A$ ,  $B \overset{L}{\otimes}_B B \xrightarrow{\sim} B$ ).

Suppose I can show  $Q \overset{L}{\otimes}_B P \xrightarrow{\sim} A$ ,  $P \overset{L}{\otimes}_A Q \xrightarrow{\sim} B$ . Then  $A$  and  $B$  have isomorphic Hochschild homology:

$$A \overset{L}{\otimes}_A \simeq Q \overset{L}{\otimes}_B P \overset{L}{\otimes}_A \simeq P \overset{L}{\otimes}_A Q \overset{L}{\otimes}_B \simeq B \overset{L}{\otimes}_B B.$$

This argument seems to work provided we replace  $P, Q$  by their Dfrin equivalents, namely  $P \overset{L}{\otimes}_A A$  instead of  $P \otimes_A A = \blacksquare P$ . I claim

- 1)  $P \overset{L}{\otimes}_A A \longrightarrow P \otimes_A A = P$  is a  $\text{nil}(B)$ -quis  
 $\text{nil}(A^{\text{op}})$ -quis
- 2)  $P \overset{L}{\otimes}_A Q \longrightarrow P \otimes_A Q = B$  is a  $\text{nil}(B)$ -quis  
 $\text{nil}(B^{\text{op}})$ -quis
- 3)  $B \overset{L}{\otimes}_B P \longrightarrow B \otimes_B P = P$  is a  $(\text{nil}(B), \text{nil}(A^{\text{op}}))$  quis
- 4)  $Q \overset{L}{\otimes}_B P \longrightarrow Q \otimes_B P = A$  is a  $(\text{nil}(A), \text{nil}(A^{\text{op}}))$  quis

Consider the second. We want to show  $\text{Tor}_n^A(P, Q)$   $n \geq 1$  is killed by  $B$  on both left and right. Let  $pq \in B$ . Then right mult on  $Q$  by  $pq$  factors

$$Q \xrightarrow{\cdot P} A \subset \tilde{A} \xrightarrow{\cdot \tilde{E}} Q$$

so ~~the induced map~~ the induced map on  $\text{Tor}_n^A(P, Q)$  factors through  $\text{Tor}_n^A(P, \tilde{A}) = 0$ , for  $n \geq 1$ . The rest is similar.

Since  $A, B$  are h-unital, we know that  $B \overset{L}{\otimes}_B -$  maps  $\text{nil}(B)$ -quis into quis, and similarly for  $A$ . Thus from 1) + 3) we get quis

$$P \otimes_A^L A \xleftarrow{\cong} B \otimes_B^L P \otimes_A^L A \xrightarrow{\cong} B \otimes_B^L P$$

and from 2) + 4) we get gnis

$$B \otimes_B^L P \otimes_A^L Q \xrightarrow{\cong} B \otimes_B^L B \xrightarrow{\cong} B$$

$$Q \otimes_B^L P \otimes_A^L A \xrightarrow{\cong} A \otimes_A^L A \xrightarrow{\cong} A$$

Then we get isomorphic Hochschild homology

$$\begin{aligned} A \otimes_A^L &\xleftarrow{\sim} Q \otimes_B^L P \otimes_A^L A \otimes_A^L \\ &= P \otimes_A^L A \otimes_A^L Q \otimes_B^L \\ &\xleftarrow{\sim} B \otimes_B^L P \otimes_A^L A \otimes_A^L Q \otimes_B^L \\ &\xrightarrow{\sim} B \otimes_B^L P \otimes_A^L Q \otimes_B^L \xrightarrow{\sim} B \otimes_B^L \end{aligned}$$

Let us now start with a ring  $A$  such that  $A = A^2$ . The question is whether we can find an h-unital ring  $B$  such that  $m(A) \simeq m(B)$ . A sufficient condition that  $B$  be h-unital is for  $B$  to be flat as right  $B$ -modules. In this case the composite

$$m(A) \simeq m(B) \xrightarrow{\sim} \text{firm}(B) \subset \text{mod}(B)$$

$$N \mapsto \boxed{B \otimes_B N}$$

is exact. This composition is  $M \mapsto P \otimes_A M$  where  $P$  is the firm  $B$ -module corresponding to  $\tilde{A}$ . Thus  $B$  is right  $\tilde{B}$ -flat  $\Leftrightarrow P$  is right  $A$ -flat.

I think we can always arrange this starting from any  $A = A^2$ . Choose  $P \xrightarrow{\epsilon} A$  where  $P$  is a right firm flat  $A$ -module,  $\epsilon$  is a right  $A$ -module map. Then we have a surjective  $A$ -bimodule map

$$A \otimes_{\mathbb{Z}} P \longrightarrow A \quad (a, p) \mapsto a\epsilon(p)$$

More generally we can replace  $A$  by any  $A$ -module  $Q$  equipped with a surjective  $\boxed{\text{bimodule}}$   $A$ -bimodule map  $Q \otimes_{\mathbb{Z}} P \xrightarrow{\sim} A$ , and such that  $AQ = Q$ . Then put

$$B = P \otimes_A Q \quad (p_1, q_1)(p_2, q_2) = (p_1 \langle q_1, p_2 \rangle, q_2)$$

Then  $B^2 = P \langle Q, P \rangle \otimes_A Q = PA \otimes_A Q = P \otimes_A Q = B$ . Also  $B$  acts on  $\bullet P$  and right acts on  $Q$  such that  $BP = P \langle Q, P \rangle = PA = P$ , etc. Thus we should have a Morita context  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  such that  $P$  is right  $A$ -flat. I should check that  $P$  is firm for  $B$ . We have

$$0 \rightarrow K \rightarrow Q \otimes_B P \rightarrow A \rightarrow 0$$

with  $AK = KA = 0$ . Thus

$$\begin{array}{ccccccc} P \otimes_A K & \rightarrow & P \otimes_A Q \otimes_B P & \xrightarrow{\sim} & P \otimes_A A & \rightarrow & 0 \\ \text{``} & & \text{``} & & \text{``} & & \\ \underbrace{PA \otimes_A K}_0 & & B \otimes_B P & & P & & \end{array}$$

I want to discuss some complements to yesterday's work. First let's consider a Morita equivalence  $M(A) \simeq M(B)$  where  $A, B$  are  $h$ -unital rings, which arises as a composition  $M(A) \simeq M(C) \simeq M(B)$  where  $C$  is unital. For simplicity suppose  $A = AeA$ ,  $B = BfB$  where  $e, f$  are idempotents in  $A, B$  resp., such that  $eAe = C = fBf$ . The functors are

$$m(A) \underset{\sim}{\equiv} m(C) \underset{\sim}{\equiv} m(B)$$

$$M \longmapsto eM = eA \otimes_A M \longmapsto \underbrace{(Bf \otimes_C eA)}_P \otimes_A M$$

$$(Ae \otimes_C fB) \otimes_B N \leftarrow \boxed{\quad} fB \otimes_B N \leftarrow N$$

One has  $Q \otimes_B P = (Ae \otimes_C fB) \otimes_B (Bf \otimes_C eA) = Ae \otimes_C C \otimes_C eA$   
 $= Ae \otimes_C eA = A$ , similarly  $P \otimes_A Q = B$ . Also  
 $B \otimes_B P = B \otimes_B (Bf \otimes_C eA) = Bf \otimes_C eA = P$ , etc.

We know  $A = Ae \otimes eA$  is h-untal  $\Leftrightarrow$

$\text{Tor}_n^C(Ae, eA) = 0$  for  $n \geq 1$ . Why? Because  $A$ -unital means that  $\exists$  a  $\underline{\text{firm flat resolution}}$   $E \rightarrow A$ .

A complex  $E$  of finitely flat  $A$ -modules corresponds to a complex of  $\mathbb{C}$ -modules  $F$  by  $F = eE$ ,  $E = Ae \otimes_{\mathbb{C}} F$ . (Check:  $W \mapsto W \otimes_A E = W \otimes_A Ae \otimes_{\mathbb{C}} F = We \otimes_{\mathbb{C}} F$ . Since  $We$  is exact in  $W$ , this shows  $F$   $\mathbb{C}$ -flat  $\Rightarrow E$  is  $A$ -flat.)

Now this correspondence makes flat  $C$ -module

resolutions<sup>F</sup> of  $eA$  correspond to  
firm flat  $A$ -module complexes which are  
resolutions mod  $A$ -nil modules of  $A$ . The  
homology groups  $H_n(Ae \otimes_C F) = \text{Tor}_n^C(Ae, eA)$  are  
independent of the choice of  $\blacksquare F$ . Then  $A$   
is h-unital  $\Leftrightarrow \text{Tor}_n^C(Ae, eA) = 0 \quad \forall n \geq 1$ .

Similarly  $B$  is h-unital  $\Leftrightarrow \text{Tor}_n^C(Bf, fB) = 0 \quad \forall n \geq 1$  (and of course  $Bf \otimes_C fB \xrightarrow{\sim} B$ ).

Now consider whether  $B \overset{L}{\underset{B}{\otimes}} P \longrightarrow B \underset{B}{\otimes} P = P$   
is a quis. This is equivalent to  $P$  having  
a resolution by firm flat  $B$ -modules. A complex  
 $E$  of firm flat  $B$ -modules corresponds to a complex  
 $F$  of flat  $C$ -modules via:  $E \blacksquare = Bf \otimes_C F, F = fE$ .  
If  $E$  resolves  $P$ , then  $F = fE$  is a flat  $C$ -module  
resolution of  $fP = f(Bf \otimes_C eA) = eA$  and we have

$$H_n(Bf \otimes_C F) = \text{Tor}_n^C(Bf, eA) = 0 \quad n \geq 1.$$

So we see ~~██████████~~ for a Morita  
equivalence between h-unital rings  $(\begin{smallmatrix} A & Q \\ P & B \end{smallmatrix})$  where  
 $P, Q$  are firm on both sides, that  $P, Q$  are not  
firm in general. (To construct a counterexample,  
start from  $C$ , choose  $Ae, eA, Bf, fB$  so  
that  $\text{Tor}_{>0}^C(Bf, eA) \neq 0$ , but  $\text{Tor}_{>0}^C(Ae, eA) = 0$   
and  $\text{Tor}_{>0}^C(Bf, fB) = 0$ , e.g. take  $Ae$  and  $fB$   
flat over  $C$ .)

Next: an observation on replacing  $A$  by a Morita equivalent  $h$ -unital ring.

In this construction we take  $P$  to be a firm flat right  $A$ -module mapping onto  $A$ , map  $\varepsilon: P \rightarrow A$ , and  $Q = A$  and  $\langle , \rangle: A \otimes_{\mathbb{Z}} P \rightarrow A$ ,  $\langle a, p \rangle = a\varepsilon(p)$ .

Then  $B = P \otimes_A Q = P \otimes_A A = P$  with  $p_1 p_2 = p_1 \varepsilon(p_2)$ .

~~Now~~ Note  $\varepsilon: B \rightarrow A$  is a homomorphism:

$\varepsilon(p_1 p_2) = \varepsilon(p_1, \varepsilon(p_2)) = \varepsilon(p_1) \varepsilon(p_2)$  since  $\varepsilon$  is a right  $A$ -module map. Let  $K = \text{Ker}(\varepsilon)$ . Then  $K \subset B$  is an ideal such that  $BK = 0$ .

So we have an example of the situation  $A = B/K$ ,  $BKB = 0$ , where we have a Morita equivalence given by  $\begin{pmatrix} B/K & B/KB \\ B/KB & B \end{pmatrix}$ .

A ~~better~~ better Morita context for our purposes is obtained as follows. We assume  $A = B/K$  with  $BK = 0$ . Then

$$\begin{pmatrix} \tilde{B} & \tilde{B} \\ B & \tilde{B} \end{pmatrix} \begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix} \subset \begin{pmatrix} \tilde{B}K + \tilde{B}0 & \tilde{B}K + \tilde{B}0 \\ BK + \tilde{B}0 & BK + \tilde{B}0 \end{pmatrix} = \begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \tilde{B} & \tilde{B} \\ B & \tilde{B} \end{pmatrix} \subset \begin{pmatrix} K\tilde{B} + KB & K\tilde{B} + KB \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix}$$

so  $\begin{pmatrix} \tilde{B} & \tilde{B} \\ B & \tilde{B} \end{pmatrix} / \begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \tilde{A} & \tilde{A} \\ B & \tilde{B} \end{pmatrix}$  is a Morita context.

~~Left modules~~

$$M \longmapsto B \otimes_A M$$

$$\tilde{A} \otimes_B N \longleftarrow N$$

right modules:

restriction of scalars  
for  $B \xrightarrow{\sim} A$ .

$$M \longmapsto M \otimes_A \tilde{A} = M$$

$$B \otimes_B N \longleftarrow N$$

Thus we know that restriction  
of scalars for  $B \rightarrow A$  gives an equivalence  
between right firm flat  $B$ -modules and right  
firm flat  $A$ -modules. In particular if  $P=B$   
is right  $A$  flat, then  $P=B$  is right  $B$  flat,  
checking what we ~~said~~ said yesterday.

December 2, 1994

Let  $\mathcal{A}$  be an abelian category,  
let  $U$  be an object of  $\mathcal{A}$ , let  $R = \text{Hom}_{\mathcal{A}}(U, U)$ .  
We then have a functor

$$\mathcal{A} \longrightarrow \text{mod}(R^{\text{op}})$$

$$M \longmapsto \text{Hom}_{\mathcal{A}}(U, M)$$

which is additive and left continuous. We would like this functor to have a left adjoint.

$$\text{Hom}_{\mathcal{A}}(W \otimes_R U, M) = \text{Hom}_{R^{\text{op}}}(W, \text{Hom}_{\mathcal{A}}(U, M))$$

Consider the class of right  $R$ -modules  $W$  such that  $W \otimes_R U$  exists (i.e. such that the right side considered as functor of  $M$  is representable). This class is closed under cokernels and direct sums when they exist. So if  $\mathcal{A}$  is closed under arbitrary  $\oplus$ 's we see  $W \otimes_R U$  exists for all  $W$  in  $\text{mod}(R^{\text{op}})$ .

So far I haven't used that  $R = \text{Hom}_{\mathcal{A}}(U, U)$ , only that  $R$  acts on  $U$ .

Let's discuss examples.

1. Suppose  $\mathcal{A} = \text{mod}(A^{\text{op}})$  where  $A$  is unital, and  $U = eA$  with  $e$  idempotent in  $A$ . Then  $R = \text{Hom}_{A^{\text{op}}}(eA, eA) = eAe$  and

$$\text{Hom}_{\mathcal{A}}(U, M) = \text{Hom}_{A^{\text{op}}}(eA, M) = Me = M \otimes_A e$$

$$N \otimes_R U = N \otimes_{eAe} eA$$

so we have the adjoint functors

$$\text{mod}(R^{\circ\text{P}}) \xrightarrow{\sim} M(A^{\circ\text{P}}, A \otimes A) \xrightleftharpoons{f_1^*} \text{mod}(A^{\circ\text{P}})$$

$$N \longrightarrow N \otimes_{eAe} eA$$

$$M = M \otimes_{A^{\circ\text{P}}} A \longleftarrow M$$

In this situation the functor  $N \mapsto N \otimes_R U$  is fully faithful and right exact in general.

