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# Vector bundles on $\mathbb{P}_A^1$ and $A_A^1$ .

Let  $M$  be a vector bundle over  $\mathbb{P}_A^1$ . I want to know when there exists an isom.

$$A[T] \otimes_A (M/TM) \cong M$$

reducing to the obvious canonical isomorphism modulo  $T$ . Put  $\mathbb{V} = M/TM$  and let  $\alpha: M \rightarrow \mathbb{V}$  be the canonical map. I am looking for a section  $s: \mathbb{V} \rightarrow M$  of  $\alpha$ , which is an  $A$ -module morphism, such that the induced map  $A[T] \otimes_A \mathbb{V} \rightarrow M$  is an isomorphism.

Assume <sup>that</sup> for each  $\mathfrak{p}$  in  $\text{Spec } A$  I can find  $f \in A - \mathfrak{p}$  such that there exists ~~some~~ a section  $s_{\mathfrak{p}}$  of  $\alpha_f$  inducing an isomorphism  $A_f[T] \otimes_A \mathbb{V}_f \cong M_f$ . I want to prove a global section  $s$  exists.

More general formulation: For each scheme  $S$  over  $\text{Spec } A$  let  $P(S)$  be the <sup>set of</sup>  $\mathcal{O}_S$ -module sections  $s_s$  of  $\alpha_s: \mathcal{O}_S \otimes_A M \rightarrow \mathcal{O}_S \otimes_A \mathbb{V}$  which induce isomorphisms

~~$$\mathcal{O}_S[T] \otimes_A \mathbb{V} \rightarrow \mathcal{O}_S \otimes_A M$$~~

$$\mathcal{O}_S[T] \otimes_A \mathbb{V} \xrightarrow{\sim} \mathcal{O}_S \otimes_A M$$

and let  $G(S)$  be the group of automorphisms of  $\mathcal{O}_S[T] \otimes_A \mathbb{V}$  as  $\mathcal{O}_S[T]$ -module which induce the identity on  $\mathcal{O}_S \otimes_A \mathbb{V} = \mathcal{O}_S[T] \otimes_A \mathbb{V} / T \mathcal{O}_S[T] \otimes_A \mathbb{V}$ . Then

$P$  is formally principally homogeneous under  $G$   
 (as presheaves over  $Sch/A$ ), i.e.  $P(S) \neq \emptyset \Rightarrow P(S)$   
 is a torsor for the group  $G(S)$ .

Assertion to be proved: Assume  $P(U_{f_i}) \neq \emptyset$   $i=0, \dots, g$   
 where  $Spec A = U_{f_0} \cup \dots \cup U_{f_g}$ ,  $U_{f_i} = Spec A_{f_i}$ . Then  
 $P(Spec A) \neq \emptyset$ .

We prove this by induction on  $g$ . Suppose  
 $g=1$ . Let  $s_i \in P(U_{f_i})$  and let  $\theta \in G(U_{f_0} \cap U_{f_1})$  be  
 the unique element such that  $\theta s_0 = s_1$  on  $U_{f_0} \cap U_{f_1} = U_{f_0 f_1}$ .  
 We have to show there exists  $\varphi_i \in G(U_{f_i})$   $i=0, 1$   
 such that  $\theta = \varphi_1^{-1} \varphi_0$  on  $U_{f_0 f_1}$ .

Identify  $G(S)$ : Let  $R = End_A(\mathbb{A}^1)$ . A map  $\mathcal{O}_S[T]$ -mod  
 $\mathcal{O}_S[T] \otimes_A \mathbb{A}^1 \rightarrow \mathcal{O}_S[T] \otimes_A \mathbb{A}^1$  is the same thing as a  
 $A$ -module map  $\mathbb{A}^1 \rightarrow \Gamma(S, \mathcal{O}_S[T] \otimes_A \mathbb{A}^1) = \mathbb{A}^1$

$$\bigoplus_{\nu \geq 0} T^\nu Hom(\mathbb{A}^1, B \otimes_A \mathbb{A}^1) = \bigoplus_{\nu \geq 0} T^\nu B \otimes_A Hom_A(\mathbb{A}^1, \mathbb{A}^1) = B \otimes_A R[T],$$

where  $B = \Gamma(S, \mathcal{O}_S)$  and  $S$  is assumed quasi-compact.

Thus an element  $\theta \in G(S)$  can be identified with  
 a polynomial

$$\theta(T) = 1 + c_1 T + \dots + c_p T^p$$

where  $c_i \in B \otimes_A R$  which is invertible, i.e.  
 such that  $\exists$  any poly.  $\theta'(T)$  of the same form  
 such that  $\theta \theta' = \theta' \theta = 1$ .

So when  $S = U_{f_0 f_1}, B = A_{f_0 f_1}$ , we have

$$\theta(T) = 1 + a_1 T + \dots + a_p T^p$$

$$\theta^{-1}(T) = 1 + b_1 T + \dots + b_p T^p$$

with  $a_i, b_i \in R_{f_0 f_1}$ . ~~As~~ as  $\text{Spec } A = U_{f_0} \cup U_{f_1}$ , we have  $A_{f_0}^N + A_{f_1}^N = A$  for any  $N$ , hence for any  $N$  there exists an element  $g$  of  $A$  with  $g \in A_{f_1}^N$  and  $1-g \in A_{f_0}^N$ . By the following lemma the elt.  $\theta(gT)$  of  $G(U_{f_0 f_1})$  extends to an element  $\varphi_0$  of  $G(U_{f_0})$  if  $N$  is suff. large, and the elt.  $\theta(gT)\theta(T)^{-1}$  extends to an element  $\varphi_1$  of  $G(U_{f_1})$ . Then in  $G(U_{f_0 f_1})$  we have

~~$$\varphi_1^{-1} \varphi_0 = (\theta(gT)\theta(T)^{-1})^{-1} \theta(gT) = \theta(T)$$~~

$$\varphi_1^{-1} \varphi_0 = (\theta(gT)\theta(T)^{-1})^{-1} \theta(gT) = \theta(T)$$

as desired.

Lemma: Let  $\theta(T) \in G(\text{Spec } A_f)$ . There exists an integer  $N$  such that for any  $g_1, g_2 \in A$  such that  $g_1 - g_2 \in A_f^N$ , we have that  $\theta(g_1 T)\theta(g_2 T)^{-1}$  extends to an element of  $G(\text{Spec } A)$ .

Proof: Let

$$\theta(T) = 1 + a_1 T + \dots + a_p T^p$$

$$\theta^{-1}(T) = 1 + b_1 T + \dots + b_p T^p$$

$a_i, b_i \in R_f$ . (Here  $R$  is an alg. over  $A$  not nec. comm.)  
Let  $Y, Z$  be indeterminates.

$$\theta((Y + f^N Z)T)\theta(YT)^{-1} = 1 + [\theta((Y + f^N Z)T) - \theta(YT)]\theta(YT)^{-1}$$

$$= 1 + \sum_{\substack{1 \leq i \leq p \\ 0 \leq j \leq p}} [(Y + f^N Z)^i - Y^i] f^j a_i b_j T^{i+j}$$

$$= 1 + Z \sum_{\substack{1 \leq i \leq p \\ 0 \leq j \leq p}} f^N a_i b_j \left[ \sum_{\nu=0}^{i-1} (Y + f^N Z)^\nu Y^{i-1-\nu} \right] f^j T^{i+j-1}$$

For  $N$  suff. large,  $\exists c_{ij} \in R$  such that  $f^N a_i b_j = g(c_{ij})$  where  $g: R \rightarrow R_f$  is the canonical localization homomorphism.

$\in R[Y, Z, T]$  such that

~~$$\theta(Y + f^N Z) T \theta(Y T)^{-1} = g(\psi(Y, Z, T))$$~~

~~$$\psi(Y, Z, T)$$~~

~~$$\psi(Y, 0, T) = 1$$~~

Replacing  $Y$  by  $Y + f^N Z$  and  $Z$  by  $-Z$  we get

~~$$\theta(Y T) \theta(Y + f^N Z) T^{-1} = g(\psi'(Y, Z, T))$$~~

for  $\psi'(Y, Z, T) = \psi(Y + f^N Z, -Z, T)$ . It follows that

$g(\psi\psi') = 1$ , hence  $\psi\psi' = 1 + Z\sigma$  where  $g(\sigma) = 0$ ,

hence  $f^N \sigma = 0$  for some  $N$ . Thus if

Hence  $\exists \psi$  and  $\psi(Y, Z, T) \in R[Y, Z, T]$  such that

~~$$\theta(Y + f^N Z) T \theta(Y T)^{-1} = g(1 + Z\psi(Y, Z, T)).$$~~

Replacing  $Y$  by  $Y + f^N Z$  and  $Z$  by  $-Z$  we get

~~$$\theta(Y T) \theta(Y + f^N Z) T^{-1} = g(1 + Z\psi'(Y, Z, T))$$~~

It follows that  $(1 + Z\psi)(1 + Z\psi')$  and  $(1 + Z\psi')(1 + Z\psi)$

Hence there exists an integer  $r$  and a  $\psi \in {}^{1+ZT}B[Y, Z, T]$  such that

$$\theta((Y+f^r Z)T)\theta(YT)^{-1} = \rho(\psi)$$

~~$$\psi \in {}^{1+ZT}B[Y, Z, T]$$~~

~~Replacing~~ Replacing  $Y$  by  $Y+f^r Z$  and  $Z$  by  $-Z$ , we get a  $\psi' \in {}^{1+ZT}B[Y, Z, T]$  such that

$$\theta(YT)\theta((Y+f^r Z)T)^{-1} = \rho(\psi')$$

It follows that  $\psi\psi^{-1}$  and  $\psi'\psi'^{-1}$  are polys in  ${}^{1+ZT}B[Y, Z, T]$  killed by  $\rho$ , hence their coefficients are killed by  $f^s$  for  $s$  suff. large. This means that for any  $z \in f^s A$ ,  $\psi(Y, z, T)$  will have inverse  $\psi'(Y, z, T)$ . Thus if  $g_1 - g_2 = hf^{r+s}$ , we can take  $z = hf^s$ ,  $y = g_2$  and we get

$$\theta(g_1 T)\theta(g_2 T)^{-1} = \rho(\psi(Y, z, T))$$

where  $\psi(Y, z, T) \in (1+TR)^*$ , proving the lemma.

