

March 1, 1972

Infinitesimal form of ~~cocycle~~ cocycle:

Consider first the problem of integrating a vector field  $v = a(x) \frac{d}{dx}$  on  $\mathbb{R}$  (with compact support; i.e.  $a(x) = 0$  for  $|x|$  large) to a flow  $t \mapsto F_t : \mathbb{R} \rightarrow \mathbb{R}$ .

Thus for  $x$  fixed, the tangent vector to the path  $t \mapsto F_t(x)$  should be the vector field  $v$  at  $F_t(x)$ :

$$\frac{d}{dt} f(F_t(x)) = a(F_t(x)) \frac{df}{dx}(F_t(x))$$

for all functions  $f$  on the line. Taking  $f = id$

$$\begin{cases} \frac{d}{dt} F_t(x) = a(F_t(x)) \\ F_0(x) = x \end{cases}$$

this separates,  
so reduces to  
an integration.

This differential equation determines  $F_t$ . (Check that  $F$  is a flow:  $F_{t+s} = F_t \circ F_s$ . But

$$\begin{aligned} \frac{d}{dt} F_{t+s}(x) &= \cancel{\frac{d}{dt}} F_{t+s}(x) \\ &= a(F_{t+s}(x)) \end{aligned}$$

and

$$\frac{d}{dt} F_t(F_s(x)) = a(F_t(F_s(x)))$$

~~so~~ so have two solutions of the initial value problem

$$\frac{d}{dt} u_t = a(u_t)$$

$$u_0 = F_t(x)$$

hence they must be equal.)

so let's now solve the D.E. to the second order

$$F_t(x) = x + t \cdot \left. \frac{d}{dt} F_t(x) \right|_{t=0} + \frac{t^2}{2} \left. \frac{d^2}{dt^2} F_t(x) \right|_{t=0}$$

$$\begin{aligned} \frac{d^2}{dt^2} F_t(x) &= \frac{d}{dt} a(F_t(x)) = a'(F_t(x)) \frac{dF_t(x)}{dt} \\ &= a'(F_t(x)) a(F_t(x)) = (aa')(F_t(x)) \end{aligned}$$

Thus

$$\begin{aligned} F_t(x) &= x + t a(x) + \frac{t^2}{2} (aa')(x) + O(t^3) \\ &= e^{ta(x)} x = \sum_{n \geq 0} \frac{t^n}{n!} (a \frac{d}{dx})^n x \end{aligned}$$

Now consider the cocycle on page 17 with

$$g_{vu}(x) = x + t a(x) + \frac{t^2}{2} (aa')(x) + O(t^3)$$

$$g_{wu}(x) = x + t b(x) + \frac{t^2}{2} (bb')(x) + O(t^3)$$

and determine the leading terms as  $t \rightarrow 0$ .

$$\frac{\log(1+u)}{u} = \frac{u - \frac{u^2}{2} + \frac{u^3}{3}}{u} = 1 - \frac{u}{2} + O(u^2)$$

$$\text{if } g'_{vu}(x) = 1 + t a'(x) + \frac{t^2}{2} (aa')'(x) + \dots$$

$$g'_{wu}(x) = 1 + t b'(x) + \frac{t^2}{2} (bb')'(x) + \dots$$

$$g''_{vu}(x) = t a''(x) + \frac{t^2}{2} (aa'')''(x) + \dots$$

$$g''_{wu}(x) = t b''(x) + \frac{t^2}{2} (bb'')''(x) + \dots$$

$$\frac{\log \frac{g'_{vu}}{g'_{wu}} - 1}{\frac{1}{2}[ta']} = 1 - \frac{1}{2}[ta'] + O(t^2)$$

$$\frac{\log \frac{g'_{vu}}{g'_{wu}} - 1}{\frac{1}{2}[tb']} = 1 - \frac{1}{2}[tb'] + O(t^2)$$

$$g'_{wu} - g'_{vu} = t(b' - a') + O(t^2)$$

Thus the leading term of the expression in brackets at bottom of page 17 is

$$\frac{-\frac{1}{2}ta' + \frac{1}{2}tb'}{t(b' - a')} = \frac{1}{2}$$

and

$$\begin{vmatrix} g'_{vu} - 1 & g'_{wu} - 1 \\ g''_{vu} & g''_{wu} \end{vmatrix} = \begin{vmatrix} ta' & tb' \\ ta'' & tb'' \end{vmatrix}$$

$$= t^2(a'b'' - a''b') + O(t^3)$$

Thus we get the infinitesimal cocycle

$$\begin{aligned}\lambda\left(a\frac{d}{dx}, b\frac{d}{dx}\right) &= \frac{1}{2} \int_{-\infty}^{\infty} (a'b'' - a''b') dx \\ &= \int_{-\infty}^{\infty} a'b'' dx\end{aligned}$$

clearly  
skew-symmetric  
by integrating by parts.

Check this is a cocycle on the Lie algebra of vector fields on  $\mathbb{R}$  with compact support. This means it satisfies the Jacobi identity

$$\lambda([x, y], z) + \lambda([y, z], x) + \lambda([z, x], y) = 0 \quad ?$$

$$x = a\frac{d}{dx}, \quad y = b\frac{d}{dx}, \quad z = c\frac{d}{dx}$$

$$[x, y] = (ab' - ba')\frac{d}{dx}$$

$$\begin{aligned}\lambda([x, y], z) &= \int (ab' - ba')'c'' dx = \int (ab''c'' - ba''c'') dx \\ \lambda([y, z], x) &= \int (bc''a'' - cb''a'') dx \\ \lambda([z, x], y) &= \int (ca''b'' - ac''b'') dx\end{aligned}$$

so it is indeed a 2-cocycle.

(This is, <sup>essentially</sup> the Gelfand-Fuchs <sup>2</sup>-cocycle for vector fields on  $\mathbb{R}^1$ . They claim that  $\int \begin{vmatrix} a & a' & a'' \\ b & b' & b'' \\ c & c' & c'' \end{vmatrix} dx$  is a 3-cocycle.)

March 2, 1972

I want to understand the Lie algebra extension defined by this cocycle. Thus if  $\mathfrak{g}$  is the Lie alg. of vector fields on  $\mathbb{R}$  with compact support, the extension is  $\tilde{\mathfrak{g}} = \mathfrak{g} \oplus \mathbb{R}$  with bracket

$$[X + \alpha, Y + \beta] = [X, Y] + \lambda(X, Y).$$

Hence to realize  $\tilde{\mathfrak{g}}$  concretely what we want to do is associate to each  $X \in \mathfrak{g}$  an operator  $A(X)$  satisfying the commutation relations

$$[A(X), A(Y)] = A([X, Y]) + \lambda(X, Y)$$

$\lambda(X, Y)$  being viewed as a scalar operator.

Idea: Let  $M$  be a symplectic manifold,  $\omega$  the canonical closed non-degenerate 2-form. Then

$$\Theta(X)\omega = 0 \iff d i(X)\omega = 0.$$

so there is a 1-1 correspondence between Hamiltonian vector fields on  $M$  and closed 1-forms. In particular to each  $f$  on  $M$  belongs  $X_f \ni i(X_f)\omega = df$ . ~~and~~  
The Poisson bracket of two functions  $f, g$  is defined by

$$\{f, g\} = X_f g = i(X_f)dg = i(X_f)i(X_g)\omega.$$

Then

$$\begin{aligned} d\{f, g\} &= \cancel{d i(X_f)dg} = \Theta(X_f)dg = \Theta(X_f)i(X_g)\omega \\ &= i([X_f, X_g])\omega. \end{aligned}$$

so that  $f \mapsto X_f$  is a Lie homomorphism. Thus we get a Lie algebra extension

$$0 \longrightarrow \mathbb{R} \longrightarrow \left\{ \begin{array}{c} \text{functions} \\ \text{under} \\ \text{Poisson bracket} \end{array} \right\} \longrightarrow \left\{ \begin{array}{c} \text{Hamiltonian} \\ \text{vector fields} \end{array} \right\} \longrightarrow 0$$

(these are sheaves of Lie algebras). I might hope to induce the extension  $\tilde{\circ}$  above by making  $\mathbb{R}$  act as ~~the~~ Hamiltonian vector fields on some symplectic manifold. The ~~obvious~~ candidate,  $M = \text{cotangent bundle of } \mathbb{R}$  doesn't work. In effect if

$$v = a(x) \frac{d}{dx}$$

is a vector field on  $\mathbb{R}$ , then the induced vector field  $\tilde{v}$  on the cotangent bundle  $\mathbb{T}\mathbb{R}$  can be shown to be

$$\tilde{v} = \cancel{X_{ap}} = \cancel{a \frac{\partial}{\partial p} + a' \frac{\partial}{\partial p}} dp dq$$

the Hamiltonian ~~vector field~~  $X_{ag}$  where  $g: M \rightarrow \mathbb{R}$  sends  $a dx$  to  $a$ . Formulas:

$$\text{canonical 1-form on } M = y dx$$

$$\text{2-form on } M \quad \omega = dy dx$$

$$\tilde{v} = a \frac{\partial}{\partial x} - \cancel{y a'} \frac{\partial}{\partial y}$$

$$i(\tilde{v}) \omega = +ady + ya'dx = d(ay)$$

Thus in this example  $v \mapsto \tilde{v}$  lifts to the Lie

algebra of functions.

Further possibilities:

• Is extension

$$0 \rightarrow \mathbb{R} \rightarrow \text{functions} \xrightarrow{\text{Hamilt.}} \text{v.f.} \rightarrow 0$$

non-trivial on the formal level (formal power series at a point)? Probably, otherwise the extension would be trivial for a canonical reason. In fact  $\{\text{Hamiltonian vector fields}\}$  is probably a perfect Lie algebra and so this extension would have to split canonically if it split.

In fact there is a <sup>distinguished linear</sup> local section. Assign to  $v$  the unique  $f$  with  $X_f = v$  such that  $f(0) = 0$ . Then the cocycle is going to be given by

$$v, w \mapsto [\tilde{v}, \tilde{w}] - [v, w].$$

Review of Kostant's theory: Let a Lie algebra of act on a symplectic manifold  $(M, \omega)$ . Then to each  $x \in \mathfrak{g}$  we have a Hamiltonian vector field  $v_x$  on  $M$ . Exact sequence of Lie algs.

$$0 \rightarrow \mathbb{R} \longrightarrow \begin{matrix} \text{functions on } M \\ \text{under } \{ , \} \end{matrix} \longrightarrow \text{Hamilt.v.f.} \longrightarrow H^1(M, \mathbb{R}) \rightarrow 0$$

where  $H^1(M, \mathbb{R})$  is abelian. In effect if  $X, Y$  are Hamiltonian v.f. we can local solve for  $f, g \in$

$$i(X)\omega = df \quad i(Y)\omega = dg$$

and then  $\{f, g\} = i([X, Y])\omega$  is a well-defined global function on  $M$  such that  $i([X, Y])\omega = d\{f, g\}$ .

Thus the last map above vanishes on brackets. Now suppose then that  $H^1(\mathfrak{g}, \mathbb{R}) = 0$ , i.e. that  $\mathfrak{g} = \{g_j, g_j\}$ . Then  $v_x = X_{f_x}$  where  $f_x$  is a function unique up to constants. If in addition  $H^2(\mathfrak{g}, \mathbb{R}) = 0$ , then the central extension obtained by pull-back

$$\begin{array}{ccccccc} 0 & \longrightarrow & \tilde{\mathfrak{g}} & \longrightarrow & \mathfrak{g} & \longrightarrow & 0 \\ & & \downarrow & & & & \\ 0 & \longrightarrow & \text{functions} & \longrightarrow & \text{exact Ham.} & \longrightarrow & 0 \\ & & \text{under } \{ , \} & & \text{v.f.} & & \end{array}$$

will be trivial, hence we obtain a Lie homom.

$$\mathfrak{g} \longrightarrow \begin{matrix} \text{functions on } M \\ \text{under } \{ , \} \end{matrix}$$

By duality this gives us an equivariant map

$$M \longrightarrow g^*$$

Conclude: If  $H^1(g, \mathbb{R}) = H^2(g, \mathbb{R}) = 0$ , then there exists a canonical map  $M \longrightarrow g^*$  for any symplectic  $g$ -manifold.

In particular any homogeneous symplectic manifold must cover an orbit of  $g$  in  $g^*$  in a canonical way. But more is true in this case: Thus suppose  $M$  homogeneous and that  $m \in M$ , and let  $g_m$  be the stabilizer, i.e.  $x \in g_m \iff v_x(m) = 0$ . Let  $\lambda$  be the elt of  $g^*$  determined by  $m$ , i.e.

$$\lambda(x) = f_x(m)$$

and  $g_\lambda$  the stabilizer of  $\lambda$ :

$$x \in g_\lambda \iff \lambda([x, y]) = 0 \quad \forall y \in g.$$

Now

$$\begin{aligned} \lambda([x, y]) &= f_{[x, y]}(m) = \{f_x, f_y\}(m) \\ &= (i(v_x)i(v_y)\omega)(m) \end{aligned}$$

and by assumption  $M$  is homogeneous so  $x \mapsto v_x(m)$  from  $g \rightarrow T_M(m)$  is surjective. Thus if  $x \in g_\lambda$  we have  $i(v_x)\omega(m) = 0$ , so  $v_x(m) = 0$  and  $x \in g_m$ . So  $g_\lambda \subset g_m$ , and as the other inclusion is clear, we have  $g_m = g_\lambda$ . Thus  $g/g_\lambda \cong T_M(m)$  and so one has that  $M$  is a covering space of the orbit of  $\lambda$ .

The idea behind reviewing Kostant theory was to construct the representation mentioned on page 23 by ~~the following scheme~~ the following scheme. Thus suppose of perfect and form the universal central extension  $\mathfrak{g}_{\text{uni}}^*$  of  $\mathfrak{g}$  by  $H_2(\mathfrak{g})$ . ~~Quantizing~~ ~~cohomology~~

Given a 2-cocycle on  $\mathfrak{g}$ , it determines an element of  $(\mathfrak{g}_{\text{uni}}^*)^*$ , on which  $\mathfrak{g}$  operates, so we can look at the orbit  $O$ , which is a symplectic homogeneous  $\mathfrak{g}$ -manifold. Quantizing  $O$  as in Kostant's theory should lead to the desired representation.

Example. Take  $\mathfrak{g}$  to be abelian and suppose the 2-cocycle  $\lambda$  is a non-degenerate ~~bilinear~~ bilinear form. Then  $O = \mathfrak{g}$  acting as translations and quantization here means we construct the Heisenberg representation:

$$[A(x), A(y)] = \lambda(x, y) \cdot \text{id}$$

This roughly signifies that to produce a representation when  $\mathfrak{g} =$  vector fields on  $\mathbb{R}$  with compact support, we will need an infinite dimensional  $O$ , i.e. second quantization?

March 3, 1972:

$SL_2 \mathbb{R}$

Consider  $SL_2 \mathbb{R}$  as a discrete group. We propose to define an element of  $H^2(SL_2 \mathbb{R}, \mathbb{R})$ . Let  $\mathbb{H} \mathbb{Z}$  be the upper half plane  $\{z \in \mathbb{C} \mid \operatorname{Im} z > 0\}$ . Then  $SL_2 \mathbb{R}$  acts continuously on  $\mathbb{H} \mathbb{Z}$  by  $\boxed{\quad}$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}(z) = \frac{az + b}{cz + d}$$

and the stabilizer of  $i$  is

$$\begin{pmatrix} a & b \\ -b & a \end{pmatrix} \quad a^2 + b^2 = 1$$

which is  $SO_2$ . Thus

$$\mathbb{H} \mathbb{Z} = SL_2(\mathbb{R}) / SO_2.$$

$\mathbb{H} \mathbb{Z}$  is the symmetric space belonging to  $SL_2(\mathbb{R})$ ;  $SO_2$  is the maximal compact subgroup. Since  $\mathbb{H} \mathbb{Z}$  is contractible  $SO_2 \rightarrow SL_2 \mathbb{R}$  is a homotopy equivalence, hence the universal covering of  $SL_2 \mathbb{R}$  as a top. group is contractible.

$$\circ \rightarrow \mathbb{H} \mathbb{Z} \rightarrow \widetilde{SL_2 \mathbb{R}}^{\text{top}} \rightarrow SL_2 \mathbb{R} \rightarrow 1$$

Let  $\omega$  denote an invariant volume form on  $\mathbb{H} \mathbb{Z}$ ,

e.g.

$$\omega = \frac{dx dy}{y^2} = \frac{\frac{i}{2} dz d\bar{z}}{y^2}$$

(Proof of invariance)

$$\left(\begin{matrix} a & b \\ c & d \end{matrix}\right)^* \omega = \frac{\frac{i}{2} d\left(\frac{az+b}{cz+d}\right) \cdot d\left(\frac{a\bar{z}+b}{c\bar{z}+d}\right)}{\operatorname{Im} \left(\frac{az+b}{cz+d}\right)^2}$$

$$\begin{aligned} \operatorname{Im} \left(\frac{az+b}{cz+d}\right) &= \frac{1}{2i} \left( \frac{az+b}{cz+d} - \frac{a\bar{z}+b}{c\bar{z}+d} \right) \\ &= \frac{1}{2i} \frac{1}{|cz+d|^2} \left[ \cancel{ac\bar{z}\bar{z}} + azd + b\bar{c}\bar{z} + \cancel{bd\bar{z}} \right. \\ &\quad \left. - \cancel{a\bar{c}z\bar{z}} - bcz - ad\bar{z} - \cancel{bd\bar{z}} \right] \\ &= (ad-bc) \frac{\operatorname{Im}(z)}{|cz+d|^2} \end{aligned}$$

$$d\left(\frac{az+b}{cz+d}\right) = \frac{(cz+d)a - (az+b)c}{(cz+d)^2} dz = (ad-bc) \frac{dz}{(cz+d)^2}$$

$$\left(\begin{matrix} a & b \\ c & d \end{matrix}\right)^* \omega = \frac{(ad-bc)^2 \frac{\frac{i}{2} dz d\bar{z}}{|cz+d|^4}}{(ad-bc)^2 \frac{y^2}{|cz+d|^4}} = \frac{dx dy}{y^2} = \omega$$

Observe that  $\omega$  is in fact invariant under  $(\operatorname{GL}_2 \mathbb{R})^+$  (+ signifies  $\det > 0$ ) so that UHP is preserved).

Now to define an element of  $H^2(SL_2 \mathbb{R}, \mathbb{R})^{\text{disc.}}$  it will suffice to define a characteristic class in  $H^2(X)$  for any principal  $SL_2 \mathbb{R}^{\text{disc.}}$ -bundle over a manifold  $X$ . But from the fibre bundle

$$E = P_X \xrightarrow{SL_2 \mathbb{R}} Z \longrightarrow X$$

with contractible fibres  $\simeq \mathbb{Z}$ . On this fibre bundle we can ~~pull the form~~ define a closed 2-form  $\tilde{\omega}$  by pulling  $\omega$  up to  $P \times \mathbb{Z}$  via the projection and then descending. More precisely, if  $U$  is an open set of  $X$  over which  $P$  is trivial, then  $E|_U \simeq U \times \mathbb{Z}$  has form  $\tilde{\omega}_U = \text{pr}_2^*(\omega)$ ,  $\text{pr}_2: U \times \mathbb{Z} \rightarrow \mathbb{Z}$ . The transition functions being ~~loc~~ constant map  $U \cap V \rightarrow \text{SL}_2(\mathbb{R})$  we have  $\tilde{\omega}_U = \tilde{\omega}_V$  on  $U \cap V$ , because  $\omega$  is invariant. Pulling  $\tilde{\omega}$  back by a section of  $E$  gives ~~a~~ a well-defined element of  $H_{\text{DR}}^2(X)$ .