2. Take  $A = M(\tilde{A}^{\circ\text{P}}, A)$  where  $\tilde{A} = A^2$  and let  $U$  be a generator for  $A$ ; we can suppose  $U$  is a finitely generated  $A^{\circ\text{P}}$  module. ~~is finitely generated~~ Because  $U$  is finitely generated we have  $R = \text{Hom}_A(U, U) = \text{Hom}_{\tilde{A}^{\circ\text{P}}}(U, U)$  and  ~~$\text{Hom}_A(U, M) = \text{Hom}_{\tilde{A}^{\circ\text{P}}}(U, M)$~~ , so from  $\text{Hom}_{R^{\circ\text{P}}}(N, \text{Hom}_{\tilde{A}^{\circ\text{P}}}(U, M)) = \text{Hom}_{\tilde{A}^{\circ\text{P}}}(N \otimes_R U, M)$  one sees the adjoint  $N \mapsto N \otimes_R U$  to  $\text{Hom}_A(U, -)$  is the usual tensor product module construction.

This right module notation I find confusing.

December 3, 1994

To understand Gabriel-Popescu theorem.

1. Let  $A$  be abelian,  $U$  in  $A$ ,  $R \rightarrow \text{Hom}_A(U, U)$  a ring homomorphism. We have an additive functor  $\text{Hom}_A(U, -) : A \rightarrow \text{mod}(R)$ .

Denote by  $N \mapsto U \otimes_R N$  its left adjoint:

$$\text{Hom}_A(U \otimes_R N, M) = \text{Hom}_R(N, \text{Hom}_A(U, M))$$

for  $N$  in the class such that is a representable functor of  $M$ . This class is closed under cokernels and direct sums. Hence ~~assuming~~ it is closed under  $\oplus$ 's, this left adjoint exists and is right continuous.

Put  $F = U \otimes_R -$  and  $G = \text{Hom}_A(U, -)$ .

2. TFAE:

- a) Every  $M$  in  $A$  is a quotient of  $U^{(\Sigma)} = \bigoplus_{\Sigma} U$  for some set  $\Sigma$ .
- b)  $G = \text{Hom}_A(U, -)$  is faithful
- c) The adjunction arrow  $FG \xrightarrow{\alpha} I$  is surjective.

Proof.

$$\begin{array}{ccc} & \text{Hom}_A(M, M') & \\ G_* \swarrow & & \searrow \alpha^+ \\ \text{Hom}_R(GM, GM') & = & \text{Hom}_A(FGM, M') \end{array}$$

$$\text{Hom}_R(GM, GM') = \text{Hom}_A(FGM, M')$$

The kernel of  $\alpha^+$  is  $\text{Hom}_A(M/\text{Im } FG, M')$ , so b)  $\Leftrightarrow$  c) is clear.

a) says  $\forall M$  there exists a surjection  $FL \rightarrow M$  where  $L$  is a free  $R$ -module. Assuming this we have

a corresponding map  $L \rightarrow GM$   
such that  $FL \rightarrow FGM \rightarrow M$  is surjective,  
hence c) holds. Conversely assuming  
 $FGM \rightarrow M$  we choose  $L \rightarrow GM$  and then  
 $FL \rightarrow FGM \rightarrow M$ , so a) holds. (Here we  
have used that  $F$  is right exact.)

Call  $U$  a generator for  $A$  when these  
equivalent conditions hold. (Actually b) is  
probably the definition.)

3. Next assume the AB5 axiom. We  
want to show  $F$  is exact. It suffices to  
show for any left ideal  $\alpha < R$  that  $F(\alpha) \rightarrow F(R) = U$   
is injective. This is because any injection  $N' \subset N$   
is built up in a transfinite way from pushouts  
of such injections. (Alternatively, we know  $A$  has  
enough injectives so that  $F$  is exact  $\Leftrightarrow G$  preserves  
injectives. One can test the injectivity of  $G(E)$  using  
the inclusions  $\alpha < R$  for all  $\alpha$ .)

Choose generators:  $\alpha = \sum_{i \in \Sigma} R n_i$ , and define  $K$

by

$$0 \longrightarrow K \longrightarrow U^{(\Sigma)} \xrightarrow{(r_i)} U$$

By AB5  $K = \bigcup_{\sigma \text{ finite } < \Sigma} (K \cap U^{(\sigma)})$ , which means

$\exists U^{(\Sigma')} \rightarrow K$  such that  $\forall j \in \Sigma'$  the image

$U \xrightarrow{u_j} U^{(\Sigma')} \rightarrow K \subset U^{(\Sigma)}$  is contained in  
 $U^{(\tau)}$  for some  $\tau$ . ~~which is a limit of  $U^{(\sigma)}$~~  Thus

the map  $U^{(\Sigma')} \rightarrow K \subset U^{(\Sigma)}$  is given by a  
matrix  $(r_{ji})$ ,  $j \in \Sigma'$ ,  $i \in \Sigma$  such that ~~which is a limit of  $U^{(\sigma)}$~~

$\boxed{\blacksquare} \quad \forall j \quad \{i \in \Sigma \mid r_{ji} \neq 0\} \text{ is finite.}$

Define  $N$  by

$$R^{(\Sigma')} \xrightarrow{(r_{ji})} R^{(\Sigma)} \rightarrow N \rightarrow 0$$

I forgot to say that I can assume

$\boxed{\blacksquare} \quad U^{(\Sigma')} \rightarrow K$  chosen  $\bullet$  so that any map  $U \rightarrow K \cap U^{(\sigma)}$  comes from  $\bullet$  a map  $U \rightarrow U^{(\sigma')}$  for some  $\sigma' \subset \Sigma'$ .

$$\begin{array}{ccccccc} R^{(\Sigma')} & \xrightarrow{(r_{ji})} & R^{(\Sigma)} & \longrightarrow & N & \longrightarrow & 0 \\ \downarrow & & \parallel & & \downarrow & & \\ 0 & \longrightarrow & J & \longrightarrow & R^{(\Sigma)} & \xrightarrow{(r_{ij})} & 0 \\ & & & & \searrow & & \\ & & & & \nearrow & & \\ & & & & \cap & & \\ & & & & R & & \end{array}$$

Since  $\sum_i r_{ji} r_i = 0$  the vertical arrows exist.

By construction any element  $\eta \in J$  (we can think of  $\eta$  as a map  $U \xrightarrow{K} U^{(\sigma)}$  for some finite  $\sigma \subset \Sigma$ ) comes from an element of  $R^{(\Sigma')}$ . Thus  $R^{(\Sigma')} \rightarrow J$  so  $N \cong 0$ .  $\boxed{\blacksquare}$

Finally we have

$$\begin{array}{ccccccc} F(R^{(\Sigma')}) & \longrightarrow & F(R^{(\Sigma)}) & \longrightarrow & F(0) & \longrightarrow & 0 \\ \parallel & & \parallel & & & & \\ U^{(\Sigma')} & \longrightarrow & U^{(\Sigma)} & \longrightarrow & U & & \end{array}$$

so  $F(0) \hookrightarrow U$ . This concludes the proof that  $F$  is exact.

4. Let  $M$  be in  $\mathcal{A}$ , and choose

$$0 \rightarrow K \rightarrow U^{(\Sigma)} \rightarrow M \rightarrow 0$$

As before we can choose  $U^{(\Sigma')} \rightarrow K$  such that  $\forall$  finite  $\sigma' < \Sigma'$   $U^{(\sigma')}$  maps to  $K \cap U^{(\sigma)}$  for some finite  $\sigma < \Sigma$ , and moreover any  $U \rightarrow K \cap U^{(\sigma)}$  factors through some  $U^{(\sigma')}$ . Then we get

$$R^{(\Sigma')} \xrightarrow{(r_{\sigma'})} R^{(\Sigma)} \rightarrow N \rightarrow 0 \quad \text{defining } N$$

such that

$$\begin{array}{ccccccc} F(R^{(\Sigma')}) & \longrightarrow & F(R^{(\Sigma)}) & \longrightarrow & F(N) & \longrightarrow & 0 \\ \parallel & & \parallel & & & & \\ U^{(\Sigma')} & \longrightarrow & U^{(\Sigma)} & \longrightarrow & M & \longrightarrow & 0 \end{array}$$

hence  $F(N) \cong M$ .

Now suppose we were to start with our original map  $U^{(\Sigma)} \rightarrow M$  such that the corresponding  $R^{(\Sigma)} \rightarrow G(M)$  is surjective. We then have

$$\begin{array}{ccccccc} R^{(\Sigma')} & \longrightarrow & R^{(\Sigma)} & \longrightarrow & N & \longrightarrow & 0 \\ \downarrow & & \parallel & & \downarrow & & \\ 0 \rightarrow J & \longrightarrow & R^{(\Sigma)} & \longrightarrow & G(M) & \longrightarrow & 0 \end{array}$$

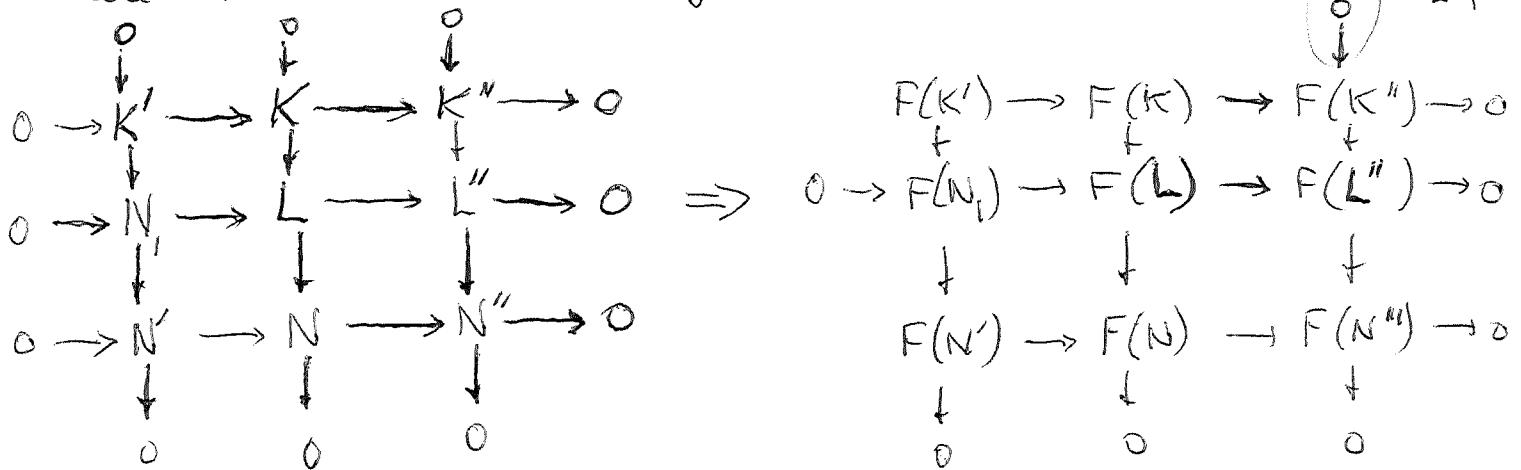
where  $R^{(\Sigma')}$  maps onto  $J$ . (Any  $y$  in  $J$  is the same as a map  $U \rightarrow U^{(\sigma)}$  such that  $U \rightarrow U^{(\sigma)} \rightarrow M$  is zero, i.e.  $U \rightarrow U^{(\sigma)} \cap K$  and we know such things come from an element of  $R^{(\Sigma')}$ .)  $\therefore N \cong GM$   
so  $FGM \cong M$ .

$F: \text{mod}(R) \rightarrow A$  is exact and has a right adjoint  $G$  such  $FG \cong \text{Id}$ , equivalently  $G$  is fully-faithful. From this the Gabriel-Popescu theorem should be clear.

(Dec 4, 1994)

Observation: In order to show a right exact functor  $F$  is exact, it suffices to show  $N \subset L$  free  $F(N) \hookrightarrow F(L)$ . In effect  $L, F(N) = \text{Ker } \{F(N) \rightarrow F(L)\}$

where  $N = L/N'$ . Diagrammatically



so  $F(N') \rightarrow F(N)$  is injective.

Even simpler:

$$\begin{array}{ccccccc}
 & o & & o & & o & \\
 & + & K & \downarrow & K & \downarrow & \\
 & \downarrow & & & \downarrow & & \\
 o & \rightarrow & N & \rightarrow & L & \rightarrow & N'' \rightarrow o \\
 & + & & & \downarrow & & \\
 o & \rightarrow & N' & \rightarrow & N & \rightarrow & N'' \rightarrow o \\
 & + & & & \downarrow & & \\
 & o & & o & & o &
 \end{array}$$

$$\begin{array}{ccc}
 & \textcircled{0} & \text{because} \\
 & \textcircled{+} & L \text{ free} \\
 F(K) & = & F(L) \\
 \downarrow & & \downarrow \\
 \Rightarrow \textcircled{0} \rightarrow F(N_1) & \rightarrow F(L) & \rightarrow F(N'') \rightarrow 0 \\
 \text{because} & \downarrow & \downarrow & \text{''} \\
 L \text{ free} & F(N') & \rightarrow F(N) & \rightarrow F(N'') \rightarrow 0 \\
 & \downarrow & \downarrow & \\
 & \textcircled{0} & \textcircled{0} &
 \end{array}$$

so  $F(N') \rightarrow F(N)$  is injective.

Dec 4, 1994 (cont.)

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Even clearer:

$$\begin{array}{ccc}
 & \circ \downarrow & \circ \downarrow \\
 0 \rightarrow K \rightarrow N_1 \rightarrow N' \rightarrow 0 & \quad & F(K) \rightarrow F(N_1) \rightarrow F(N') \rightarrow 0 \\
 \parallel & \downarrow & \downarrow \\
 0 \rightarrow K \rightarrow L \rightarrow N \rightarrow 0 & \quad & 0 \rightarrow F(K) \rightarrow F(L) \rightarrow F(N) \rightarrow 0 \\
 \downarrow & \downarrow & \downarrow \\
 N'' = N'' & \quad & 0 \quad F(N') = F(N') \\
 \downarrow & \downarrow & \downarrow \\
 0 & 0 & 0 \quad 0
 \end{array}$$

The serpent lemma shows  $F(N') \hookrightarrow F(N)$ .

I want to go over the GP thm. proof.

We have the functor  $U \otimes_R -$  from  $\text{mod}(R)$  to  $A$  which is right exact. It has derived functors  $\text{Tor}_n^R(U, -)$ , and we want to show  $\text{Tor}_1^R(U, -)$  vanishes.

With the AB5 axiom, we should be able to  $\square$ .  
argues that it's enough to prove  $\text{Tor}_1^R(U, R/\alpha) = 0$  for any f.g. left ideal  $\alpha$ .