Formulate lemma:  $R$  be an Alg. not nec. comm.

$$G(B) = (1 + T(B \otimes_A R)[T])^*$$

How the lemma implies factoring. Apply the

lemma to  $A_{f_0}$  rep. by  $A$ ,  $f = f_L$ ,  $R = \text{End}_A(V)_{f_0}$   
 $g - 0 \in A_{f_0} f_0^N$  to get  $\theta(gT)$  extends to an element of  $G(A_{f_0})$ . Similarly  $g - 1 \in A_{f_0} f_0^N$  and the lemma implies  $\theta(gT)\theta(T)^{-1}$  comes from  $A_{f_0}$ .

Now suppose  $\text{Spec } A = U_{f_0} \cup \dots \cup U_{f_g}$  i.e.  
 $A = A_{f_0} + \dots + A_{f_g}$  and proceed by induction on  $g$ .  
 Since  $\exists g_i \in A$  such that  $1 = \sum g_i f_i$  we can, replacing  $f_i$  by  $g_i f_i$ , assume that  $\sum f_i = 1$ . Then

$$U_{1-f_g} \subset U_{f_0} \cup \dots \cup U_{f_{g-1}}$$

so if we apply the induction hypothesis to the ring  $A_{1-f_g}$  whose spectrum is covered by  $U_{(1-f_g)f_0} \cup \dots \cup U_{(1-f_g)f_{g-1}}$  we see  $P(A_{1-f_g}) \neq \emptyset$ .

Then applying the case  $g=1$  to  $\text{Spec } A = U_{f_g} \cup U_{1-f_g}$  we conclude that  $P(A) \neq \emptyset$  as was to be shown.

Assertion: If  $B_i$  is a <sup>filtered</sup> inductive system of ~~finite~~  $A$ -algebras, then  $\varinjlim P(B_i) \xrightarrow{\sim} P(\varinjlim B_i)$ .

Put  $B = \varinjlim B_i$  and let  $s: B[T] \otimes_A V \xrightarrow{\sim} B \otimes_A M$

be an element of  $P(B)$ .  ~~$s$  is determined by its restriction to  $E$ , since  $E$  is finitely presented over  $A$ .  
 $\text{Hom}_{B[T]}(E, B \otimes_A M) \xrightarrow{\sim} \varinjlim \text{Hom}_{B_i[T]}(E, B_i \otimes_A M)$   
 $s$  comes from an  $s_i: E \rightarrow B_i \otimes_A M$ .~~

~~we can suppose~~ Because  $A[T] \otimes_A V$  and  $M$  are finitely presented  $A[T]$ -modules one has  
 $\text{Hom}_{B[T]}(B[T] \otimes_A V, B \otimes_A M) = \text{Hom}_{A[T]}(A[T] \otimes_A V, B \otimes_A M)$

$$= \varinjlim \text{Hom}_{A[T]} (A[T] \otimes_A V, B_i \otimes_A M)$$

$$= \varinjlim \text{Hom}_{B_i[T]} (B_i[T] \otimes_A V, B_i \otimes_A M).$$

etc., so this isomorphism  $s$  comes from an isomorphism  $s_i: B_i[T] \otimes_A V \xrightarrow{\sim} B_i \otimes_A M$  for some  $i$ . Since  $s$  reduced modulo  $T$  coincides with the given isom  $B \otimes_A V \xrightarrow{\sim} B \otimes_A M / TM$ , this must also be true for  $s_i$  if we enlarge  $i$ . Q.E.D.

Thus  $P(A_{\mathfrak{p}}) = \varinjlim_{f \notin \mathfrak{p}} P(A_f)$  and so we

see that  $P(A_{\mathfrak{p}}) \neq \emptyset \Rightarrow P(A_f) \neq \emptyset$  for some  $f \notin \mathfrak{p}$ .

This means that if  $P(A_{\mathfrak{m}}) \neq \emptyset$  for all maximal ideals  $\mathfrak{m}$ , then  $P(U_{f_i}) \neq \emptyset$  for  $U_{f_i}$  covering  $\text{Spec } A$ , hence by the previous assertion  $P(A) \neq \emptyset$ .

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Let  $E$  be a vector bundle over  $\mathbb{P}_A^1$ , and  $M$  its restriction to  $A[T]$ . I propose to show that there exists an isomorphism

$$A[T] \otimes_A V \xrightarrow{\sim} M$$

reducing modulo  $T$  to a given isom.  $V \xrightarrow{\sim} M/TM$ .  
By the preceding this question is local on  $A$ ; I can assume  $A$  is a local ring if ~~desired~~ I want.

To simplify suppose  $A$  is an algebra over a field  $k$ . Let  $E$  be a vector bundle of rank  $n$  over  $\mathbb{P}_A^1$ . ~~Suppose~~ Let  $V_0 = E/zE(-1)$   
 $V_\infty = E/z^{-1}E(-1)$  be the fibres over the  $0$  and  $\infty$  sections of  $\mathbb{P}_A^1$ .

$$0 \rightarrow E(-1) \rightarrow E \rightarrow V_0 \rightarrow 0$$

Twisting  $E$  sufficiently we can, without changing  $E$  restricted to  $\mathbb{A}_A^1$ , assume  $H^1(\mathbb{P}_A^1, EA) = 0$ , hence

$$0 \rightarrow \Gamma(E(-1)) \rightarrow \Gamma(E) \rightarrow V_\infty \rightarrow 0$$

As  $V_\infty$  is a projective  $A$ -module, we can ~~split~~ split this sequence and so find a map

$$\mathcal{O} \otimes V_\infty \rightarrow E$$

which is an isomorphism ~~near~~ near the  $\infty$  section.



Replacing  $E$  by  $E(m) = E \otimes \mathcal{O}(1)^{\otimes m}$  does not change the restriction to  $\mathbb{P}_A^1$ . Thus we may suppose  $E$  is regular, i.e.  $R^1 p_*(E(-1)) = 0$ . In this case ~~the restriction of  $E$  to  $\mathbb{P}_A^1(p)$  for any  $p \in \text{Spec } A$  is isom. to  $\mathcal{O}(p_1) \oplus \dots \oplus \mathcal{O}(p_n)$  where  $0 \leq p_1 \leq \dots \leq p_n$ . Let  $d$  be the degree of  $E$ .~~

Assertion: If  $A$  is local and  $E$  is a regular vector bundle on  $\mathbb{P}_A^1$  of rank  $n$ , then  $M = \Gamma(\mathbb{P}_A^1, E)$  is isomorphic to  $A[T]^n$ .

Proof: I argue by induction on  $\text{degree}(E) = d$ . If  $d=0$ , then the canonical map

$$\mathcal{O} \otimes \Gamma(E) \longrightarrow E$$

is an isomorphism. ( $E$  regular  $\implies \Gamma(E)$  is a bundle and this map is onto. But  $\text{rank } \Gamma(E) = \text{rank}(E)$ .)

Suppose  $d \geq 1$ . The restriction of  $E$  to the  $\infty$  section of  $\mathbb{P}_A^1$  over  $A$  is a rank  $n$  vector bundle over  $A$ . Let  $Z$  be the projective bundle of  $E/T_0 E(-1)$ ;  $Z \simeq \mathbb{P}_A^{n-1}$ . Over  $Z \times_A \mathbb{P}_A^1$ , one

has a canonical exact sequence

$$0 \rightarrow \mathcal{O}_{Z \times \mathbb{P}_A^1}(-1) \otimes E \rightarrow \mathcal{O}_{Z \times \mathbb{P}_A^1} \otimes E \rightarrow \mathcal{O}_{Z \times \mathbb{P}_A^1} \rightarrow 0$$

Over  $Z \times_A \mathbb{P}_A^1 = \mathbb{P}_Z^1$  there is a canonical

exact sequence

$$0 \rightarrow E' \rightarrow E_Z \rightarrow i_* \left( \frac{L}{\mathcal{O}_Z} \right) \rightarrow 0$$

where  $L$  is the canonical line bundle on  $Z$

(Recall over  $Z$ , one has a canonical quotient line bundle  $\mathcal{O}_Z \otimes (E/T_0 E(-1)) \rightarrow L$  where  $E'$  is a vector bundle of degree 1 less and where  $i_0: Z \rightarrow \mathbb{P}_Z^1$  is the  $\infty$  section.)

Fact: The subset of  $Z$  where  $E'$  is regular is open. More precisely the functor on Schemes/ $Z$

to sets given by

$$F(S) = \begin{cases} \emptyset & \text{if } E'_S \text{ is not regular on } S \\ \{S\} & \text{if } E'_S \text{ is regular on } \mathbb{P}_S^1 \end{cases}$$

is represented by an open subscheme  $U$  of  $Z$ .

~~This is because~~ Because  $\mathbb{P}_Z^1$  is of rel. dim 1 over  $Z$  and hence  $R^g p_* \equiv 0$  for  $g \geq 2$ , one knows that forming

~~$p_*$~~   $S \mapsto R^1 p_{S*}(E'_S)$  is compatible with ~~base~~ base

change:  ~~$R^1 p_{S*}(E'_S)$~~   $R^1 p_{S*}(E'_S) = \mathcal{O}_S \otimes_Z R^1 p_*(E')$ . But

where  $R^1 p_*(E')$  vanishes is an open subset of  $Z$ . QED.

So let  $U$  be this open subset of  $Z \simeq \mathbb{P}_A^{n-1}$ .

If we choose an isomorphism of

$$k \otimes_A E \simeq \mathcal{O}(p_1) \oplus \dots \oplus \mathcal{O}(p_n) \quad 0 \leq p_1 \leq \dots \leq p_n$$

then if  $d = p_1 + \dots + p_n \geq 0$ , we can find a ~~point~~ one dimensional quotient of  $k \otimes (E/T_0 E(-1))$

such that the corresponding ~~bundle~~ bundle is  $\cong \mathcal{O}(p_1) \oplus \dots \oplus \mathcal{O}(p_n-1)$  which is regular. Thus  $U$  contains a ~~rational~~ rational point over the closed point of  $A$ .

Finally, because  $Z \cong \mathbb{P}_A^n$  and  $A$  is local, this section of  $Z$  over the closed point of  $A$  extends to a section  $S$  of  $Z$  over  $A$ . [Any unimodular vector in  $k^n$  lifts to a unimodular vector in  $A^n$ ]. This section  $S$  is entirely contained in  $U$ , because  $S^{-1}(U)$  is an open set containing the closed point, hence as  $A$  is local it is all of  $\text{Spec } A$ .