To show this element is non-trivial, let  $\Gamma$  be a discrete subgroup of  $\text{SL}_2(\mathbb{R})$  which is torsion-free and has compact quotient, so that the quotient manifold  $\Gamma \backslash \mathbb{H}^2$  exists and is compact. Then take ~~the~~  $X = \Gamma \backslash \mathbb{Z}$

$$\begin{array}{ccc} P = \mathbb{Z} \times {}^\Gamma \text{SL}_2(\mathbb{R}) & & P \times {}^{\text{SL}_2(\mathbb{R})} \mathbb{Z} = \mathbb{Z} \times \Gamma \backslash \mathbb{Z} \\ \downarrow & \downarrow (\text{pr}_1) & \downarrow \\ X = \Gamma \backslash \mathbb{Z} & & \Gamma \backslash \mathbb{Z} \end{array}$$

Observe that  $\omega$  descends to a closed 2-form  $\tilde{\omega}$  on  $\Gamma \backslash \mathbb{Z}$  and that in this case  $\tilde{\omega}$  on  $\mathbb{Z} \times {}^\Gamma \mathbb{Z}$  is the pull-back of  $\omega$  by the ~~map~~  $\mathbb{Z} \times {}^\Gamma \mathbb{Z} \rightarrow \Gamma \backslash \mathbb{Z}$  induced by  $(\text{pr}_2)$ . Here there ~~is a~~ <sup>is a</sup> diagonal section  $\Gamma \backslash \mathbb{Z} \rightarrow \mathbb{Z} \times {}^\Gamma \mathbb{Z}$ , and so we see that the form we get on  $X$  is just  $\tilde{\omega}$ , ~~which is the induced volume form on  $\Gamma \backslash \mathbb{Z}$~~ . In particular since  $\Gamma \backslash \mathbb{Z}$  is compact this cohomology class is non-trivial.

Construction of such  $\Gamma$ :  $\Gamma \backslash Z$  must be a closed Riemann surface (of genus  $> 1$ , as its universal covering is  $Z$ .) Conversely given a closed Riemann surface  $X$  of genus  $> 1$ , its universal covering is analytically isomorphic to  $Z$ . Since  $PSL_2(\mathbb{R})$  is the group of analytic isos. of  $Z$ , it follows that we have a homom.  $\pi_1 X \rightarrow PSL_2 \mathbb{R}$ , well-defined up to inner auts., which is injective. Thus:

Discrete torsion-free subgroups of  $PSL_2 \mathbb{R}$  with compact quotient are the same thing as uniformized<sup>closed</sup> Riemann surfaces.

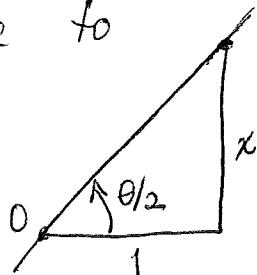
Now to lift  $\pi_1 X$  up into  $SL_2(\mathbb{R})$  is possible when an obstruction in  $H^2(X, \mathbb{Z}/2)$  vanishes (maybe same as putting a spinor structure on  $X$ ?). In any case by Poincaré duality we can kill such a class by passing to any non-trivial double covering, so passing to any subgroup of  $\pi_1 X$  of index 2 we get a  $\Gamma \subset SL_2 \mathbb{R}$ .

Observe that  $Z$  being a Riemann surface of constant negative curvature, its volume form is a neg. constant times its Gauss-Bonnet form, so consequently for any  $\Gamma \subset SL_2 \mathbb{R}$  as above

$$\int_{\Gamma \backslash Z} \bar{w} = (\text{constant}) \chi(\Gamma \backslash Z)$$

which indicates that the class in  $H^2(PSL_2(\mathbb{R}), \mathbb{R})$  comes from an integral<sup>class</sup>, probably the class of the universal covering extension.

Action of  $PSL_2(\mathbb{R})$  on  $P_1(\mathbb{R}) = S^1$ . Identify  $P_1(\mathbb{R})$  with  $S^1$  the unit circle by sending a line in the plane to 2. the angle it makes with the  $x$ -axis.



i.e.

$$x = \tan\left(\frac{\theta}{2}\right) \quad -\pi < \theta < \pi$$

if the line contains  $(1, x)$ .

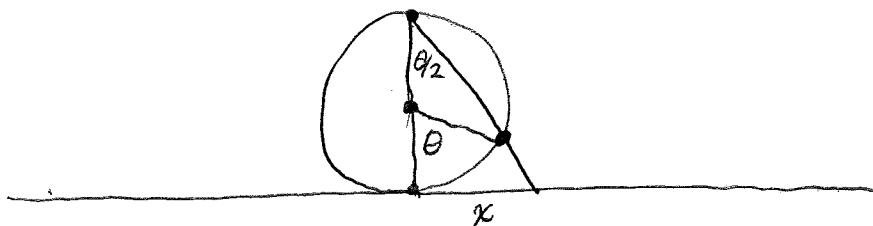
Another way of viewing this transformation:

$$z \longmapsto \frac{1+iz}{1-iz}$$

maps  $\mathbb{H}$  holomorphically onto  $|z| < 1$  and the real axis onto the circle:

$$\frac{1+ix}{1-ix} = e^{i\theta}$$

Also stereographic projection:



Recall that  $sl_2$  has basis

$$H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

satisfying:

$$[H, X] = 2X$$

$$[H, Y] = -2Y$$

$$[X, Y] = H$$

$$\exp(tH) = \begin{pmatrix} e^t & 0 \\ 0 & e^{-t} \end{pmatrix}$$

acts on  $P_1(\mathbb{R})$  by  $\exp(tH)(x) = e^{2t}x$   
so the vector field on  $P_1(\mathbb{R})$  is

$$f \mapsto \frac{d}{dt} f(e^{2t}x) \Big|_{t=0} = f'(x)2x = \left\langle 2x \frac{d}{dx}, df \right\rangle(x)$$

i.e.

$$v_H = 2x \frac{d}{dx}$$

which becomes

$$2 \tan\left(\frac{\theta}{2}\right) \left(\frac{dx}{d\theta}\right)^{-1} \frac{d}{d\theta} = \frac{2 \tan\left(\frac{\theta}{2}\right)}{\frac{1}{2} \sec^2\left(\frac{\theta}{2}\right)} \frac{d}{d\theta} = 4 \sin\frac{\theta}{2} \cos\frac{\theta}{2} \frac{d}{d\theta}$$

or

$$\boxed{v_H = 2 \sin\theta \frac{d}{d\theta}}.$$

$$(\exp tX)(x) = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}(x) = x + t$$

$$v_X(x) = \frac{d}{dx} = 2 \cos^2\frac{\theta}{2} \frac{d}{d\theta}$$

$$\boxed{v_X = (\cos\theta + 1) \frac{d}{d\theta}}$$

(check  $v_X$  on  $P_1$  vanishes at  $x=\infty$  which is  $\theta = \pi$ ).

$$(\exp tY)(x) = \begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix}(x) = \frac{x}{tx+1} = \frac{1}{\frac{1}{x} + t}$$

$$v_Y = \frac{d}{dt} \left( \frac{x}{tx+1} \right) \Big|_{t=0} \cdot \frac{d}{dx} = -x^2 \frac{d}{dx} = -2 \tan^2\frac{\theta}{2} \cos^2\frac{\theta}{2} \frac{d}{d\theta}$$

$$= -2 \sin^2 \frac{\theta}{2} \frac{d}{d\theta}$$

$$\boxed{v_y = (\cos \theta - 1) \frac{d}{d\theta}}$$

(Unfortunately signs are off, because

$$[v_x, v_y] = \left[ \frac{d}{dx}, -\frac{x^2 d}{dx} \right] = -2x \frac{d}{dx}$$

$$[v_H, v_x] = \left[ 2x \frac{d}{dx}, \frac{d}{dx} \right] = -2 \frac{d}{dx}.$$

The reason for this comes from general incompatibility of conventions adopted at the beginning. Thus we want the Lie group  $G$  to act on  $X$  to the left and we want to define vector field  $v_H$  assoc. to  $H \in g$  by formula

$$\frac{d}{dt} f(e^{tH}x) \Big|_{t=0} = (Hf)(x)$$

so that we have "Taylor formula"

$$f(e^{tH}x) = \sum_n \frac{t^n}{n!} (H^n f)(x) \stackrel{\text{defn.}}{=} (e^{tH}f)(x).$$

Unfortunately this will force ~~us~~ us to set

$$(gf)(x) = f(gx)$$

making ~~G~~  $G$  act to the right on functions. So the only consistent thing to do (from the category viewpoint)

is to define

$$\begin{aligned}(Hf)(x) &= \frac{d}{dt} (e^{tH} f)(x) \Big|_{t=0} \\ &= \frac{d}{dt} f(e^{-tH} x) \Big|_{t=0}\end{aligned}$$

and accept  ~~$f(e^{-tH} x)$~~

$$\del{f(e^{-tH} x)} = \sum \frac{t^n}{n!} (H^n f)(x)$$

which is an ugly version of Taylor's formula. Thus on  $\mathbb{R}$  we have to accept the formula

$$e^{-tD} x = x + t$$

so that

$$(e^{tD} f)(x) = f(x + t).$$

This seems unpleasant, but there is a real problem:

We can't ~~preserve~~ all of:

(i) bracket of vector fields  $\approx$  commutator of inf. flows

(ii) bracket of vector fields  $=$  commutator of operators on the functions.

(iii) formula  $\frac{d}{dt} f(e^{tH} x) \Big|_{t=0} = (Hf)(x)$

Perhaps the thing to do is to define

$$(v_H f)(x) = - \frac{d}{dt} f(e^{tH} x) \Big|_{t=0}$$

Thus we have

$$g \mapsto (gf)(x) = f(g^{-1}x)$$

$$H \mapsto (Hf)(x) = - \del{\langle Hx, df \rangle}$$

Then  $\nu$  will be a Lie homomorphism. So in the present situation we must put

$$v_H = -2\sin \theta \frac{d}{d\theta}$$

$$v_x = -(1+\cos \theta) \frac{d}{d\theta}$$

$$v_y = -(\cos \theta - 1) \frac{d}{d\theta}.$$

A slightly better basis  ~~$v_x, v_y$~~  is perhaps

$$\frac{d}{d\theta}, \cos \theta \cdot \frac{d}{d\theta}, \sin \theta \cdot \frac{d}{d\theta}$$


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Ideas for future work: Construct explicitly the representations of the universal covering of  $PSL_2(\mathbb{R})$  and try to see if these can be extended to the group of orient. pres. diffeomorphisms of  $S^1$ .

Explicit realization of  $\widetilde{PSL}_2(\mathbb{R})$ ? (means orient preserving)

Borel subgroup  $B$  of  $SDiff(S^1)$  might be subgroup fixing  $x=\infty$ . Observe have character  $B \rightarrow \mathbb{R}^+$  obtained from  ~~$v_x$~~  derivative at  $x=\infty$ . Can one induce characters of  $B$  up to representations of  $SDiff(S^1)$ ? Brakat decomposition of  $SDiff(S^1)$  and the construction of its universal central extension by Moore-Matsumoto methods.

(Thurston points out that  $SDiff(S^1)$  has <sup>at least</sup> 2 real classes of dim 2 - the <sup>hyper</sup> class and the interesting (Godbillon-V.) class. Thus even if we construct  $PSL_2(\mathbb{R})$  and extend to  $SDiff(S^1)$ , we don't get the interesting class.)

March 3, 1971.

Milnor model for  $BG$

A review of general nonsense which should be well understood:

Milnor model for  $BG$ : Let  $G$  be a group (sans topologie pour fixer les idées). The principal bundle over Milnor's  $BG$  is the infinite join

$$\bigcup_n G^{*n}$$

whose points are linear combinations  $\sum_{i \geq 0} t_i g_i$  where  $t_i \geq 0$ ,  $\sum t_i = 1$ , and almost all the  $t_i$  are zero. Thus the Milnor  $P_G$  is a simplicial complex with vertices  $\mathbb{N} \times G$  and where a subset of vertices  $(\ell_1, g_1), \dots, (\ell_m, g_m)$

forms a simplex iff the  $\ell_j$  are distinct.

~~is the quotient of this by the action of  $G$ .  
acts freely on the simplices of  $P_G$ , the quotient  
simplicial complex. One sees the vertices of  $BG$~~

The Milnor  $BG$  is the quotient of this by the action of  $G$ . Unfortunately the simplicial complex structure of  $P_G$  does not induce one on  $BG$ , because the  $G$ -orbit of a sequence  $(g_1, \dots, g_m)$  in  $G^m$

is not determined by the  $m$ -tuples of  $G$ -orbits of the vertices. Nevertheless we ought to be able to describe it as the realization of the singular complex (nerve) of a category, so legal claims.

Fact: Let  $K$  be a simplicial complex endowed with an ordering on its vertices such that each simplex is linearly ordered. Then to  $K$  is associated a semi-simplicial set  $\Upsilon(K)$ , its singular complex ~~realization~~:

$$\Upsilon(K)_p = \text{Hom}(\Delta(p), K) \quad \text{ordering-preserving}$$

or equivalently  $\Upsilon(K)$  is the nerve of  $K$  viewed as a category. Then the canonical map

$$|\Upsilon(K)| \rightarrow |K|$$

is a homeomorphism. (This is clear set-theoretically because a point in  $|\Upsilon(K)|$  is of the form  $\sum_{i=0}^p t_i k_i$ , all  $t_i > 0$ ,  $(k_0, \dots, k_p)$  a non-deg. simplex of  $K$ . The same is true for the geom. realization of  $|K|$ .

Apply this fact to  $\text{PG}$  ~~realization~~ whose vertices  $\mathbb{N} \times G$  are ordered via the natural order on  $\mathbb{N}$ . Thus  $\text{PG}$  is the realization of the nerve of the category whose objects are pairs  $(i, g)$  and where

$$\text{Hom}((i, g), (i', g')) = \begin{cases} \emptyset & i > i' \text{ or } i = i' \text{ and } g \neq g' \\ \{\text{id}_i\} & i = i' \quad g = g' \\ f \neq f' & i < i' \end{cases}$$

Since  $G$  acts freely on the objects, hence also the arrows of this category, we see that  $BG$  is the realization of the category whose set of objects is  $\mathbb{N}$  and

$$\text{Hom}(i, i') = \begin{cases} \emptyset & \text{if } i > i' \\ \{\text{id}\} & \text{if } i = i' \\ G & \text{if } i < i' \end{cases}.$$

(Must check this against Segal's paper eventually.)

As a check we observe that geometrically we get in the realization of the nerve of this category one 8-simplex for each collection

$$\begin{matrix} g_{01} & g_{12} & g_{23} \\ l_0 < l_1 < \dots < l_8 \end{matrix}$$

and that the same is true for the Milnor  $BG$ , namely to the simplex  $((i_0, g_0), \dots, (i_8, g_8))$  in  $PG$  goes the simplex

$$\begin{matrix} g_0 g_1^{-1} & g_1 g_2^{-1} & g_2 g_3^{-1} \\ l_0 < l_1 < \dots < l_8 \end{matrix}$$

Now this construction makes sense for a monoid  $M$ , hence we have a Milnor  $BM$ . Moreover there is a canonical map

$$BM \xrightarrow{P} BN = \bigcup_{n \geq 0} \Delta(n)$$

obtained by mapping  $M$  to 1. Let now  $X$  be a compact space, and let  $f: X \rightarrow BM$  be a map. The map  $pf: X \rightarrow BN$  is the same thing as a family of cont. functions  $\rho_i: X \rightarrow [0, 1]$   $i \geq 0$ , almost all zero such that

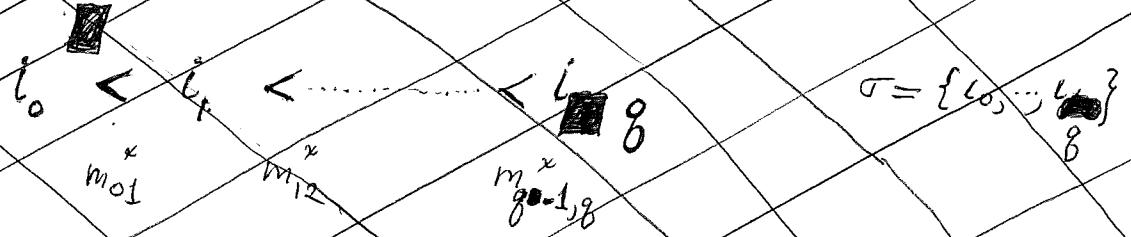
$$\sum_{i \geq 0} \rho_i = 1$$

Such a partition of unity determines an open covering

$U_i$  of  $X$  by  $U_i = f^{-1}(0, 1)$ . If  $\sigma$  is a finite subset of  $\mathbb{N}$  such that

$$U_0 = \bigcap_{i \in \sigma} U_i \neq \emptyset$$

then for  $x$  in  $U_0$ ,  $f(x)$  is a point of  $BM$  of the form



and  $m_{i,j}^x$  is a locally constant function of  $x \in U_0$ , because the simplices over  $U_0$  are topologically disjoint.

Let  $x \in X$  and let  $\sigma = \{l_0, \dots, l_g\}$  be the subset of  $\mathbb{N}$  such that  $i \in \sigma \Leftrightarrow \rho_i(x) > 0$ . Then  $f(x)$  is a point of  $BM$  of the form

$$l_0 < l_1 < l_2 < \dots < l_g$$

with  $m_{j-1, j}^x \in M$ . ~~and  $i < j$~~   
~~If  $i, j \in \sigma$ , let  $m_{ij}(x)$  be the~~  
~~product of the various  $m$ 's between  $i$  and  $j$ .~~  
I claim then that  $m_{ij}: U_i \cap U_j \rightarrow M$  is a  
locally constant function. The only thing to show  
is that if  $x$  specializes to a point  $y$  in  
such a way ~~that~~ that certain of the  $\rho_k(x)$ ,  $k \in \sigma - \{i, j\}$   
go to zero, ~~if~~ <sup>and</sup> if  $\rho_i(y)$  and  $\rho_j(y) > 0$ , then  
 $m_{ij}(x) \rightarrow m_{ij}(y)$ . But this is clear from the topology  
on  $BM$ .

Conclusion: A map  $f: X \rightarrow BM$  is the same  
as a partition of unity

$$\sum_{i \geq 0} \rho_i(x) = 1 \quad \rho_i: X \rightarrow [0, 1]$$

together with locally constant maps

$$m_{ij}: U_i \cap U_j \rightarrow M \quad i < j$$

satisfying the cocycle condition

$$m_{ij} m_{jk} = m_{ik} \quad \text{on } U_i \cap U_j \cap U_k \quad \text{if } i < j < k$$

(Here  $U_i = \rho_i^{-1}(0, 1]$ .)

As a check suppose given this data, and try to construct  $f$ . Then given  $x$  define  $f(x)$  in the way you must namely if  $\sigma = \{i_0, \dots, i_k\}$  are the indices  $\rightarrow u_i \ni x$ , then

$$f(x) = \begin{matrix} \text{point of the simplex} \\ m_{i_0, i_1} \\ \vdots \\ m_{i_{k-1}, i_k} \end{matrix} \quad i_0 < i_1 < \dots < i_k \quad \text{with coordinates } (p_i(x)).$$

Now you want to check the continuity of  $f$ , which somehow seems messy (?)

Example 1: Let  $K$  be a simplicial complex, ~~with an ordering on its vertices~~ and let  $K'$  be its barycentric subdivision. A simplex of  $K'$  is a sequence of simplices  $\sigma_1 < \dots < \sigma_m$  in  $K$ . Thus  $K'$  has a natural ordering and its vertices form a category, namely, the category of simplices of  $K$  with inclusion maps.

$|K|$  has a natural covering by stars of vertices (= open sets  $U_v$  of points whose  $v$ th coordinate is  $> 0$ ). Lubkin forms the family of finite intersections, thus obtaining the open stars of simplices (= open sets  $U_\sigma$  consisting of the points whose coordinates at each  $v$  in  $\sigma$  are  $> 0$ ). Then the category of these open sets is the same as the category of simplices of  $K$ ,  $\text{Cat}(K)$ .

Let Nerve  $\text{Cat}(K)$  be the nerve of  $\text{Cat}(K)$ ; it is the semi-simplicial set whose  $i$ -simplices are chains of proper inclusions of length  $i+1$ . Thus an  $i$ -simplex of Nerve  $\text{Cat}(K)$  is the same as an  $i$ -simplex of  $K'$ . Consequently

$$\text{Real}(\text{Nerve } \text{Cat}(K)) = |K'|$$

Summary: Given a simplicial complex  $K$ , it determines a category, namely, its ordered set of simplices, and the geom. real of the nerve of this category is the relb. of  $K'$ .