Let's check this.  $\text{Tor}_1^R(U, N) = \text{Ker} \{ U \otimes_R K \rightarrow U \otimes_R L \}$

where  $N = L/K$  with  $L = R^{(\infty)}$  free. Then

$$N = \bigcup N_\sigma, \quad N_\sigma = \cancel{\bigoplus} R^{(\sigma)} / K \cap R^{(\sigma)} \quad \text{and}$$

$$\text{Tor}_1^R(U, N) = \text{Ker} \{ U \otimes_R K \rightarrow U \otimes_R L \}$$

$$= \cancel{\bigoplus} \text{Ker} \{ U \otimes_R \varinjlim (K \cap R^{(\sigma)}) \rightarrow U \otimes_R \varinjlim L^{(\sigma)} \}$$

$$= \text{Ker} \{ \varinjlim U \otimes_R (K \cap R^{(\sigma)}) \rightarrow \varinjlim U \otimes_R L^{(\sigma)} \}$$

$$= \varinjlim \text{Ker} \{ U \otimes_R (K \cap R^{(\sigma)}) \rightarrow U \otimes_R L^{(\sigma)} \} = \varinjlim \text{Tor}_1^R(U, N_\sigma)$$

Thus reduce to showing  $\text{Tor}_1^R(u, N) = 0$   
 for  $N$  finitely generated. Then  $N = L/K$   
 with  $L = R^n$  and we have  $K = \bigcup K_\alpha$ , where  
 $K_\alpha$  runs over all f.g. submodules of  $K$ .

Again  $\text{Ker} \{ u \otimes_R UK_\alpha \rightarrow u \otimes_R L \}$   
 $= \varinjlim \text{Ker} \{ u \otimes_R K_\alpha \rightarrow u \otimes_R L \}$

so we can suppose  $N$  finitely presented. But  
 first use that any f.g. module is a successive  
 extension of cyclic modules  $R/\alpha$ , then use the  
 $\alpha = \bigcup \alpha_\alpha$  argument to reduce to  $\alpha$  f.g.

Let  $\alpha = \sum_{i=1}^n Rx_i$ ,  $x_i \in R$ , and ~~let~~ let

$$0 \rightarrow M \rightarrow U^n \xrightarrow{(x_i)} U$$

define  $M$ . Choose generators  $\Sigma' \rightarrow \text{Hom}_R(u, M)$ ,  
 whence we have an exact sequence

$$U^{(\Sigma')} \rightarrow U^n \rightarrow U$$

More precisely, since  $R^{(\Sigma')} \rightarrow \text{Hom}_R(u, M)$  the corresp.  
 map  $U^{(\Sigma')} \rightarrow M$  has the property that any  $U \rightarrow M$   
 lifts to  $U \rightarrow U^{(\Sigma')}$ . In particular  $U^{(\Sigma')} \rightarrow M$   
 as  $U$  is a generator. Next note that

$$R^{(\Sigma')} \rightarrow R^n \xrightarrow{(x_i)} R$$

is exact since  $\text{Ker} \{ R^n \xrightarrow{(x_i)} R \} = \text{Hom}_R(u, M)$ .

Thus  $R^{(\Sigma')} \rightarrow R^n \rightarrow \overbrace{\sum Rx_i}^{\alpha} \rightarrow 0$  exact

$$U \otimes_R R^{(\Sigma')} \rightarrow U \otimes_R R^n \rightarrow U \otimes_R \alpha \rightarrow 0$$

$$U^{(\Sigma')} \rightarrow U^n \rightarrow U$$

showing that  $U \otimes_R \alpha \hookrightarrow U \otimes_R R = U$ ,  
 i.e.  $\text{Tor}_1^R(U, R/\alpha) = 0$ .

Let's repeat this argument more carefully.  
 Suppose we have a finitely presented module:

$$R^m \xrightarrow{\cdot(x_{ji})} R^n \longrightarrow N \longrightarrow 0$$

and define  $M$  by

$$0 \rightarrow M \rightarrow U^m \xrightarrow{\cdot(x_{ji})} U^n$$

Then  $0 \rightarrow \text{Hom}_A(U, M) \rightarrow R^m \xrightarrow{\cdot(x_{ji})} R^n$

choose  $R^{(\Sigma')} \rightarrow \text{Hom}_A(U, M)$ . Then because  
 $U$  is a generator we have  $U^{(\Sigma')} \rightarrow M$ ,  
 hence

$$(*) \quad U^{(\Sigma')} \rightarrow U^m \rightarrow U^n$$

is exact. Also

$$(**) \quad R^{(\Sigma')} \rightarrow R^m \longrightarrow R^n \rightarrow N \rightarrow 0$$

is exact. Since  $(**)$  goes into  $(*)$  upon tensoring  
 with  $U$ , we conclude that

$$\text{Tor}_1^R(U, N) = 0. \quad N \text{ f.p.}$$

Observe that all we've used is that  $A$  is ~~closed under  $\oplus$ 's~~  
 closed under  $\oplus$ 's and  $U$  is a generator with  
 $R = \text{Hom}_A(U, U)^{\oplus 1}$ . I can avoid the assumption  
 that  $A$  is closed under  $\oplus$ 's when finitely presented  
 modules are closed under kernels.

December 6, 1994

I now want to prove Roos' theorem characterizing abelian ~~exact~~ categories of the form  $M(A)$  with  $A = A^2$ .

Let  $\mathcal{A}$  be a Grothendieck category with generator  $U$ ,  $R = \text{Hom}_\mathcal{A}(U, U)^\text{op}$ , and the adjoint functors of the Gabriel-Popescu thm:

$$1) \quad \text{mod}(R) \begin{array}{c} \xrightarrow{F = U \otimes_R -} \\ \xleftarrow{G = \text{Hom}_\mathcal{A}(U, -)} \end{array} \mathcal{A}$$

The kernel  $I \subset \text{mod}(R)$  of  $F$  is a herre subcategory closed under  $\oplus$ 's. We want conditions for  $I$  to be closed under  $\prod$ 's, in which case  $I = \text{mod}(R/I)$ , where  $I$  is an idempotent ideal in  $R$ . It suffices to show that  $F$  commutes with products; i.e.

$$2) \quad F\left(\prod_{\alpha \in J} M_\alpha\right) \longrightarrow \prod_{\alpha \in J} F(M_\alpha)$$

is an isomorphism for all families  $(M_\alpha)$ .

If the index set  $J$  is fixed we can consider both sides as functors ~~exact~~ from  $\text{mod}(R)^J$  to  $\mathcal{A}$ . Because  $F$  is exact, the left side is exact and the right side is left exact. The right side will be exact if we assume  $\mathcal{A}$  satisfies AB4\*, namely

$$3) \quad \forall \alpha \quad X_\alpha \rightarrow Y_\alpha \Rightarrow \prod X_\alpha \rightarrow \prod Y_\alpha$$

Assuming AB4\* from now on, the families  $(M_\alpha)$  for which 2) is an isomorphism ~~form a~~ form a ~~full~~ full subcategory of  $\text{mod}(R)^J$  closed under cokernels (in fact kernels, cokernels, extensions). So it suffices

to handle the case where  $M_\alpha$  is a free  $R$ -module for each  $\alpha$ .

The next observation is that 2) is an isom. when  $M_\alpha$  is "solid", ~~closed~~ i.e. in the image of  $G$ , for  $\alpha$  all  $\alpha$ . ~~closed~~

~~closed~~ In effect, if  $M_\alpha = G(X_\alpha)$  then  $F(M_\alpha) = FG(M_\alpha) \cong M_\alpha$  and  $F(\pi M_\alpha) = F(\pi G(X_\alpha)) = FG(\pi X_\alpha) = \pi X_\alpha = \pi F(M_\alpha)$ .

We know  $R = \text{Hom}_R(U, U) = \text{G}(U)$  is solid, hence any f.g. free  $R$ -module is solid, so 2) is an isomorphism when all the  $M_\alpha$  are f.g. free modules.

Grothendieck's AB6 axiom says for any family of directed systems  $X_{\alpha\beta}$ ,  $\beta \in D(\alpha)$  of subobjects (here  $D(\alpha)$  is a directed set for each  $\alpha \in J$ ) that

$$4) \quad \bigcup_{\phi \in \prod_{\alpha} D(\alpha)} \bigcap_{\alpha} X_{\alpha\phi(\alpha)} \xrightarrow{\sim} \bigcap_{\alpha} \bigcup_{\beta \in D(\alpha)} X_{\alpha\beta}$$

I need the following condition which should be equivalent (at least in the presence of AB4\* and AB5)

$$5) \quad \bigcup_{\phi \in \prod_{\alpha} D(\alpha)} \prod_{\alpha} X_{\alpha\phi(\alpha)} \xrightarrow{\sim} \prod_{\alpha} \bigcup_{\beta \in D(\alpha)} X_{\alpha\beta}$$

Here  $X_{\alpha\beta}$ ,  $\beta \in D(\alpha)$  is directed system of injections for each  $\alpha$ .

so now take free modules  $M_\alpha, \alpha \in J$   
and write then  $M_\alpha = \bigcup_{\beta \in D(\alpha)} M_{\alpha\beta}$ , where  
the  $M_{\alpha\beta}$  are f.g. free modules. Then

$$\begin{array}{ccc}
F\left(\bigcup_{\phi} \prod_{\alpha} M_{\alpha\phi(\alpha)}\right) & \xrightarrow{\text{my AB6 in } \text{mod}(R)} & F\left(\prod_{\alpha} \bigcup_{\beta \in D(\alpha)} M_{\alpha\beta}\right) = F\left(\prod_{\alpha} M_{\alpha}\right) \\
& \cong \uparrow & \downarrow \\
\bigcup_{\phi} F\left(\prod_{\alpha} M_{\alpha\phi(\alpha)}\right) & & \prod_{\alpha} F\left(\bigcup_{\beta \in D(\alpha)} M_{\alpha\beta}\right) = \prod_{\alpha} F(M_{\alpha}) \\
& \cong \downarrow & \uparrow \cong F \text{ rt} \\
\bigcup_{\phi} \prod_{\alpha} F(M_{\alpha\phi(\alpha)}) & \xrightarrow{\text{my AB6 condition 5) in A}} & \prod_{\alpha} \bigcup_{\beta \in D(\alpha)} F(M_{\alpha\beta})
\end{array}$$

by what  
 we've proved  
 for f.g. free  
 modules

This ~~██████████~~ concludes the proof that  $F$  commutes with products.

Conversely if  $\mathfrak{I} = \text{mod}(R/I)$  with  $I = I^2$   
and  $A = \boxed{\text{mod}(R)/I} = M(R, I)$ , then because  
 $F = f^*: \text{mod}(R) \rightarrow A$  commutes with both  
inductive + projective limits, it follows that  
the axioms AB4\* and (my) AB6 5) hold in  $A$ .

At this point I understand Roos' theorem.



I now want to discuss a possible line of investigation. I know how to assign Hochschild homology groups intrinsically to a Roos-type abelian category, and I want to extend this to cyclic homology and K-theory, the latter being the most interesting. ~~But~~ I need to make a list of ideas from the scratch paper.

1. Let  $A$  be a Roos type (maybe Gans-Roos) abelian category. Then if  $U$  is a generator and  $R = \text{Hom}_A^a(R, U)$  we get an intrinsic idempotent ideal  $I$  in  $R$  ~~which is~~ which is the ~~the~~ smallest left ideal <sup>or</sup> such that  $U \otimes_R R/I = 0$ . Should we think of  $I$  as compact operators on  $U$ ?

2. If  $A$  is a Grothendieck category do there exist non-trivial right continuous exact functors  $F: A \rightarrow \text{Ab}$ ? These should be ~~points~~ analogous to points in a topos, so probably there don't exist enough of them, however we know this is true for  $A = M_t(R, I)$ . Does this hold for torsion theories where the Gabriel filter has a basis of ideals.

3. There is the problem of the relation between  $A \overset{L}{\otimes}_{\tilde{A}} A$  and  $L_{f!}(f^* A)$

$$L_{f!}(f^* A) \longrightarrow A \longrightarrow A^\# \longrightarrow$$

$$A \overset{L}{\otimes}_{\tilde{A}} A \longrightarrow A \longrightarrow k \overset{L}{\otimes}_{\tilde{A}} A \longrightarrow$$

December 8, 1994

I want to review the relations among:

$$1) \quad K_1(R/I) \xrightarrow{\cong} K_0(I)$$

2) Atiyah-Singer (proof of Bott periodicity) map  
from ~~invertibles~~ invertibles in the Calkin algebra to  
the restricted Grassmannian.

3) Cayley transform picture of the Grassmannian.

Description of A-S map. First in the ungraded case starting from nontrivial projections in the Calkin algebra. One lifts a projection to self-adjoint contractions  $A$  ~~( $\oplus$   $\oplus$ )~~ with essential spectrum  $\{1, -1\}$ ; the fibre is contractible. Then

$$A = \frac{D}{\sqrt{1+D^2}}, \quad g = \frac{1+iD}{1-iD}, \quad g^{1/2} = \frac{1+iD}{\sqrt{1+D^2}} = \sqrt{1-A^2} + iA$$

gives a unitary  $\tilde{g}$  with essential spectrum  $-1$ .

In the graded case, ~~lift  $\oplus$   $\oplus$~~  deform invertibles to unitaries, identify a unitary  $\alpha$  with an involution  $(\begin{smallmatrix} 0 & \alpha^* \\ \alpha & 0 \end{smallmatrix})$  inverted by  $\varepsilon$ , lift this involution to a self adjoint contraction  $A = \frac{1}{i}(\begin{smallmatrix} 0 & -\alpha^* \\ \alpha & 0 \end{smallmatrix})$ .

$$\text{Then } \sqrt{1-A^2} = \begin{pmatrix} \sqrt{1-\alpha^*\alpha} & 0 \\ 0 & \sqrt{1-\alpha\alpha^*} \end{pmatrix}$$

$$g^{1/2} = \begin{pmatrix} \sqrt{1-\alpha^*\alpha} & -\alpha^* \\ \alpha & \sqrt{1-\alpha\alpha^*} \end{pmatrix}$$

$$g^{-1/2} = \begin{pmatrix} \sqrt{1-\alpha^*\alpha} & \alpha^* \\ -\alpha & \sqrt{1-\alpha\alpha^*} \end{pmatrix}$$

$$\epsilon = g^{1/2} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} g^{-1/2} = \begin{pmatrix} \sqrt{1-\alpha^*\alpha} & 0 \\ \alpha & 0 \end{pmatrix} \begin{pmatrix} \sqrt{1-\alpha^*\alpha} & \alpha^* \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} 1-\alpha^*\alpha & \sqrt{1-\alpha^*\alpha} \alpha^* \\ \alpha \sqrt{1-\alpha^*\alpha} & \alpha \alpha^* \end{pmatrix}$$

$$\text{Thus } \text{Im}(e) = \text{Im} \left( \frac{\sqrt{1-\alpha^* \alpha}}{\alpha} \right) = \text{Im} \left( \frac{1}{T} \right)$$

where  $T = \alpha(1-\alpha^*\alpha)^{-1/2}$ . Then

$$1 + T^* T = 1 + (1-\alpha^*\alpha)^{-1/2} \alpha^* \alpha (1-\alpha^*\alpha)^{-1/2} = \frac{1}{1-\alpha^*\alpha}$$

so  $\alpha = T(1+T^*T)^{-1/2}$ .