Therefore we have constructed inside of  $E$  a bundle  $E'$  of degree  $d-1$  which is also regular, ~~and such that~~ and such that  $E$  and  $E'$  have the same restriction to  $A_A^1$ . By induction <sup>hypothesis</sup>, this restriction is trivial.

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Let  $C$  be a <sup>(alg)</sup> Riemann surface ~~not~~ not necessarily complete. Let  $F$  be the field of meromorphic functions on  $C$ . We ~~can~~ can make a space ~~of~~ <sup>out</sup> of rank  $n$  subbundles of  $F^n$  over  $C$ . ~~Denote this space  $L_n(C)$ . We have a map~~  
Denote this space  $L_n(C)$ . We have a map

$$L_n(C) \longrightarrow \text{Mappt}(C \cup \{\infty\}, BU_n)$$

which comes from considering the ~~bundle~~ bundle  $E$  with its trivialization (canonical) outside a finite set. The conjecture is that this map is a homotopy equivalence.

Space  $L_n(C)$  can be defined for any Riemann surface? ~~Its elements are pairs~~ Its elements are pairs  $(E, u)$  where  $E$  is a rank  $n$  vector bundle and  $u: E \rightarrow \mathcal{O}^n$  is a "rational" map. This data is glueable and so once we define  $L_n(C)$  for ~~the~~ the disk, we ~~can~~ can extend it ~~by~~ by ~~•~~ fibre products. Curious, but what this amounts to is different from the preceding, because we allow singularities of  $u$  to move to  $\infty$ . So it seems that I have ~~two~~ <sup>two</sup> sorts of spaces both with the same points, a point being a restricted choice of  $\mathcal{O}_P$ -lattice for each ~~•~~ point  $P$  in  $C$ , where restricted means the choice agrees with  $\mathcal{O}_P^n$  for almost all  $P$ .

So I have to worry about what happens in the disk. Question: One has a map from pairs  $(E, u)$  to divisors. Is this proper?  
 Answer: **NO** for at a point  $P$  the lattice determines the index of the corresponding divisor.

The ~~problem~~ problem is how to define the topology on the space  $L_n(\mathbb{C})$ . If I don't allow ~~singularities~~ to go to the boundary, then this space is naturally an inductive limit of compact ~~spaces~~ spaces.

Idea ~~seems~~ seems to be to allow holomorphic things. So the non-compact gadget has singularities tending toward infinity, Mittag-Leffler style. So I ought to be able to define  $L_n(\mathbb{C})$  as a ~~projective~~ projective limit over compact things.

~~Look~~ Look at divisors on  $\mathbb{C}$ . These determine line bundles over  $\mathbb{C}$ . With the correct topology on divisors it probably will be true that I get the space of maps from  $\mathbb{C}$  to  $BU_1$ .

Jan. 5, 1976

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Let  $C$  be a complete curve and let  $\text{Div } C$  be the space of divisors on  $C$ . I know

$$\pi_0(\text{Div } C) = H_0(C, \mathbb{Z}).$$

Let  $\text{Div}^0 C$  be the divisors of degree 0 and let  $J$  be the Jacobian of  $C$ . Then one has a  $\pi$ -map (surjective)

$$\text{Div}^0 C \longrightarrow J$$

whose kernel is of the homotopy type  $K(\mathbb{Z}, 2)$ . But this kernel can also be described as the quotient  $F^*/\mathbb{C}^*$  where  $F$  is the function field of  $C$ .

Conjecture:  $F^*$  is contractible in a suitably defined natural topology. Summary:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{C}^* & \longrightarrow & F^* & \longrightarrow & F^*/\mathbb{C}^* \longrightarrow 0 \\ & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\ & & S^1 & & \text{pt} & & K(\mathbb{Z}, 2) \\ & & \downarrow \cong & & & & \\ & & K(\mathbb{Z}, 1) & & & & \end{array}$$

$$\begin{array}{ccccccc} 0 & \longrightarrow & F^*/\mathbb{C}^* & \longrightarrow & \text{Div}^0 C & \longrightarrow & J \longrightarrow 0 \\ & & & & \downarrow \cong & & \downarrow \cong \\ & & & & (BU, \mathbb{C})^0 & & K(\mathbb{Z}^{2g}, 1) \end{array}$$

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Div}^0 C & \longrightarrow & \text{Div } C & \xrightarrow{\text{deg}} & \mathbb{Z} \longrightarrow 0 \\ & & & & \downarrow \cong & & \\ & & & & (BU, \mathbb{C})^C & & \end{array}$$

Next consider  $L_n(C)$  the space of rank  $n$  subbundles in  $F^n$ . Conjecturally we have

$$L_n(C) \sim BU_n^C$$

hence we have a ~~filtration~~ tower

$$\begin{array}{ccccc} BU_n^C & \longrightarrow & BU_n^{C-\infty} & \longrightarrow & BU_n \\ \uparrow & & \uparrow & & \\ \Omega U_n & & (U_n)^{2g} & & \end{array}$$

corresponding to the "skeleta filtration of  $C$ ".

~~What because  $L_n(C) \sim BU_n^C$  it follows~~

Assume there exists ~~a~~ a "space"  $\underline{\text{Vect}}_n(C)$  of algebraic vector bundles over  $C$ . Note that if we believe  $F^*$  is contractible, then from the fibration

$$F^* \longrightarrow \text{Div}(C) \longrightarrow \underline{\text{Pic}}(C)$$

$\underline{\text{Vect}}_1(C) = L_1(C)$  should be homotopy equivalent to  $\text{Div}(C)$ .

Thus it is clear that  $\underline{\text{Pic}}(C)$  is the "space" resulting from letting  $F^*$  act on  $\text{Div}(C)$ , ~~or~~ or what's the same, the ~~space~~ "space" belonging to the complex  $\bar{F}^* \longrightarrow \text{Div}(C)$

of topological abelian groups.

Conjecture:  $GL_n(F)$  is contractible for each  $n$  in ~~some~~ a suitable topology. Question: Is  $F$  contractible as a ~~topological~~ topological field?

Concrete interpretation of the above conjecture.

Let  $\{E_t\}$  be a family of ~~algebraic~~ algebraic vector bundles over  $C$  parameterized by a space  $T$ . Then it is always possible to find a family of rational maps  $\eta_t: E_t \dashrightarrow O^n$ . Proof: Can replace  $E_t$  by  $E_t(m)$  and so assume that  $E_t$  is generated by global sections. ~~Case~~ Take the case  $n=1$ . Then  $\Gamma(C, E_t)$  is a vector space of a given dimension, and  $t \mapsto \Gamma(C, E_t)$  is a vector bundle. Select over  $T$  a section  $s_t$  which vanishes nowhere, this is possible if  $\dim \Gamma(C, E_t) > \dim T$  which can be arranged. Then  $s_t: O \rightarrow E_t$  is non-zero, hence gives a rational map  $s_t: O \dashrightarrow E_t$  for each  $t$ . In the general case we have to be careful to select  $n$ -sections in  $\Gamma(C, E_t)$  which are generically independent.

To consider the following problem. Let  $E$  be a vector bundle over  $C$  which is sufficiently ~~ample~~ "ample". To calculate the codimension of those subspaces  $W \subset \Gamma(C, E)$  which are generically independent,



i.e. such that  $\mathcal{O}_C \otimes W \hookrightarrow E$ . Here  $\dim W = n$ .  
~~Given~~

Given

$$0 \longrightarrow R \longrightarrow \mathcal{O} \otimes V \longrightarrow E \longrightarrow 0$$

with  $V \hookrightarrow H^0(E)$ , let  $\sigma_1 \in V$ ,  $\sigma_1 \neq 0$ . Then

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ & & \mathcal{O} & \longrightarrow & \mathcal{O}_{\sigma_1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

$$0 \longrightarrow R \longrightarrow \mathcal{O} \otimes V \longrightarrow E \longrightarrow 0$$

$$\begin{array}{ccccccc} & & \downarrow & & \downarrow & & \\ & & \mathcal{O} & \longrightarrow & \mathcal{O}_{\sigma_1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

$$0 \longrightarrow R \longrightarrow \mathcal{O} \otimes V / k \cdot \sigma_1 \longrightarrow E / \mathcal{O}_{\sigma_1} \longrightarrow 0$$

so  $H^0(R) = 0 \implies V / k \cdot \sigma_1 \hookrightarrow H^0(E / \mathcal{O}_{\sigma_1})$ .

Unfortunately  $E / \mathcal{O}_{\sigma_1}$  may have torsion. ~~\_\_\_\_\_~~

Here's how to proceed. Suppose  $\dim T = d$ .  
 Choose  $d+1$  points  $P_0, \dots, P_d$  in  $C$  and twist  $E$  enough so that

$$V = \Gamma(C, E) \twoheadrightarrow E(P_0) \times \dots \times E(P_d)$$

Then those  $W \in \text{Grass}_n(V)$  which fail to project isomorphically into  $E(P_0)$  form a hypersurface, so those failing to project isom. onto some  $E(P_i)$  lie on an intersection of  $(d+1)$  hypersurfaces.

Reminiscent with Siegel formula.

Review ~~stuff~~ stuff on  $\zeta$  function.

$$\begin{aligned}
 \zeta(s) &= \sum_{D \geq 0} \frac{1}{N(D)^s} & N(D) &= q^{\deg D} \\
 &= \sum_{D \geq 0} z^{\deg D} & z &= q^{-s} \\
 &= \sum_{L \in \text{Pic} C} \sum_{D \mapsto L} z^{\deg D} \\
 &= \sum_{L \in \text{Pic} C} z^{\deg L} \frac{q^{H^0(L)} - 1}{q - 1}
 \end{aligned}$$

Analogous 2-dimensional  $\zeta$  is

$$\begin{aligned}
 \sum_{\mathcal{O}^2 \subset E \subset F^2} \frac{1}{\text{card}(E/\mathcal{O}^2)^s} &= \sum_{E \supset \mathcal{O}^2} z^{\text{length}(E/\mathcal{O}^2)} \\
 &= \sum_{E \supset \mathcal{O}^2} z^{\deg(E)} & \deg(E) &= \text{length}(E/\mathcal{O}^2) \\
 & & & \text{index of } E \text{ w.r.t. } \mathcal{O}^2 \\
 &= \sum_{\substack{E \in \text{iso classes} \\ \text{of rank 2} \\ \text{bundles}}} \frac{z^{\deg(E)} \cdot \text{card}\{\text{Inj}(\mathcal{O}^2 \hookrightarrow E)\}}{\text{card}\{\text{Aut}(E)\}}
 \end{aligned}$$

~~Actually it's~~

~~Understand the variety consisting of all~~

Problem: Understand the variety consisting of all  $n$ -diml subspaces  $W$  of  $H^0(E)$  such that  $0 \otimes W \rightarrow E$  is injective. ~~Understand~~

For each  $x \in C$  one has the open set  $U_x$  in  $\text{Grass}_n(V)$ ,  $V = H^0(E)$ , consisting of  $W$  such that  $W \rightarrow E(x)$  is an isomorphism. We seek those  $W$  belonging to some  $U_x$ :

$$W \in \bigcup_{x \in C} U_x$$

Because open sets satisfy the ascending chain condition one knows

$$\bigcup_{x \in C} U_x = U_{x_1} \cup \dots \cup U_{x_g}$$

for some finite subset  $\{x_1, \dots, x_g\}$  of  $C$ . ~~Understand~~

Another possibility would be to look at derivatives at a point. ~~Understand the variety consisting of all~~  
~~Understand~~ Suppose we look at

$$E/m_x^2 E \simeq (O_x/m_x^2)^2$$

Two elements here give a  $2 \times 2$  matrix in  $O_x/m_x^2$  which we can ask to be  $\neq 0$ . Given  $W \subset (O_x/m_x^2)^2$  with non-zero determinant, just what is the codimension of the complement of this set.