Example 2: Given a category  $\mathcal{C}$ , denote by  $\mathbb{N} \cdot \mathcal{C}$  the category whose objects are pairs  $(n, X)$   $n \in \mathbb{N}$ ,  $X \in \text{Ob } \mathcal{C}$  in which the morphisms are given by

$$\text{Hom}(n, X; n', X') = \begin{cases} \emptyset & \text{if } n > n' \\ \{\text{id}\} & \text{or if } n = n' \text{ and } X \neq X' \\ \text{Hom}_{\mathcal{C}}(X, X') & \text{if } n = n' \text{ and } X = X' \\ \text{---} & \text{if } n < n', \end{cases}$$

with evident composition. Then there are two obvious functors

$$\begin{array}{ccc} & \mathbb{N} \cdot \mathcal{C} & \\ N \swarrow & & \searrow \mathcal{C} \end{array}$$

inducing maps of  $\text{Real}(\text{Nerve } ?)$ . The functor  $\mathbb{N} \cdot \mathcal{C} \rightarrow \mathcal{C}$  is surely going to induce a homotopy equivalence on nerve realizations.

How  $\text{Real}(\text{Nerve } \mathcal{C})$  looks: A typical point might be written

$$t_0 X_0, f_{01}, t_1 X_1, f_{12}, \dots, t_g X_g \quad \begin{matrix} t_i > 0 \\ \sum t_i = 1 \end{matrix}$$

This belongs to the simplex

$$X_0 \xrightarrow{f_{01}} X_1 \xrightarrow{f_{12}} X_2 \longrightarrow \dots \rightarrow X_g$$

One makes identifications as follows

faces: If  $t_i \rightarrow 0$  one deletes  $t_i X_i$  and composes  $f_{i-1,i}$  and  $f_{i,i+1}$ .

degeneracies: If  $f_{i,i+1} = \text{id}$  one deletes  $X_{i+1}$  and adds  $t_i$  and  $t_{i+1}$ .

The ~~space~~  $\text{Real}(\text{Nerve } (N \cdot C))$  has points corresponding to sequences

$$t_{i_0} X_{i_0}, f_{i_0 i_1}, t_{i_1} X_{i_1}, f_{i_1 i_2}, \dots, t_{i_g} X_{i_g}$$

where ~~i~~  $i_0 < i_1 < \dots < i_g$  are in  $N$  and  $t_{i_j} > 0$ ,  $\sum t_{i_j} = 1$ . ~~This~~ A map of <sup>(compact say)</sup> a space  $X$  into  $\text{Real}(\text{Nerve } (N \cdot C))$  is therefore the same as a partition of unity

$$\sum_{i \in N} p_i = 1$$

and for each  $U_i = p_i^{-1}(0, 1]$  ~~a continuous map~~  $X_i : U_i \rightarrow \partial b C$  and for each  $i < j$  a continuous map  $f_{ij} : U_i \cap U_j \rightarrow \partial b C$  such that the cocycle condition holds.

Problem: Is there a reasonable way to think about maps of  $X$  into  $\text{Real}(\text{Nerve } C)$ ?

March 4, 1972: Group-completion theorem.

Let  $M$  be a topological monoid, to fix the ideas. I want to understand its "group-completion"  $\Omega BM$ .

The basic construction: Let  $M$  act <sup>to the right</sup> on  $M \times M$  by the rule  $(m_1, m_2)m = (m_1m, m_2m)$ . Thus  $M \times M$  is a right  $M$  space and so we obtain a topological category  $(M \times M / \Delta M)$  whose nerve  $\text{Nerv}(M \times M / \Delta M)$  ~~is~~ is the simplicial space:

$$(M \times M) \times M \times M \xrightarrow{\cong} (M \times M) \times M \xrightarrow[t=pr_1]{s=\text{action}} M \times M$$

This will be the candidate for  $\Omega BM$ . To obtain a map of  $\text{Nerv}(M \times M / \Delta M)$  to  $\Omega BM$ , we produce a "fibre space" over  $BM$  with  $\text{Nerv}(M \times M / \Delta M)$  as fibre whose total space is contractible.

Let  $M$  act to the left on  $M \times M$  by the rule  $m(m_1, m_2) = (mm_1, m_2)$ . This commutes with the right action, hence  $M$  acts on  $\text{Nerv}(M \times M / \Delta M)$  and we can form a simplicial topological category  $(M \backslash \text{Nerv}(M \times M / \Delta M))$  whose nerve

$$\text{Nerv}(M \backslash \text{Nerv}(M \times M / \Delta M))$$

will be a bisimplicial space

$$(p, q) \mapsto M^p \times (M \times M) \times M^q$$

It is clear that the ~~augmented~~ "vertical augmentation"  $\text{Nerv}(M \backslash \text{Nerv}(M \times M / \Delta M)) \rightarrow \text{Nerv}(M \backslash c)$

has fibres  $\approx \text{Nerv}(M \times M / \Delta M)$ .

To obtain contractibility consider the map

$$\text{Nerv}(M \setminus \text{Nerv}(M \times M / \Delta M)) \longrightarrow \boxed{\text{Nerv}(M/M)}$$

$$m \quad m_1, m_2 \quad m' \longmapsto \boxed{m_2, m'}$$

~~the total space~~, more precisely the map

$$M^P \times (M \times M) \times M^B \longmapsto M \times M^B$$

given by projection on the ~~last~~ two factors. The fibres of this map are  $\approx \text{Nerv}(M/M)$  which is contractible and the ~~base~~ is contractible, so the "total" space is contractible.

~~the total space~~

We now get from the above considerations a "map"

$$\text{Nerv}(M \times M / \Delta M) \longrightarrow \Omega BM.$$

For this to be a homotopy equivalence, it is necessary and sufficient that the action of any element of  $M$  produce a homotopy self-equivalence of  $\text{Nerv}(M \times M / \Delta M)$ .

March 5, 1971

Integrating classifying topos.

Let  $\mathcal{T}$  be a topos, let  $S$  be an object of  $\mathcal{T}$ , and let  $G$  be a group in  $\mathcal{T}/S$ . By  $BG$ , I mean the topos  $(\mathcal{T}/S)_G$  consisting of objects  $M$  of  $\mathcal{T}$  over  $S$  with an action of  $G$ :

$$G \times_S M \longrightarrow M$$
$$\begin{array}{ccc} G \times_S M & \longrightarrow & M \\ \downarrow & \nearrow & \\ G & \longrightarrow & S \\ & \downarrow & \\ & M & \end{array}$$

By  $\underline{\Gamma}(S, BG)$  I mean the stack over  $\mathcal{T}$  obtained by "integrating"  $BG$  over  $S$ . Thus ~~the~~<sup>the</sup>  $\underline{\Gamma}(S, BG)$  is a pre-stack over  $\mathcal{T}/S$ ; to each  $U \rightarrow S$  we have the category associated to the group  $H^1_{\text{ét}}(U, G)$ . One enlarges this in the standard way to a stack; to each  $U \rightarrow S$  one associates the category of  $G_U$ -torsors. Now one takes the ~~direct image~~ of this stack relative to the map  $S \rightarrow e$ ; one obtains the stack associating to  $U$  in  $\mathcal{T}$ , the category of  $G_{S \times U}$ -torsors over  $S \times U$ . This last stack is  $\underline{\Gamma}(S, BG)$ .

It is clear that there is a canonical map

$$B\underline{\Gamma}(S, G) \longrightarrow \underline{\Gamma}(S, BG)$$

obtained by ~~viewing~~ viewing the ~~group~~ group  $\underline{\Gamma}(S, G)$

in  $\mathcal{G}$  as a prestack.

~~On the other hand~~

~~the forgetful functor~~ It is clear that  
this map is the ~~full~~ full subcategory consisting  
of the trivial torsors.

March 5, 1972:

I want now to understand the homotopy type of  $\text{Nerv}(M \times M / \Delta M)$  in the case of K-theory. Thus  $M$  will now be replaced by the category  $\mathcal{C}$  of f.g. proj.  $R$ -modules and their isomorphisms.

For  $\text{Nerv}(M \times M / \Delta M)$  I take the category  $\mathcal{C}$  cofibred over  $\Delta^0$  whose fibre  $\mathcal{C}_n$  is the category  $A^{n+2} = (A^2) \times A^n$ . An arrow from  $(V_0^\pm, V_1, \dots, V_n)$  to  $(W_0^\pm, W_1, \dots, W_m)$  lying over a monotone map  $[m] \xrightarrow{\varphi} [n]$  is a collection of isomorphisms

$$W_j^\pm \simeq \bigoplus_{(q_1) < i \leq q_j} V_i^\pm \quad (V_i^+ = V_i^- \text{ for } i > 0).$$

Thus the source operator  $\mathcal{C}_1 \rightarrow \mathcal{C}_0$  (base change wrt the last vertex  $[0] \xrightarrow{\varphi} [1]$ ),  $\varphi(0) = 1$  is

$$(V_0^\pm, V_1) \longmapsto V_0^\pm \oplus V_1$$

while the target operator  $\varphi(0) = 0$  is

$$(V_0^\pm, V_1) \longmapsto V_0^\pm.$$

Now an important thing to note is that the source operator is ~~not~~ faithful, hence the (pseudo-)simplicial category

$$\dots \rightrightarrows \mathcal{C}_2 \rightrightarrows \mathcal{C}_1 \rightrightarrows \mathcal{C}_0$$

is essentially the nerve of a category object  $\mathcal{C}$  in ~~Top~~ ( $\text{Cat}$ ) with  $\text{Ob } \mathcal{C} \cong \mathcal{C}_0$  and  $\text{Ar } \mathcal{C} = \mathcal{C}_1$  etale over  $\text{Ob } \mathcal{C}$ . Then I know a nice topos of sheaves to consider.

More precisely, let  $\mathcal{C}_1$  denote the cofibred category

over  $\mathcal{C}_0 = \alpha \times \alpha$  defined by the functor

$$F(E^\pm) = \left\{ \text{splittings } E^\pm \simeq F^\pm \oplus P^\pm \text{ together with an isom. } P^+ \simeq P^- \right\}.$$

(a splitting is simply a projection operator. Thus I ask for projection operators  $\pi^\pm$  together with an isom.  $\text{Im } \pi^+ \simeq \text{Im } \pi^-$ ).

Thus an object of  $\mathcal{C}_1$  consists of a pair  $E^+, E^-$  together with ~~splittings~~ splittings

$$E^\pm = \text{Im } \pi^\pm \oplus \text{Ker } \pi^\pm$$

and an isomorphism  $\alpha: \text{Im } \pi^+ \simeq \text{Im } \pi^-$ . Define

$$\$: \mathcal{C}_1 \longrightarrow \mathcal{C}_0$$

to be the structural map, and

$$t: \mathcal{C}_1 \longrightarrow \mathcal{C}_0$$

$$t(E^\pm, \pi^\pm, \alpha) = (\text{Ker } \pi^\pm).$$

Finally it is clear how to define composition

$$\mathcal{C}_1 \times_{\mathcal{C}_0} \mathcal{C}_1 \longrightarrow \mathcal{C}_1.$$

Thus we have a category object in (Cat) whose nerve is ~~not~~ equivalent to the ~~pseudo~~ pseudo-simplicial category  $\mathcal{C}_*$  defined above.

Now intuition from Mather's theorem tells me that it is natural to consider the category of local

coefficient systems on  $\mathcal{C}_*$ . Such a thing consists of a sheaf  $F_0$  over  $\mathcal{C}_0$  together with an action of  $\mathcal{C}_1$ .

~~Now  $\mathcal{C}_0$  will be a discrete fibred and cofibred category over  $\mathcal{C}_0$ , and we must lift  $F_0$  to  $\mathcal{C}_1$  via sources in order that it be étale over  $\mathcal{C}_1$ .~~

Think of  $F_0$  as a bifibred category over  $\mathcal{C}_0$  with discrete fibres. From the sheaf theory it is natural to ask for a right action of  $\mathcal{C}_1$

$$(*) \quad F_0 \times_{\mathcal{C}_0} \mathcal{C}_1 \longrightarrow F_0.$$

This means given  $E^\pm = V^\pm \oplus P$ , we want a map

$$(**) \quad F(V^\pm) \longrightarrow F(E^\pm).$$

More precisely  $F_0 \times_{\mathcal{C}_0} \mathcal{C}_1$  has for its objects  $(f, V^\pm \oplus P = E^\pm)$  with  $f \in F(V^\pm)$  and morphisms are isomorphisms. Thus the action  $(*)$  ~~being a functor~~ being a functor means that  $(**)$  is equivariant for  $\text{Aut}(V^\pm)$ . The ~~I~~ identity axiom for a functor implies that the map  $(**)$  must reduce to the given action of  $\text{Aut}(V^\pm)$  on  $F(V^\pm)$ .

Conclude that ~~the~~ the natural category of sheaves on  $\mathcal{C}_*$  is the topos of covariant functors on the category with objects pairs  $V^\pm$  and morphisms  $V^\pm \rightarrow E^\pm$

to consist of two complemented inclusions  $V^\pm \oplus P^\pm \hookrightarrow E^\pm$

together with an isomorphism  $P^+ \cong P^-$ .

so now let  $\mathcal{B}$  be this category. I want to show that it has the good properties. The first thing is to show it gives rise to an H-space. But we have direct sum

$$\begin{array}{ccc} V^\pm, W^\pm & \longmapsto & V^\pm \oplus W^\pm \\ \downarrow & \downarrow & \downarrow \\ V'^\pm, W'^\pm & \longmapsto & V'^\pm \oplus W'^\pm \\ \Delta Q & \Delta R & \Delta Q \oplus \Delta R \end{array}$$

which is associative and commutative up to isomorphism. Moreover,  $(0,0)$  behaves as a unit for this operation. Thus the realization of  $\mathcal{B}$  is an H-space. In fact, it's an invertible H-space because given any object  $V^\pm$  of  $\mathcal{B}$ , its inverse is  $(V^-, V^+)$ . That's because

$$(V^-, V^+) \oplus (V^+, V^-) = (V^- \oplus V^+, V^+ \oplus V^-) \xrightarrow{\sim} (0, 0).$$

~~Why  $\mathcal{B} = \Omega \mathcal{B}\mathcal{B}\mathcal{A}$ :~~

~~By  $\mathcal{B}\mathcal{B}\mathcal{A}$  we mean the analogue of  $\text{Nerv}(M)$ , i.e. the (pseudo)simplicial category  $\overset{\text{in degree } n}{\cong} A^n$ . Using the evident  $A$ -action on  $\mathcal{B}$  we can form a pseudo-simplicial category  $\text{Nerv}(\mathcal{B}A^\wedge | \mathcal{B})$ ; (here  $A$  acts by  $V \oplus (V^\pm) = (V + V^\pm, V^-)$ . An important point is again the fact that the map~~

Why  $B = \Omega BBA$ . Let  $A$  act on  $B$  by the rule  $V \cdot (V^+, V^-) = (V \oplus V^+, V^-)$  and form the pseudo-simplicial category  $\text{Nerv}(A \backslash B)$ :

$$\begin{array}{ccc} \rightrightarrows & A \times B & \longrightarrow B. \end{array}$$

Observe that the action map  $A \times B \rightarrow B$  is "etale" i.e.  $A \times B$  is equivalent to the <sup>cubified</sup> category over  $B$  defined by the functor

$$F(V^+, V^-) = \{\text{splittings of } V^+\}.$$

(The way to check these things is to note that an object of  $A^n \times B$  is a collection

$$\boxed{(V_1, V_2, \dots, V_n)} \quad (V_1, \dots, V_n, V^\pm)$$

and the ~~first~~<sup>first</sup> vertex map

$$(V_1, \dots, V_n, V^\pm) \mapsto (V_1 \oplus \dots \oplus V_n \oplus V^+, V^-)$$

allows one to identify  $A^n \times B$  with an object of  $B$  together with an  $(n+1)$ -fold splitting of the first space. It follows then that  $\text{Nerv}(A \backslash B)$  is homotopy equivalent to the category with objects  $V^\pm$  and in which a map  $V^+ \rightarrow W^+$  consists of splittings

$$V^+ \oplus P \oplus Q^+ \rightsquigarrow W^+$$

$$V^- \oplus Q^- \rightsquigarrow W^-$$

together with an isomorphism ~~Q~~  $Q^+ \cong Q^-$ . To show the last category, call it  $L$ , is contractible. ~~also~~ since

~~Why  $B \cong \mathcal{B}BA$ ? Let  $\mathcal{Q}$  act on  $B$  by  $\mathcal{Q}(X) = X \otimes V$ , and let  $\mathcal{N}(\mathcal{Q})$  be the~~

~~$\mathcal{Q}$  acts invertibly on  $\mathcal{B}$ .~~

$\mathcal{N}(\mathcal{Q})$  is a quasi-fibration with fibres  $\sim B$ , so this will establish  $\mathcal{Q}\mathcal{N}(\mathcal{Q}) \sim B$ .

To show  $L$  contractible, project:  $V^\pm \mapsto V^-$ . This provides a functor from  ~~$L$~~  to the category  $\mathcal{J}$  of complemented inclusions (reduced version of  $\mathcal{B}(\mathcal{Q}/\mathcal{Q})$ ). Then

$$L \rightarrow \mathcal{J}$$

is cofibred. The fiber over  $V^-$  is the category  $\mathcal{J}$   
~~( $V^+ \oplus P \cong W^+$ )~~, hence is  ~~$L$~~  contractible as it has an initial element. Thus  $L$  is contractible.

Now I have to understand the homology of  $B$ . The idea will be to consider the functor

$$\mathcal{N}(\mathcal{Q}) \rightarrow B$$

given by ~~last vertex~~: ~~category~~

$$(V_0^\pm, V_1, \dots, V_n) \mapsto V_0^\pm \oplus V_1 \oplus \dots \oplus V_n$$

This functor is a homotopy equivalence as mentioned before.

Why?

~~It's a homotopy equivalence because  $\mathcal{N}(\mathcal{Q})$  is a quasi-fibration.~~

~~This is the nerve of a category in  $B^\wedge$ .~~

Claim: Let  $\mathcal{C}$  be a topological category with étale source map, and  $\mathcal{C}^\wedge$  the associated category of sheaves. Then for computing cohomology in  $\mathcal{C}^\wedge$ , I have found useful the resolution

$$\text{Ar}_2 \mathcal{C} \rightrightarrows \text{Ar} \mathcal{C} \xrightarrow{s} \text{Ob} \mathcal{C} \quad (= \text{ext} \mathcal{C}^\wedge)$$

where  $\text{Ar} \mathcal{C}$  acts on the right. This is the nerve of a category  $\mathcal{T}$  in  $\mathcal{C}^\wedge$  with

$$\text{Ob}(\mathcal{T}) = \text{Ar} \mathcal{C}$$

$$\text{Ar}_2(\mathcal{T}) = \text{Ar}_2 \mathcal{C}$$

etc. ~~The classifying topos of~~  $\mathbb{B}(\mathcal{C}^\wedge, \mathcal{T})$  is  $\mathcal{C}^\wedge$  itself.

In effect we already know that  $\mathcal{C}^\wedge / \text{Ar} \mathcal{C} \cong (\text{Ob} \mathcal{C})^\wedge$   
Thus a diagram

$$\Rightarrow F \times_{\text{Ar} \mathcal{C}} \text{Ar}_2 \mathcal{C} \xrightarrow{\quad} F \downarrow$$

$$\Rightarrow \text{Ar}_2 \mathcal{C} \xrightarrow{\quad} \text{Ar} \mathcal{C}$$

in  $\mathcal{C}^\wedge$  will be equivalent to a diagram

$$\Rightarrow F' \times_{\text{Ob} \mathcal{C}} \text{Ar} \mathcal{C} \xrightarrow{\quad} F' \downarrow$$

$$\Rightarrow \text{Ar} \mathcal{C} \xrightarrow{\quad} \text{Ob} \mathcal{C}$$

so its pretty clear. (The origin of this question arose because I thought ~~source étale top.~~ categories had

to be treated differently from categories in topoi.)

March 6, 1972.