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Now for  $\partial: K_1(R/I) \rightarrow K_0(I)$ . Start with an invertible matrix  $a$  over  $R/I$ , which I'll suppose is an invertible element of  $R/I$  to simplify.

Lift  $a$  to  $p$  and  $a^{-1}$  to  $g$ . ~~such that~~ let  $x = 1 - gp$  so  $y = 1 - pg$ . Then  $g_2 = g + gy$  satisfies  $(1 - g_2 p) = 1 - g(1+y)p = 1 - (1+y)gp = 1 - (1+x)(1-x) = x^2$ .  $1 - pg_2 = 1 - pg(1+y) = 1 - (1-y)(1+y) = y^2$ . Thus we can arrange that  $1 - gp = a^2$  with  $a \in I$ , so

$$(g \ a) \begin{pmatrix} p \\ a \end{pmatrix} = 1 \quad \text{hence } e = \begin{pmatrix} p \\ a \end{pmatrix} (g \ a) \text{ is idempotent.}$$

As  $e = \begin{pmatrix} pg & pa \\ ag & a^2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \pmod{I}$ ,  $e$  determines an element of  $K_0(I)$ .

Here's the method from Milnor's book. Identity

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1 & -g \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ p & 1 \end{pmatrix} \begin{pmatrix} 1 & -g \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -g \\ p & 1-pg \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1-gp & -g+gy \\ p & 1-pg \end{pmatrix} = \begin{pmatrix} p & 1-pg \\ -(1-gp) & g(1+y) \end{pmatrix} = \begin{pmatrix} p & g \\ -x & g(1+y) \end{pmatrix}$$

Observe this is  $\begin{pmatrix} g & 0 \\ 0 & g^{-1} \end{pmatrix} \pmod{I}$ .

In fact one has an invertible matrix:

$$\begin{pmatrix} p & y \\ -x & g(1+y) \end{pmatrix} \cdot \begin{pmatrix} g(1+y) & -x \\ y & p \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

The idempotent  $e = \begin{pmatrix} p \\ -x \end{pmatrix} \begin{pmatrix} g(1+y) & -x \end{pmatrix}$  projects onto  $\text{Im } \begin{pmatrix} p \\ -x \end{pmatrix}$ .

December 11, 1994

Morita equivalence for d-firm perfect complexes.

Let  $U$  be a perfect complex of  $R$ -modules which is d-firm for  $(R, I)$ :  $R/I \otimes_R^L U = 0$ .

Given a Morita context  $(P^Q_S)$  satisfying the usual conditions I would like to prove that  $P \otimes_R^L U$  is a d-firm perfect complex of  $S$ -modules.   
 ■ I know already that  $P \otimes_R^L U$  is d-firm for  $(S, J)$ . Recall a proof. We can suppose ■  $U$  is a right bdd complex of projective  $R$ -modules. Then  $R/I \otimes_R^L U = 0$  means  $U/IU$  is acyclic, hence contractible since it's a <sup>right-bdd</sup> complex of projective  $R/I$  modules. Lifting a contraction  $k$  of  $U/IU$ :

$$\begin{array}{ccc} U & \xrightarrow{h} & U \\ \downarrow & & \downarrow \\ U/IU & \xrightarrow{k} & U/IU \end{array}$$

then  $f = 1 - [d, h]$  maps  $U$  into  $IU$ . ~~Therefore~~ Then

$$U_f = \varinjlim(U \xrightarrow{f} U \xrightarrow{f} \dots)$$

is a firm flat module complex such that  $U \rightarrow U_f$  is a quis.  $\therefore P \otimes_R^L U \xrightarrow{\text{quis}} P \otimes_R^L U_f$  and ~~we~~ we

know  $P \otimes_R^L -$  respects firm flat complexes. Thus  $S/J \otimes_S^L (P \otimes_R^L U) \simeq S/J \otimes_S^L (P \otimes_R^L U_f) = 0$ .

I want to do the preceding argument in a more concrete fashion. Ultimately this argument amounts to  $S/J \otimes_S^L (P \otimes_R^L U) = (S/J \otimes_S^L P) \otimes_R^L U = 0$ , because

$S/J \otimes_R^L P$  has homology ~~nil~~ which is nil as right  $R$ -module, and because  $U$  is  $d$ -firm.

Let's go back to  $f = 1 - [d, h] : U \rightarrow IU$  and factor this

$$\begin{array}{ccc} U & \xrightarrow{g} & I \otimes_R U \\ & \searrow f & \downarrow \mu \\ & & U \end{array}$$

Consider

$$\begin{array}{ccccccc} U & \xrightarrow{g} & I \otimes_R U & \xrightarrow{1 \otimes g} & I \otimes_R I \otimes_R U & \xrightarrow{1^{(2)} \otimes g} & I^{(3)} \otimes_R U - \\ & \parallel h & \downarrow \mu & \parallel 1 \otimes h & \downarrow 1 \otimes \mu & \parallel 1^{(2)} \otimes h & \downarrow 1^{(2)} \otimes \mu \\ & & U & & I \otimes_R U & & I^{(2)} \otimes_R U \\ & & \parallel & & \downarrow \mu & & \downarrow \mu^{(2)} \\ & & U & = & U & = & U \end{array}$$

where the  $\Delta$ 's homotopy commute with the indicated homotopy. So

$$[d, h] = 1 - \mu g$$

$$[d, \mu(1 \otimes h)g] = \mu(1 \otimes (1 - \mu g))g = \mu g - \underbrace{\mu(1 \otimes \mu)(1 \otimes g)g}_{\mu^{(2)} g^{(2)}}$$

$$\begin{aligned} [d, \mu^{(2)}(1^{(2)} \otimes h)g^{(2)}] &= \mu^{(2)}(1^{(2)} \otimes (1 - \mu g))g^{(2)} \\ &= \mu^{(2)}g^{(2)} - \underbrace{\mu^{(2)}(1^{(2)} \otimes \mu)(1^{(2)} \otimes g)g^{(2)}}_{\mu^{(3)} g^{(3)}} \end{aligned}$$

Thus for each  $n$  we have successive deformations of the identity map of  $U$ :

$$\begin{aligned} & [d, h + \mu(1 \otimes h)g + \dots + \mu^{(n-1)}(1^{(n-1)} \otimes h)g^{(n-1)}] \\ &= 1 - \mu^{(n)}g^{(n)} \quad \text{where } U \xrightarrow{g^{(n)}} I_R^L \otimes_R U \xrightarrow{\mu^{(n)}} U \end{aligned}$$

Actually a better proof might be as follows. We know because  $U$  is d-perf that  $\mu: I_R^L U \rightarrow U$  is an isomorphism in  $D^-(R)$ . Iterating we have isomorphism

$$\xrightarrow{1^{(2)} \otimes \mu} I_R^L I_R^L U \xrightarrow{1 \otimes \mu} I_R^L U \xrightarrow{\mu} U$$

and hence there ~~is a unique map~~  
<sup>in  $D^-(R)$</sup> ,  $U \xrightarrow{g^{(n)}} [I_R^L]^n U$ , which composes with  $\mu^{(n)}: [I_R^L]^n U \rightarrow U$  to give the identity map on  $U$ . If  $U$  is ~~a~~ a right bdd complex of projectives,  $g^{(n)}$  is represented a map of complexes unique up to homotopy. Then one can compose with  $[I_R^L]^n U \rightarrow [I_R^L] U$  to get the above maps.

This kind of argument is superior to the deformation argument, provided one has  $\otimes_R$  on the bimodule level - this is the basic transversality issue encountered when we ~~try to do things~~ over  $\mathbb{Z}$  instead of a ground field.

Let's now take up the problem of showing  $P_R^L U$  is perfect when  $U$  is perfect and d-perf. We can assume  $U$  strictly perfect (a bdd complex of

fg projective  $R$ -modules). Let

$U^* = \text{Hom}_R^\cdot(U, R)$ . This is a strictly perfect complex of right  $R$ -modules. We have a canonical isomorphism of  $\mathbb{Z}$ -mod. cxs.

$$(1) \quad \text{Hom}_R^\cdot(U, X) = U^* \otimes_R X$$

for all complexes  $X$  over  $R$ . Recall the adjunction formula

$$(2) \quad \text{Hom}_R^\cdot(U \otimes_{\mathbb{Z}} N, X) = \text{Hom}_{\mathbb{Z}}^\cdot(N, \text{Hom}_R^\cdot(U, X))$$

on the level of mapping complexes. Passing to  $\mathbb{Z}^0$  we obtain the fact that

$$(3) \quad C(\mathbb{Z}) \begin{array}{c} \xrightarrow{U \otimes_{\mathbb{Z}} -} \\ \xleftarrow{\text{Hom}_R^\cdot(U, -)} \end{array} C(R)$$

are adjoint. This adjunction formula (2) holds for arbitrary  $U$ .

Now (1) combined with this general adjunction fact says that  $U^* \otimes_R -$  is right adjoint to  $U \otimes_{\mathbb{Z}} -$ . In other words (1) means there are canonical maps ~~U  $\otimes_{\mathbb{Z}}$  U\*~~

$$(4) \quad \alpha : U \otimes_{\mathbb{Z}} U^* \longrightarrow R \quad \text{of } R\text{-bimodule complexes}$$

$$\beta : \mathbb{Z} \longrightarrow U^* \otimes_R U \quad \text{of } \mathbb{Z}\text{-module complexes}$$

such that

$$U = U \otimes_{\mathbb{Z}} \mathbb{Z} \longrightarrow U \otimes_{\mathbb{Z}} U^* \otimes_R U \longrightarrow R \otimes_R U = U$$

$$U^* = \mathbb{Z} \otimes U^* \longrightarrow U^* \otimes_R U \otimes_{\mathbb{Z}} U^* \longrightarrow U^* \otimes_R U = U^*$$

are the identity.

Now ~~we~~ I hope it's true that perfect complexes can be characterized by the nuclearity condition

$$R\text{Hom}_R(U, X) = U^* \otimes_R X$$

where  $U^* = R\text{Hom}_R(U, R)$ . Let's assume this is true and try to use it in the case of the  $S$ -module complex  $\square P \otimes_R^L U$ .

Put  $V = P \otimes_R^L U$ ,  $V^* = U^* \otimes_R^L Q$ . Thus  $V \in D^-(S)$ ,  $V^* \in D^-(S^{op})$ . We have an  $\alpha$ -map

$$V \otimes_{\mathbb{Z}} V^* = P \otimes_{\mathbb{Z}}^L U \otimes_{\mathbb{Z}} U^* \otimes_{\mathbb{Z}}^L Q \rightarrow P \otimes_{\mathbb{Z}}^L R \otimes_R^L Q = P \otimes_R^L Q \rightarrow S$$

where the last arrow is  $P \otimes_R^L Q \rightarrow P \otimes_R Q \rightarrow PQ \subset S$ . Also we have

$$V^* \otimes_S^L V = U^* \otimes_R^L Q \otimes_S^L P \otimes_R^L U$$

$\downarrow \gamma$

$$U^* \otimes_R^L R \otimes_R^L U = U^* \otimes_R^L U$$

where the vertical map  $\gamma$  is induced by

$Q \otimes_S^L P \rightarrow Q \otimes_S^L P \rightarrow QP \subset R$ . This composition is a  $\text{nil}(R^{op}, I^{op})$ -guis, so the vertical map  $\gamma$  should be a quis since  $U$  is d-firm for  $(R, I)$ . Thus we should ~~get~~ obtain from  $\beta: \mathbb{Z} \rightarrow U^* \otimes_R^L U$  at  $\beta$ -map  $\mathbb{Z} \rightarrow V^* \otimes_S^L V$  for  $V$ .

We ~~should~~ notice that in the above

we haven't used that  $U$  is strictly perfect. It seems pretty clear that we should get Morita equivalence on the level of perfect d-firm complexes, provided perfect complexes are characterized by the condition that  $R\text{Hom}_R^{\circ}(U, -)$  is quis to  $U^* \otimes_R -$  for some  $U^*$ . This seems to follow from Grothendieck's characterization of perfect complexes as those such that  $R\text{Hom}_R^{\circ}(U, -)$  commutes with filtered inductive limits (on the level of complexes).

I would like ~~to~~ to check these ideas in the case  $\begin{pmatrix} R & R\epsilon \\ eR & eRe \end{pmatrix}$ .

Here's an interesting point: suppose  $U$  strictly perfect + d-firm for  $(R, I)$  as above. Consider the functor

$$Y \mapsto H^0 \text{Hom}_S(P \otimes_R U, Y) \quad Y \text{ in } D(S).$$

$$\text{H}^0 \text{Hom}_R(U, \text{Hom}_S(P, Y)) \stackrel{\text{?}}{=} R^0 \text{Hom}_R^{\circ}(U, \text{Hom}_S(P, Y))$$

I think we know that  $Y \mapsto \text{Hom}_S(P, Y)$  from  $K(S)$  to  $K(R)$  ~~is triangulated~~ is a triangulated functor carrying  $\cong K(S)_{\text{nil}(S, I)}$  into  $K(R)_{\text{nil}(R, I)}$ . Since  $U$  is d-firm for  $(R, I)$ ,  $R^0 \text{Hom}_R^{\circ}(U, -)$  should ~~kill~~ kill  $K(R)_{\text{nil}(R, I)}$ . In particular  $Y \mapsto H^0 \text{Hom}_S(P \otimes_R U, Y)$  should descend to  $K(S)/K(S)_0 = D(S)$ . ?

Let's start again. Assume  $U$  is a right bounded complex of projective  $R$ -modules which is  $d$ -firm for  $(R, I)$ :  $U/IU$  contractible. Then for  $Y$  in  $K(S)$  we have

$$\begin{aligned} H^0 \text{Hom}_S(P \otimes_R U, Y) &= H^0 \text{Hom}_R(U, \text{Hom}_S(P, Y)) \\ &= R^0 \text{Hom}_R(U, \text{Hom}_S(P, Y)) \quad (\text{be } U \text{ proj.}) \end{aligned}$$

Now  $\text{Hom}_S(P, -)$  is a triangulated functor  $K(S) \rightarrow K(R)$  carrying  $K(S)_{\text{nil}(S, J)}$  into  $K(R)_{\text{nil}(R, I)}$ , and because  $U$  is firm  $K(R)_{\text{nil}(R, I)}$  is killed by  $R^0 \text{Hom}(U, -)$ . Thus  $H^0 \text{Hom}_S(P \otimes_R U, -)$  descends from  $K(S)$  to  $K(S)/K(S)_{\text{nil}(S, J)} = D(m(S, J))$ , in particular this functor descends to  $D(S)$ . This should imply that  $P \otimes_R U$  is homotopy equivalent to a right bounded complex of projective  $R$ -modules.