~~Fix~~ Fix  $x_1, \dots, x_g$  distinct points in  $C$  and assume  $V = H^0(E) \rightarrow E(x_1) \otimes \dots \otimes E(x_g)$ . Let us try to calculate the ~~bad~~  $W \in \text{Grass}_n(V)$  which are bad at  $x_1, \dots, x_g$ . This means that there is a hyperplane  $Z_i \subset E(x_i)$  ~~such that~~ for each  $i=1, \dots, g$  such that

$$W \in \bigcap e_{x_i}^{-1}(Z_i) = \text{Inverse image of } Z_1 \otimes \dots \otimes Z_g \text{ in } V$$

So  $Z_1, \dots, Z_g$  fixed this inverse image is of codim  $g$  in  $V$ , so the possible  $W$  contained in the inverse image form a variety of dim

$$\dim \{W \mid W \subset f^{-1}(Z_1 \otimes \dots \otimes Z_g)\} = n(\dim V - g)$$

Add  $g(n-1)$  for the possible  $(Z_1, \dots, Z_g)$  and we get

$$\begin{aligned} \dim \{\text{bad } W\} &= n(\dim V - g) + g(n-1) \\ &= n(\dim V - n) - g \end{aligned}$$

$$\dim \{\text{Grass}_n V\} = n(\dim V - n)$$

So we do get codimension  $g$  as I thought.

Try a similar calculation with  $E/m_x^g E$ . Here one has to consider all "hyperplanes" in  $E/m_x^g E$ , which by duality are all unimodular

vectors modulo scalars. The dimension of the space of unimodular vectors is  $n \cdot g$ , the dimension of  $(\mathcal{O}_x/m_x^g)^*$  is  $g$ , so the "hyperplanes" form a space of dimension  $(n-1)g$ . ~~Given~~ Given a hyperplane  $Z \subset E/m_x^g E$  we want to compute how many  $n$ -planes  $W$  are to be found inside of  $Z$ . Now  $W \cap m_x Z$  will contain at least a line, so the dimension of these lines is

$$\dim(m_x Z) - 1 = (g-1)(n-1) - 1$$

Once the line is chosen the ways of extending to an  $n$ -dimensional space are

$$(n-1)(\dim(Z) - 1 - (n-1)) = (n-1)((n-1)g - n)$$

Thus it seems that the bad  $W$  in  $E/m_x^g E$  form a variety of dimension  $\leq$

$$(n-1)g + (g-1)(n-1) - 1 + (n-1)((n-1)g - n)$$

$$(n-1)[(2g-1) + (n-1)g - 1] - 1$$

~~$$(n-1)[ng + g - 1 - n] - 1$$~~

$$(n-1)[ng + g - 1 - n] - 1 = (n-1)(n+1)(g-1) - 1 = (n^2-1)(g-1) - 1$$

But the dimension of all  $W$  in  $E/m_x^g E$  is

$$n(ng - n) = n^2(g-1)$$

Thus the codimension of the set of bad  $W$  is  $(g-1) + 1 = g$  which agrees with the calculation on page 9.

So we expect  $\text{Inj} \{O^n \hookrightarrow E\} / \text{GL}_n \hookrightarrow \text{Grass}_n(H^0 E)$  to ~~have~~ have its complement of codimension  $\geq \frac{\dim H^0(E)}{n}$

$$\dim H^0(E) - \dim H^1(E) = \deg E + n(1-g)$$


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January 6, 1976

Review.  $C$  complete nonsing curve over  $\mathbb{C}$ . We are interested in the "space" of algebraic vector bundles over  $C$  of rank  $n$ . The meaning of the ~~word~~ word "space" is not clear. The rough idea is that for each finite complex  $T$  one considers families of alg. bundles over  $C$  parameterized by  $T$  and one forms homotopy classes of these. Then one wants a space to represent ~~these~~ these families.

Now I have seen that given a family  $\{E_t\}_{t \in T}$  ~~then~~  $E_t(n, \infty)$  by twisting sufficiently I can find an injective map  $O^n \hookrightarrow E_t(n, \infty)$ .

whence I have found a lifting of the family to the space of bundles contained in  $F^n$ .

A consequence of this is that we can always deform the poles of a family  $(E_t, \mathcal{O}^n \dashrightarrow E_t)$  to a fixed basepoint  $\infty$ . For example suppose I have ~~LCF~~ LCF and a  $f \in F^*$ . Then the lattices  $L, fL$  are homotopic. To see this note that

$$f\mathcal{O} \cap \mathcal{O} \subset \mathcal{O} \quad \text{zeros of } f$$

$$\curvearrowright f\mathcal{O}$$

poles of  $f$ .

$(f) = f^{-1}(0) - f^{-1}(\infty)$ . Now  $f^{-1}(t)$  as  $t$  goes from 0 to  $\infty$  gives a homotopy between zeros and poles.

Shift from 0 to 1 via

$$z \mapsto z + t$$

~~$$z \mapsto z + t$$~~

$$0 \leq t \leq 1$$

then from 1 to  $\infty$  via

$$z \mapsto \frac{1}{\frac{1}{z} + t} = \frac{z}{1 + tz}$$

$$0 \leq t \leq 1$$

etc.

Thus if I have LCF and if I find a non-zero section  $\mathcal{O} \hookrightarrow L$  of  $F$  I get a homotopy of  $L$  to a  $\Lambda$  containing  $\mathcal{O}$ .

~~that I don't want~~

~~Consider the space  $S$  of non-zero rational sections of a line bundle  $L$ . This space is isomorphic to  $F^*$ . Given  $\alpha: T' \rightarrow S$ , where  $T' \subset T$ , I want to extend  $\alpha$  to  $T$ . Can one homotop  $\alpha$  so that all poles of  $\alpha(t)$  are at one point  $\infty$ ?~~

~~Assume that I can find a family  $\Lambda_t \subset L$  of lattices such that~~

$$\Lambda_t \subset \alpha(t) \subset L \quad \forall t \in T'$$

~~Thus  $\Lambda_t$  acts as a bound for the family of poles of  $\alpha(t)$ . ??~~

$$\alpha: t \mapsto f_t,$$

Let  $T' \rightarrow F^*$  be a family of rational non-zero functions, where  $T'$  is a subcomplex of  $T$ . I want to show  $\alpha$  can be extended to  $T$ . I will assume I can find a family of ideals

$$\Lambda_t \subset \mathcal{O} \cap f_t \mathcal{O}$$



Thus  $\Lambda_t$  is a ~~positive divisor~~ positive divisor which bounds the zero divisor of  $f_t$ . For  $m$  large enough I know that ~~the bundle~~ the bundle  $t \mapsto \Gamma(C, \Lambda_t(m\infty))$  on  $T'$  has a nowhere-vanishing section  $s_t$ . Moreover ~~we can extend~~ ~~(recall  $\Lambda_t \subset \mathcal{O}$ )~~  $s_t$  can be extended to a non-~~vanishing~~ zero section of  $\mathcal{O}(m\infty)$  for all  $t \in T$ . Thus we get a family  $s_t \in \Gamma(\mathcal{O}(m\infty))$  for  $t \in T$  with  $s_t \neq 0$  for all  $t$  such that  $s_t \in \Gamma(\Lambda_t(m\infty)) \subset \Gamma(\mathcal{O}(m\infty))$  for all  $t \in T'$ . We have

$$\mathcal{O}_{s_t}(-m\infty) \subset \Lambda_t$$

so we can replace  $\Lambda_t$  by  $\mathcal{O}_{s_t}(-m\infty)$  in which case we have extended our choice of  $\Lambda_t$  to all of  $T$ . Also

$$\mathcal{O}_{s_t}(-m\infty) \subset f_t \mathcal{O}$$

so

$$\mathcal{O}(-m\infty) \subset s_t^{-1} f_t \mathcal{O}$$

$$\cap \mathcal{O}$$

so, as  $s_t$  extends to  $T$ , to see if  $f_t$  does we can suppose  $\Lambda_t = \mathcal{O}(-m\infty)$  for all  $t$ . Thus we have reduced to the case where ~~the~~ the poles of  $f_t$  are at  $\infty$  and ~~of~~ of order  $\leq m$  for all  $t$ . Thus  $f_t$  is the same thing as a

non-zero section of  $\mathcal{O}(m\infty)$ , hence by enlarging  $m$  we know ~~that the map is surjective~~ we can extend it to all of  $T$ .

The preceding explains the contractibility of ~~the~~  $F^*$  (at least the weak contractibility). The proof works without essential change for  $GL_n(F)$ .

Summary: I have a bit better understanding of the contractibility of  $GL_n(F)$ . Therefore I understand somewhat why the space  $L_n(C)$  has the same homotopy as  $\underline{Vect}_n(C)$  (the space classifying families of rank  $n$  vector bundles over  $C$ .) What remains now is to understand at least conjecturally the  $K$ -theoretic implications.