Mumford's conjecture again

Let  $V$  be a representation of a group  $G$ ,  $G$  being discrete. Assume  $G$  perfect and no mod  $p$  cohomology where  $V$  is of characteristic  $p$ . Now consider the bigraded ring

$$H^*(G, SV)$$

Think of this as  $H^*(X, \mathcal{O}_X)$ , where  $X$  is a ringed topos of char  $p$ . Thus it has Steenrod operations with a Bockstein operation of degree 1, and  $P^\circ$  operation induced by ~~Frobenius~~ Frobenius.

new idea for proof that  $\mathbb{B}$  category 1  
 $\mathcal{J}(R)$  of pairs  $(V^+, V^-)$  with diag. action is  
 $BGL(R)^+$  (see p. 48 and 7)

March 6, 1972:

Let  $R$  be a ring and  $\mathcal{J} = \mathcal{J}(R)$  the category of finitely generated projective  $R$ -modules with complemented injections for morphisms (i.e. a map  $P \rightarrow P'$  in  $\mathcal{J}(R)$  consists of a pair of  $R$ -module maps  $\varepsilon: P \rightarrow P'$ ,  $\pi: P' \rightarrow P$  such that  $\pi\varepsilon = id_P$ ). I propose to determine the category ~~Ind~~  $\text{End}(\mathcal{J}(R))$ .

So let  $I$  be a filtering category and

$$I \longrightarrow \mathcal{J}$$

$$i \longmapsto P_i$$

a functor. Set

$$P = \varinjlim P_i$$

and ~~for each i let the maps~~ for each  $i$  let the maps

$$P_i \xleftarrow{\pi_i} P \xrightarrow{\varepsilon_i}$$

be defined by taking the limit over the category  $i/I$  of the maps

$$P_i \xleftarrow{\pi_u} P_j \xrightarrow{\varepsilon_u} \quad u: i \rightarrow j$$

Then  $\pi_i \varepsilon_i = id_{P_i}$ , so  $E_i = \varepsilon_i \pi_i$  is an idempotent in  $\text{End}(P)$ . Suppose now that  $u: i \rightarrow j$ . Then we clearly have

$$\begin{array}{ccccc} & & \pi_i & & \\ & \swarrow \pi_u & & \searrow \pi_j & \\ P_i & \xleftarrow{\varepsilon_u} & P_j & \xleftarrow{\varepsilon_j} & P \\ & \curvearrowleft \pi_i & & \curvearrowright \pi_j & \\ & & E_i & & \end{array}$$

so

$$E_i E_j = \varepsilon_i \pi_i \varepsilon_j \pi_j = \varepsilon_i \pi_i \pi_j \varepsilon_j \pi_j = E_i$$

$$E_j E_i = \varepsilon_j \pi_j \varepsilon_i \pi_i = \varepsilon_j \pi_j \varepsilon_j \varepsilon_i \pi_i = E_i$$

so that  $E_i \leq E_j$  in the usual sense of projectors.

Thus

$$i \mapsto E_i \quad \left[ \begin{array}{l} E \leq F \Leftrightarrow EF = FE = E \\ \Leftrightarrow \left\{ \begin{array}{l} \text{Im } E \subset \text{Im } F \\ \text{Ker } E \supset \text{Ker } F \end{array} \right. \end{array} \right]$$

is a map from  $I$  to the ordered set of projectors in  $P$ .

Its image  $\bar{I}$  will be a directed set and the functor  $I \rightarrow \bar{I}$  will be cofinal.

Therefore to any ind-object in  $\mathcal{I}$ , we can associate an  $R$ -module  $P$  together with a ~~directed~~ set  $\mathcal{E}$  of projectors in  $P$  satisfying

i)  $\mathcal{E}$  directed

ii)  $\forall p \in P, \exists E \text{ with } p \in \text{Im}(E)$

iii)  $\forall E \in \mathcal{E}, \text{Im}(E)$  is a f.g. projective  $R$ -module.

The functor represented by the ind-object is

$$Q \mapsto \left\{ Q \xrightarrow[\pi]{} P \mid i\pi \leq \text{some member of } \mathcal{E} \right\}.$$

Proposition:  $\text{Ind}(\mathcal{I})$  ~~is~~ is equivalent to the following category:

Objects: An  $R$ -module  $P$  endowed with a set  $\mathcal{E}$  of projectors which is directed, exhaustive, and hereditary, and such that  $\forall E \in \mathcal{E}, \text{Im}(E)$  is fin.gen. projectives.

Arrows:  $(P, \mathcal{E}) \rightarrow (P', \mathcal{E}')$  consists of  $P \xrightarrow[\mathcal{E}]{} P'$  such that  $E \mapsto \varepsilon E \pi$  carries  $\mathcal{E}$  into  $\mathcal{E}'$ .

Proof: Let  $\mathcal{I}'$  be the category ~~just described~~ just described. We have a functor  $\text{Ind}(\mathcal{I}) \rightarrow \mathcal{I}'$ , and similarly ~~a functor in the opposite direction.~~ a functor in the opposite direction. Observe that compositions are the same.

Example to show that we can have  $P \cong P'$  but not  $E = E'$ . Take  $R$  to be a field to simplify and consider  $E'$  to be all projection operators on  $V = k^{(N)}$ . The point is that given  $E'_1, E'_2$  we ~~can~~ can find a subspace of finite-codimension  $Q \subset \ker E'_1 \cap \ker E'_2$  and such that  $Q \cap \{\text{Im}(E'_1) + \text{Im}(E'_2)\} = 0$ . Then extending the sum of the images to a complement for  $Q$  and letting  $E'$  be the resulting projector we have

$$\left. \begin{array}{l} \text{Ker } E' \subset \text{Ker } E'_i \\ \text{Im } E' \supset \text{Im } E'_i \end{array} \right\} \Rightarrow E'_i \leq E_i$$

Thus the set of all projectors works. So we can take  $E$  to be the projectors on subspaces corresp. to finite  $S \subseteq N$ .

Question: Is  $P$  necessarily projective?

Let  $S$  denote the monoid of isomorphism classes of fin. gen. proj.  $R$ -modules. Let  $(S/S)$  be the category obtained by letting  $S$  act on itself by addition. Then we have an evident functor

$$f: \mathcal{J} \longrightarrow (S/S)$$

which sends  $P$  to its iso. class  $\text{cl}(P) \in S$ , and a morphism  $P \xrightarrow[\epsilon]{\pi} P'$  to the morphism  $(\text{cl}(P), \text{cl}(P'))$ .

Proposition:  $f$  is acyclic, i.e. for all  $F: (S/S) \rightarrow \text{Ab}$  we have

$$H_*(\mathcal{J}, f^* F) \xrightarrow{\sim} H_*(\boxed{S/S}, F).$$

Proof. It suffices to show that for each object  $s$  in  $S/S$  the category of arrows  ~~$\boxed{S/S}$~~   $s \rightarrow \text{cl}(P)$  is contractible, i.e. the category of pairs  $(P, t)$  with  $s+t=\text{cl}(P)$ . But observe we have a functor

$$(P, t), (P', t') \longmapsto (P \oplus P', s+t+t')$$

and ~~natural transfs.~~ natural transfs.

$$\begin{matrix} & & \\ & \uparrow & \uparrow \\ (P, t) & & (P', t') \end{matrix}$$

so the ~~contractibility~~ contractibility follows from.

Lemma: Let  $\mathcal{C}$  be a category with a functor

$$\begin{aligned} \mathcal{C} \times \mathcal{C} &\longrightarrow \mathcal{C} \\ (X, Y) &\longmapsto X+Y \end{aligned}$$

together with natural transfs.

$$X \xrightarrow{i_1} X+Y \xleftarrow{i_2} Y.$$

If  $\mathcal{C}$  is non-empty it is contractible.

Proof. The functor  $X \mapsto X_0$  is joined to the functor  $X \mapsto X + X_0$  by the natural transf.  $i_2$ . Similar the latter is joined to the identity by  $i_1$ .

Better to observe simply that if  $d(P_0) = s$  then there are natural transformations

$$\begin{array}{ccc} (P, t) & \xrightarrow{\quad} & (P, t) \\ \downarrow & \searrow & \downarrow \\ (P_0, 0) & \xrightarrow{\quad} & (P \oplus P_0, t+0) \end{array}$$

joining the identity to the constant functor.

~~XXXXXXXXXX~~ Corollary:  $H_*(I, f^* F) = \begin{cases} 0 & * > 0 \\ \varinjlim_{(S/S)} F(s) & * = 0 \end{cases}$ 
~~XXXXXXXXXX~~

Proof: The category  $S/S$  is filtering, hence the inductive limit functor is exact.

Proposition: Let  $B$  denote the category of pairs  $(V^+, V^-)$  with morphism  $f: (V^+, V^-) \xrightarrow{\text{defined}} (W^+, W^-)$  to be a complemented inclusion together with an isomorphism  $\text{Ker}(\pi^+) \cong \text{Ker}(\pi^-)$ . Then

$$H_*(B, \mathbb{Z}) \leftarrow H_*(\mathbb{A}, [(\pi_0 \mathbb{A})^{-1}])$$

Proof: The projection  $B \xrightarrow{P} \mathcal{J}$  is cofibred with fibre over  $V$  equivalent to  $\mathcal{A}$ , hence we have a spectral sequence

$$H_*(B, \mathbb{Z}) \Leftarrow H_*(\mathcal{J}, L_{P!}(\mathbb{Z})) = E^2$$

$$L_{P!}(\mathbb{Z})_V = H_*(\mathcal{A}, \mathbb{Z})$$

Thus  $L_{P!}(\mathbb{Z})$  is the functor on  $\mathcal{J}$  which sends  $V$  to

$$H_*(\mathcal{A}) = \bigoplus_{S \in S} H_*(\text{Aut}(P_S))$$

and which sends a map  ~~$\mathcal{A} \xrightarrow{\alpha} \mathcal{A}'$~~   $V \xleftarrow[i]{\pi} V'$  into multiplication by  $\text{cl}(\text{Ker } \pi) \in \pi_0 \mathcal{A}$ . Applying the preceding we have  $E_{pq}^2 = 0$  for  $q > 0$ , and the spectral sequence degenerates yielding the desired result.

---

Idea: Go back to the category  $\mathcal{J}$  of pairs  $(V^+, V^-)$  and assume that there exists a stable range functions, namely a function  $n(i)$  so that

$$H_j(GL_n) \xrightarrow{\sim} H_j(GL_{n+1})$$

for all  $j \leq i$ ,  $n(i) \leq n$ . Then I see by considering the projection  $(V^+, V^-) \rightarrow V^+$  that the component of  $\mathcal{J}$  with  $\dim V^- = \dim V^+ + m$  will clearly have the right homotopy type in a range. The point is that the local coefficient system

$$V^+ \longmapsto H_j(GL_{\dim V^+ + m}, \mathbb{Z}) \quad \begin{matrix} L \text{ some} \\ K\text{-module} \end{matrix}$$

is locally constant, hence ~~the projection~~ its homology over  $\mathcal{J}$  is ~~constant~~ trivial as  $\mathcal{J}$  is contractible. Now the next point is to use the equivalence of the components.

Scheme for new proof of computation of  $H_*(\mathcal{J})$ : Idea is to consider projection  $(V^+, V^-) \rightarrow V^+$  giving spectral sequence

$$E_{pq}^2 = L_p \lim_{\rightarrow} (V \mapsto H_*(\mathrm{Aut} V)) \Rightarrow H_*(\mathcal{J})$$

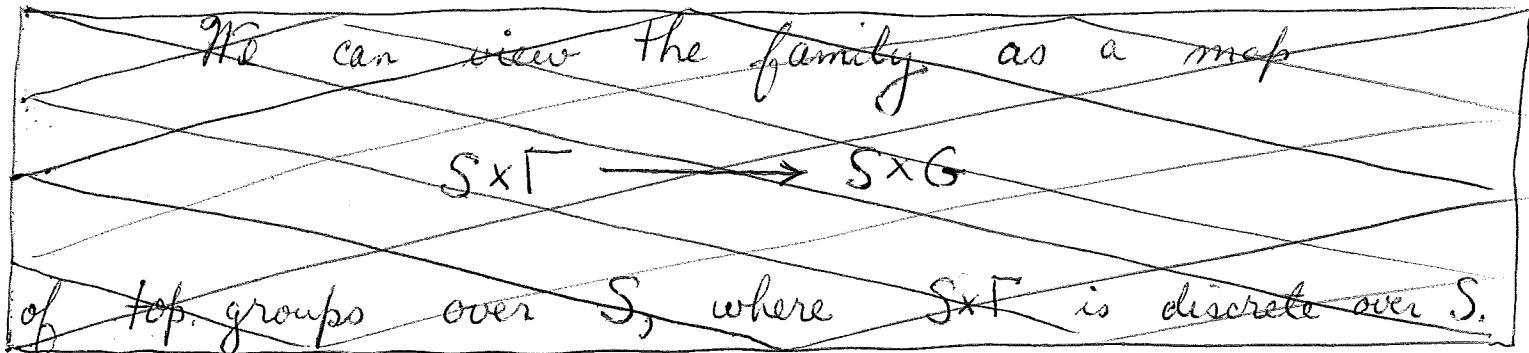
Now multiply by  $S = \text{Iso classes}$ , ~~and~~ and localise. It doesn't affect  $H_*(\mathcal{J})$  and it makes the local coeff. system invertible. Then the spectral sequence degenerates by contractibility of  $\mathcal{J}$ .

March 9, 1972

Thursten described Eilenberg-MacLane cohomology in the following way. Let  $G$  be a Lie group, say. Then an E-M class for  $G$  with coeff.  $\mathbb{R}$  "is" a class for the underlying discrete group which "varies continuously". For example given a family of homomorphisms

$$\varphi_s : \Gamma \longrightarrow G$$

parameterized by  $s \in S$ , then  $\varphi_s^*(\alpha) \in H^*(\Gamma, \mathbb{R})$  should be continuous in  $s$  for any E-M class  $\alpha$  of  $G$ .



One should ~~not~~ also allow the group  $\Gamma$  to vary over  $S$ . (?)

We can consider ~~parallelize~~ a fiber bundle   
a proper smooth map  $X \rightarrow S$  and a principal  $G$ -bundle  $P \rightarrow X$  which is stratified with respect to  $S$ . Then ~~parallelize~~ if we give a section  $\varepsilon$  of  $X/S$  and a trivialization  $\varepsilon^* P \cong X \times G$  of  $P$  over the section, we obtain a family of homomorphisms

$$\varphi_s : \pi_1(X_s, \varepsilon(s)) \longrightarrow G$$

as described above. Thus an E-M class  $\alpha$  of  $G$  should

give a section of the DR cohomology of  $X/S$ :

$$s \mapsto \varphi_s^*(\alpha) \in H^*(\pi_1(X_s, \varepsilon(s)), \mathbb{R}) \rightarrow H^*(X_s, \mathbb{R})$$

Question: Can we identify an EM class  $\alpha$  of  $G$  with a characteristic class for stratified principal  $G$ -bundles over foliated manifolds, that is, a char. class  $\Theta$  which assigns to a foliated manifold  $(X, S \subset T_X)$  and a principal  $G$ -bundle  $P$  stratified wrt  $S$  a class  $\Theta(P, X, S) \in H^*(X, \boxed{\Omega^*_{X/S}}) \wedge S^*$ ?

where

$$\Omega^*_{X/S} : \Omega^* S^* \rightarrow \Omega^* S^* \rightarrow \Omega^* S^*$$

Example:  $G$  Lie group, say connected,  $Z = G/K$  its associated symmetric spaces. Then given a principal  $G$ -bundle  $P \rightarrow X$  stratified with respect to a foliation  $S$ , we form  $P \times_G Z = P/K$ . Let  $\omega$  be a left invariant differential form on  $Z$ ; the complex of these is  $(\Lambda^*(g/k))^*$  with suitable differentials; in fact one has an embedding

$$\Lambda^*(g/k)^* \hookrightarrow \Lambda^*(g)^* \quad (\text{left back via } G \rightarrow G/K)$$

with image the forms  $\omega \mapsto i(k)\omega = 0$ . More precisely choose a  $G$ -connection on  $P$  extending the given  $S$ -connection. (This is possible because there exists such an invariant connection at  $S$  (is a section of an affine space bundle over  $X$ ). More precisely consider the fibre  $\mathcal{O}$ .)

the differential being induced by the embedding

$$(\Lambda^*(g/k)^*)^K \hookrightarrow \Lambda^* g^*. \quad (\text{map } G \rightarrow G/K).$$

Then if  $\pi: P \times^G Z \rightarrow X$  is the projection, we can associate to  $\omega$  a form along the fibres of  $\pi$  obtaining a map of complexes

$$(\Lambda^*(g/k)^*)^K \longrightarrow \Gamma(P \times^G Z, \Lambda^* T_\pi^*)$$

Now the point is that because  $P$  is stratified with respect to the foliation  $S$ , this map lifts to a map

$$(*) \quad (\Lambda^*(g/k)^*)^K \longrightarrow \Gamma(P \times^G Z, \Lambda^* U^*)$$

where  $U \subset T_{P \times^G Z}$  is the subbundle spanned by the lift of  $S$  provided by the  $S$ -connection, and  $T_\pi$ , that is

$$0 \longrightarrow U \longrightarrow T_{P \times^G Z} \longrightarrow \pi^* Q \longrightarrow 0$$

$$0 \longrightarrow T_\pi \longrightarrow U \longrightarrow \pi^* S \longrightarrow 0$$

(connection provides a splitting of latter sequence, hence a lifting of  $\pi: \Lambda^* T_\pi^* \longrightarrow \Lambda^* U^*$ ). Another version: locally  $\exists$  quotient  $X$  by foliation, and  $P$  comes from  $\bar{P}$  over  $X$ . Then we have

$$(\Lambda^*(g/k)^*)^K \longrightarrow \Gamma(\bar{P} \times^G Z, \Lambda^* T_\pi^*) \longrightarrow \Gamma(P \times^G Z, \Lambda^* U^*).$$

and being canonical this gives a global ~~map~~ map  $(*)$

Now given a section  $s: X \rightarrow P \times^G Z$  we have a map

$$\Gamma(P \times^G Z, \Lambda^* U^*) \longrightarrow \Gamma(X, \Lambda^* S^*)$$

pull-back of forms. We see therefore that to any reduction of  $P$  to  $\square K$  we have associated a map of complexes

$$(+) \quad [A^*(g/k)]^K \longrightarrow \Gamma(X, A^*s^*)$$

hence a well-defined map in cohomology (by usual argument)

$$H^*(g, k) \longrightarrow H^*(X, A^*s^*).$$


---

I'd like a converse to this example. Suppose we have a characteristic class for ~~G~~-torsors stratified with respect to foliations. Do we get a class in  $H^*(g, k)$ ?

Simpler description: Given  $P, X, S, s: X \rightarrow P/K$  as above. Given a tangent vector ~~v~~  $v \in S(x)$  it can be lifted in two ways, via  $s$  and via the connection. The difference then is ~~a~~ tangent to the fibre, so we get

$$S(x) \longrightarrow \boxed{\text{Diagram}} \quad T_{\boxed{x}}(s(x))$$

$$\downarrow \\ g^{-1}\{s(x)\} \times K \text{ of } k$$

where  $g: P \rightarrow P/K$ .

~~This gives the map (+) above, I guess.~~

Example: Go back to the case of  $\pi: Y \rightarrow X$  foliated  $\mathbb{R}$ -bundle flat at the ends. Then if we choose a fibre coordinate  $z: Y \rightarrow \mathbb{R}$  such that  $z = f_n$  at the "ends" of  $Y$ , we have a way of mapping tangent vectors on  $X$  into vector fields with compact support on  $\mathbb{R}$ , hence we can pull back the Helfand-Fuchs cocycles. In the notation used before (except  $z$  replaces  $t$ )

$$\omega = dz + \sum a_i dx_i$$

$x_1, \dots, x_m$   
local coords on  $X$ .

Then  $\frac{\partial}{\partial x_i}$  lifts to

$$-a_i \frac{\partial}{\partial z} + \frac{\partial}{\partial x_i} \quad \text{via foliation}$$

$$\frac{\partial}{\partial x_i} \quad \text{via } z$$

and the difference is

$$\left( \frac{\partial}{\partial x_i} \right)_x \mapsto a_i(x, z) \frac{\partial}{\partial z} \quad \text{vector field on } \mathbb{R}$$

so the 1-form is

$$\left( \frac{\partial}{\partial x_i} \right)_x, \left( \frac{\partial}{\partial x_j} \right)_x \mapsto \lambda(a_i^{\alpha, \beta}, a_j^{\alpha, \beta})$$

$$\int_{-\infty}^{\infty} \frac{\partial^2 a_i^{\alpha, \beta}(x, z)}{\partial z^2} \frac{\partial a_j^{\alpha, \beta}(x, z)}{\partial z} dz$$

i.e. we get our previous formula

$$\pi_*(\theta \cdot d\theta) = \sum_{ij} \int_{-\infty}^{\infty} \frac{\partial^2 a_i(x, z)}{\partial z^2} \frac{\partial a_j}{\partial z}(x, z) dz \quad dx_i dx_j$$

(up to sign).