December 14, 1994

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Let  $X$  be a right bounded complex of  $R$ -modules. Then we can construct a "free resolution" of  $X$ , i.e. a quasi  $W \rightarrow X$  where  $W$  is a right-bdd complex of free  $R$ -modules. Consider ~~graded submodules~~  $W'$  of  $W$  such that  $W'_n = R^{(\Sigma_n)}$  with  $\sigma'_n$  a finite subset of  $\Sigma_n$  for each  $n$ , and such that  $\sigma'_n \neq \emptyset$  for finitely many  $n$ . For any such  $W'$  there exists another  $W''$  such that  $W' \subset W''$  and such that  $W''$  is a subcomplex. Indeed if  $n$  is largest such that  $\sigma'_n \neq \emptyset$ , then one successively enlarges  $\sigma'_{n-1}, \sigma'_{n-2}, \dots$  to  $\sigma''_{n-1}, \sigma''_{n-2}, \dots$  so that  $d(R^{(\sigma''_p)}) \subset R^{(\sigma'_{p-1})}$ ; and this process stops because  $W$  is right-bounded.

Thus the subcomplexes  $W^*$  of  $W$  such that  $W^* = \bigoplus_n R^{(\sigma_n^*)}$ ,  $\sigma_n^*$  finite  $\subset \Sigma_n$  for all  $n$ , and  $\sigma_n^* = \emptyset$  for large  $n$ , form a direct set such that  $W = \bigcup_\alpha W^*$ . This implies that  $X$  is up to quasi-isomorphism  $\square$  a filtered inductive limit of finitely generated free complexes, at least when  $X$  is right-bdd.  $\blacksquare$

In the general case we consider the increasing Postnikov filtration

$$F^{-p} \square : \quad \rightarrow X_{p+1} \xrightarrow{\quad} Z_p \xrightarrow{\quad} 0 \rightarrow$$

$$F^{-p+1} \square : \quad \rightarrow X_{p+1} \xrightarrow{\quad} X_p \xrightarrow{\quad} Z_{p-1} \xrightarrow{\quad} 0 \rightarrow$$

$$F^{-p+1}/F^{-p} : \quad \rightarrow 0 \rightarrow X_p/Z_p \xrightarrow{\quad} Z_{p-1} \rightarrow 0 \rightarrow$$

Then we can construct a compatible family of free resolutions  $W^P \rightarrow F^P$  such that  $W^P$  is obtained by attaching a free resolution of  $H^p(X)$  to  $W^{P-1}$ .

$$\begin{array}{ccccccc} 0 & \longrightarrow & W^{P-1} & \longrightarrow & W^P & \longrightarrow & W^P/W^{P-1} \\ & & f_{\sim} & & f_{\sim} & & f_{\sim} \\ 0 & \longrightarrow & F^{P-1} & \longrightarrow & F^P & \longrightarrow & F^P/F^{P-1} \\ & & & & & & \sim H^p(X)[-p] \end{array}$$

The ~~subcomplexes of~~ top row is an exact sequence of free modules with given basis elements in each degree. Now consider subcomplexes  $W'$  of  $W = \bigcup W^P$  which are free subcomplexes with finitely many generators. Thus we are considering the union of the directed sets of such subcomplexes of  $W^P$  for all  $p$ . This gives  $W'$  as the union of finitely generated free complexes forming a directed set.

Let's now consider complexes  $X, Y$  of  $R$ -modules, right bdd to fix the ideas, and a class

$$\omega \in H_0(R\text{Hom}_R(X, R) \overset{L}{\otimes}_R Y)$$

Suppose  $X$  is projective in each degree, so that  $R\text{Hom}_R(X, R) = \text{Hom}_R(X, R)$ . Let  $W \rightarrow \text{Hom}_R(X, R)$  be a free  $R$ -resolution as above, let  $\{W^\alpha\}$  be the directed set of f.g. free subcomplexes. Then

$$\omega \in H_0((\bigcup W^\alpha) \overset{L}{\otimes}_R Y) = H_0((\bigcup W^\alpha) \otimes_R Y) = \varinjlim \alpha H_0(W^\alpha \otimes_R Y)$$

so we know that  $\omega$  comes from a class

$$\omega' \in H_0(W' \otimes_R Y)$$

where  $W'$  is a f.g. free right module complex mapping to  $\boxed{\mathbb{R}} \text{Hom}_R(X, R)$ .

Put  $V = \text{Hom}_{R^{\text{op}}}(W', R)$ . Then

$$W' = \text{Hom}_R(V, R) \text{ so } W' \otimes_R Y = \text{Hom}_R(V, Y)$$

and  $\omega'$  is a homotopy class of maps  $V \rightarrow Y$ .

On the other hand the map  $W' \rightarrow \text{Hom}_R(X, R)$  is equivalent to a  $R$ -bimodule map  $X \otimes_{\mathbb{Z}} W' \rightarrow R$ , which in turn is equivalent to an  $R$ -module map  $X \rightarrow \text{Hom}_{R^{\text{op}}}(W', R) = V$ . Thus we have maps

$$X \longrightarrow V \longrightarrow Y$$

which should be a factorization of the image of  $\omega$  under the canonical map

$$R \text{Hom}_R(X, R) \xrightarrow{L} R \text{Hom}_R(X, Y).$$

We have not used anything about  $Y$ , but  $X$  has been assumed right bdd in order to handle  $\boxed{\mathbb{R}} \text{Hom}_R(X, -)$ . Perhaps this assumption is unnecessary, I mean, there might be a good  $R \text{Hom}_R(X, -)$  defined by the sort of free resolution  $W \rightarrow X$  we have constructed.

December 15, 1994

Here's something I missed. Let  $U$  be a right bcd complex of projective  $R$ -modules which is d-firm, i.e.  $R/I \otimes_R U = U/IU$  is acyclic. Then  $U/IU$ , being a right bcd complex of projective  $R/I$  modules is contractible. Let  $k \in \text{Hom}_{R/I}^{-1}(U/IU, U/IU)$  be a contraction. Then we can lift  $k$  to  $h \in \text{Hom}_R^{-1}(U, U)$ . We have

$$\begin{array}{ccccccc} 0 & \rightarrow & IU & \xrightarrow{i} & U & \rightarrow & U/IU \rightarrow 0 \\ & & \downarrow f' & & \downarrow f_U & & \downarrow k \\ 0 & \rightarrow & IU & \xrightarrow{i} & U & \rightarrow & U/IU \rightarrow 0 \end{array}$$

and clearly  $h$  induces a homotopy operator  $h'$  on  $IU$ . Note that  $1 - [d, h]$  is zero on  $U/IU$ , so it ~~induces~~  $f: U \rightarrow IU$ . Then  $f: U \rightarrow IU$  is a homotopy inverse for the inclusion  $i: IU \hookrightarrow U$ . In effect,  $[d, h] = 1 - if$  and  $i[d, h'] = [d, hi] = (1-if)i = i(1-fi) \Rightarrow [d, h'] = 1 - fi$  by the injectivity of  $i$ .

Thus it follows that all the canonical maps

$$\dots \rightarrow I \otimes_R I \otimes_R U \rightarrow I \otimes_R U \rightarrow U$$

are homotopy equivalences.

December 16, 1994

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Let  $U$  be a complex of  $R$ -modules such that  $\mu: I \otimes_R U \rightarrow U$  is a homotopy equivalence, that is, ~~it becomes~~ it becomes an isomorphism in  $K(R)$ . Let  $N' \rightarrow N$  be an  $I$ -nil isomorphism of right modules. This we know means the dotted arrow exists in

$$(1) \quad \begin{array}{ccc} \boxed{\text{---}} & N' \otimes_R I^{(n)} & \xrightarrow{\hspace{2cm}} N \otimes_R I^{(n)} \\ & \downarrow & \downarrow \\ & N' & \xrightarrow{\hspace{2cm}} N \end{array}$$

dotted arrow

for some  $n$ . Tensoring with  $U$  we obtain a commutative diagram

$$(2) \quad \begin{array}{ccc} N' \otimes_R I^{(n)} \otimes_R U & \longrightarrow & N \otimes_R I^{(n)} \otimes_R U \\ \downarrow & \nearrow & \downarrow \\ N' \otimes_R U & \longrightarrow & N \otimes_R U \end{array}$$

where the vertical maps are homotopy equivalences, hence  $N' \otimes_R U \rightarrow N \otimes_R U$  is a homotopy equivalence.

~~(More precisely the vertical arrows in (2) become isos. in  $K(\mathbb{Z})$ , hence so does  $N' \otimes_R U \rightarrow N \otimes_R U$ .~~ Notice that if  $N' \rightarrow N$  is a map of  $S \otimes_R R^{\text{op}}$  bimodules which is also a right  $I$ -nil isomorphism, then the arrows in (2) and the homotopy inverses are compatible with the action of  $S$ .)

Before going further I should review what I know about homotopy equivalences. Let  $f: X \rightarrow Y$  be a map of complexes. Its cone  $C = \text{Cone}(f)$  is

$$C_n = X_{n-1} \oplus Y_n \quad d_C = \begin{pmatrix} -d_X & 0 \\ f & d_Y \end{pmatrix}$$

By the graded structure on  $K(A)$  one knows that  $f$  is a homotopy equivalence iff  $C$  is contractible. A contraction  $h_C$  for  $C$  has the form  $h_C = \begin{pmatrix} -h_X & g \\ u & h_Y \end{pmatrix}$  where

$$\left[ \begin{pmatrix} -d & 0 \\ f & d \end{pmatrix}, \begin{pmatrix} -h & g \\ u & h \end{pmatrix} \right] = \begin{pmatrix} dh + fd + gf & -dg + gd \\ -fh + du & fg + dh + hd \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Thus  $g: Y \rightarrow X$  is a map of complexes, and  $h_X, h_Y$  are homotopy operators such that  $[d, h_X] = 1 - gf$ ,  $[d, h_Y] = 1 - fg$ , and  $u$  is such that  $[d, u] = [f, h]$ , i.e. it relates the homotopies under  $f$ .

Now tensor product  $X \mapsto N \otimes_R X$  is a triangulated functor from  $K(R)$  to  $K(S)$ ; here  $N$  is an  $S \otimes R^{\text{op}}$ -bimodule complex.   
This means essentially that it commutes with forming cones which is pretty clear. Thus a contraction for  $\text{Cone}(X' \rightarrow X)$  yields a contraction on  $\text{Cone}(N \otimes_R X' \rightarrow N \otimes_R X)$ .

This is what I was using above, e.g.

$$I \otimes_R U \rightarrow U \text{ a } h_{fg} \Rightarrow I \otimes_R (I \otimes_R U) \rightarrow I \otimes_R U \text{ a } h_{fg} \text{ etc.}$$

Let's return to the Morita context  $(\begin{smallmatrix} R & Q \\ P & S \end{smallmatrix})$ . Suppose  $U$  is a complex of  $R$ -modules such that  $I \otimes_R U \rightarrow U$  is a homotopy equivalence, i.e. isom in  $K(R)$ .

(These are the "K-firm" complexes.)

Equivalently  $\text{Cone}(I \rightarrow R) \otimes_R U$  is contractible. I want to show that  $P \otimes_R U$  is K-firm for  $(S, J)$ .

i.e.  $\text{Cone}(J \rightarrow S) \otimes_S P \otimes_R U = \text{Cone}(J \otimes_S P \rightarrow S \otimes_S P) \otimes_R U$  is contractible. Actually this already covered the argument on p144. We know that  $J \otimes_S P \rightarrow S \otimes_S P = P$  is a right  $\text{nil}(R, I)$ -isomorphism, i.e. we have

$$\begin{array}{ccc} J \otimes_S P \otimes_R I^{(n)} & \longrightarrow & P \otimes_R I^{(n)} \\ \downarrow & \swarrow & \downarrow \\ J \otimes_S P & \longrightarrow & P \end{array}$$

so tensoring with  $U$  on the right yields a similar diagram with vertical arrows being isomorphisms.

Another argument. Let  $C = \text{Cone}(J \rightarrow S) \otimes_S P = \text{Cone}(J \otimes_S P \rightarrow P)$ . Suppose we can show that for some  $n$  the multiplication map  $C \otimes_R I^{(n)} \rightarrow C$  is homotopic to zero. Then the map

$$C \otimes_R I^{(n)} \otimes_R U \rightarrow C \otimes_R U \quad \begin{aligned} \alpha &= (a_1, \dots, a_n) \\ \bar{\alpha} &= \bar{a}_1, \dots, \bar{a}_n \end{aligned}$$

$$\alpha \otimes \alpha \otimes \dots \otimes \alpha \longrightarrow \bar{\alpha} \otimes \alpha = \alpha \otimes \bar{\alpha}$$

is both homotopic to zero and a homotopy equivalence, hence  $C \otimes_R U$  is contractible.

Recall what we know about  $C$ : From 147

$$\begin{array}{ccccccc} J \otimes_S P & \xrightarrow{\cdot \delta} & J & \xrightarrow{\cdot a} & J & \xrightarrow{\cdot P} & J \otimes_S P \\ \downarrow & \downarrow & \downarrow & & \downarrow & & \downarrow \\ P & \xrightarrow{\cdot \delta} & S & \xrightarrow{\cdot a} & S & \xrightarrow{\cdot P} & \boxed{J \otimes_S P} \end{array}$$

we get

$$\text{Cone}\left(\begin{pmatrix} J \otimes_S P \\ + \\ P \end{pmatrix}\right) \xrightarrow{\cdot \delta} \text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right) \xrightarrow{\cdot a} \text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right) \xrightarrow{\cdot P} \text{Cone}\left(\begin{pmatrix} J \otimes_S P \\ + \\ P \end{pmatrix}\right)$$

or better, maps

$$\text{Cone}\left(\begin{pmatrix} J \otimes_S P \\ + \\ P \end{pmatrix}\right) \otimes_R Q \otimes_S J \otimes_S P \rightarrow \text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right) \otimes_S J \otimes_S P \rightarrow \text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right) \otimes_S P \rightarrow \text{Cone}\left(\begin{pmatrix} J \otimes_S P \\ + \\ P \end{pmatrix}\right)$$

The point is that the map

$$\text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right) \otimes_S J \rightarrow \text{Cone}\left(\begin{pmatrix} J \\ + \\ S \end{pmatrix}\right)$$

is canonically null-homotopic:

$$[(\begin{pmatrix} -d \\ f \\ d \end{pmatrix}, (\begin{pmatrix} 0 & g \\ 0 & 0 \end{pmatrix})] = \begin{pmatrix} gf & -dg+gd \\ 0 & fg \end{pmatrix}$$

Here  $f: J \rightarrow S$  is the inclusion,  $g: S \rightarrow J$  is  $\cdot a$ .

Thus we find that  $\text{Co}_R(Q \otimes_S J \otimes_S P) \rightarrow C$

is null-homotopic. On the other hand one should

have a  $\text{nil}(R^{\text{op}}, I^{\text{op}})$ -ision  $Q \otimes_S J \otimes_R P \rightarrow R$ , so

that  $(Q \otimes_S J \otimes_R P) \otimes_R U \rightarrow U$  is a h.e.g.