Consider the localization sequence

$$\rightarrow K_i C \rightarrow K_i F \xrightarrow{\partial} \bigoplus_{P \in C} K_{i-1}(k(P)) \rightarrow$$

$$\parallel$$

$$K_{i-1}(k) \otimes \text{Div } C.$$

~~The~~ In the topological ~~analogue~~ ~~of~~ this spectral sequence  $K_* F$  will be  $\mathbb{Z}$ . So we find

that  $K_i^{\text{top}} C = K_i^{\text{top}}(\text{torsion sheaves on } C) \oplus \begin{cases} \mathbb{Z} & i=0 \\ 0 & i \neq 0 \end{cases}$

~~These appear to be consistent with all earlier conjectures.~~ These appear to be consistent with all earlier conjectures.

---

To prove the conjectures. Is it possible to piece together locally?

~~Look at all the stuff I feel happy working with~~

You might try to proceed globally. Start with a  $^{\text{top}}$  vector bundle  $E$  over  $C$ , i.e. a map  $C \rightarrow BU_n$ . You need now a procedure to convert this to an algebraic vector bundle.

Look at  $P^1$ . Standard method is to use the fact that if I remove  $\infty$  from  $P^1$  the bundle becomes contractible. Thus topologically the bundle is specified by giving a map  $S^1 \rightarrow GL_n$ . (baspt. pres.)

$$\Omega U_n \longrightarrow BU_n^{P^1} \longrightarrow BU_n$$

Next one uses the fact that algebraic maps  $S^1 \rightarrow U_n$  are dense in  $\Omega U_n$ .

~~What does approximation consist of?~~  
 Under what general circumstances could I approximate continuous by algebraic maps.

Consider the general ~~point~~ situation. Let  $E \rightarrow B$  be a space over  $B$ .  ~~$E \rightarrow B$~~



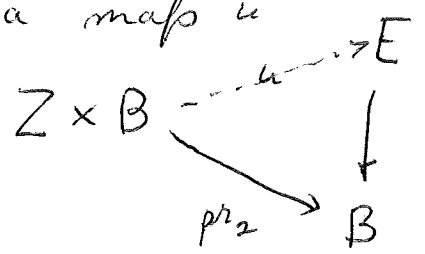
$$f_* X = \prod_{y \in S} X_y$$

$$\text{Hom}_{/S}(Z, f_* X) = \text{Hom}_{/Y}(Z \times_S Y, X)$$

$$\text{Thus } (f_* X)_* = \prod_{y \in \{4\}} X_y$$

One gets  $\Gamma(X/Y)$  by taking  $S = \text{pt}$ .

So suppose  $E$  is a space over  $B$  and we have some candidate  $Z$  for  $\Gamma(E/B)$ , i.e.  $Z$  comes with a map  $u$



When can I conclude that  $Z \rightarrow \Gamma(E/B)$  is a homotopy equivalence? One method is to arrive at  $\Gamma(E/B)$  by localization on  $B$ . If  $B = U \cup V$ , then

$$\begin{array}{ccc} \Gamma(E/B) & \longrightarrow & \Gamma(E_V/V) \\ \downarrow & & \downarrow \\ \Gamma(E_U/U) & \longrightarrow & \Gamma(E_{U \cup V}/U \cup V) \end{array}$$

is cartesian, possibly homot. cart. If  $Z$  localizes also, one might use this method.

This doesn't work for  $\overset{\text{alg}}{\Omega} U_n \subset \Omega U_n$ .

Because algebraic maps  $S^1 \rightarrow U_n$  don't localize over  $S^1$ . ~~They do not~~ OK.

~~Because algebraic maps~~

Note that iso classes of vector bundles on  $\mathbb{P}^1$  differ from iso classes of ~~topological~~ topological bundles on  $\mathbb{P}^1$ . This amounts to the fact that to modify a function  $S^1 \rightarrow GL_n$  by holom. maps  $\{|z| \leq 1\} \rightarrow GL_n$  and  $\{|z| \geq 1\} \rightarrow GL_n$  is not the same thing as modifying it by continuous maps. Example  $O(1) \oplus O(-1)$  can be deformed into  $O^2$  hence it is topologically trivial.

The ~~fact~~ fact that  $\Omega U_n$  is rep. by <sup>invertible</sup> Laurent poly matrices is equivalent to the fact that any family of algebraic vector bundles  $\{E_x\}$  on  $\mathbb{P}^1$  can be trivialized over  $A^1$ . Is there a direct method of proving this?

If  $C$  is a non-compact Riemann surface, then any <sup>holom.</sup> line bundle over  $C$  is trivial. This follows by the Grauert theorem. In more elementary terms, one ~~can~~ can choose a non-vanishing section, then the line bundle becomes a divisor, and one can by Mittag-Leffler construct a meromorphic function ~~associated~~ associated to this divisor. (This is really quite different from trivializing an algebraic bundle over  $\mathbb{A}^1$ .)

Over  $\mathbb{P}^1$  let  $E$  be a bundle. Then I can consider the ~~set~~ set of unimodular subspaces in  $E$ . Such a thing is a  $\mathbb{C}$  subspace  $V \subset \Gamma(\mathbb{A}^1, E) \cong \mathcal{O} \otimes V \rightarrow E(\infty, \infty)$  is an isomorphism off  $\infty$ . ~~Because~~ Because  $E$  is trivial over  $\mathbb{A}^1$ , the set of such unimodular subspaces  $V$  can be identified with  $GL_n(\mathbb{C}[t])/GL_n(\mathbb{C})$ , hence it should form a contractible space.

Suppose that  $E$  is a bundle over  $\mathbb{P}^1$ . How do I show it becomes trivial over  $\mathbb{A}^1$ ? Select a sub-line bundle  $L$  in  $E$ .  $L$  has a fairly ~~canonical~~ canonical trivialization off  $\infty$ . Thus if I can produce a full flag in  $E$  I get the required trivialization.

General question: Given a family of vector bundles over  $\mathbb{P}^1$  it is possible to trivialize the

family over  $\mathbb{P}^1 - \infty$ ? This question can be asked both in the topological and ~~algebraic~~ scheme contexts. In fact I think one has a proof in the topological context by the building theory.

Begin with the family  $E_t$  and try to find ~~a~~ a map

$$(*) \quad \mathcal{O} \otimes E_t(0) \longrightarrow E_t$$

which ~~is~~ is an isomorphism over  $0 \in \mathbb{P}^1$ . This can be put as a lifting problem

$$\begin{array}{ccc} & \mathcal{O} \otimes E_t(0) & \\ & \swarrow & \downarrow \\ E_t & \longrightarrow & E_t(0) \end{array}$$

and can be solved by replacing  $E_t$  with  $E_t \otimes \mathcal{O}(m\infty)$  for  $m$  sufficiently large. Because  $\mathbb{T}^1$  is compact this map  $(*)$  is an isom. over a nbd of  $0$  which I can assume to be  $|z| \leq 1 + \varepsilon$ .

Here's the problem: All you can show by this method is that any family  $E_t$  is homotopic to one which can be trivialized over  $\mathbb{A}^1$ . There might be a way ~~of~~ of showing the space of unimodular subspaces is contractible.

The problem: ~~I~~ I know that one can vary bundles over  $\mathbb{P}^1$  so that they are not isomorphic. ~~There is~~ There is a family of bundles

on  $\mathbb{P}^1$  is not ~~usually~~ locally trivial. So it is not obvious that such a family when restricted to  $A^1$  is locally trivial.

So return again to the case of a family  $\{E_t\}$  of bundles on  $\mathbb{P}^1$ . ~~Assume~~ Assume  $E_t(0) \simeq \mathbb{C}^n$ , i.e. we give  $\mathcal{O}^n \subset \rightarrow E_t$  isomorphism around zero. Then I want to modify this so as to make ~~it~~ an isomorphism over all of  $\mathbb{P}^1$ .

Heuristic idea. Because  $\mathcal{O}^n \subset \rightarrow E_t$  is an isomorphism near zero, we can find a disk  $D$  independent of  $t$  on which it is an isomorphism. Then ~~the~~  $E_t$  is the bundle specified by a scattering matrix, ~~which~~ which is a matrix of rational functions non-singular on  $\partial D$ . ~~Further more over~~ Now let ~~the~~  $\mathcal{G}$  be the group of these rational functions matrices, and  $\mathcal{G}^+$  the subgroup non-singular inside  $D$  and  $\mathcal{G}^-$  those non-singular outside  $D$  with possible ~~at~~ singularity at  $\infty$ . We know that

$$\mathcal{G}^+ \times \mathrm{GL}_n(\mathbb{C}[z]) \mathcal{G}^- \xrightarrow{\sim} \mathcal{G}$$

so ~~the~~ we ought to be able to lifting the clutching function for  $E_t$  to a product  $\mathcal{G}^+ \mathcal{G}^-$  where  $g^+(0) = 1$ . If this can be done then over  $A^1$  the cycle defining  $E_t$  becomes a boundary,



so  $E_t$  restricted to  $A^1$  is trivial.

Summary: I do not yet know that any family  $E_t$  of bundles on  $\mathbb{P}^1$  becomes trivial over  $A^1$ . I have some hope that the work on Laurent loops will shed light on this problem. How?

So I will consider outgoing subspaces ~~in~~ in  $L^2(S^1)^n$  which correspond to rational scattering matrices. This means they are commensurable with  $\mathcal{O}^n = H^2(S^1)^n$ . The idea is to somehow let act on these outgoing spaces those rational matrices which are nonsingular outside  $|z|=1$  excluding  $\infty$ . ~~for which the idea is to~~

$\mathcal{G}^+$  rational ~~regular~~ regular on  $S^1$ .  $\mathcal{G}^+$  those regular inside  $D$ .  $\mathcal{G}^-$  those regular outside  $|z|=1$  excluding  $\infty$ . Then  $\mathcal{G}/\mathcal{G}^+ =$  outgoing subspaces of  $L^2(S^1)^n$  commensurable with  $H^2(S^1)^n$ , and  $\mathcal{G}^-$  acts transitively on this thing, and the stabilizer of  $H^2(S^1)^n$  is  $\mathcal{G}^+ \cap \mathcal{G}^- = GL_n(\mathbb{C}[z])$ . We can ~~cut~~ cut  $\mathcal{G}^+$  down to those matrices = 1 at 0, so as to make  $\mathcal{G}^+ \cap \mathcal{G}^-$  contractible.

Idea: Given  $D$  ~~matrix~~ a lattice in  $\mathbb{C}[z]^n$  with support inside  $|z|=1$ , we can choose the orthogonal complement of  $zD$  in  $D$  for the metric defined by integration over  $|z|=r$  and then

take the limit as  $r \rightarrow \infty$ . Do we get a unimodular subspace for  $D$ ?