March 11, 1972.

Fix a space  $T$ . Consider the following topological category  $\mathcal{J}(T)$ . Its objects are pairs of finite sets  $(S^+, S^-)$  over  $T$ . An arrow  $(S^+, S^-) \rightarrow (T^+, T^-)$  consists of a pair of injections

$$S^+ \hookrightarrow T^+$$

$$S^- \hookrightarrow T^-$$

over  $T$  together with an isomorphism  $T^+ - S^+ \cong T^- - S^-$  over  $T$ .

$$\text{Ob } \mathcal{J}(T) = \coprod_{S^+, S^- \text{ finite sets}} J^{S^+} \times J^{S^-}$$

$$\text{ar } \mathcal{J}(T) = \coprod_{\begin{array}{c} S^+ \hookrightarrow T^+ \\ S^- \hookrightarrow T^- \\ T^+ - S^+ \cong T^- - S^- \end{array}} J^{T^+} \times J^{T^-}$$

Note that the target map is not etale. Thus the simplicial category

$$\rightrightarrows (M \times M) \times M \rightrightarrows M \times M$$

$$M = \coprod_n (X^n, \Sigma_n)$$

is not of the type you studied before i.e.

$$(X^P \times X^Q) \times_{\sigma} X^R \longrightarrow X^{P+R} \times X^{Q+R} \\ (\alpha, \beta) \longmapsto (\alpha + \beta, \beta)$$

is not étale.

Next suppose  $T$  is a space with basepoint. Consider pairs of finite sets  $S^+, S^-$  plus a map  $\xi: S^+ \rightarrow T$ . An arrow  $(S^+, S^-, \xi) \rightarrow (T^+, T^-, \eta)$  consists of

$$S^+ \hookrightarrow T^+ \quad \text{over } T$$

$$S^- \hookrightarrow T^-$$

$$T^+ - S^+ \xrightarrow{\boxed{\quad}} T^- - S^-$$

such that  $\eta(T^+ - S^+) = \bullet$  the basepoint.

$$\text{Ob} = \coprod_{S^+, S^-} T^{S^+}$$

$$\text{Ar} = \coprod_{\substack{S^+ \hookrightarrow T^+ \\ S^- \hookrightarrow T^-}} T^{S^+}$$

$$T^+ - S^+ \xrightarrow{\sim} T^- - S^-$$

This source is étale here.  $S \mapsto T^S$  functor covariant ~~if~~ for  $S \hookrightarrow T$  if we use the basepoint. This shouldn't work either.

Conclude: In order to work ~~the~~  $\coprod P\Sigma_n \times^{\Sigma_n} T^n$  into your setup it is necessary to make the diagonal maps  $T \rightarrow T^k$  étale.

Lang's theorem again

Let  $\sigma$  be an endomorphism of a group  $G$ . Given a  $G$ -torsor  $P$  let

$$\sigma_* P = P \times^G \sigma(G)$$

and consider the category of  $(P, \alpha)$  where  $\alpha: \sigma_* P \rightarrow P$ , i.e.  $\alpha: P \rightarrow P$  satisfies  $\alpha(pg) = \alpha(p)\sigma(g)$ . Define

$$(*) \quad \begin{aligned} (\text{$G$-torsors}) &\longrightarrow \text{cat. of } (P, \alpha) \\ Q &\longmapsto Q \times^G G \text{ with } \alpha(gx) = g\sigma(x). \end{aligned}$$

Claim:  $(*)$  is ~~an equivalence of categories~~ fully-faithful.

It is an equivalence of categories  $\Leftrightarrow$

$$\begin{aligned} G/G^\sigma &\xrightarrow{\sim} G \\ gG^\sigma &\mapsto g(\sigma g)^{-1}. \end{aligned}$$

Proof. Given  $\theta: Q \times^G G \rightarrow Q' \times^{G'} G'$  choose  $g \in Q$ , and let  $\theta(g) = g'g_0$ . Then

$$g'\sigma g_0 = \alpha'(g) = \theta \alpha(g) = \theta g = g'g_0$$

so  $\sigma g_0 = g_0$  and  $g'g_0 \in Q'$ , so fully faithful.

Given  $(P, \alpha)$ , choose  $p \in P$ , whence  $\alpha(p) = pg$ ; let  $g = g(\sigma g_1)^{-1}$  whence  $\alpha(pg_1) = pg\sigma g_1 = pg_1$  and hence  $P = Q \times^G G$  where  $Q = \{p \mid \alpha(p) = p\}$ . Thus equivalence if  $G/G^\sigma = G$ .

Conversely given  $g \in G$  and define  $\alpha: G \rightarrow G$  by  $\alpha(g) = g_0\sigma(g)$ . If an equivalence,  $\exists g_1$  with  $\alpha(g_1) = g_1$ , i.e.  $g_0 = g(\sigma g_1)^{-1}$ .

March 12, 1972. ~~stable~~ stable splitting theorem

A category of f.g. proj.  $R$ -modules and isos.  
I and split injections  
J cat. of pairs  $(V^+, V^-)$  an arrow  $(V^+, V^-) \rightarrow (W^+, W^-)$   
 consists of split injections  $(i^\pm, Q^\pm): V^\pm \rightarrow W^\pm$   
 together with  $Q^+ \rightarrow Q^-$ .

Fix  $V$  in  $A$ . Let  $\mathcal{A}_V$  be the category of  
 injections  $V \hookrightarrow E$  with  $E/V$  in  $A$ , arrows being isoms.  
 under  $V$ . Then we have a direct sum operation

$$E, E' \longmapsto E \overset{V}{+} E'$$

which is associative, commutative, and unitary. ~~closed under~~

~~closed under~~ ~~it is not an additive category~~ Note  
 that the category of injections  $V \hookrightarrow E$  is not an  
 additive category. Fibred over additive category  $\mathcal{P}_R$ .

Form  $\mathcal{J}_V$  in analogy with  $\mathcal{J}$ . Its objects are the  
 same as those of  $\mathcal{A}_V$ . A morphism  $E \rightarrow E'$  in  
 $\mathcal{J}_V$  consists of an injection  $i: E \hookrightarrow E'$  under  $V$   
 together with a submodule  $Q$  of  $E'$  containing  $V$   
 such that

$$E \overset{V}{+} Q \xrightarrow{\sim} E'$$

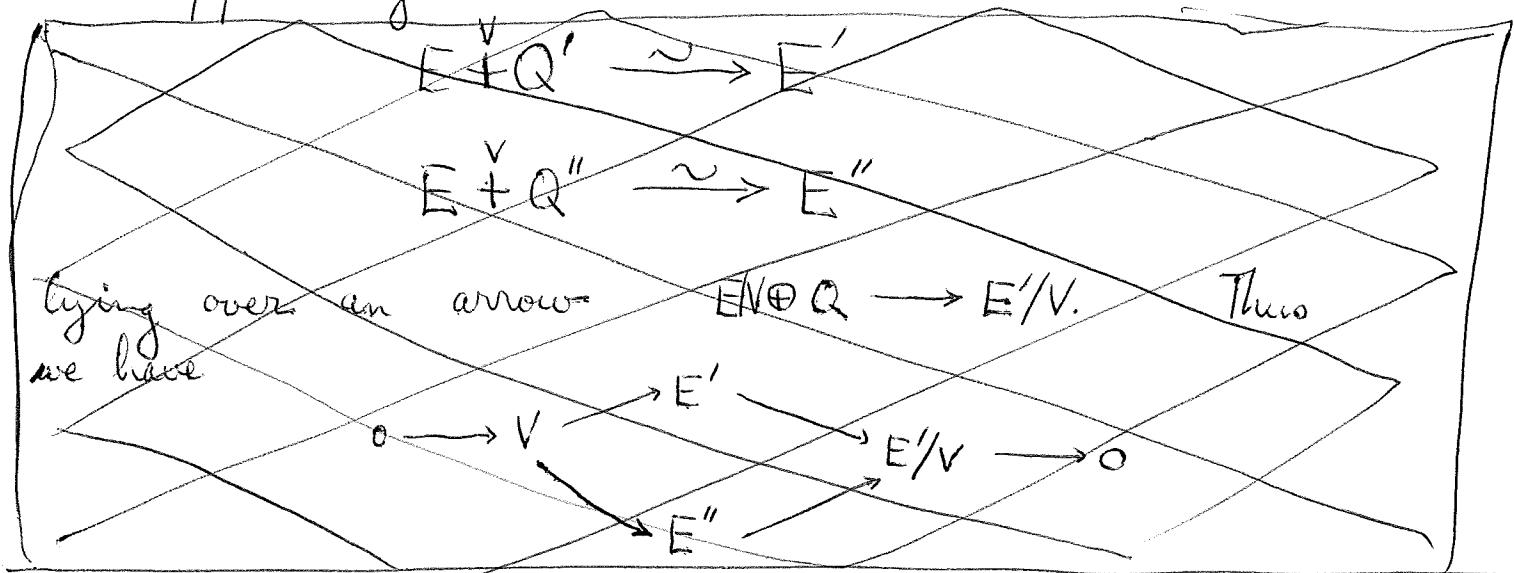
Composition is clear.

NO  $\rightarrow$  Claim that

$$\mathcal{J}_V \longrightarrow \mathcal{J}$$

essentially  $E \longmapsto E/V$   
 is cofibrant. Since the fibre over  $W$  is  $\underline{\text{Ext}}(W, V)$

which is a groupoid (in fact a Picard category), we must show every arrow in  $\mathcal{I}_V$  is cartesian. So suppose given two arrows



$$E \xrightarrow{i_1} E_1 \supset Q_1$$

$$E \xrightarrow{i_2} E_2 \supset Q_2$$

lying over the same arrow in  $\mathcal{I}$ . This means we have an isomorphism

$$(*) \quad E_1/V \simeq E_2/V$$

compatible with  $i_1, i_2$  and  $Q_1, Q_2$ . Then I want to show there is a unique isomorphism  $E_1 \rightarrow E_2$  compatible with  $i_1, i_2, Q_1, Q_2$  and  $(*)$ . But given?

What can be done in the above ~~is to~~ is to define  $\mathcal{I}'_V$  ~~with same objects as~~ with same objects as  $\mathcal{I}_V$  but with  $E \rightarrow E'$  defined to be ~~a~~ a ~~split~~ injection from  $E$  to  $E'$  under  $V$  of course. Then  $\mathcal{I}'_V$

is cofibred over  $\mathcal{A}$ .

---

The point:

~~Let  $G$  act on  $E$ . Then~~

Consider characteristic classes of representations ~~in~~ in  $A_V$ . Then any stable char. class extends to the Grothendieck group of representations, in which we have the identity  $E \cong E$ ! The point is that stability  $\Rightarrow$  invertibility. What does this amount to?

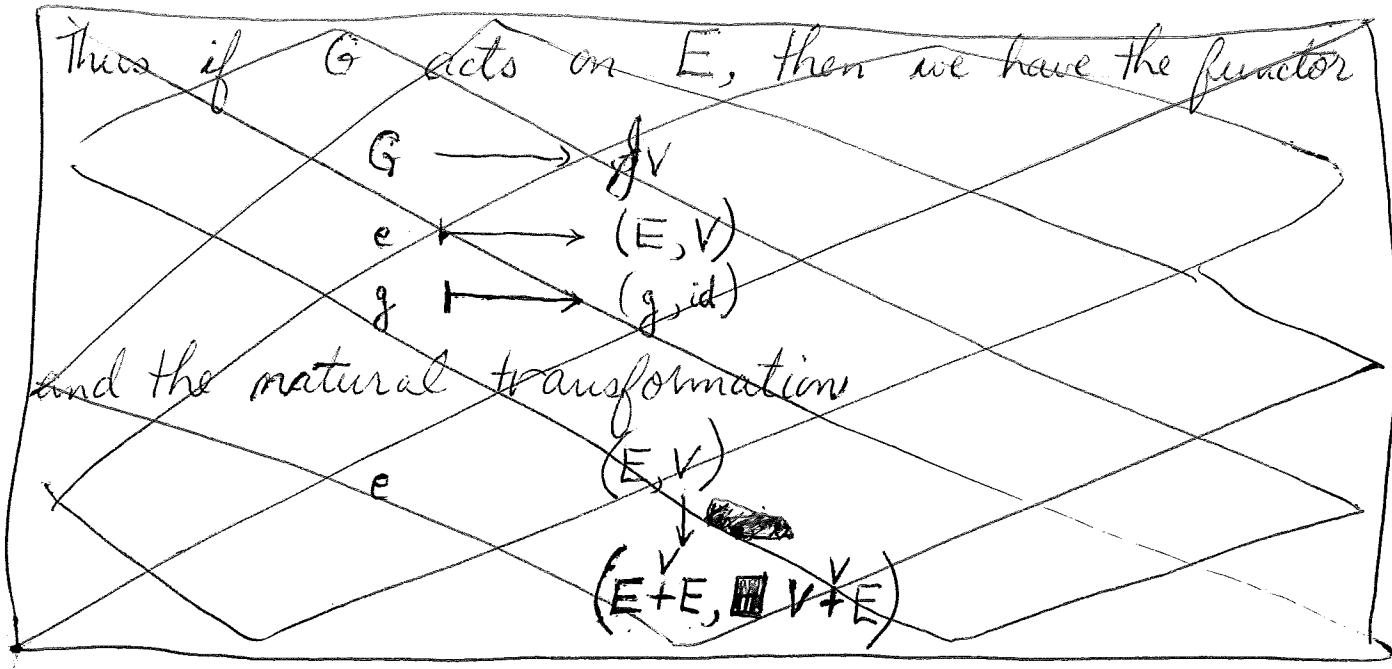
Suppose we have a stable class. My idea is to ~~send~~ send  $E \mapsto E$  from  $A_V \rightarrow A$ . Then stable classes in  $A$  will induce stable classes for  $A_V$ . The point is that if  $G$  acts on  $E$ , then  $G$  acts on  $E + Q$  which is isomorphic as a  $G$ -module to  $E + (Q/V)$ . ~~Close to the target~~ Thus the value of a ~~stable~~ stable class in  $E$  and on  $E + Q$  will be the same.

Question: Can you see geometrically why stability implies invertibility? Thus in the preceding we first want to understand why in  $J_V$  we will have a homotopy

$$\begin{array}{ccc} \text{Aut}(E) & \xrightarrow{\quad} & J_V \\ \downarrow & \searrow & \\ \text{Aut}(E) & \xrightarrow{\quad} & \end{array}$$

This is easy. We have arrows in  $J_V$

$$(E, F) \rightarrow (E^V E, F^V E) \simeq (\bar{E}^V E, F^V E) \leftarrow (\bar{E}, F).$$



Thus if  $G$  acts on  $E$ , we have the following diagram of  $G$ -objects in  $J_V$

$$(E, F) \xrightarrow[\text{with complement}]{{\text{in}_1, \text{in}_2}} (E^V E, F^V E) \simeq (\bar{E}^V E, F^V E) \xleftarrow[\substack{\text{comp.} \\ E, E}]{} (\bar{E}, F)$$

where  $\boxed{G}$  acts trivially on  $F$ . Thus the functors from  $G$  to  $J_V$  given by the  $G$ -objects  $(E, F)$ ,  $(\bar{E}, F)$  are related by natural transformations.

This argument is the geometric reason why  $E$  and  $\bar{E}$  become equivalent in  $J_V$ . Now to see why  $E$  and  $\bar{E}$  become equivalent in  $J$ .

Cohomologically what seems to happen is that we compute

$$H_*(J_V^\Delta) = \varinjlim_E H_*(\text{Aut}(E)).$$

In other words for each extension  $E$  we have its group

of autos and for each map  $E \rightarrow E'$  in  $\mathcal{I}_V$  we have  
 a well-defined homomorphism

$$\text{Aut}(E) \longrightarrow \text{Aut}(E')$$

except that more is true, namely the functor

$$E \longrightarrow H_*(\text{Aut}(E))$$

depends only on the iso. class of  $E$ , and the arrow

$$H_*(\text{Aut}(E)) \longrightarrow H_*(\text{Aut}(E'))$$

depends only on the iso. class of the complements. Thus we have a filtered inductive limit.

March 13, 1972

Suppose over  $\mathcal{J}$  we form the cofibred category belonging to the functor  $V \rightarrow \text{Aut}(V)$  to sets. Thus we consider pairs  $(V, \theta)$  where  $\theta \in \text{Aut}(V)$  and an arrow  $\boxed{(V, \theta)} \rightarrow (V', \theta')$  is a split injection  $V \rightarrow V'$  such that  $\theta \mapsto \theta'$ . To the pair  $(V, \theta)$ , associate the ~~exact~~ diagram

$$\begin{array}{ccc} (0,0) & \xrightarrow{(\alpha, \alpha, \theta)} & (V, V) \\ & \searrow & \swarrow \\ (0,0) & \xrightarrow{(\alpha, \alpha, \text{id})} & V \xrightleftharpoons[i]{\pi} V' \end{array}$$

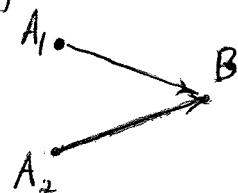
in  $\mathcal{J}$ . We see that if  $(V, \theta) \xrightarrow{\quad} (V', \theta')$  is an arrow, then

$$\begin{array}{ccccc} (0,0) & \xrightarrow{\theta'} & & & (V', V') \\ & \searrow \theta & & & \swarrow \\ & (V, V) & \xrightarrow{\quad} & & \\ & \swarrow id & & \searrow id & \\ (0,0) & & & & \end{array}$$

commutes. It therefore is clear that the category of  $(V, \theta)$  is equivalent to the category of paths in  $\mathcal{J}$  of the form

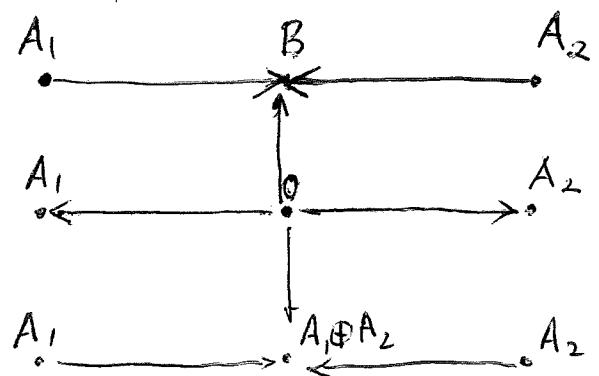
$$\begin{array}{ccc} (0,0) & & \\ \bullet & \nearrow & \searrow \\ & \bullet & \\ (0,0) & & \end{array}$$

Question: I have already seen that the category  $\mathcal{A}$  of objects of  $\mathcal{I}$  under a given object is contractible, because of the existence of an initial object. But suppose you give ~~several~~ several objects  $A_1, \dots, A_n$  and consider the category of  $n$ -tuples  $(V, u_1, \dots, u_n)$  where  $u_i : A_i \rightarrow V$ . Is this category contractible? For example consider the category of diagrams



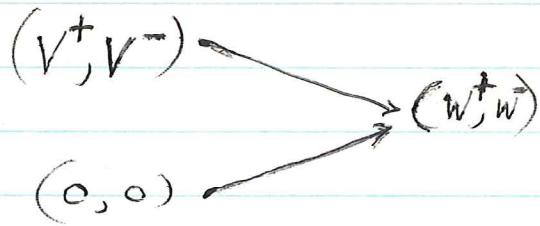
Is this contractible? NO

~~Heuristic argument:~~ We know  $\mathcal{I}$  is contractible, hence so must be the space of paths joining  $A_1$  to  $A_2$  in the realization of the nerve of  $\mathcal{I}$ . But because of the ~~relative~~ relative sums, such paths should be replaceable by 2-stage paths.



Why this doesn't work: Assign to  $\begin{matrix} A_1 \\ A_2 \end{matrix} \xrightarrow{\quad} B$  the kernel of the map  $A_1 + A_2 \rightarrow B$ , and observe the kernel does not change for maps  $B \rightarrow B'$  as they are injective. ~~so the category~~ so the category is not connected.