At this point I've checked that  $U$  K-firm  
for  $(R, I) \implies P \otimes_R U$  is K-firm for  $(S, J)$ .  $\square$

Let's review what we ~~have~~ have learned. Suppose  $U \in K(R)$  is  $K$ -firm for  $I$ , i.e.  $I \otimes_R U \xrightarrow{\cong} U$  is an isomorphism in  $K(R)$ . Then we know ~~that~~ for any  $S \otimes_R^{\mathbb{Z}} I$ -module map  $N' \rightarrow N$  which is a right  $I$ -nil isom. that  $N' \otimes_R U \rightarrow N \otimes_R U$  is an isom. in  $K(S)$ .

~~(This is not true)~~

What actually seem to happen is that because  $N' \rightarrow N$  is a right  $I$ -nil isom one has

$$\begin{array}{ccc} N' \otimes_R I^{(n)} & \longrightarrow & N \otimes_R I^{(n)} \\ \downarrow & \dashleftarrow & \downarrow \\ N' & \longleftarrow & N \end{array}$$

which implies that

$$\text{Cone}\left(\begin{smallmatrix} N' \\ \downarrow \\ N \end{smallmatrix}\right) \otimes_R I^{(n)} \longrightarrow \text{Cone}\left(\begin{smallmatrix} N' \\ \downarrow \\ N \end{smallmatrix}\right)$$

is null-homotopic. Then after applying  $- \otimes_R U$  we obtain a null-homotopic homotopy equivalence, whence  $\text{Cone}\left(\begin{smallmatrix} N' \\ \downarrow \\ N \end{smallmatrix}\right) \otimes_R U = 0$ , hence  $N' \otimes_R U \rightarrow N \otimes_R U$  is a h-eq.

This raises the question as to what complexes  $W$ , like  $\text{Cone}\left(\begin{smallmatrix} N' \\ \downarrow \\ N \end{smallmatrix}\right)$  have the property that ~~such that~~  $W \otimes_R I^{(n)} \rightarrow W$  is null homotopic for some  $n$ . The obvious conjecture is that this is true ~~if~~ if  $W$  is bounded and  $j^* W$  is contractible. Here  $j^*: \text{mod}(R) \rightarrow M(R, I)$  is extended to complexes in the obvious way.

This conjecture is obviously true, for a contraction for  $f^*W$  lifts to a homotopy operator  $h: W \otimes_R I^{(n)} \rightarrow W$  such that  $[d, h] = \mu^n$ , whence  $\mu^n$  is null-homotopy. Here we use the fact that  $W$  is bounded to get an  $n$  working in all degrees. We could also work modulo the Serre subcategory  $\bigcup_n C(\text{mod}(R/I^n)) \subset C(\text{mod}(R))$  of uniformly nil complexes and drop the bdd-ness condition.

Given  $U$  in  $K\text{-firn}(R, I)$ , we have that  $P \otimes_R U$  is in  $K\text{-firn}(S, J)$ . In effect we know  $(J \rightarrow S) \otimes_S P = (J \otimes_S P \rightarrow P)$  is an  $I^\oplus$ -null isomorphism, thus  $J \otimes_S P \otimes_R U \rightarrow P \otimes_R U$  is a homotopy equivalence.

Finally  $Q \otimes_S P \rightarrow R$  is an  $I^\oplus$ -null isom., so  $Q \otimes_S P \otimes_R U \rightarrow U$  is a homotopy equivalence.

Thus Morita equivalence holds for  $K\text{-firn}$ :

$$K\text{-firn}(R, I) \xrightarrow{\sim} K\text{-firn}(S, J)$$

$$U \longmapsto P \otimes_R U$$

$$Q \otimes_S V \longleftarrow V$$

Next we define  $U$  to be  $K$ -solid when

$$U = \text{Ham}_R(R, U) \xrightarrow{\mu'} \text{Ham}_R(I, U)$$

is a homotopy equivalence. If  $C$  is a complex such that  $I^{(n)} \otimes_R C \rightarrow C$  is null-homotopic for

same  $n$ , then

$$\begin{array}{ccc} \text{Hom}_R(C, U) & & \\ \nearrow \approx 0 & \searrow \text{bog} & \\ \text{Hom}_R(I^{(n)} \otimes_R C, U) & \simeq & \text{Hom}_R(C, \text{Hom}_R(I^{(n)}, U)) \end{array}$$

so  $\text{Hom}_R(C, U)$  is contractible for such  $C, U$ .

It's clear we should have Morita equivalence for  $K$ -solid categories

$$K\text{-sol}(R, I) \simeq K\text{-sol}(S, J)$$

$$\begin{array}{ccc} U & \longleftarrow & \text{Hom}_R(Q, U) \\ \text{Hom}_S(P, V) & \longleftarrow & V \end{array}$$

This structure is interesting and needs to be clarified. Observe that in  $K(R)$  we have

$$K\text{-nil} : I^{(n)} \otimes_R C \rightarrow C \text{ is } 0 \text{ for some } n.$$

$$K\text{-firn} : I \otimes_R U \xrightarrow{\sim} U$$

$$K\text{-sol} : U \xrightarrow{\sim} \text{Hom}_R(I, U)$$

December 19, 1994

Consider  $\begin{pmatrix} R & Q \\ P & S \end{pmatrix}$  and concentrate upon right bounded complexes. Notions of firmness.

firm:  $I \otimes_R X \xrightarrow{\sim} X$  in  $C(R)$

K-firm: \_\_\_\_\_ in  $K(R)$

D-firm:  $I \overset{L}{\otimes}_R X \xrightarrow{\sim} X$  in  $D(R)$

We have seen that for  $X$  right-bdd projective, we have D-firm  $\Rightarrow$  K-firm.

We also have Morita invariant~~s~~ for these <sup>firm-type</sup> three categories, which is proved in a formal way using the fact that an  $(S, R^{\text{op}})$ -bimodule  $P$  determined a functor  $P \otimes_R -$  on the corresponding module categories.

For the past few days I have been trying to understand, or better <sup>to check</sup>, the proof that if  $U$  is projective D-firm for  $(R, I)$ , then  $P \otimes_R U$  is homotopy equivalent to a  $V$  which is projective D-firm for  $(S, J)$ : Choose  $V \xrightarrow{\text{quis}} P \otimes_R U$  with  $V$  projective. We have

$$H^0 \text{Hom}_S(P \otimes_R U, Y) = H^0 \underset{R}{\text{Hom}}(U, \underset{S}{\text{Hom}}(P, Y))$$

carries  $J$ -nil quis  
 into  $I$ -nil quis

inverts  
 $I$ -nil quis

Thus  $[P \otimes_R U, -]$  inverts quis in particular, and we have

$$\begin{array}{ccc} [P \otimes_R U, V] & \xrightarrow{\sim} & [P \otimes_R U, P \otimes_R U] \\ \downarrow & & \downarrow \\ [V, V] & \xrightarrow{\sim} & [V, P \otimes_R U] \end{array}$$

where horizontal arrows are  $u \mapsto fu$   
with  $f: V \rightarrow P \otimes_R U$  the given map, and  
the vertical arrows are  $v \mapsto vf$ . Thus we  
have a unique  $g: P \otimes_R U \rightarrow V$  such that  $fg = 1_{P \otimes_R U}$ ,  
and  $fgf = f \cdot 1_V \Rightarrow gf = 1_V$ , so  $f$  is an  
isom. in  $K(S)$ .

Consider the example where  $U: \cdots \rightarrow U_1 \xrightarrow{d} U_0 \rightarrow \cdots$   
has length one. We have the quis

$$\begin{array}{ccccccc} & & V_2 & \rightarrow & V_1 & \rightarrow & V_0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & P \otimes_R U_1 & \longrightarrow & P \otimes_R U_0 & & \end{array}$$

hence the square

$$\begin{array}{ccc} V_1/dV_2 & \longrightarrow & V_0 \\ \downarrow & & \downarrow \\ P \otimes_R U_1 & \longrightarrow & P \otimes_R U_0 \end{array}$$

is bicartesian. Thus

$$\begin{array}{ccc} \text{Hom}_S(P, V_1/dV_2) & \longrightarrow & \text{Hom}_S(P, V_0) \\ \downarrow & & \downarrow \\ \text{Hom}_S(P, P \otimes_R U_1) & \longrightarrow & \text{Hom}_S(P, P \otimes_R U_0) \end{array}$$

is cartesian.

~~will take that that standard off~~

It would be better to say that  
the sequence

$$0 \rightarrow V/dV_2 \rightarrow P \otimes_R U_1 \oplus V_0 \rightarrow P \otimes_R U_0 \rightarrow 0$$

is exact, hence

$$0 \rightarrow \text{Hom}_S(P, V/dV_2) \rightarrow \text{Hom}_S(P, P \otimes_R U_1) \oplus \text{Hom}_S(P, V_0) \rightarrow \text{Hom}_S(P, P \otimes_R U_0)$$

is exact and the cokernel of the last map is killed by  $QP$ :

$$\begin{array}{ccccc} f & \longmapsto & f(p) & \longmapsto & (p' \mapsto (p'f))f(p) = f(p'(gp)) = (gp)f. \\ \text{Hom}_S(P, N') & \longrightarrow & N' & \longrightarrow & \text{Hom}_S(P, N') \\ \downarrow & & \downarrow & & \downarrow \\ \text{Hom}_S(P, N) & \longrightarrow & N & \longrightarrow & \text{Hom}_S(P, N) \\ \downarrow & & \downarrow & & \downarrow \\ \text{Coker} & & 0 & & \text{Coker} \\ \downarrow & & & & \downarrow \\ & & & & 0 \end{array}$$

So we have an obvious map

$$0 \rightarrow \text{Hom}_S(P, V/dV_2) \rightarrow \text{Hom}_S(P, P \otimes_R U_1) \oplus \text{Hom}_S(P, V_0) \xrightarrow{\pi} \text{Hom}_S(P, P \otimes_R U_0)$$

$$\begin{array}{ccc} & \uparrow & \uparrow \\ U_1 & \longrightarrow & U_0 \end{array}$$

because the cokernel of  $\pi$  is killed by  $I$  and  $U_1/IU_1 \cong U_0/IU_0$  so  $(U_0/dU_0) = I(U_0/dU_1)$ , it follows that the image of  $U_0$  lies in the image of  $\pi$ . Setting  $\text{Hom}_S(P, P \otimes_R U_0)$  down to  $\text{Im}(\pi)$  makes the top row above acyclic. Then as  $U_1, U_0$  are projective the above map is null-homotopic.

This should translate into a lifting 154

$$\begin{array}{ccc}
 \text{Hom}_S(P, V_1/dV_2) & \rightarrow & \text{Hom}_S(P, V_0) \\
 \nearrow & \downarrow & \nearrow f \\
 \text{Hom}_S(P, P \otimes_R U_1) & \xrightarrow{\quad} & \text{Hom}_S(P, P \otimes_R U_0) \\
 \searrow & \downarrow & \searrow \\
 U_1 & \longrightarrow & U_0
 \end{array}$$

Unfortunately, this gets too hard to follow. Instead I should use the cones on  $\text{Hom}_S(P, V) \rightarrow \text{Hom}_S(P, P \otimes_R U)$ ; call this  $X$  and consider the decreasing Postnikov filtration

$$\begin{array}{ccccccc}
 X & \longrightarrow & X_2 & \longrightarrow & X_1 & \longrightarrow & X_0 \rightsquigarrow 0 \\
 \cup & & \parallel & & \cup & & \\
 F_{\geq 1}X & \longrightarrow & X_2 & \longrightarrow & Z_1 & \longrightarrow & 0 \\
 \cup & & \cup & & & & \\
 F_{\geq 2}X & \longrightarrow & Z_2 & \longrightarrow & 0 & &
 \end{array}$$

We start with  $U \xrightarrow{g} X$ . Since  $H_0(U) = I H_0(U)$  and  $H_0(X)$  is killed by  $I^n$  we see  $g(U_0) \subset dX_1$ , so by lifting  $g: U_0 \rightarrow dX_1$  to  $h: U_0 \rightarrow X_1$ , we deform  $g$  to a map such that  $g(U_0) = 0$ . Then ~~we can~~  $g$  maps  $U$  to  $F_{\geq 1}X$ . Consider the composition  $U \rightarrow F_{\geq 1}X \rightarrow H_1(X)[1]$ . This factors through  $U/I^nU$  which is contractible, hence the map  $g$  can be deformed until  $U \rightarrow F_{\geq 1}X \rightarrow H_1(X)[1]$  is zero, whence  $g: U \rightarrow dX_1$ , and we can

left this to  $U_1 \rightarrow X_2$  and so achieve a deformation of  $g$  to zero.

Here's another way to see that  $U$  projective D-firm  $\Rightarrow P \otimes_R^L U$  h.eq. to a projective D-firm complex. Again choose  $V \xrightarrow{\text{guis}} P \otimes_R^L U$  with  $V$  projective. We know by Morita equivalence of the D-firm categories that  $P \otimes_R^L U = P \otimes_R^L U$  is D-firm, so  $V$  is D-firm and projective. Now

$$\begin{array}{ccc} Q \otimes_S^L V & \xrightarrow{\text{guis}} & Q \otimes_S^L P \otimes_R^L U \\ \parallel & & \downarrow \text{guis because } Q \otimes_S^L P \rightarrow R \\ Q \otimes_S V & \longrightarrow & U \end{array}$$

has cone with  
right nil homology

so we get that  $Q \otimes_S V \rightarrow U$  is a guis, hence there's a map  $U \rightarrow Q \otimes_S V$  such that the appropriate composition is homotopic to the identity of  $U$ . So we have

$$\begin{array}{ccccc} U & \xrightarrow{\text{guis}} & Q \otimes_S V & \xrightarrow{\text{guis}} & U \\ P \otimes_R^L U & \longrightarrow & P \otimes_R^L Q \otimes_S V & \longrightarrow & P \otimes_R^L U \\ \parallel & & \downarrow \text{guis} & & \parallel \\ P \otimes_R^L U & \xrightarrow{\text{guis}} & V & \xrightarrow{\text{guis}} & P \otimes_R^L U \\ & & \underbrace{\text{homotopic to } 1} & & \end{array}$$

then  $V$  being projective implies the other composition  $V \rightarrow P \otimes_R^L U \rightarrow V$  is an isomorphism in the homotopy category, whence we have a homotopy equivalence.

December 20, 1994

Yesterday, I wrote another argument that  $U$  projective D-firm  $\Rightarrow P \otimes_R U$  is homotopy equivalent to a projective D-firm complex. This argument was based on the result that  $Q \overset{L}{\otimes}_S P \otimes_R U \rightarrow U$  is a quis.

Here's another place this result is (or might be) used.