Example: let  $D = \mathbb{C}[z](z - \alpha)$ . Then the generator for  $D$  for  $|z| = 1$  is

$$\frac{z - \alpha}{1 - \bar{\alpha}z}$$

Note: this ~~is~~ has absolute value one on ~~the~~  $|z| = 1$ , and  $1 - \bar{\alpha}z$  is non-singular on  $|z| \leq 1$ , for it vanishes when  $z = \frac{1}{\bar{\alpha}}$  which is outside  $|z| = 1$ .

The generator for  $D$  using  $|z| = r$  is

$$\frac{z - \alpha}{r - \frac{\bar{\alpha}}{r}z}$$

no limit as  $r \rightarrow \infty$

$$(z\bar{z} = r^2, \quad \left| \frac{z - \alpha}{\frac{r^2}{z} - \bar{\alpha}} \right| = 1)$$

$$1 = \left| \frac{z - \alpha}{\frac{r^2}{z} - \bar{\alpha}} \right| = |z| \left| \frac{z - \alpha}{r^2 - \bar{\alpha}z} \right| = r \left| \frac{z - \alpha}{r^2 - \bar{\alpha}z} \right| = \left| \frac{z - \alpha}{r - \frac{\bar{\alpha}}{r}z} \right|$$

so the idea doesn't work.

January 9, 1975

24

I consider the old problem of lattices in  $\mathbb{C}[z]^n$ . Specifically I want to consider the space  $X$  consisting of all  $\mathbb{C}[z]$ -submodules  $\Lambda$  in  $\mathbb{C}[z]^n$  ~~of a given codimension~~ of a given codimension. Thus I am considering all quotients of  $\mathbb{C}[z]^n$  of a given length, so  $X$  is some kind of Quot scheme.

I know that any  $\Lambda$  in  $X$  is ~~isomorphic~~ ~~to~~  $\mathbb{C}[z]^n$ , hence to  $\mathbb{C}[z] \otimes \Lambda / z\Lambda$ . The question is ~~is~~ how to choose a global isomorphism between the two.

Purely algebraic question: Let  $X$  be the ~~scheme~~ scheme of quotients of  $\mathcal{O}_{\mathbb{A}^1}^n$  of a fixed length. Over  $X \times \mathbb{A}^1$  one has two vector bundles. Are these isomorphic?

Work over  $\mathbb{P}^1$  first. If  $E$  is a quotient of  $\mathcal{O}^2$  of length  $\rho$  one has then  $\Gamma(E)$  is of dimension  $\rho$ .

$$0 \rightarrow \mathcal{K}(E) \rightarrow \mathcal{O}^2 \rightarrow E \rightarrow 0$$

$$0 \rightarrow \mathcal{O}(-1) \otimes \Gamma(E) \rightarrow \mathcal{O} \otimes \Gamma(E) \rightarrow E \rightarrow 0$$

Now we are restricting the support of  $E$  to be away from infinity, whence  $E$  itself is just the vector space  $\Gamma(E)$  with the

endo given by multiplying by  $z$ . Therefore we may describe  $X$  as consisting of a vector space  $V$  with endo  $\theta$  and two elements  $\sigma_1, \sigma_2$  which span it, all this up to isomorphism.

What are then the two bundles over  $X$ . One is  $K$ .

$$0 \rightarrow K \rightarrow \mathbb{C}[z]^2 \rightarrow E \rightarrow 0$$

and the other is  $K/zK \otimes \mathbb{C}[z]$ . Maybe it would help to assume that  $E$  has no support at  $0$ , i.e. that  $\theta$  is an isomorphism, for then  $K/zK$  is canonically isomorphic to  $\mathbb{C}^2$ .

Question: Is the bundle  $E$  on  $X$  with fibres  $E$  trivial or non-trivial? Is the bundle  $K/zK$  with fibres  $K/zK$  trivial over  $X$ ?

So again I consider the space of all  $\Lambda$  inside  $\mathbb{C}[z]^2$  of codimension  $p$ . I will restrict the support to be inside of  $|z| < 1$ , so as to have a scattering matrix to describe  $\Lambda$  in a 1-1 fashion.

~~Then~~ Then I have a map

$$\{\Lambda\} \rightarrow S_p(D)$$

~~which is not a bijection~~ given by support. Are the fibres of the same

dimension? ~~Answer~~

Take the fibre over 0. In this case I want the dimension of the set of  $\Lambda$  in  $\mathbb{C}[[z]]^n$  of length  $g$ . This sort of thing you computed when you worked over a finite field and worked out the local zeta factor:

$$\sum_{\Lambda \subset \mathcal{O}^n} \frac{1}{\text{card}(\mathcal{O}^n/\Lambda)^g}$$

To compute those  $\Lambda$  one intersects

$$0 < \Lambda \cap \mathcal{O}e_1 < \Lambda \cap (\mathcal{O}e_1 + \mathcal{O}e_2) < \dots < \Lambda \cap (\mathcal{O}^n) = \Lambda.$$

$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$   
 $\pi^{a_1}\mathcal{O}e_1 \qquad \pi^{a_2}$

so you get a unique basis for  $\Lambda$  of the form

$$x_1 = \pi^{a_1} e_1$$

$$x_2 = f_{12} e_1 + \pi^{a_2} e_2$$

$f_{12}$  unique mod  $\pi^{a_1}\mathcal{O}$

$$x_3 = f_{13} e_1 + f_{23} e_2 + \pi^{a_3} e_3$$

$f_{13}$  unique mod  $\pi^{a_1}\mathcal{O}$

$f_{23}$   $\frac{\quad}{\pi^{a_2}\mathcal{O}}$

And so one sees that for given  $a_1, a_2, \dots, a_n \geq 0$

one has  $\mathfrak{o}^{\mathfrak{o}} \mathfrak{o}^{a_1} \mathfrak{o}^{a_1+a_2} \mathfrak{o}^{a_1+\dots+a_{n-1}}$  for the number.

Thus

$$\sum_{a_1, \dots, a_n \geq 0} \frac{q^{a_1} q^{a_1+a_2} \dots q^{a_1+\dots+a_{n-1}}}{(q^{a_1+\dots+a_n})^s}$$

is the local  $\int$  factor

$$= \sum_{a_1 \geq 0} \frac{1}{(q^{a_1})^{s-n+1}} \dots \sum_{a_n \geq 0} \frac{1}{(q^{a_n})^{s-n}}$$

$$= \frac{1}{1 - \frac{q^{n-1}}{q^s}} \dots \frac{1}{1 - \frac{1}{q^{s-n+1}}}$$

$$= \frac{1}{1 - q^{n-1}z} \dots \frac{1}{1 - z}$$

Now I want ~~the~~ the coefficient of  $z^p$  which is the number of lattices with index  $p$ .

$n=2$   $\frac{1}{1-qz} \frac{1}{1-z} = (1+qz+q^2z^2+\dots)(1+z+z^2+\dots)$   
 $p=2$  number is ~~1+q+q^2~~ dim. 2

Thus if you take a degree 2 divisor without multiplicity the fibre is all pairs ???

$a, b$  distinct. To find  $\Lambda$  of codim 2 in  $\mathbb{C}[z]^2$  whose support is  $a, b$ . This means that as  $\mathbb{C}[z]^2 / (z-a)(z-b)\mathbb{C}[z]^2 = (\mathbb{C}[z]/(z-a))^2 \oplus (\mathbb{C}[z]/(z-b))^2$

that  $\Lambda$  amounts to a line in the first factor and

a line in the second factor. Total of 2 dimension. On the other hand if  $a=b=0$ , then the number of lattices of codim 2 in  $(\mathbb{C}[z]/z^2)^2$  includes at least the direct factors

$$\dim \mathbb{P}_1(\mathbb{C}[z]/z^2) = 4 - 2 = 2$$

$n=2$   $\dim \mathcal{F}$  same as for generic divisor.

$n=n$  general case then the dimension is just the coeff. of  $z^p$  in  $(\mathbb{C}[z]/z^p)^n$ , so the dimension is  $p(n-1)$  which is the same as for the generic divisor.

Example: The fibre over a generic divisor is a product of projective spaces. I can view it as the obvious compactification of the set of  $n$ -independent lines. Think of semi-simple elements of  $GL_n$  or better regular elements of  $M_n(\mathbb{C})$ .

Look at the bundle  $\mathcal{E}$  in the case  $n=2$ ,  $\rho=1$ . Thus I am looking at all dimension 1 quotients of  $\mathbb{C}[z]^2$ . An element of  $X$  is just a one dimensional quotient of  $\mathbb{C}^2$  plus a number  $\lambda$ .

$$X = \mathbb{P}_1 \mathbb{C} \times \mathbb{C}.$$

The bundle  $E$  on  $X$  is just  $\mathcal{O}(1)$  pulled up from  $\mathbb{P}^1$ . Thus  $E$  is not trivial.

---

Next point is ~~the~~ computes the bundle  $\mathcal{K}$  and whether it is essentially trivializable. Given  $E, \lambda$  we have

$$K = \text{Ker} \{ \mathbb{C}[z]^2 \rightarrow E \}$$

fits into an exact sequence canonical

$$0 \rightarrow \mathbb{C}[z] \otimes E' \rightarrow K \rightarrow \mathbb{C}[z] \rightarrow 0$$

"

$$\mathbb{C}[z]^2(z-1) + \mathbb{C}[z] \otimes E'$$

where  $E' = \text{Ker} \{ \mathbb{C}^2 \rightarrow E \}$ . Then

$$0 \rightarrow \otimes E' \rightarrow K/zK \rightarrow \mathbb{C} \rightarrow 0$$

So  $K/zK$  is an ~~extension~~ extension of  $\mathcal{O}$  by  $\mathcal{O}(-1)$  on  $\mathbb{P}^1 \times \mathbb{C}$ . Such extensions split. ~~However, it is split~~

So  $K/zK = \mathcal{O} \oplus \mathcal{O}(-1)$  lifted from  $\mathbb{P}^1$

However  $\mathcal{K}$  which is a bundle on  $X \times \mathbb{A}^1$  is probably a non-trivial extension of  $\mathcal{O}$  by  $\mathcal{O}(-1)$ ?

? ?