Given  $(V^+, V^-)$  in  $\mathcal{J}$  we consider the category of arrows



i.e. of stable isomorphisms of  $V^+$  and  $V^-$ . Then  $\text{Aut}(V^+, V^-)$  =  $\text{Aut}(V^+) \times \text{Aut}(V^-)$  acts on this category. Observe that the category is not connected. In effect

$$\begin{array}{ccc} V^+ & \hookrightarrow & W^+ \\ & & | s \\ V^- & \hookrightarrow & W^- \end{array}$$

so we can intersect  $V^+$  and  $V^-$ , ~~disjointly~~ and the dimension of the intersection  $I$  at least

$$\bullet \rightarrow I \xrightarrow{\quad} V^+ \quad \xrightarrow{\quad} V^+ + V^- \rightarrow W$$

$$\qquad \qquad \qquad \xrightarrow{\quad} V^- \quad \xrightarrow{\quad}$$

is invariant.

Question: Can we modify the above category so that ~~disjointed~~ its components are  $K_1$  ~~are~~ at least in the stable range?

March 15, 1972. Review of power operations and the symmetric gp.

~~Recall that ~~all the~~ power operations lead to ma~~

I shall be interested in power operations in mod p cohomology. Begin with the external power operation

$$H^{2i}(X) \longrightarrow H_{\sum_n}^{2ni}(X^n)$$

$$H^{2i+1}(X) \longrightarrow H_{\sum_n}^{n(2i+1)}(X^n, \mathbb{F}_p^{\text{sign}})$$

I don't know how to think of this yet, so from now on treat  $p=2$ . Then we have power operation (external)

$$P_n^{\text{ext}}: H^*(X) \longrightarrow H_{\sum_n}^*(X^n)$$

with the following properties

$$P_n^{\text{ext}}(x+y) = \sum_{i+j=n} \text{ind} \sum_{i,j}^{\Sigma_i \times \Sigma_j} (P_i^{\text{ext}} x \boxtimes P_j^{\text{ext}} y)$$

$$P_n^{\text{ext}}(xy) = P_n^{\text{ext}} x \cdot P_n^{\text{ext}} y$$

$$P_n^{\text{ext}}(0) = \begin{cases} 1 & n=0 \\ 0 & n>0. \end{cases}$$

Here if  $H \subset G$  is a subgroup of finite index, and if  $X$  is any  $G$ -space, then

$$\text{ind}: H_{\mathbb{H}}(X) \longrightarrow H_G(X)$$

is the trace map for the covering

$$\begin{array}{ccc} P\mathbb{H} \times^{\mathbb{H}} X & \longrightarrow & PG \times^G X \\ \downarrow & \text{act.} & \downarrow \\ BH & \longrightarrow & BG \end{array}$$

It is natural for maps of  $G$ -spaces, so

$$\Delta^* \text{ind}_{\sum_n}^{\sum_i \times \sum_j} (P_i^{\text{ext}} x \otimes P_j^{\text{ext}} y) = \text{ind}_{\sum_n}^{\sum_i \times \sum_j} (P_i x \otimes P_j y)$$

where

$$P_i x = \Delta P_i^{\text{ext}}(x) \quad \Delta: X \rightarrow X^i$$

is the internal Steenrod operation.

Now I want to ~~choose~~ arrange the family of  $P_n^{\text{ext}}$  in a coherent way. So let me consider the functor

$$F(X) = \left\{ (\alpha_n) \in \prod_{n \geq 0} H_{\sum_n}(X^n) \mid \forall i+j=n \quad \text{res}_{\sum_i \times \sum_j}^{\sum_n} \alpha_n = \alpha_i \otimes \alpha_j \right\} \quad \alpha_0 = 1$$

Proposition:  $F(X)$  is a ring with

$$(\alpha + \beta)_n = \sum_{i+j=n} \text{ind}_{\sum_i \times \sum_j}^{\sum_i \times \sum_j} \alpha_i \otimes \beta_j$$

$$(\alpha \beta)_n = \alpha_n \beta_n$$

Proof: ~~that it's well-defined~~ Must show that addition is well-defined. Need to know

$$\text{res}_{\Sigma_n} \Sigma_a \times \Sigma_b \text{ ind}_{\Sigma_i \times \Sigma_j} \Sigma_n$$

For this use the double coset formula

$$\text{res}_K^G \text{ ind}_G^H = \sum_{KxH} \text{ind}_K^{\text{Kn}xHx^{-1}} \text{res}_{KxHx^{-1}}^H$$

$$G/K \times G/H \rightarrow G/H$$

$$G/K \longrightarrow G$$

Now  $\Sigma_n / \Sigma_a \times \Sigma_b$  = subsets of  $\{1, \dots, n\} = S$   
of card a  
 $\cong (a, b)$  shuffles.

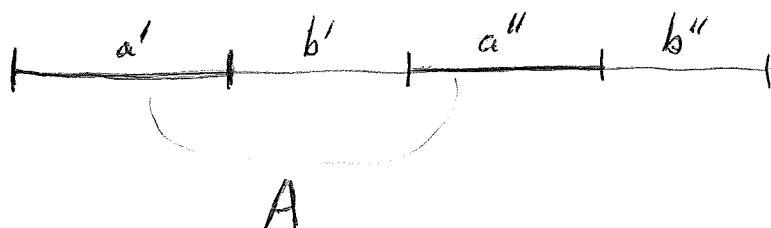
Thus given  $A \subset S$  of order a there is a nice ~~shuffle~~ shuffle  
permutation  $\sigma_A$  sending  $\{1, \dots, a\}$  to A in order.  
Now we want to look at the orbits of  $\Sigma_i \times \Sigma_j$   
on  $\Sigma_n / \Sigma_a \times \Sigma_b$ . So what's important is  $A \cap \{1, \dots, i\}$   
so given a double coset we get a decomp.

$$a = a' + a''$$

$$b = b' + b''$$

$$a' + b' = i$$

$$a'' + b'' = j.$$



~~Set of double cosets~~

The double cosets are thus in 1-1

correspondence with  $0 \leq a' \leq a$ . For our coset representative take the element  $\tau_a$ , ~~interchanging all~~ sending

$$[a'+1, \dots, a] \mapsto [a'+b', \dots, a+b']$$

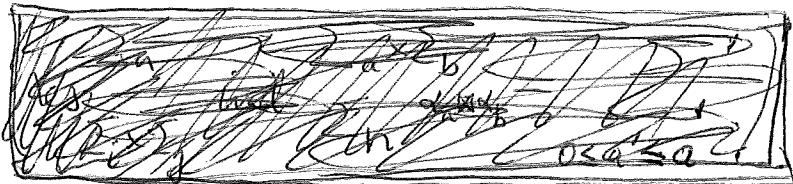
$$[a+1, \dots, a+b'] \mapsto [a'+1, \dots, a'+b']$$

and fixing the rest. The stabilizer of  $A$  is clearly

$$\sum_{a'} \times \sum_{b'} \times \sum_{a''} \times \sum_{b''} \longrightarrow \sum_a \times \sum_b$$

$$\sum_i \times \sum_j$$

and so it is clear that



$$\text{res}_{\sum_i \times \sum_j} \text{ind}_{\sum_n}^{\sum_a \times \sum_b} = \sum_{0 \leq a' \leq a} \text{ind}_{\sum_i \times \sum_j}^{\sum_{a'} \times \sum_{b'} \times \sum_{a''} \times \sum_{b''}} \text{res}_{\sum_{a'} \times \sum_{b'} \times \sum_{a''} \times \sum_{b''}}^{\sum_a \times \sum_b}$$

$$\begin{array}{lll} \text{where} & a+b=n & a=a'+a'' \\ & i+j=n & b=b'+b'' \\ & & i=a'+b' \\ & & j=a''+b'' \end{array}$$

Starting with  $\alpha_a \otimes \alpha_b$

$$\text{res}_{\sum_i \times \sum_j} \text{ind}_{\sum_n}^{\sum_a \times \sum_b} \alpha_a \otimes \alpha_b = \sum_{0 \leq a' \leq a} \text{ind}_{\sum_i \times \sum_j}^{\sum_{a'} \times \sum_{b'} \times \sum_{a''} \times \sum_{b''}} \alpha_{a'} \otimes \alpha_{b'} \otimes \alpha_{a''} \otimes \alpha_{b''}$$

$$\left( \text{ind}_{\sum_i \times \sum_j}^{\sum_{a'} \times \sum_{b'}} \alpha_{a'} \otimes \alpha_{b'} \right) \boxtimes \left( \text{ind}_{\sum_i \times \sum_j}^{\sum_{a''} \times \sum_{b''}} \alpha_{a''} \otimes \alpha_{b''} \right)$$

so addition is well-defined.

Associativity and commutativity of addition clear.  
The fact that  $\neg\alpha$  exists is clear by recursion

$$(\alpha + \beta)_n = \alpha_n + \text{ind } \sum_{i=1}^n \alpha_i \boxtimes \beta_{n-i} + \dots + \beta_n.$$

Distributivity clear from

$$\begin{aligned} [\alpha(\alpha + \beta)]_n &= \left[ \alpha_n \left( \text{ind } \sum_{i+j=n}^n \sum_{i,j} \alpha_i \boxtimes \beta_j \right) \right] \\ &= \sum_{i+j=n} \text{ind } \sum_{i,j} \sum_{n-i} \left( \text{rest } \sum_{i+j=n} \alpha_i \right) \cdot \alpha_i \boxtimes \beta_j \\ &\quad (\alpha_i \alpha_i) \boxtimes (\alpha_j \beta_j). \end{aligned}$$

~~Basic Conclusion~~

Variants. Let  $R$  be a graded anti-comm.  $\mathbb{F}_p$ -algebra.  
and consider

$$F(R) = \left\{ (\alpha_n) \in \prod_{n \geq 0} H^*(B\Sigma_n, R) \mid \begin{array}{l} \forall i+j=n \quad \alpha_0 = 1 \\ \text{rest } \sum_{i+j=n} \alpha_n = \alpha_i \boxtimes \alpha_j \end{array} \right\}$$

This is also a ring. But such an  $\alpha$  is the same as a ring homomorphism

$$\Gamma = \bigoplus_{n \geq 0} H_*(B\Sigma_n) \longrightarrow R.$$

Thus

$$F(R) = \text{Hom}_{\text{rings}}(\Gamma, R)$$

is a ring so  $F$  is an affine ring scheme.

Question: I also have an interpretation of  $F(R)$  as exp. characteristic classes for representations of groups on finite sets with coefficients in  $R$ . The standard operation on such exp. classes ■

$$(\theta \cdot \theta')(E) = \theta(E)\theta'(E)$$

corresponds to the multiplication in  $F(R)$ . What is the interpretation of the addition of  $F(R)$ ?

The point seems to be this. Given an  $n$ -fold covering  $E \rightarrow X$ , consider the induced covering of  $i$ -fold subsets of fibres of  $E$ , call it  $y_i \xrightarrow{u_i} X$ . Then  $u_i^*(E) = E'_i + E''_i$  so we can form  $\theta(E'_i)\theta'(E''_i)$  and then

$$(\theta + \theta')(E) = \sum_{i \geq 0} u_i^* [\theta(E'_i)\theta'(E''_i)].$$

Proof that  $(\theta + \theta')$  is an exponential characteristic class. Set  $A_i(E)$  for the  $i$ -fold subsets of the fibres of  $E$ . Then  ~~$A_i(E+F) = \bigcup_{j=0}^i A_j(E) \times A_{i-j}(F)$~~

$$\Lambda_i(E+F) = \bigcup_{j=0}^i A_j(E) \times A_{i-j}(F)$$

March 15, 1972

I have seen already the usefulness of ~~other~~ topological categories  $\mathcal{C}$  in which the source map is etale. ~~the~~ Consider now the analogue in which the space  $Ob\mathcal{C}$  is replaced by the topos of G-sets.

Thus  $Ob\mathcal{C}$  is the topos of G-sets, and  $Ar\mathcal{C}$  is the topos of G-sets over a G-set  $S$

$$Ar\mathcal{C} = (G\text{-sets})_{/S}$$

and the source map is the localization arrow

$$(G\text{-sets})_{/S} \longrightarrow (G\text{-sets})$$

The target map must be a similar morphism of topoi, hence must be given by a G-tower  $P$  over  $S$  in the category of G-sets. Thus  $P$  is a  $(G \times G^0)$ -set which is free for the action of the second factor. The composition arrow will be given by a map

$$P \times^G P \longrightarrow P$$

of  $G \times G^0$  sets, ~~which~~ which is associative in the evident sense. The point is that once  $s, t$  are given we can consider sheaves over  $Ob\mathcal{C}$  on which  $Ar\mathcal{C}$  acts

$$\begin{array}{ccc} F & & \\ \downarrow & & \\ Ob\mathcal{C} & & \end{array} \quad \begin{array}{ccc} F \times_{Ob\mathcal{C}} Ar\mathcal{C} & \longrightarrow & F \\ & \searrow & \swarrow \\ & Ob\mathcal{C} & \end{array}$$

i.e. we give a map  $t^*F \rightarrow s^*F$

$$P \times^G F \rightarrow (P/G) \times F$$

Thus the action will be a map  $P \times^G F \rightarrow F$  of  $G$ -sets. Associativity will be expressed in the obvious way. Next the identity which must be a section of  $S$ , i.e. a fixed point  $e \in S$ . Then the axiom  $t_e = id_{\mathbb{P}}$  must be expressed by giving a trivialization of the tensor  $P$  over  $e$ , i.e. we give a point  $e \in P$ . Then it is more or less clear that the identity axioms may be expressed as saying that  $e$  is an identity for the multiplication of  $P$ .

Thus  $P$  is an associative monoid. The group  $G$  acts to the left and right of  $P$ , freely on the right. I claim that  $g \mapsto ge = eg$  is a homomorphism from  $G$  to  $P$  and that the left and right actions are just multiplication with respect to the monoid structure of  $P$ . Indeed let  $\mu: P \times P \rightarrow P$  be the mult. of  $P$ . Note that the homom.  $g \mapsto g'$  defined by

$$ge = eg'$$

is required to be the identity of  $G$ , so  $ge = eg$ . Then

$$\mu(x, ge) = \mu(xg, e) = xg$$

$$\mu(eg, x) = \mu(e, gx) = gx$$

so the claim is clear.

Summarizing:

Proposition: Let  $G$  be a group and let  $\mathbb{P}$  be a monoid containing  $G$  such that right mult.  $p, g \mapsto pg$  is a free  $G$ -action. Then

$$\text{Ob } \mathcal{C} = (G\text{-sets})$$

$$\text{Ar } \mathcal{C} = (G\text{-sets})/\!\!/_{P/G}$$

$$s^*F = P/G \times F \quad F$$

$$(G\text{-sets})/\!\!/_{P \times {}^G P/G} \xrightarrow{\quad} (G\text{-sets})/\!\!/_{P/G} \xrightarrow{\quad} (G\text{-sets})$$

$$t^*F = P \times {}^G F$$

constitutes the analogue of an étale topological category with  $\text{Ob } \mathcal{C} = G\text{-sets}$ . Every such is obtained in this way.

### Examples:

~~i) Suppose  $G$  is a subgroup of a group  $P$ . Then we have to consider  $\mathbb{P}$  as a  $G$ -torsor (right action) in the category of  $G$ -sets (left action). Such a  $P$  is determined up to isomorphism by~~

1) Let  $G$  be the diffeomorphisms of  $[0, 1] = I$  with support in the interior, and let  $P$  be the monoid of smooth injections

$$[0, 1] \xrightarrow{\sim} [0, t] \subset [0, 1]$$

where the first diffeomorphism =  $x \mapsto$  near  $x=0$ . Then

$G$  is a subgroup of  $\square P$ . Moreover  $G$  acts freely on  $P$  to the right.

2) Suppose  $B$  is a subgroup of a group  $G$ . Then with the notation change ( $G \mapsto B$ ,  $P \mapsto G$ ), we ~~still~~ can consider  $G$  as a  $B \times B^\circ$ -set  $P$ . Then we consider the  $B$ -set  $S = G/B$  and break it into  $B$ -orbits

$$G/B = \coprod_{w \in W} BwB/B$$

where  $w$  runs over double coset representatives. For each  $w$ , we have stabilizer of coset  $wB$

$$B \cap wBw^{-1},$$

and two injections of it into  $B$ . Thus one way of describing of  $P$  as a  $B \times B^\circ$ -set is by choosing representatives  $\{wB, w \in W\}$  for the  $B$ -orbits on  $G/B$  and using the stabilizers and the homom.  $B \cap wBw^{-1} \rightarrow B$  defined by the  $B$ -torsor over ~~the point~~ the point  $wB$ . To reconstruct  $G$  I also need what data?

$$\begin{array}{ccc} G \times_{B \backslash B} G & \longrightarrow & G \\ \coprod_{w_1, w_2} Bw_1 B \times_B Bw_2 B & & \cong \coprod_w BwB \end{array}$$

$$G = \coprod_w B \times_{BwBw^{-1}} B$$

~~AT A POINT~~

Example: Consider the following category. Its objects are pairs  $(S, V)$  where  $S$  is a finite linearly ordered set,  $V$  is a <sup>f.d.</sup> vector space over  $k$ . An arrow  $(S, V) \rightarrow (S', V')$  consists of a monotone injection  $S \rightarrow S'$ , a split injection  $V \oplus Q \xrightarrow{\sim} V'$ , and an isom. of  $S' - S$  with a basis of  $Q$ . ~~the other cases~~ We consider the full subcategory with  $\dim V - \text{card } S = m$ . It is clear the category is equivalent to the <sup>full</sup> subcategory with objects  $(\{1, \dots, n\}, k^{m+n})$ .

It is the cibred category belonging to the functor

$$\langle n \rangle = \{1, \dots, n\} \longmapsto \boxed{\mathbb{G}} \quad GL_{m+n}(k) = \text{Aut}(k^{\langle m \rangle} \cup \langle n \rangle)$$

where given a map  $\langle n \rangle \rightarrow \langle n' \rangle$  one considers the induced map  $GL_{m+n} \rightarrow GL_{m+n'}$

doing the appropriate thing on the last coordinates.

(should be careful). Clear that fibre over  $\langle n \rangle$  equivalent to  $\text{Aut}(k^{m+n})$  with the understanding that this be  $\emptyset$  if  $m < n$ . Now given  $k^{m+n} \quad k^{m+n'}$

$$\langle n \rangle \xrightarrow{u} \langle n' \rangle$$

we get ~~split~~ <sup>split</sup> injection  $k^{m+n} \oplus Q \xrightarrow{\sim} k^{m+n'}$  together with an isomorphism of the complement of  $u$  with a basis for  $Q$ . When  $m \geq 0$  there is a canonical such arrow working on the last  $n$ -coordinates, so the arrow ~~given~~ ~~the arrow~~  $(\langle n \rangle, k^{m+n}) \rightarrow (\langle n' \rangle, k^{m+n'})$  is uniquely expressible

as the product of the canonical arrow and an auto. of  $k^{m+n}$ . When  $m < 0$ , there is no canonical such arrow, however we could look at all subspaces of  $k[n]$  of codim  $-m$  if we wanted to.)

Anyway let's worry ~~only~~ only about  $m \geq 0$ . Then we have

$$GL_m \longrightarrow GL_{m+1} \longrightarrow GL_{m+2} \rightrightarrows GL_{m+3} \dots$$

$$0 \rightarrow 1 \rightrightarrows 2 \rightrightarrows 3 \dots$$

What is the fundamental group of the category

$$GL_m \longrightarrow GL_{m+1} \rightrightarrows GL_{m+2} ?$$

Assuming  $m$  is in a stable range, the homology of the fibres will be constant over the base which is the category

$$0 \longrightarrow 1 \rightrightarrows 2$$

which has an initial object, hence is contractible. ~~so the~~

Prop. Let  $i \mapsto G_i$  be a functor from  $I$  to groups. ~~If  $I$  is connected, then the fundamental group~~

And let  $\mathcal{G}$  be the associated cofibre category over  $I$ . Assume  $I$  has an initial object  $i_0$ . Then

$$\pi_I(\mathcal{G}, i_0) \leftarrow \underset{i \in I}{\varprojlim} G_i$$

The limit inductive being taken in the category of groups.