Suppose  $U$  is f.g projective & D-firm, and let  $U^* = \text{Hom}_R^R(U, R)$ . We wish to show  $P \otimes_R U$  is a perfect complex over  $S$ . The idea is to exhibit the appropriate adjunction maps:

$$\alpha : (P \otimes_R U) \otimes_Z (U^* \otimes_R Q) \longrightarrow S$$

$$\beta : \mathbb{Z} \longrightarrow (U^* \otimes_R Q) \overset{L}{\otimes}_S (P \otimes_R U)$$

and then hopefully this is sufficient.  $\alpha$  is obvious.  
For  $\beta$  we use

$$\begin{array}{c} U^* \otimes_R Q \overset{L}{\otimes}_S P \otimes_R U \\ || \\ U^* \otimes_R (Q \overset{L}{\otimes}_S P) \otimes_R U \\ \downarrow \quad \text{quis by the cited result} \\ \mathbb{Z} \longrightarrow U^* \otimes_R U \end{array}$$

At this point it seems worthwhile to go over Grothendieck's theory of perfect complexes in order to gain insight about Morita equivalence for perfect D-firm complexes.

Statements: 1) Given  $\omega \in H_0(X \otimes_R^L Y)$ ,  $\exists$  a f.g. free complex  $U$  and maps  $f: U^* \rightarrow X$ ,  $g: U \rightarrow Y$  such that  $\omega = (f \otimes g)(1_U)$ , where  $1_U \in U^* \otimes_R U = \text{Hom}_R(U, U)$  is the identity elt.

2) Given  $\omega \in H_0(\text{Hom}_R(X, R) \otimes_R^L Y)$ ,  $\exists$  a f.g. free complex  $U$  and maps  $f: X \rightarrow U$ ,  $g: U \rightarrow Y$  such that  $gf' = \text{Im}(\omega)$  in  $H^0(\text{Hom}_R(X, Y))$ .

Proof of 2) from 1).

$$\begin{aligned} \text{Hom}_{R^{\text{op}}}((U^*, \text{Hom}_R(X, R))) &= \text{Hom}_{R \otimes R^{\text{op}}}(X \otimes U^*, R) \\ &= \text{Hom}_R(X, \underbrace{\text{Hom}_{R^{\text{op}}}(U^*, R)}_U) \end{aligned}$$

so  $f: U^* \rightarrow \text{Hom}_R(X, R)$  is equivalent to a map  $f': X \rightarrow U$ . Then

$$\begin{array}{ccc} U^* \otimes_R U & \longrightarrow & \text{Hom}_R(X, R) \otimes_R^L Y \\ = | & & \downarrow \\ \text{Hom}_R(U, U) & \longrightarrow & \text{Hom}_R(X, Y) \\ \text{id}_U & \longmapsto & gf' \end{array}$$

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From Lang's Algebra p451 there is a theorem of Morita as follows: Let  $P$  be a generator of  $\text{mod}(S)$ ,  $R^{\text{op}} = \text{End}_S(P)$ . Then  $\text{End}_{R^{\text{op}}}(P) = S$  (i.e.  $P$  is a balanced  $S$ -module) and  $P$  is a finitely generated  $R^{\text{op}}$ -module.

In fact it turns out that  $P$  is a f.g. projective  $R^{\text{op}}$ -module.

Why? By the Gabriel-Popescu theorem we have adjoint functors

$$\text{mod}(R) \begin{array}{c} \xrightarrow{P \otimes_R -} \\ \xleftarrow{\text{Hom}_S(P, -)} \end{array} \text{mod}(S)$$

where  $P \otimes_R -$  is exact and identifies  $\text{mod}(S)$  with a ~~quotient~~ Grothendieck category of  $\text{mod}(R)$ . By Roos' theorem (applies as  $\text{mod}(S)$  satisfies AB4\* and AB6) the kernel of  $P \otimes_R -$  is closed under  $\Pi$ 's, ~~and~~ hence corresponds to an idempotent ideal  $I$  in  $R$ . Then one has left-adjoint  $Q \otimes_S -$  for  $P \otimes_R -$ . One has a Morita equivalence  $\begin{pmatrix} R & Q \\ P & S \end{pmatrix}$  of  $M(R, I)$  and  $\text{mod}(S)$ . From the pairing  $Q \otimes_S P \xrightarrow{\alpha} R$  and fact that  $PQ = S$ , we conclude that  $P$  is f.g. projective over  $R^{\text{op}}$  with dual  $Q$ , and that  $S = P \otimes_R Q = \boxed{P \otimes_R Q} Q \otimes_{R^{\text{op}}} P = \text{End}_{R^{\text{op}}}(P)$ .

Actually it seems that the Faith proof in Lang's book also shows  $P$  is proj over  $R^{\text{op}}$ .

There's a refinement, better: improvement, in the previous work on ~~the~~ showing  $P \otimes_R U$  is perfect and D-firm when  $U$  is. We have maps assuming  $U$  strictly perfect

$$\begin{aligned}
 \text{Hom}_S(P \otimes_R U, Y) &= \text{Hom}_R(U, \text{Hom}_S(P, Y)) \\
 &= U^* \otimes_R \text{Hom}_S(P, Y) \\
 (1) \qquad \qquad \qquad \qquad \qquad &\uparrow \text{I} \otimes \nu \\
 &U^* \otimes_R Q \otimes_S Y
 \end{aligned}$$

Now  $\nu$  is ~~an isomorphism~~ a nil isomorphism in a specific canonical way:

$$\begin{array}{ccc}
 Q \otimes_S P \otimes_R Q \otimes_S Y & \longrightarrow & Q \otimes_S P \otimes_R \text{Hom}_S(P, Y) \\
 \downarrow & \swarrow & \downarrow \\
 (2) \qquad \qquad \qquad & & \\
 Q \otimes_S Y & \longrightarrow & \text{Hom}_S(P, Y)
 \end{array}$$

As  $U^*$  is strictly perfect and D-firm we know that  $U^* \otimes_R (Q \otimes_S P) \rightarrow U^*$  is a homotopy equivalence. Thus on tensoring the above diagram with  $U^*$ , the vertical arrows become homotopy equivalences, and we conclude

$$(3) \quad U^* \otimes_R Q \otimes_S Y \xrightarrow{\text{I} \otimes \nu} U^* \otimes_R \text{Hom}_S(P, Y)$$

is a homotopy equivalence. Thus

$$(4) \quad H_0(\text{Hom}_S(P \otimes_R U, Y)) = H_0((U^* \otimes_R Q) \otimes_S Y)$$

Actually I see this argument can be modified to work in the case where  $U$  is projective & D-firm.

We consider the adjoint diagram to (2):

$$(5) \quad \begin{array}{ccc} Q \otimes_S Y & \xrightarrow{\hspace{2cm}} & \text{Hom}_S(P, Y) \\ \downarrow & \swarrow & \downarrow \\ \text{Hom}_R(Q \otimes_P Q \otimes_S Y) & \xleftarrow{\hspace{2cm}} & \text{Hom}_R(Q \otimes_P \text{Hom}_S(P, Y)) \end{array}$$

~~■~~ Apply  $\text{Hom}_R(U, -)$  and use that  $Q \otimes_P Q \otimes_R U \rightarrow U$  is a homotopy equivalence; this gives from (5) a diagram where the vertical arrows are ~~leg's~~'s, hence

$$(6) \quad \begin{array}{ccc} \text{Hom}_R(U, Q \otimes_S Y) & \rightarrow & \text{Hom}_R(U, \text{Hom}_S(P, Y)) \\ & & \parallel \\ & & \text{Hom}_R(P \otimes_R U, Y) \end{array}$$

is a homotopy equivalence and

$$\text{H}^0(\text{Hom}_S(P \otimes_R U, Y)) = \text{H}^0(\text{Hom}_R(U, \text{Hom}_S(P, Y)))$$

$$(7) \quad \underline{\text{H}^0(\text{Hom}_R(U, Q \otimes_S Y))}$$

So far the constructions take place on the level of complexes and complexes ~~up to~~ homotopy. Next I want to move to the derived category. The point is that either (4) or (7) show that  $Y \mapsto \text{H}^0(\text{Hom}_S(P \otimes_R U, Y))$  kills ~~all~~ complexes with nil homology, in particular acyclic complexes. I think of this as a nonconstructive step, in that

I don't see how to obtain this result from a deformation of  $U$ .

Return to  $U$  strictly perfect and

$$H^0(\text{Hom}_S(P \otimes_R U, Y)) = H_0(U^* \otimes_R Q \otimes_S Y)$$

since this kills acyclic complexes ~~—~~ we have that

$$R^0 \text{Hom}_S(P \otimes_R U, Y) = H_0(U^* \otimes_R Q \otimes_S Y).$$

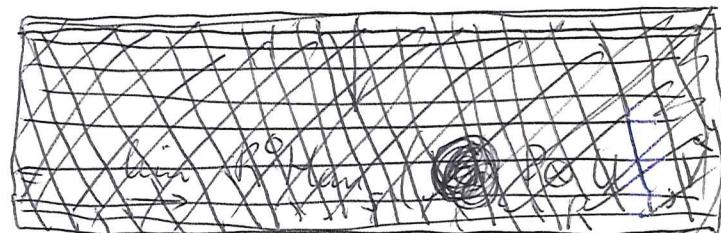
This implies  $\uparrow$  commutes with filtered  $\lim$ 's, and so by Grothendieck  $P \otimes_R U$  is perfect.

Specifically we choose  $V \xrightarrow{\text{quis}} P \otimes_R U$  with  $V$  free and consider the directed set of free f.g. subcomplexes  $V^\alpha$  of  $V$ .

$$R^0 \text{Hom}_S(P \otimes_R U, V) \xleftarrow{\cong} \varinjlim R^0 \text{Hom}_S(P \otimes_R U, V^\alpha)$$

$$\cong \downarrow$$

$$R^0 \text{Hom}_S(P \otimes_R U, P \otimes_R U)$$



i.e.

$$\begin{array}{c} \uparrow V^\alpha \\ \downarrow \\ P \otimes_R U \end{array}$$

$\exists$  a section up to homotopy.

We have just shown that  $P \otimes_R U$  is perfect using Grothendieck's criterion and the ~~fact~~ fact that  $H_0(U^* \otimes_R Q \otimes_S Y)$  kills acyclic complexes  $Y$ , which is one of these "nonconstructive" results ~~█~~ we seem unable to avoid.

Here's another version: The isom.

$$H^0(\text{Ham}_S(P \otimes_R U, Y)) = H_0(U^* \otimes_R Q \otimes_S Y)$$

really the map  $\rightarrow$  is given by a canonical element in  $H_0(U^* \otimes_R Q \otimes_S P \otimes_R U)$  which in principle I can write down starting from a deformation of  $U$  into  $IU$ . But I really want an element in  $H_0(U^* \otimes_R Q \overset{\wedge}{\otimes}_S P \otimes_R U)$ , because then it lifts to an element of

$$H_0(U^* \otimes_R Q \otimes_S V^\alpha) \longrightarrow H_0(U^* \otimes_R Q \overset{\wedge}{\otimes}_S P \otimes_R U)$$

$$\begin{array}{ccc} & \parallel & \parallel \\ H^0(\text{Ham}_S(P \otimes_R U, V^\alpha)) & \longrightarrow & H^0(\text{Ham}_S(P \otimes_S U, P \otimes_R U)) \end{array}$$

for some fg free  $V^\alpha$  mapping to  $P \otimes_S U$ .

Thus the reconstruction part involves the fact that  $Q \overset{\wedge}{\otimes}_S P \otimes_R U \rightarrow U$  is a ~~gen~~ gen.

Notice that given  $\omega \in H_0(\text{Ham}_R(X, R) \overset{\wedge}{\otimes}_R Y)$ , we can choose  $V \xrightarrow{\text{gen}} Y$  with  $V$  free, then

$$H_0(\text{Ham}_R(X, R) \otimes_R V^\alpha) \longrightarrow H_0(\text{Ham}_R(X, R) \overset{\wedge}{\otimes}_R Y)$$



$$H_0(\text{Ham}_R(X, V^\alpha)) \longrightarrow H_0(\text{Ham}_R(X, Y))$$

so the map  $X \rightarrow Y$  assoc. to  $\omega$  can be factored  $X \rightarrow V^\alpha \rightarrow Y$  with  $V^\alpha$  f.g. free.

December 28, 1994

Suppose given idempotent rings  $A, B$  and an equivalence  $M(A) \simeq M(B)$ . We know such an equivalence is given by a Morita context  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  where  $P, Q$  are firm on

both sides and  $P \otimes_A Q \xleftarrow{\sim} P \otimes_A Q \otimes_B P \otimes_A Q \xrightarrow{\sim} B \otimes_B B$

and similarly  $Q \otimes_B P \simeq A \otimes_A A$ . Moreover this Morita context is unique up to canonical isomorphism.

Then one has a canonical isomorphism

$$A \otimes_A A \otimes_A A \simeq Q \otimes_B P \otimes_A A \simeq P \otimes_A Q \otimes_B B \simeq B \otimes_B B \otimes_B B.$$

This shows that we have a trace group associated which is canonically isom to a Grothendieck-Roos category  $M$ ,  ~~$A \otimes_A A \otimes_A A$~~ , whenever one is given an equivalence  $M(A) \simeq M$ .

I now want to construct an example of an idempotent ring  $A$  such that  $A \otimes_A A \otimes_A A \rightarrow A \otimes_A A$  is not an isomorphism. The example will be Morita equivalent to a unital algebra, the Morita context being  $\begin{pmatrix} A = AeA & Ae \\ eA & eAe = B \end{pmatrix}$ . We have

$$A = \begin{pmatrix} eAe = B & eAe^\perp \\ e^\perp Ae & e^\perp Ae^\perp = e^\perp AeAe^\perp \end{pmatrix}$$

Put  $V = e^\perp Ae$ ,  $W = eAe^\perp$  whence

$$A = \begin{pmatrix} B & W \\ V & V \otimes_W B/K \end{pmatrix}$$

and the product in  $A$  is calculated from the right + left  $B$ -module structure on  $V+W$  and a pairing  $W \otimes V \xrightarrow{\sim} B$ .