Consider all quotients of  $\mathcal{O}_{\mathbb{P}^1}^2$  of length  $d$ .  
 Call this space  $Q_d^{(2)}$ . Then we have a map

$$Q_d^{(2)} \longrightarrow S_d(\mathbb{P}^1) = \mathbb{P}^d$$

given by the determinant. Over a generic divisor of  $S_d(\mathbb{P}^1)$  this map has fibre isomorphic to  $(\mathbb{P}^1)^d$ . To see this suppose we start with the divisor  $a_1 + \dots + a_d$  where the  $a_i$  are ~~distinct~~ <sup>distinct</sup> points of  $\mathbb{P}^1$ , all different from  $\infty$ . ~~The fibre~~ The fibre consists of all quotients  $E$  of  $\mathbb{C}[z]^2$  of length  $d$  having support exactly at the points  $a_1, \dots, a_d$ . Then

$$E = E_1 \oplus \dots \oplus E_d$$

where each  $E_j$  is a one-dimensional quotient of  $\mathbb{C}^2$  and  $z$  acts by multiplying by  $a_j$  on  $E_j$ . Thus the fibre over  $a_1 + \dots + a_d$  can be identified with  $(\mathbb{P}^1)^d$ . On the other hand the fibre over  $0$  consists of all  $d$  dimensional quotients of  $\mathbb{C}[z]^2$  of length  $d$  on which  $z$  is nilpotent. We have seen this is a CW complex with one  $2j$  cell for each  $j=0, \dots, d$ . Note that it is an approximation to  $\Omega SU_2 = \Omega S^3$  whose homology ring is a polynomial ring on one generator of degree 2. Its ~~co~~ cohomology ring is thus a <sup>truncated</sup> divided power algebra, hence this fibre has singularities.

January 10, 1975

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Review. Let  $Q_d^{(2)}(A^1)$  be the space of quotients  $E$  of  $\mathbb{C}[z]^2$  having length  $d$ . Over  $Q_d^{(2)}$  I have a family  $\mathcal{K}$  of  $\mathbb{C}[z]$  modules whose fibre at  $E$  is  $K$ :

$$0 \rightarrow K \rightarrow \mathbb{C}[z]^2 \rightarrow E \rightarrow 0$$

Let's restrict to those  $E$  whose support doesn't contain zero. Then we get a canonical isom.

$$\gamma_0: K/zK \xrightarrow{\sim} \mathbb{C}^2$$

so that over  $Q_d^{(2)}(E_m)$  we have  $\mathcal{K}/z\mathcal{K} \xrightarrow{\sim} \mathcal{O}^2$ , a canonical isomorphism. I want to know if the isomorphism  $\gamma_0$  can be lifted to a family

$$\gamma: K \xrightarrow{\sim} \mathbb{C}[z]^2$$

over  $Q_d^{(2)}(E_m)$ , ~~the isomorphism~~ this family can be topological.

Case  $d=1$ : Here  $E$  is a 1-dimensional quotient of  $\mathbb{C}^2$  so that  $Q_d^{(2)}(A^1) = \mathbb{P}^1 \times A^1$ . If  $E' = \ker \{\mathbb{C}^2 \rightarrow E\}$ , then one has a canon exact sequence

$$0 \rightarrow \mathbb{C}[z] \otimes E' \rightarrow K \rightarrow \mathbb{C}[z] \otimes E \rightarrow 0$$

whence over  $Q_d^{(2)}(A^1)$  we have an exact sequence

$$0 \rightarrow \mathbb{C}[z] \otimes \mathcal{O}(-1) \rightarrow \mathcal{K} \rightarrow \mathbb{C}[z] \otimes \mathcal{O}(1) \rightarrow 0$$

Topologically this sequence splits, and also  $\mathcal{O}(-1) \oplus \mathcal{O}(1)$

$\cong \mathcal{O}^2$ . Thus  $\mathcal{K} \cong \mathbb{C}[z]^2 \otimes \mathcal{O}$  at least topologically.

In fact suppose that one has a family  $X \rightarrow \mathbb{Q}_1^{(2)}(\mathbb{A}^1)$  of quotients parameterized by an affine scheme  $X$ . Then

$$0 \rightarrow \mathbb{C}[z] \otimes \mathcal{O}(-1) \rightarrow \mathcal{K} \rightarrow \mathbb{C}[z] \otimes \mathcal{O}(1) \rightarrow 0$$

is an exact sequence of bundles over the affine scheme  $X \times \mathbb{A}^1$ , hence it splits, so over  $X$  we have

$$\mathcal{K} \cong \mathbb{C}[z]^2 \otimes (\mathcal{O}(-1) \oplus \mathcal{O}(1))$$

as desired.

An observation. Consider  $\mathbb{Q}_1^{(2)}(\mathbb{P}^1)$ . Then

$$K = \text{Ker} \{ \mathcal{O}^2 \rightarrow E \}$$

is always isomorphic to  $\mathcal{O}(-1) \oplus \mathcal{O}$ , hence it has a canonical filtration

$$0 \rightarrow \mathcal{O} \rightarrow K \rightarrow \mathcal{O}(-1) \rightarrow 0$$

which is essentially what we just used.

Now try  $d=2$ . Then  $K = \text{Ker} \{ \mathcal{O}_{\mathbb{P}^1}^2 \rightarrow E \}$  can be  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$  or  $\mathcal{O}(-2) \oplus \mathcal{O}$ . Classify what occurs. For ~~the~~ support  $a_1 + a_2$   $a_1 \neq a_2$  one gets  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$  when the two quotients  $E_{a_1}, E_{a_2}$  of  $\mathbb{C}^2$

are different, and  $\mathcal{O}(-2) \oplus \mathcal{O}$  when they are the same.

It is clear that  $K = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$  exactly when  $\mathbb{C}^2 \xrightarrow{\sim} E$  and  $K = \mathcal{O}(-2) \oplus \mathcal{O}$  when this map is not injective.

~~Here perhaps is how to~~ trivialize  $K$  over a family  $X$ . ~~Assuming~~  $X$  divides up into the open set  $U$  where  $\mathbb{C}^2 \xrightarrow{\sim} E$  and the closed set  $X-U$  when this isn't an isomorphism.

On  $X-U$  the bundle  $K$  should admit sections necessarily non-vanishing. Such a section should give a section of  $K \otimes \mathcal{O}(1)$  vanishing only at  $\infty$ .

But  $\Gamma(\mathbb{P}^1, K \otimes \mathcal{O}(1))$  is a rank 2 vector bundle  $^{gr}X$  because  $H^1(\mathbb{P}^1, K \otimes \mathcal{O}(1)) = 0$ . So we have a section of the bundle  $\Gamma(\mathbb{P}^1, K \otimes \mathcal{O}(1))$  over  $X-U$ .

We want to extend this to a section over  $X$  which is nowhere zero on  $U$ . Can extend to all of  $X$  but not so as to be  $\neq 0$ .

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Summary:  $Q_d^{(2)}(\mathbb{P}^1)$  is the space of quotients of  $\mathcal{O}^2$  of length  $d$ ,  $\mathcal{K}$  is the family of  $\mathcal{O}^2$  modules over  $Q_d^{(2)}(\mathbb{P}^1)$  with fibre  $K = \text{Ker } \mathcal{O}^2 \rightarrow E$  at a quotient  $t$ . It might be easier

$Q_d^{(2)}(\mathbb{P}^1)$  is the ~~same as~~ scheme of quotients  $\mathcal{O}^2 \rightarrow \mathcal{M}$  on  $\mathbb{P}^1$  of length  $d$ . ~~is the same as~~ Same as the scheme of all exact sequences

$$0 \rightarrow K \rightarrow \mathcal{O}^2 \rightarrow \mathcal{M} \rightarrow 0$$

with  $\text{length}(\mathcal{M}) = -\text{deg}(K) = d$ . Thus it's the same as pairs  $(E, u)$ , where  $E = K^\wedge$  is a bundle of rank 2 and degree  $d$ , and where  $u: \mathcal{O}^2 \rightarrow E$  is a pair of generically independent sections.

Take  $d=2$ . Then  $K$  is a bundle of degree  $-2$  on  $\mathbb{P}^1$ , hence  $K \simeq \mathcal{O}(a) \oplus \mathcal{O}(b)$  with  $a+b=-2, a \geq b$ , and since  $K \subset \mathcal{O}^2$ , one has  $a, b \leq 0$ . Thus  $K = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$  or  $K \simeq \mathcal{O} \oplus \mathcal{O}(-2)$ . I know  $K$  becomes trivial over  $\mathbb{A}^1 = \mathbb{P}^1 - \infty$ . This means I can find ~~some~~ a map  $\mathcal{O}^2 \rightarrow K(m_\infty)$   $m$  sufficiently large ~~which~~ which is an isomorphism off  $\infty$ .

Normalize:  $t=2$  is the ~~free~~ canonical rational function on  $\mathbb{P}^1$ . Let us consider quotients  $\mathcal{M}$  of  $\mathcal{O}^2$  with support not meeting  $t=1$ , whence  $K(1) \simeq \mathcal{O}^2(1)$  and we can normalize our map  $\mathcal{O}^2 \rightarrow K(m_\infty)$  to be this canonical isom. over  $t=1$ .



If  $K = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$ , then  $\Gamma(K(1))$  contains a unique unimodular subspace. If  $K = \mathcal{O} \oplus \mathcal{O}(-2)$  then  $\Gamma(K(1))$  contains no unimodular subspace.  $\Gamma(K(2))$  is 4 dimensional. Canonical sequence

$$0 \rightarrow \mathcal{O} \rightarrow K \rightarrow \mathcal{O}(-2) \rightarrow 0$$

$$0 \rightarrow \Gamma(\mathcal{O}(2)) \rightarrow \Gamma(K(2)) \rightarrow \mathbb{C} \rightarrow 0$$

Any unimodular subspace<sup>✓</sup> of  $\Gamma(K(2))$  has to project onto  $\mathbb{C} = \Gamma(\mathcal{O})$  and the kernel must be the unique unimodular line in  $\Gamma(\mathcal{O}(2))$ . Thus unimodular subspaces  $V$  are subspace of  $\Gamma(K(2)) \Rightarrow$

$$0 \rightarrow \mathbb{C} \rightarrow V \rightarrow \mathbb{C} \rightarrow 0$$

$$0 \rightarrow \Gamma(\mathcal{O}(2)) \rightarrow \Gamma(K(2)) \rightarrow \mathbb{C} \rightarrow 0$$

These ~~lines~~  $V$  form an affine space of dimension 2; in fact one wants all lines in  $\Gamma(K(2))/\mathbb{C}t^2$  complementary to the hyperplane  $\Gamma(\mathcal{O}(2))/\mathbb{C}t^2$ .