Proof. Let  $F$  be a local coefficient system on  $\mathcal{I}$ . Then for each  $i$ ,  $F(i)$  is a  $G_i$  set, and for each  $i \xrightarrow{u} i'$ ,  $F(u): F(i) \xrightarrow{\sim} F(i')$  is compatible with the map  $G_i \rightarrow G_{i'}$  induced by  $u$ .

Suppose  $S$  is a  $\varinjlim$   $G_i$  set. Then set  $F(i) = S$  for all  $i$  and let  $G_i$  act on  $F(i)$  as it should. Then we get a local coefficient system on  $\mathcal{I}$  with  $F(u) = \text{id}_S$  for all arrows  $u$  in  $\mathcal{I}$ . This defines a homomorphism

$$\pi_1(\mathcal{I}, i_0) \rightarrow \varinjlim G_i$$

in general.

Now ~~assuming this~~ in general we have a map

$$\varinjlim_{i_0 \rightarrow i} G_i \rightarrow \pi_1(\mathcal{I}, i_0)$$

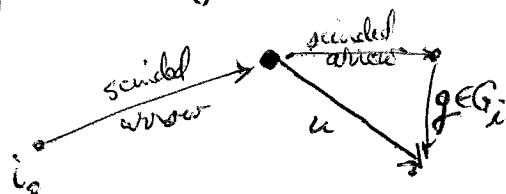
for any object  $i_0$ . This assigns to  $F$  the set

$$\varinjlim_{i_0 \rightarrow i} F(i) \leftarrow F(i_0).$$

The ~~obvious~~ composition

$$\varinjlim_{i_0 \rightarrow i} G_i \rightarrow \pi_1(\mathcal{I}, i_0) \rightarrow \varinjlim_i G_i$$

is evidently the obvious one, so it is the identity when  $i_0$  is an initial object. Finally it is clear that any arrow "in"  $\mathcal{I}$



comes from an element of  $G_i$  for some  $i$ . QED.

So we see that it is necessary to consider the inductive limit of

$$GL_m \rightarrow GL_{m+1} \xrightarrow{\quad} GL_{m+2}$$

$$\bullet \rightarrow 1 \xrightarrow{\quad} 2$$

We must identify the two images of an element of  $GL_{m+1}$  in  $GL_{m+2}$ . The two embeddings are conjugate by the matrix

$$\gamma : \begin{pmatrix} I_m & & \\ & \vdash & \\ & & +1 \\ & & +1 \end{pmatrix}$$

whose centralizer is small, consequently lots of commutators  $\alpha \gamma^{-1} \gamma^{-1}$  become zero in the inductive limit. Now with  $m \geq 1$ , we have to divide out by the normal subgroup of  $SL_3(\mathbb{Z})$  generated by the difference of a transposition and any conjugates. Thus have to kill all of  $SL_3(\mathbb{Z})$ . So with  $m \geq 1$  the fundamental group probably is  $GL_{m+2}$  modulo the normal subgroup generated by elementary matrices.

March 17, 1972

Consider the local field situation  $K, A, w, k$ .

If  $V$  is a finite-dimensional vector space over  $K$ , let  $X(V)$  be ~~its~~ its building. Thus  $X(V)$  is the simplicial complex whose  $i$ -simplices are chains of lattices

$$L_0 < \dots < L_i$$

such that  $w_L L_i < L_0$ . I know that  $X(V)$  is contractible, hence ~~the~~ the cohomology of  $\text{Aut}(V)$  should be accessible through the stabilizers of the simplices of  $X(V)$ .

What I ~~want to do~~ want to do is consider the complex of chains on  $X$ :

$$0 \rightarrow C_{n-1}(X) \rightarrow \dots \rightarrow C_1(X) \rightarrow C_0(X) \rightarrow \mathbb{Z}$$

$$C_i(X) = \mathbb{Z}[X_i]$$

where  $X_i$  is the set of  $i$ -simplices. Given a simplex

$$\sigma = L_0 < \dots < L_i$$

let

$$\underline{b}(\sigma) = (b_0, b_1, \dots, b_i)$$

$$b_0 = \dim_k L_0 / w_L L_i$$

$$b_j = \dim L_j / L_{j-1} \quad 1 \leq j \leq i.$$

so that  $\underline{b}(\sigma)$  is a sequence satisfying

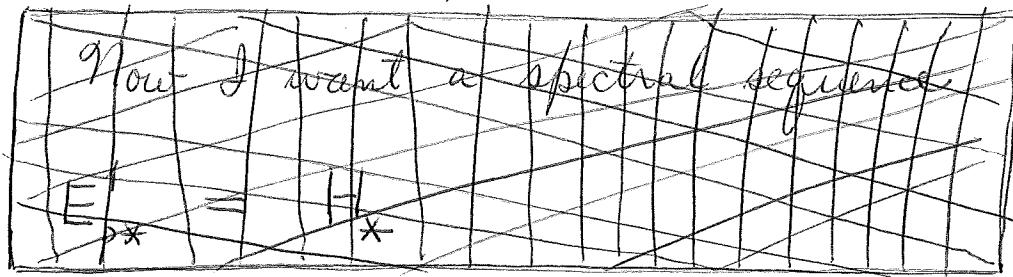
$$(x) \quad \begin{cases} b_0 \geq 0, b_1 > 0, \dots, b_i > 0 \\ \sum_0^i b_j = n. \end{cases}$$

It is easy to see that  $\underline{b}(\sigma) = \underline{b}(\sigma') \iff \sigma$  and  $\sigma'$  are conjugate under  $G = \text{Aut}(V)$ . Thus the  $G$ -orbits on  $X_i$  are in 1-1 correspondence with sequences (\*), so

$$X_i = \coprod_{\sigma \in S_i} G/G_\sigma$$

where  $S_i$  is a set of representatives for the orbits, so

$$C_i(X) = \bigoplus_{\sigma \in S_i} \mathbb{Z}[G] \otimes_{\mathbb{Z}[G_\sigma]} \mathbb{Z}.$$



Now if I regard  $G$  as a discrete group, then since the complex of chains  $C_*(X)$  is a resolution of  $\mathbb{Z}$ , I have a spectral sequence

$$E_p^1 = H_*(G, C_p(X)) \underset{\cong}{\longrightarrow} H_*(G, \mathbb{Z}) \\ \bigoplus_{\sigma \in S_p} H_*(G_\sigma, \mathbb{Z})$$

and similarly one in cohomology, using the cochain complex. I recall having trouble with the topological situation.

~~Now suppose  $\mathcal{X}$  is connected.~~

Consider  $\mathcal{G}$  as a topological category. (More invariantly, consider the top. cat. whose objects are f.d. v.s.  $/ \mathbb{K}^{\text{dim } n}$  (discrete top.) and whose morphisms are isomorphisms,  $\text{Isom}(V, W)$  being endowed with its customary topology.) We then can consider  $(\mathcal{G}, \mathcal{X})$  as a cofibred and fibred category over  $\mathcal{G}$ . Specifically, the category whose objects are pairs  $(V, \tau)$  where  $\tau$  is a simplex of  $X(V)$ , and where a morphism  $(V, \tau) \rightarrow (W, \tau')$  consists of an isom  $\alpha: V \xrightarrow{\sim} W$  such that  $\alpha(\tau) \leq \tau'$ . Evident topology.

Now consider the morphism

$$(\mathcal{G}, \mathcal{X}) \xrightarrow{f} \mathcal{G}.$$

The fibres are contractible. Here I have an idea that  $f$  is an essential morphism of topoi when one considers gross topoi.

On the other hand we have a functor

$$(\mathcal{G}, \mathcal{X}) \longrightarrow \begin{cases} \text{full subcat. of } \Delta \text{ consisting of} \\ \Delta_{\leq n}^+ \quad \begin{cases} [1], [2], \dots, [n], \text{ and injective} \\ \text{monotone maps.} \end{cases} \end{cases}$$

This functor is fibred. So we should be able to conclude that cohomology  $H^*(\mathcal{G}, \mathbb{F}_e)$  (E-M coh.) is abutment of a spectral sequence with

$$E_1^{pq} = \prod_{\sigma \in S_p} H^q(\mathcal{G}_\sigma, \mathbb{F}_e).$$

Alternative approach.  $X$  simplicial set (allow degenerate simplices).  $G$  acts on  $X$ , so can form  $(X, G)$  simplicial category, and  $\text{New}(X, G)$  which is a bisimplicial space. Now given a top. abelian group  $A$ , we obtain a double complex of abelian groups

$$C^*(\text{New}(X, G), A) = \text{Map}(\blacksquare G^{\delta} \times X_p, A).$$

~~Intuitively~~ Now for fixed  $p$  we have the cochains with values in  $A$  of the top. category  $(G, X_p)$  which is equivalent to  $\prod_{S \in S_p^+} (G_p, e)$ , so we get the desired spectral sequence even degenerate simplices

$$E_1^{pq} = \bigoplus_{S \in S_p^+} H_q^{\delta}(G_p, A) \Rightarrow H^{p+q}(C^*(\text{New}(X, G), A))$$

In the other direction

$$\boxed{\text{Map}(G^{\delta} \times X_p, A)} = \prod_{X_p} \text{Map}(G^{\delta}, A)$$

so

$$C^*(\text{New}(X, G), A) = C(X, C(\text{New} G, A))$$

so we get contractibility.

Conclude: The Eilenberg-MacLane cohomology of  $G_{\text{fin}}(K)$  with coefficients in any top. abelian group  $A$  can be ~~reduced to that of~~ reduced to that of ~~the~~ the stabilizers of the ~~simplices~~ simplices of the building.

### Applications:

① Take  $A = \mathbb{Q}_p$ . According to Lazard

$$\varinjlim_U H^*(U, \mathbb{Q}_p) = H^*(\mathcal{O}_f, \mathbb{Q}_p)$$

where  $U$  runs over the open subsets of  $GL_n(A)$ . The point now is that if  $U$  is normal in  $V$ , then

$$H^*(U, \mathbb{Q}_p)^{V/U} \xleftarrow{\sim} H^*(V, \mathbb{Q}_p)$$

so taking the limit over  $U$  and using the fact that  $GL_n K$  acts trivially on  $H^*(\mathcal{O}_f, \mathbb{Q}_p)$  we see that for all  $\sigma$  in the building

$$H^*(G_\sigma, \mathbb{Q}_p) \xrightarrow{\sim} H^*(\mathcal{O}_f, \mathbb{Q}_p).$$

Consequently the  $E_1$  term is the chains on the orbit space  $X/G$ . Thus in the  $SL_n$  case done as this orbit is a simplex. In the case of  $GL_n K$ , I have to consider the simplicial set whose  $i$ -simplices are sequences

$$b_0, b_1, \dots, b_i \geq 0$$

with  $\sum b_i = n$  where the faces ~~are~~ and deg. ops. are

$$d_j(b_0, \dots, b_i) = \begin{cases} (b_0 + b_1, b_2, \dots, b_i) & j=0 \\ (b_0, \dots, b_{i-1} + b_i) & j=i-1 \\ (b_0 + b_i, b_1, \dots, b_{i-1}) & j=i \end{cases}$$

and

~~Now we compute the homology of this complex.~~

$$s_j(b_0, \dots, b_i) = (b_0, \dots, b_j, 0, b_{j+1}, \dots, b_i) \quad 0 \leq j \leq i$$

We compute the homology as follows. Let  $R = \mathbb{Z}[T]$  be the group ring of  $N$ . Then we start with the standard resolution

$$\xrightarrow{\quad} R \otimes R \otimes R \xrightarrow{\quad} R \otimes R$$

$$d_j(b_0 \otimes \dots \otimes b_{n+j}) = b_0 \otimes \dots \otimes b_j b_{j+1} \otimes \dots \otimes b_{n+j} \quad 0 \leq j \leq n$$

of  $R$  as an  $R \otimes R$ -module. (let  $[b_0, \dots, b_n] = b_0 \otimes \dots \otimes b_n$ )  
 $(r \otimes s)[b_0, \dots, b_{n+j}] = [rb_0, \dots, b_{n+j}s]$ .

The complex we are looking at is obtained by tensoring with  $R$  over  $R \otimes R$ , ~~that I wish to look~~  
~~because~~ because

$$R \otimes_{R \otimes R} (R \otimes R^n \otimes R) \xrightarrow{\quad} R \otimes R^n$$

$$\boxed{R \otimes (R \otimes R^n \otimes R) \xrightarrow{\quad} R \otimes R^n}$$

$$x \otimes [b_0, \dots, b_{n+1}] \xrightarrow{\quad} b_0 \times b_{n+1} \otimes [b_0, \dots, b_n]$$

$\downarrow d_n$                        $\downarrow d_n$

$$x \otimes [b_0, \dots, b_n b_{n+1}] \xrightarrow{\quad} b_0 \times b_n b_{n+1} \otimes [b_1, \dots, b_{n-1}]$$

OKAY. Thus the homology of the complex under consideration is

$$\text{Tor}_p^{R \otimes R}(R, R) = \begin{cases} R & p=0 \\ R \cdot T & p=1 \\ 0 & p>1 \end{cases}$$

~~for p > 1~~

Thus it seems that  $X/G \sim S^1$ ; (have to take the degree  $n$  part of the preceding, and this contributes for  $n \geq 1$ .)

Concludes: E-M cohomology of  $GL_n(K)$ ,  $[K:\mathbb{Q}_p] \infty$  with coefficients in  $\mathbb{Q}_p$  is

$$\cong H^*(S^1, \mathbb{Q}_p) \otimes_{S^1} H^*(\text{alg}_n(K), \mathbb{Q}_p)$$

$$\Lambda([K[1], K[3], \dots, K[2n-1]]).$$

(2.) Take  $A = \mathbb{F}_l$ , where  $l$  prime to  $p$ . Here we know that  $H^*(G_\sigma, \mathbb{F}_l)$

is finite-dimensional in each dimension, in fact,

$$H^*(G_\sigma) = H^*(GL_{b_0}(k)) \otimes H^*(GL_{b_1}(k)) \otimes \dots \otimes H^*(GL_{b_n}(k))$$

if  $b(\alpha) = (b_0, \dots, b_n)$ . It will be preferable to work with homology defined as the dual of cohomology.

~~for p > 1~~ Then

$$E_{p*}^1 = \bigoplus_{\sigma \in \tilde{S}_p} H_*(G_\sigma) = \bigoplus_{\substack{(b_0, \dots, b_p) \\ \sum b_i = n}} \otimes_i H_*(GL_{b_i}(k))$$

$$= R \otimes R^P \quad \text{where} \quad R = \bigoplus_{n \geq 0} H_*(GL_n(k))$$

Again it should be possible to identify

$$E_p^2 = \text{Tor}_p^{R \otimes R}(R, R)$$

But I know

$$R = \boxed{\mathbb{F}_e[\xi_1, \xi_2, \dots, \eta_1, \eta_2, \dots]}$$

$$P[\xi_1, \xi_2, \dots] \otimes \Lambda[\eta_1, \eta_2, \dots]$$

Thus

$$\begin{aligned} \text{Tor}_*^{R \otimes R}(R, R) &= \text{Tor}_{*_*}^{R_1 \otimes R_1}(R_1, R_1) \otimes \text{Tor}_{*_*}^{R_2 \otimes R_2}(R_2, R_2) \\ &\cong P[\xi_1, \xi_2, \dots] \otimes \Lambda[\eta_1, \eta_2, \dots] \\ &\quad \otimes \Lambda[\bar{\xi}_1, \bar{\xi}_2, \dots] \otimes \Gamma[\bar{\eta}_1, \bar{\eta}_2, \dots] \end{aligned}$$

March 19, 1972

Problem: To prove the equivalence of the following two ~~two~~ categories. <sup>homotopy</sup>

(i)  $J$ . This ~~is~~ I think of as being the simplest version of  $B(M \times M, \Delta M)$  where  $M = B(\text{f.g. proj } R\text{-modules} + \text{isom.})$ . Its objects are pairs  $(V^+, V^-)$  of f.g. proj.  $R$ -modules. An arrow  $(V^+, V^-) \rightarrow (W^+, W^-)$  consists of a pair of split injections and an isomorphism of the complements.

(ii) Simple version of  $B(M \times N, N)$  where  $N = B(\text{finite sets})$ . Call it  $J_0$ . Its objects are pairs  $(V, S)$  where  $V$  is a fgp module and  $S$  is a finite set. An arrow consists of a split-injection  $V \rightarrow V'$ , injection  $S \rightarrow S'$ , + isom. pf  ~~$V' - V$~~  with  $R(S' - S)$ .

Idea of a solution. Let  $M \times N$  act on  $M \times M \times N$  by  $\begin{aligned} w(v^+, v^-, s)t &= (w + v^+, w + v^- + t, s + t) \\ (v^+, v^-, s)(w, t) &= (v^+ + w + t, v^- + w, s + t) \end{aligned}$

and call the result  $B(M \setminus M \times M \times N / N)$ . Then we have projections

$$\begin{array}{ccc} & B(M \setminus M \times M \times N / N) & \\ \text{fibre } B(M \setminus N / N) \swarrow & & \searrow \text{fibre } B(M \setminus M \times M) \\ & B(M \setminus M) & \\ \end{array}$$

and the point is that the projections are quasi-fibrations with contractible bases.

so I wish to consider the category  $\mathcal{J}_1$  whose objects are triples  $(V^+, V^-, S)$  and ~~a map~~ in which an arrow  $(V^+, V^-, S) \rightarrow (W^+, W^-, S')$  consists of

$$V^+ \xleftarrow{\pi^+} W^+$$

$$V^- \xleftarrow{\pi^-} W^-$$

$$\text{Ker } \pi^- \hookrightarrow \text{Ker } \pi^+ \oplus R[S-S']$$

$$S \hookrightarrow S'$$

(intuitively  $W^+ = V^+ + Q$ ,  $W^- = V^- + Q + R[T]$ ,  $S' = S + T$ )

Consider now the projection  $(V^+, V^-, S) \rightarrow \boxed{\mathcal{J}_1(S)}$  from  $\mathcal{J}_1$  to  $\mathcal{J}_0$  (finite sets and injections). The fibre over  $S'$  consists of pairs  $(W^+, W^-)$  with usual maps, hence the fibre is equivalent to  $\mathcal{J}$ . Now given  $S \hookrightarrow S'$  ~~and an object~~ and an object  $(V^+, V^-)$  over  $S$  I want to consider the arrows

$$(V^+, V^-, S) \xrightarrow{\alpha} (W^+, W^-, S')$$

lying over  $S \hookrightarrow S'$ . ~~such~~ such  $\alpha$  consists of



$$V^+ \rightarrow W^+ \leftrightarrow Q^+$$

$$V^- \rightarrow W^- \leftrightarrow Q^-$$

$$Q^- \hookrightarrow Q^+ \oplus R[S'-S].$$

Thus if  $\mathcal{J}$  consider arrow  $(V^+, V^-, S') \rightarrow (V^+, V^- \oplus R[S'-S], S')$  and canonical map  $\exists$  unique map in  $\mathcal{J}$

$$(V^+, V^- \oplus R[S'-S]) \rightarrow (W^+, W^-)$$

yielding  $\alpha$ . Thus  $\mathcal{J}_1 \rightarrow \mathcal{J}_0$  is cofibred, ~~and fibred~~

~~initial object~~ and further, the cobase change functors

$$(V^+, V^-, S) \longmapsto (V^+, V^- \oplus R[S - S])$$

are homotopy equivalences as we have seen before. Thus since  $\mathcal{I}_0$  is contractible, the inclusion of a fibre  $\mathcal{J} \rightarrow \mathcal{J}_1$  is a homotopy equivalence. Here I use

Lemma: Let  $E \rightarrow B$  be cofibred and suppose the cobase change functors between the fibres are homotopy equivalences (e.g. if bifibred). If  $B$  is contractible, then for any  $b$ ,  $E_b \hookrightarrow E$  is a homotopy equivalence.

In the situation just considered one can contract  $\mathcal{J}_1$  to the fiber  $\mathcal{J}$  over the initial object  $\emptyset$  of  $\mathcal{I}_0$  as follows. Start with the identity functor

$$(V^+, V^-, S) \longmapsto (V^+, V^-, S)$$

and the functors

$$(V^+, V^-, S) \longmapsto (R[S] \oplus V^+, R[S] \oplus V^-, S)$$

$$(V^+, V^-, S) \longmapsto (R[S] \oplus V^+, \cancel{R[S] \oplus V^-}, \emptyset).$$

The vertical arrows are natural transformations giving the deformation.