We know that

$$A^{(2)} = Ae \otimes_B eA = \begin{pmatrix} B \\ V \end{pmatrix} \otimes_B (B, W) = \begin{pmatrix} B & W \\ V & V \otimes_B W \end{pmatrix}$$

Let's calculate  $A^{(2)}/[A^{(2)}, A^{(2)}]$ . We have

$$\left[ \begin{pmatrix} b & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} b' & w \\ v & v_1 \otimes w_1 \end{pmatrix} \right] = \begin{pmatrix} [b, b'] & bw \\ -vb & 0 \end{pmatrix}$$

so that the commutator quotient space is a quotient of  $B/[B, B] \oplus V \otimes_B W$ .

$$\left[ \begin{pmatrix} 0 & w \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} b' & w' \\ v & v_1 \otimes w_1 \end{pmatrix} \right] = \begin{pmatrix} \langle w, v \rangle & \langle w, v_1 \rangle w_1 - b'w \\ \boxed{\text{redacted}} & -v \otimes w \end{pmatrix}$$

$$\left[ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} b' & w \\ v' & v_1 \otimes w_1 \end{pmatrix} \right] = \begin{pmatrix} -\langle w, v \rangle & 0 \\ vb' - v_1 \langle w_1, v \rangle & v \otimes w \end{pmatrix}$$

$$\left[ \begin{pmatrix} 0 & 0 \\ 0 & v_1 \otimes w_1 \end{pmatrix}, \begin{pmatrix} b & w \\ v & v_2 \otimes w_2 \end{pmatrix} \right] = \begin{pmatrix} 0 & -\langle w, v_1 \rangle w_1 \\ v_1 \langle w_1, v \rangle & v_1 \langle w_1, v_2 \rangle \otimes w_2 \\ -v_2 \langle w_2, v_1 \rangle \otimes w_1 \end{pmatrix}$$

Notice that we have a well defined map

$$V \otimes_B W \xrightarrow{\phi} B/[B, B] \quad v \otimes w \mapsto \langle w, v \rangle$$

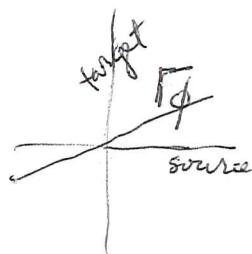
Thus  $(B/[B, B] \oplus V \otimes_B W) / \overbrace{\{ \langle w, v \rangle \in v \otimes w \}}^{\text{span of } \langle w, v \rangle}$

graph of  $\phi$

is canonically isom. to  $B/[B, B]$ .

Moreover  $\phi$  sends  $v_1 \langle w_1, v_2 \rangle \otimes w_2 - v_2 \langle w_2, v_1 \rangle \otimes w_1$  to  $\langle w_2, v_1 \rangle \langle w_1, w_2 \rangle - \langle w_1, v_2 \rangle \langle w_2, v_1 \rangle = 0$ . Thus

we conclude  $A^{(2)}/[A^{(2)}, A^{(2)}] = B/[B, B]$  as it should.



We now want to construct the ideal  $K$  in  $A^{(2)}$  such that

$$A = \begin{pmatrix} B & W \\ V & V \otimes_B W/K \end{pmatrix}$$

has a smaller commutator quotient space.

We want to arrange things so that there is an element  $\xi = \sum v_i \otimes w_i \in V \otimes_B W$  such that  $A^{(2)} \xi = \xi A^{(2)} = 0$ , i.e.

$$\forall v \quad \xi v = \sum v_i \langle w_i, v \rangle = 0$$

$$\forall w \quad w \xi = \sum \langle w, v_i \rangle w_i = 0$$

$$\forall v, w \quad (v \otimes w) \xi = \sum v \langle w, v_i \rangle \overset{\circ}{\otimes} w_i = 0$$

$$\xi (v \otimes w) = \sum v_i \langle w_i, v \rangle \otimes w = 0$$

and also such that  $\langle w, v \rangle \neq 0$  in  $B/[B, B]$ .

$$\text{Let's take } B = k + k\varepsilon, \quad \varepsilon^2 = 0$$

and  $V \cong B/k\varepsilon$ ,  $W \cong B/k\varepsilon$  with generators  $v_i \in V$  and  $w_i \in W$  satisfying  $v_i \varepsilon = 0, \varepsilon w_i = 0$ .

Define the pairing  $W \otimes_k V \rightarrow B$  by  $\langle w_i, v_j \rangle = \varepsilon$ .

The equations  $\textcircled{*}$  clearly hold, yet  $\langle w_i, v_i \rangle \notin [B, B]$  which is zero as  $B$  is commutative.

Let  $M = M(B)$ ,  $B$  idempotent. We would like to express the idea of an equivalence  $M(A) \simeq M(B)$  without mentioning  $B$ , only the Grothendieck-Ring category  $M$ . Let  $(\begin{smallmatrix} A & Q \\ P & B \end{smallmatrix})$  be the good

Moita context belonging to this equivalence. Then  $P$  is a generator for  $M$  and  $Q$  can be identified with the right continuous functor  $N \mapsto Q \otimes_B N$  from  $M$  to  $\text{Ab}$ . Now it makes sense to tensor  $P$  with an abelian group, so  $N \mapsto P \otimes_{\mathbb{Z}} Q \otimes_B N$  is a right continuous functor from  $M$  to itself. In this case there is a surjection  $P \otimes_{\mathbb{Z}} (Q \otimes_B N) \rightarrow N$  from this functor to the identity.

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Consider a Morita context  $(\begin{smallmatrix} R & Q \\ P & S \end{smallmatrix})$  and ideals  $I \subset R$ ,  $J \subset S$  such that

$PIQ \supset J^s$ ,  $QJP \supset I^t$  for some  $s, t \in \mathbb{N}$ .

Then

$$J^{st} \subset (PIQ)^n \subset P(IQP)^n Q \subset PI^n Q$$

$$I^{tn} \subset (QJP)^n \subset QJP^n P$$

$$I^{tsn} \overset{(QJP)^{sn} \subset}{\subset} QJP^{sn} P \subset QPI^n QP \subset I^n$$

(Note if either  $s$  or  $t$  is zero we get  $R \subset I^n$  so  $I = R$  and similarly  $J = S$ .)

Thus we have

$$I^\infty = (QJP)^\infty = QJP^\infty P$$

and  $J^\infty = (PIQ)^\infty = PI^\infty Q$  by symmetry.

Let us now examine the idempotent case:

$I = I^2$ ,  $J = J^2$ , where

$$\boxed{\begin{array}{l} I = QJP \\ J = PIQ \end{array}}$$

(Check: Assume only  $PIQ \supset J$  and  $QJP \supset I$ .

Then  $I \supset QPIQP \supset QJP \supset I$ , so  $I = QJP$  etc.)

Next  $PI = PQJP \subset QJP$   $\Rightarrow \boxed{PI = JP}$   
 $JP = PIQP \subset CPI$

and similarly  $\boxed{QJ = IQ}$

$$S/J \otimes_S P \otimes_R I = P/JP \otimes_R I$$

$$= P/PI \otimes_R I$$

$$= P \otimes_R (R/I \otimes_R I) = P \otimes_R (I/I^2) = 0$$

Thus  $P \otimes_R I$  is  $J$ -nil-free

hence  $J \otimes_S P \otimes_R I$  is  $J$ -firm.

Similarly  $J \otimes_S P \otimes_R R/I = J \otimes_S (P/PI) \quad \text{||} \quad P/JP = S/J \otimes_S P = 0$

so  $J \otimes_S P \otimes_R I$  is  $I^{\text{op}}$ -firm.

By symmetry  $I \otimes_R Q \otimes_S J$  is  $I$ -firm and  $I^{\text{op}}$ -firm

Recall that  $J \otimes_S P \rightarrow S \otimes_S P = P$  is an  $I^{\text{op}}$ -nil isomorphism. Thus,

$$J \otimes_S P \otimes_R I \xleftarrow{\cong} J \otimes_S P \otimes_R I \otimes_R I \xrightarrow{\cong} P \otimes_R I \otimes_R I$$

and we have canonical isomorphisms

$$J \otimes_S J \otimes_S P = J \otimes_S P \otimes_R I = P \otimes_R I \otimes_R I$$

$$I \otimes_R I \otimes_R Q = I \otimes_R Q \otimes_S J = Q \otimes_S J \otimes_S J$$

Denote the above bimodules by  $P^f, Q^f$  respectively; these are firm versions of  $P, Q$ . Recall that

$P \otimes_R Q \rightarrow S$  is a  $J$ -nil isomorphism (also  $J^{\text{op}}$ -nil isom.)

Thus we have

$$J \otimes_S J \otimes_S P \otimes_R Q \xrightarrow{\sim} J \otimes_S J \otimes_S S = J \otimes_S J$$

$$J \otimes_S J \otimes_S P \otimes_R Q \otimes_S J \otimes_S J \xrightarrow{\sim} J \otimes_S J \otimes_S J \otimes_S J = J \otimes_S J$$

whence a canonical isomorphism

$$\boxed{P^f \otimes_R Q^f = J \otimes_S J}$$

and

$$\boxed{Q^f \otimes_S P^f = I \otimes_R I}$$

by symmetry.

These then yield a canonical isom.

$$\boxed{I \otimes_R I \otimes_R = J \otimes_S J \otimes_S}$$

The point of the above discussion is that it should extend straightforwardly to the general case provided we replace  $I, J$  by the idempotent pro-ideals  $I^\infty, J^\infty$ .

We have seen that  $I^{(\infty)} =$  the inverse system  $I^{(n)} = I \otimes_R \cdots \otimes_R I$  generalizes  $I^{(2)}$  in the idempotent case. Let's check now that  $I^{(\infty)}$  is the same as  $I^\infty \otimes_R I^\infty$ .

1st proof. We have an exact sequence

$$(1) \quad 0 \longrightarrow K^n \longrightarrow I^{(n)} \longrightarrow I^n \longrightarrow 0$$

where  $I^m : K^n = 0$  for some  $m$ ; in fact we can take  $m=n$ . Why?

$$\begin{array}{ccccccc} I^{(n)} \otimes K^n & \longrightarrow & I^{(n)} \otimes_R I^{(n)} & \longrightarrow & I^{(n)} \\ \downarrow & & \downarrow & \swarrow & \downarrow \\ 0 \longrightarrow K^n & \longrightarrow & I^{(n)} & \longrightarrow & R \end{array}$$

Tensoring <sup>(1)</sup> with  $I^m$  yields an exact sequence

$$\begin{aligned} I^m \otimes_R K^n &\rightarrow I^m \otimes_R I^{(n)} \rightarrow I^m \otimes_R I^n \rightarrow 0 \\ \text{essentially zero in } m. \end{aligned}$$

Thus  $I^\infty \otimes_R I^{(n)} \xrightarrow{\sim} I^\infty \otimes_R I^{(n)}$

hence  $I^\infty \otimes_R I^{(\infty)} \xrightarrow{\sim} I^\infty \otimes_R I^\infty$   
 $\downarrow \cong$

$$I^{(\infty)} = R \otimes_R I^{(\infty)}$$

yielding  $I^{(\infty)} = I^\infty \otimes_R I^\infty$ .

2nd proof.

$$0 \rightarrow \text{Tor}_1^R(R/I^m, I^n) \rightarrow I^m \otimes_R I^n \rightarrow I^{m+n} \rightarrow 0$$

$$\underbrace{I^l \otimes_{R/I^{m+n}} \text{Tor}_1^R(R/I^m, I^n)}_{\text{essentially zero as } l \rightarrow \infty} \rightarrow I^l \otimes_R I^m \otimes_R I^n \rightarrow I^l \otimes_R I^{m+n} \rightarrow 0$$

Thus  $I^\infty \otimes_R I^m \otimes_R I^n \xrightarrow{\sim} I^\infty \otimes_R I^{m+n}$

hence  $I^\infty \otimes_R I^\infty \otimes_R I^n \xrightarrow{\sim} I^\infty \otimes_R I^\infty$ , which shows that  $I^\infty \otimes_R I^\infty \otimes_R -$  inverts  $I^n \hookrightarrow R$  and hence all  $I$ -nil-isos. The rest is clear.

Both proofs really amounts to the fact that  $I^\infty \otimes_R -$  inverts surjective nil-isos.

Let's now consider  $(P \otimes_R I^\infty)$ ,  $I \subset R$ ,  $J \subset S$  171  
such that  $PJ^\infty Q \supset J^\infty$ ,  $QJ^\infty P \supset I^\infty$ .

Then  $J^\infty \supset PQJ^\infty PQ \supset PI^\infty \supset J^\infty$

$$\Rightarrow \boxed{J^\infty = PI^\infty Q} \text{ and sim. } \boxed{I^\infty = QJ^\infty P}$$

$$\text{Also } PI^\infty = PQJ^\infty P \subset J^\infty P = PI^\infty Q \cdot P$$

$$\subset PI^\infty \Rightarrow \boxed{PI^\infty = J^\infty P} \text{ and sim. } \boxed{QJ^\infty = I^\infty Q}$$

$$\text{Then } \boxed{PI^\infty \cdot QJ^\infty = (J^\infty)^2 = J^\infty}$$

$$\boxed{QJ^\infty \cdot PI^\infty = (I^\infty)^2 = I^\infty}$$

I now want to check that  $J^\infty \otimes_S P \otimes_R I^\infty$   
is  $J$ -firm.

$$0 \rightarrow \text{Tor}_2^S(S/J, S/J^m) \rightarrow J \otimes_S J^m \rightarrow J^{m+1} \rightarrow 0$$

$$\text{Tor}_2^S(S/J, S/J^m) \otimes_S P \otimes_R I^n \rightarrow J \otimes_S J^m \otimes_S P \otimes_R I^n \rightarrow J^{m+1} \otimes_S P \otimes_R I^n \rightarrow 0$$

$$\text{Tor}_2^S(S/J, S/J^m) \otimes_S \underbrace{P/J^m P \otimes_R I^n}_{\text{essentially zero as } n \rightarrow \infty} \text{ as } J^\infty P = PI^\infty$$

$$\therefore J \otimes_S J^m \otimes_S P \otimes_R I^\infty \xrightarrow{\sim} J^{m+1} \otimes_S P \otimes_R I^\infty$$

Now let  $m \rightarrow \infty$  and ~~we~~ see that  $J^\infty \otimes_S P \otimes_R I^\infty$   
is  $J$ -firm. Similarly get it is  $I^{op}$ -firm.

Then we should get

$$J^\infty \otimes_S P \otimes_R I^\infty \xleftarrow{\sim} J^\infty \otimes_S P \otimes_R I^\infty \otimes_R I^\infty \xrightarrow{\sim} \boxed{P \otimes_R I^\infty \otimes_R I^\infty}$$

because  
 $J^\infty \otimes_R I^\infty$  is  
 $I^{op}$ -firm

because  
 $J^m \otimes_S P \rightarrow P$   
is  $I^{op}$ -nil isom.

Thus

$$P^f = J^\infty \otimes_S P \otimes_R I^\infty = J^\infty \underset{S}{\otimes} J^\infty \underset{S}{\otimes} P = P \otimes_R I^\infty \otimes_R I^\infty$$

and similarly for  $Q^f$ . As before we have  
the  $J$ -nil iso  $P \otimes_R Q \rightarrow S$

$$J^\infty \underset{S}{\otimes} J^\infty \underset{S}{\otimes} P \otimes Q \xrightarrow{\sim} J^\infty \underset{S}{\otimes} J^\infty$$

$$J^\infty \underset{S}{\otimes} J^\infty \underset{S}{\otimes} P \otimes_R Q \otimes J^\infty \underset{S}{\otimes} J^\infty \xrightarrow{\sim} J^\infty \underset{S}{\otimes} J^\infty$$

i.e.  $P^f \otimes_R Q^f \cong J^\infty \underset{S}{\otimes} J^\infty$

similarly  $Q^f \otimes_S P^f \cong I^\infty \otimes_R I^\infty$

whence a canonical isomorphism

$$\boxed{I^\infty \underset{R}{\otimes} I^\infty \underset{R}{\otimes} R \cong J^\infty \underset{S}{\otimes} J^\infty \underset{S}{\otimes} S}$$