Question: Given an affine family of such  $K$  is it always possible to find a unimodular subspace within  $\Gamma(K(2))$ ?

If  $K = \mathcal{O}(-1) + \mathcal{O}(-1)$ , then  $\Gamma(K(2)) = \Gamma(\mathcal{O}(1))^2$  is 4 dimensional. How many subspaces<sup>✓</sup> are unimodular. A subspace  $V$  can be obtained from a  $2 \times 2$  matrix  $A$



$$A = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

where  $\alpha, \beta, \gamma, \delta$  are degree  $\leq 1$  polys. and the determinant  $\alpha\delta - \beta\gamma \in \mathbb{C}^*$ . I can arrange that the matrix of ~~the~~ constant terms be the identity. Thus

$$A = I + tV$$

where ~~the matrix of constant terms is the identity~~ because  $A$  is ~~invertible~~ invertible, we know  $V$  is nilpotent, hence  $V^2 = 0$ . It appears therefore that the unimodular subspaces of degree  $\leq 1$  in  $\mathbb{C}[t]^2$  can be identified with all nilpotent  $2 \times 2$  matrices. The ~~cone~~ cone of nilpotent matrices has dim 2.

More generally a ~~unimodular~~ unimodular subspace in  $\mathbb{C}[t]^n$  of dimension  $n$  can be identified with a matrix of polys

$$I + A_1 t + \dots + A_m t^m$$

where the family  $A_i$  of matrices is nilpotent i.e.  $A_i^{\alpha} = 0$   $|\alpha| \geq$  ~~constant~~ constant. ~~constant~~

~~Summary~~ Summary: I have shown that ~~within~~ within  $\Gamma(K(2))$  ~~the unimodular subspaces form a contractible 2 dimens.~~ the unimodular subspaces form a contractible 2 dimens.

~~variety~~ variety. But more is true maybe: Suppose I have a family of  $K$ 's and a corresponding family of 2 dimensional subspaces  $V$  in  $\Gamma(K(2))$ . If  $V$  is unimodular at some point  $x$  of the parameter scheme  $X$  then it is unimodular in some neighborhood of  $x$ . This is ~~not~~ false! For we may have a section of  $\mathcal{O}(1)$  vanishing at  $\infty$  at  $x$  and at points  $\neq \infty$  at all points  $\neq x$ .

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~~Summary~~ Summary: If  $K$  is a vector bundle on  $\mathbb{P}^1$  of degree  $-2$  embeddable in  $\mathcal{O}^2$ , then  $K \cong \mathcal{O}(-1)^2$  or  $\mathcal{O} \oplus \mathcal{O}(-2)$ . We have calculated the variety of unimodular subspaces in  $\Gamma(K(2))$ . Now suppose we have a family of such bundles. Is it possible to find a family of unimodular subspaces if the parameter scheme is affine.

~~Simple question: Is it possible to find a~~

Over  $Q_2^{(2)}(\mathbb{P}^1)$  we have the <sup>canonical</sup> vector bundle  $K \rightarrow \Gamma(K(2))$  which I denote  $E$ . It is of rank 4. Inside of  $\text{Grass}_2(E)$  we have the set  $Z$  of unimodular subspaces.

Conjecture: There is a subscheme  $Z$  of  $\text{Grass}_2(E)$  such that maps  $X \rightarrow Z$  are the same as families  $X \rightarrow Q_2^{(2)}(\mathbb{P}^1)$   $x \mapsto K(x)$  together with a choice of unimodular subspace:

$$\mathcal{O} \otimes V(x) \hookrightarrow K(x)(2)$$

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Summary:  $X = Q_2^{(2)}(P^1)$  is the scheme of quotients  $M$  of  $\mathcal{O}_{P^1}^2$  of length  $d$ . On  $X \times P^1$  we have a canonical exact sequence

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{O}^2 \rightarrow M \rightarrow 0$$

where  $M$  is a 2-dimensional bundle over  $X$ . The fibres  $K$  of  $\mathcal{K}$  over points of  $X$  are isom. to  $\mathcal{O}(-1)^2$  or  $\mathcal{O} \oplus \mathcal{O}(-2)$ , and I know ~~that~~ I can find ~~unimodular~~ unimodular subspaces for  $K$  inside  $\Gamma(P^1, K(2))$ . Let  $\mathcal{E} = p_* (\mathcal{K}(2))$ ,  $p: X \times P^1 \rightarrow X$ . Then  $\mathcal{E}$  is a rank 4 bundle over  $X$ . I would like to show that the unimodular subspaces of  $\text{Grass}_2(\mathcal{E})$  form a subscheme of  $\text{Grass}_2(\mathcal{E})$  representing the ~~obvious~~ obvious functors.

Suppose I just look at the open set of  $X$  where some point, say  $t=1$ , is not in the support of  $M$ . Then I can look at all maps  $\mathcal{O}(-2)^2 \rightarrow \mathcal{O}^2$  reducing to the identity at  $t=1$ . ~~Then~~ we put down also the condition that this map have rank  $\leq 2$  ~~when~~ when tensored with  $\mathcal{O}_\infty/m_\infty^2$ , and with  $\mathcal{O}_0/m_0^2$ .

In fact suppose I consider inside  $\Gamma(\mathcal{O}(2))^2$  which is six dimensional, all ~~those~~ those 2 dimensional subspaces  $V$  such that the map

$$\mathcal{O} \otimes V \rightarrow \mathcal{O}(2)^2$$

has ~~at~~ at  $-\infty$  cokernel of dimension 2.

$t^{-2}v_1, t^{-2}v_2$

This means that ~~the~~  $v_1, v_2, t^{-1}v_1, t^{-1}v_2$  generate a 4 dimensional subspace of ~~(O(2)/m\_\infty^3)^2~~  $(O(2)/m_\infty^3)^2$ , where  $V = \mathbb{C}v_1 + \mathbb{C}v_2$ . This condition defines a locally closed subvariety of the Grassmannian whose points consist of ~~sub~~ subbundles  $K \subset O^2$  with  $K \cong O(-2)^2$  such that  $O^2/K$  has dim 2 at  $\infty$  and dim 2 off  $\infty$ . Is it not true that this variety is the same as a point of  $Q_2^{(2)}(A^1)$  plus a choice of unimodular subspace?

Question: Let  $E$  be a bundle on  $P^1$ , say  $O \oplus O(2)$ . Classify all unimodular subspaces of  $\Gamma(E(m))$  for  $m$  large. Are these varieties contractible?

I've seen that if  $E = \mathbb{A}^1 \oplus O^2$  then the unimodular space in  $\Gamma(O(m))^2$  can be identified with polym. matrices  $I + tA_1 + \dots + t^m A_m$

which are invertible, ~~which is equivalent to the matrices  $A_1, \dots, A_m$  being strictly upper triangular for some flag (?)~~ canonically contractible.

Now given  $E = O(p_1) \oplus \dots \oplus O(p_n)$   $p_1 \leq \dots \leq p_n$  it embeds in  $O(p_n)^n$  with cokernel at  $\infty$ , so a similar description of unimodular subspaces is possible except there are degree conditions on the entries of the

~~matrix~~ matrix. Again conically contractible.

Proof: For  $B = I + tA_1 + \dots + t^m A_m$  to be contractible it is necessary & sufficient that  $\det(B) = 1$ . But then  $B_\lambda = I + t\lambda A_1 + \dots + t^m \lambda^m A_m = B(\lambda t)$  is also contractible for all  $\lambda \in A^1$ .

So we consider ~~the product~~ the product  $P$  of  $Q_d^{(2)}(A^1)$  with the space of lattices <sup>containing  $\mathcal{O}^2$</sup>  of index  $-d$ . ~~There is~~ There is a canonical vector bundle of degree 0 on  $P \times P^1$ . The subspace of  $P$  where the bundle  $E$  over  $P^1$  is isomorphic to  $\mathcal{O}^2$  can be described as the place where ~~where~~  $H^1(E(-1)) = 0$ , hence it is open in  $P$ . Call this open set  $U$ . Then  $U$  is flat over  $Q_d^{(2)}(A^1)$ . A point of  $U$  is a bundle  $K \subset \mathcal{O}^2$  in  $Q_d^{(2)}(A^1)$  together with an extension of  $K$  to a "regular" bundle of degree 0 on  $P^1$ . The question is whether given a map  $X \rightarrow Q_d^{(2)}(A^1)$  with  $X$  affine, does  $\exists$  a lifting to  $U$ ?

Let  $K$  be a bundle on  $P^1$ . To give a ~~rational map~~ rational map  $\mathcal{O}^2 \rightarrow K$  which is an isomorphism off  $\infty$  means that we first find a bundle  $K'$  agreeing with  $K$  off  $\infty$  and such that  $K'$  is isom. to  $\mathcal{O}^2$  and then we choose an isomorphism of  $K'$  and  $\mathcal{O}^2$ . Thus if we divide out by the ~~action~~ action of  $GL_2$ , we see that a unimodular subspace of  $\Gamma(\square A^1, K)$  is the same as a lattice

for  $\mathcal{O}_\infty$  commensurable with  $K_\infty$  such that the resulting bundle  $K'$  is of degree 0 and regular. ~~\_\_\_\_\_~~

~~\_\_\_\_\_~~ In my problems  $K$  appears as a subbundle of  $\mathcal{O}^2$  with no support at  $\infty$ , hence ~~\_\_\_\_\_~~ perhaps it is natural that  $K' \supset K$ .

So what's happening is this. Given a bundle  $E$  over  $\mathbb{P}^1$  we ~~\_\_\_\_\_~~ twist it to make it nice, then we consider all subbundles ~~\_\_\_\_\_~~ all  $E' \subset E$ ... such that (i)  $E/E'$  supported at  $\infty$   
(ii)  $E' \simeq \mathcal{O}^2$ , i.e.  $\deg(E') = 0$  and  $H^1(\mathbb{P}^1, E(-1)) = 0$ . ~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~ This set of  $E'$  is an open subscheme of the scheme of lattices of given index in  $E$  at  $\infty$ .

Recall the following yoga. Given a filtered ring  $A$  with increasing filtration

$$F_0 A \subset F_1 A \subset F_2 A \subset \dots$$

such that  $F_p A \cdot F_q A \subset F_{p+q} A$  and  $\text{gr}(A)$  is a poly ring we were able to prove a homotopy ~~\_\_\_\_\_~~ property. Can I use this idea here. Such a filtered ring is simply an affine space bundle over  $A$ , when  $A$  is commutative.