On the other hand we have the projection from  $\mathcal{J}_1$  to  $\mathcal{J}$  sending  $(V^+, V^-, S)$  to  $V^+$ . This should again ~~by~~ be cofibred ~~is~~ associated to the pseudo-functor

$$V^+ \hookrightarrow J_0$$

~~$V^+ \oplus Q = W^+$  goes to arrow  $(V^+, V^-, S) \rightarrow (W^+, W^-, S)$ .~~

$$V^+ \oplus Q = W^+ \text{ goes to arrow } (V^+, V^-, S) \rightarrow (W^+, W^-, S).$$

Thus  $J_1$  is cofibred over  $I$  with fibres  $J_0$ ,  $\alpha$  acting by sum on the first factor. Now ~~presumably~~ I know that the action is invertible so again by the lemma it should follow that the inclusion of a fibre  $J_0 \hookrightarrow J_1$  is a homotopy equivalence.

March 20, 1972. Return to buildings.

Consider the <sup>(additive)</sup> category of vector bundles over  $P_1$  over a field  $k$ . According to Grothendieck-Hilbert any such bundle  $\mathcal{E}$  is a direct sum of ~~the~~ the line bundles  $\mathcal{O}(n)$ . ~~the~~ How this may be proved: One associates to  $\mathcal{E}$  the <sup>graded</sup> module

$$\Gamma_*(\mathcal{E}) = \bigoplus_n \Gamma(P_1, \mathcal{E}(n))$$

over  $\bigoplus_n \Gamma(P_1, \mathcal{O}(n)) = k[T_0, T_1]$ . To prove  $\Gamma_*(\mathcal{E})$  is a free  $\Gamma_*(\mathcal{O})$ -module, proceed as follows. Choose a trivialization of  $\mathcal{E}$  off  $T_0 = 0$ , which is possible as  $k[z]$ ,  $z = T_1/T_0$ , is a P.I.D. Thus we know that

$$M = \varinjlim_n \Gamma(P_1, \mathcal{E}(n)) \quad \text{multiplication by } T_0$$

is a free  $k[z]$ -module. Now  $\mathcal{E}$  is completely determined by  $M$  and by the stalk at  $z = \infty$  which is a  $\mathcal{O}_\infty$ -lattice in

$$L \subset k(z) \otimes_{k[z]} M$$

where

Moreover

$$\mathcal{O}_\infty = k[z^{-1}] \text{ localized at ideal } z^{-1}k[z^{-1}]$$

$$\Gamma(P, \mathcal{E}) = L \cap M$$

$$\Gamma(P, \mathcal{E}(n)) = z^n L \cap M$$

with  ~~$T_0$~~   $T_0$  acting as the inclusion of  $z^n L \cap M$  in

$z^{n+1}L \cap M$  and  $T_1$  as multiplication by  $z$ . Now  $T_0$  is regular as far as  $\Gamma_*(\mathcal{E})$  is concerned so what we must show is that  $z$  is injective on

$$\Gamma_*(\mathcal{E})/T_0\Gamma_*(\mathcal{E}) = \bigoplus_n \frac{z^n L \cap M}{z^{n-1} L \cap M} \quad n \in \mathbb{Z}.$$

But if  $w \in z^n L \cap M$  and  $zw \in z^n L \cap M$ , then

$$\begin{aligned} zw &= z^n l & l \in L \\ \text{so } w &= z^{n-1}l \in z^{n-1}L \cap M \end{aligned}$$

which proves what we want.)

Let  $\mathcal{E}$  be a vector ~~bundle~~ bundle on  $P_1$  as above. Then we know

$$\mathcal{E} = \bigoplus_{i=1}^r \mathcal{O}(a_i) \quad r = \text{rank } \mathcal{E}$$

where  $a_1 \geq a_2 \geq \dots \geq a_r$ . I claim there is a canonical filtration ~~on~~ on  $\mathcal{E}$ .

$$F_p \mathcal{E} = \bigoplus_{a_i > p} \mathcal{O}(a_i) \quad \text{decreasing filtration}$$

Thus we can filter the category  $\mathcal{A}$  by saying  $\mathcal{E} \in F_p \mathcal{A}$

$\Leftrightarrow \mathcal{E}$  is a direct sum of  $\mathcal{O}(n)$  with  ~~$\mathcal{O}(n)$~~   $n > p$

$\Leftrightarrow \text{Hom}(\mathcal{E}, \mathcal{O}(p+1)) = 0$

Then for any  $\mathcal{E}$  it has a largest subobject  $F_p \mathcal{E}$

which is in  $F_p \mathcal{A}$ . ~~(1)~~ Can characterize  $F_p \mathcal{E}$  as being generated by the images of all the maps from  $\mathcal{O}(p)$  to  ~~$\mathcal{E}$~~ .

~~Now by construction we know that the filtration splits. Therefore let us consider the direct sum K-theory of  $\mathcal{A}$ .~~

$H_*(\mathcal{A}) = \bigoplus$

Thus we can describe any  $\mathcal{E}$  up to isomorphism by the numbers

$$v_p = \dim F_p \mathcal{E} / F_{p+1} \mathcal{E}$$

i.e. the number of times the indecomposable  $\mathcal{O}(p)$  occurs in  $\mathcal{E}$ . The direct sum Grothendieck group is

$$K_0(\mathcal{A}, \oplus) = \mathbb{Z}[\cancel{\mathcal{O}(1)} X, X^{-1}] \quad X = cl(\mathcal{O}(1)).$$

Next consider the K-theory. Given a repn. of  $G$  on  $\mathcal{E}$  it must preserve the filtration which splits forgetting the action. Thus for any invertible exp. charac. class for repns in  $\mathcal{A}$  we have

$$\Theta(\mathcal{E}) = \prod \Theta(\text{grp } \mathcal{E}).$$

by the stable splitting theorem. Therefore it is clear (use  $\text{Hom}(\mathcal{O}(p), \mathcal{O}(p)) \cong k$ ) that the direct sum K-theory

associated to  $\mathcal{A}$  is the direct sum of copies of the K-theory of  $k$ , one for each  $O(p)$ ,  $p \in \mathbb{Z}$ .

I want to ~~understand~~ understand the K-theory of  $k[\mathbb{Z}]$ . So I consider the category of finitely generated projective  $k[\mathbb{Z}]$ -modules and their isomorphisms, and later the direct sum operation.

~~Now by the category where~~ To MP I associate the building which is the simplicial complex

Let  $K = k(\mathbb{Z})$ ,  $A = k[\mathbb{Z}^{-1}]_{\text{nr}}$ ,  $w = z^{-1}k[\mathbb{Z}^{-1}]$ . To a f.g. proj.  $k[\mathbb{Z}]$ -module  $M$ , I associate the building  $X(M)$  of ~~lattices~~  $A$ -lattices in  $K \otimes_{k[\mathbb{Z}]} M$ . Thus  $X(M)$  is a simplicial complex whose vertices represent the extension of  $M$  to a ~~vector bundle~~ vector bundle on  $P_1$ . To a lattice  $L$  we have  $E_L$  as on page 1.

$X = X(M)$  being contractible it furnishes a spectral sequence relating the cohomology

$$E_1^{PL} = \mathbb{Q}^P(X/\Gamma, \mathbb{H}^g) \Rightarrow H_\Gamma^*$$

of  $\Gamma$  and its stabilizers. The first thing I want to do is determine the orbit of  $\Gamma$  on the various simplices and their stabilizers.

Claim: ~~Two lattices  $L, L'$  are  $\Gamma$ -conjugate~~  $\Leftrightarrow$  the sheaves  $E_L$  and  $E_{L'}$  are isomorphic. Moreover

$\Gamma_L$  is the group of automorphisms of  $\mathcal{E}_L$ .

Proof: This is clear because  $\overset{a}{\square}$  maps  $\mathcal{E} \rightarrow \mathcal{E}'$  ~~determined by what it does to  $L$~~  and may be identified with a map  $M = \Gamma(A^!, \mathcal{E}) \rightarrow M' = \Gamma(A^!, \mathcal{E}')$  carrying  $L$  into  $L'$ .

It might be better to note that the category of  $L$  in  $X(M)$  ~~with~~ with morphisms,  $\mathcal{J}_L \subset L$ , is equivalent to the category of  $\mathcal{E}$  on  $P^1$  of rank  $r$  ~~with~~ morphisms.  $\mathcal{E} \rightarrow \mathcal{E}'$  are injections which are isos. on  $A^!$ .

I should review now my earlier ideas on the homotopy axiom.

Given  $M$  ~~as above~~, the idea was to consider  $L$  which are sufficiently positive so that  $V = L \cap M$  is an "involutive"  $k$ -subspace of  $M$ , i.e.  $V$  generates  $M$  and  $z^{-1}V \stackrel{\text{defn.}}{=} \{m \in M \mid zm \in V\} \subset V$ , whence it follows that

$$0 \rightarrow k[z] \otimes z^{-1}V \longrightarrow k[z] \otimes_k V \longrightarrow M \longrightarrow 0$$

is exact. Observe that if  $V \subset V'$  are both ~~involutive~~ involutive, then

$$z: V'/V \xrightarrow{\sim} V' + zV'/V + zV \quad (?)$$

This should roughly mean that  $\mathcal{E}_L$  is of filtration  $\geq 0$  i.e. contains copies of  $O(n)$ ,  $n \geq 0$ .

March 22, 1972 (Carl is 7 today).

The situation:  $k$  field. We are interested in K-theory of  $k[z]$  and of the projective line  $P^1$  over  $k$ .

$K = k(z)$  function field

$A = \text{valuation ring at } z=\infty$

$$= k[z^{-1}]_y, \quad y = z^{-1}k[z^{-1}].$$

Then a vector bundle  $E$  over  $P^1$  is the same as a free f.t.  $k[z]$ -module  $M$  together with an  $A$ -lattice  $L$  in  $K \otimes_{k[z]} M$ . In fact

$$M = \Gamma(A^!, E)$$



$L = E_\infty$  stalk at  $\infty$ .

(The correspondence  $E \leftrightarrow (M, L, L \hookrightarrow K \otimes M)$  is essentially ~~a~~ a special case of the one used ~~by~~ Artin to describe sheaves in the situation

$$\begin{array}{ccc} Y & \xhookrightarrow{i} & X \\ & \scriptstyle E & \end{array} \quad \begin{array}{c} \xleftarrow{f} \\ \xrightarrow{i^* E} \end{array}$$

$$E \leftrightarrow (j^* E, i^* E, \boxed{\quad} \rightarrow i^* j_* f^* E)$$

Remark:

The square

~~is cartesian~~

$$\text{Vect}(P^1) \longrightarrow \text{Vect}(A)$$

$$\downarrow \quad \downarrow$$

$$\text{Vect}(A^!) \longrightarrow \text{Vect}(K)$$

is 2-cartesian,

and, at least conjecturally, it gives rise to a Mayer-Vietoris sequence. Somehow therefore the arrows  $\rightarrow$  are "transversal". A basic problem is to define a suitable notion of transversality.

Idea for proving  $K_*(k) \xrightarrow{\sim} K_*(k[z])$ :

The point is to show surjectivity. Thus suppose a free f.t.  $k[z]$ -module  $M$ . We then consider the extensions  $E = (M, L)$  of  $M$  to a vector bundle over  $P^1$  such that  $E \geq 0$ , meaning that it is generated by its global sections (hence that it is ~~a~~ isomorphic to  $\bigoplus O(n_i)$  with  $n_i \geq 0$ ). To such an  $E$  we associate the pair of  $k$ -modules of f.t.

$$(\Gamma(E), \Gamma(E(-1)))$$

If  $E < E'$ , (i.e.  $L < L'$ ) then from

$$0 \rightarrow E \rightarrow E' \rightarrow F, \rightarrow 0$$

support at  $\infty$

we obtain exact sequences

$$0 \rightarrow \Gamma E \rightarrow \Gamma E' \rightarrow \Gamma F \rightarrow 0$$

$$0 \rightarrow \Gamma E(-1) \rightarrow \Gamma E'(-1) \rightarrow \Gamma F(-1) \rightarrow 0$$

because  $E \geq 0 \Rightarrow H^1(E) = H^1(E(-1)) = 0$ .

~~But multiplication by  $t_1$~~  and  $t_0 = 0$  at  $\infty$ ) defines an isomorphism

~~that form~~ (recall  $z = \frac{t_1}{t_0}$ )

$$\Gamma\mathcal{F} \xrightarrow{\sim} \Gamma\mathcal{F}(-1).$$

Thus to the inclusion  $\mathcal{E} \hookrightarrow \mathcal{E}'$  belongs an inclusion of pairs

$$(\Gamma\mathcal{E}, \Gamma\mathcal{E}(-1)) \longrightarrow (\Gamma\mathcal{E}', \Gamma\mathcal{E}'(-1))$$

together with a trivialization of the cokernel. It's clear this is compatible with composition of injections.

March 23, 1972

Conjecture: Let  $k$  be a finite field of characteristic  $p$ , and let  $X$  be the building of proper subspaces of a fdvs.  $V$  over  $k$ ,  $G = \text{Aut}(V)$ . Then

$$H_G^*(X; \mathbb{F}_p) \xleftarrow{\sim} H_G^*(\text{pts}; \mathbb{F}_p)$$

Meaning: We know that there is a spectral sequence

$$E_2^{pq} = \boxed{\begin{matrix} X & P & X & X & X \\ X & X & X & X & X \\ X & X & X & X & X \\ X & X & X & X & X \end{matrix}}$$

$$H^p(G, H^q(X)) \implies H_G^{p+q}(X)$$

(mod  $p$  coefficients) and that

$$H^0(X) = \mathbb{F}_p$$

$$H^{r-1}(X) = \stackrel{\text{Hom}}{\sim} (\text{Steinberg representation}, \otimes \mathbb{F}_p)$$

$$H^i(X) = 0 \quad i \neq 0, r-1.$$

Thus the conjecture asserts that

$$H^*(G, \stackrel{\text{Hom}}{\sim} (\text{Steinberg}, \otimes \mathbb{F}_p)) = 0$$

Note that ~~if~~ if  $B$  is a Borel subgroup of  $G$ , then  $\text{res}_P^G$

Recall that if  $B_P$  is a ~~proper~~ Sylow subgroup of  $G$ , then

$$(*) \quad \text{res}_{\mathbb{F}_P}^G(\text{Steinberg}) = \mathbb{Z}[P] \quad \begin{matrix} \text{not quite} \\ \text{correct in} \\ \text{case } h=2 \end{matrix} \quad \mathbb{Z}[P]$$

hence

$$H^*(G, \text{Hom}(\text{Steinberg}, \mathbb{F}_P))$$

↓  
res

$$H^*(P, \text{Map}(P, \mathbb{F}_P)) = \begin{cases} 0 & * > 0 \\ \mathbb{F}_P & * = 0. \end{cases}$$

So what is required to prove the conjecture is to show that the  $\mathbb{F}_P$  is not there, for example that it is not fixed by the Borel subgroup.

Example:  $r=2$ . Here one knows that  $P$  is abelian with normalizer  $B$ , so

$$\boxed{\text{H}^*(G/M) \rightarrow H^*(P/M)} \xrightarrow{\text{B/P}} \text{H}^*(B/M)$$

$$H^*(G, M) \xrightarrow{\sim} H^*(B, M) = H^*(P, M)$$

all  $G$ -modules  $M$  which are  $p$ -primary. Thus

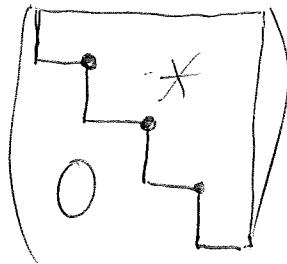
$$H_G^*(\bullet X) = H_G^*(G/B) = H^*(B)$$

the conjecture is clear.

~~To prove the conjecture, suppose we can make the following improvement of (\*)~~

March 24, 1972. buildings

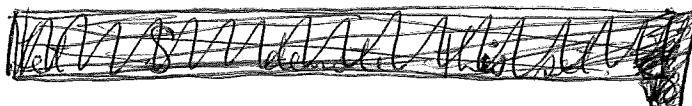
p. Set  $G = \mathrm{GL}_n k$ ,  $k$  a finite field of characteristic  $p$ . Let  $B$  be the standard Borel subgroup:



The dots denote the simple roots associated to  $B$ . These are

$$t = (t_i) \longmapsto t_{i+1}/t_i$$

for  $1 \leq i < n$ .



Better notation: Let  $\sigma$  denote a simplex of the building of  $G$ . Thus  $\sigma$  is a flag  $0 < V_1 < \dots < V_p < k^n$

in  $k^n$ . Let  $P_\sigma$  denote the corresponding parabolic subgroup. One knows then that every subgroup  $P_\sigma \subset K \subset G$  is of the form  $K = P_\tau$  for a unique  $\tau \subset \sigma$ , ( $G = P_\phi$ ). ~~the~~

~~Conjecture: Let  $M$  be any parabolic subgroup of  $G$~~

Conjecture: Let  $M$  be any  $\mathbb{Z}[p^{-1}G]$ -module. Then for any  $\sigma$  (including  $\phi$ ), ~~the~~ the complex

$$\bigoplus_{\substack{\sigma \subset \tau \subset S \\ \text{card}(\tau - \sigma) = 2}} H_*(P_\tau, M) \longrightarrow \bigoplus_{\substack{\sigma \subset \tau \subset S \\ \text{card}(\tau - \sigma) = 1}} H_*(P_\tau, M) \longrightarrow H_*(P_S, M) \rightarrow 0$$

is acyclic. (Here \$S\$ is a fixed max. flag containing \$\sigma\$).

Remarks:

- 1) maybe \$M\$ should be a complex of modules
- 2) the geometric significance of the above complex: Given \$\sigma\$ consider ~~all~~ all conjugate flags and all possible refinements of this. This forms a simplicial category whose ~~object~~ category of non-deg. \$P\$ simplices consists of flags

$$W_1 \subset V_1 \subset \dots \subset W_i \subset V_g \subset \dots \subset W_P ?$$

- 3) induction. Given \$P\_\sigma \supset P\_S = B\$, all subgps between \$P\_\sigma\$ and \$B\$ contain the radical (unipotent) \$N\_\sigma\$ of \$P\_\sigma\$, and hence

$$H_*(P_\tau, M) = H_*(P_\tau / N_\sigma, \mathbb{Z} \otimes_{\mathbb{Z}[N_\sigma]}^L M)$$

Example: rank 1.  $G = GL_2(k)$  acts on  $G/B = \mathbb{P}^1$ . Since  $B$  contains a Sylow  $p$ -subgroups one have

$$H^*(G, M) \longrightarrow H^*(B, M) \implies H_B^*(G/B, M)$$

for any  $\mathbb{Z}[p^{-1}][G]$  module. But ~~affine orbits~~ there are two orbits

$$G/\square = eB \sqcup B \cdot B$$

for  $B$  on  $G/B$  and the second orbit is free. Thus one has immediately that the second orbit doesn't count for positive dimensional cohomology, i.e.

$$H^*(G, M) \xrightarrow{\sim} H^*(B, M).$$

In ~~affine~~ dimension zero all one knows from the exact sequence is that

$$H^0(G, M) = \{m \in H^0(B, M) \mid sm = m\}.$$

But this shows the conjecture is wrong because

$$M = \text{Map}(G, A)$$

$A$  a  $\mathbb{Z}[p^{-1}]$ -module

$$H^0(G, M) = \text{Map}(B/G, A) \cong A$$

$$H^0(B, M) = \text{Map}(B/G/B, A) \cong A \oplus A$$

~~affine~~ The problem was with the generalized Grün theorem which ~~say~~ will not be valid for  $G$ -modules.

Thus if the Sylow  $p$ -subgroup  $P$  of  $G$  is abelian

$$H^*(G, A) \xrightarrow{\sim} H^*(P, A)^N = H^*(N, A)$$

$N = \text{Norm}(P)$ .

for any trivial  $\mathbb{Z}[p^{-1}, G]$ -module  $A$ , but not for non-trivial ones. In effect ~~the result~~ given

$$P \times P x^{-1} \xrightarrow{\begin{matrix} ix \\ jx \end{matrix}} P$$

one knows as  $P$  is abelian that  $\exists y \in N$  carrying  $i_x$  into  $j_x$ , but one needs to worry about the effect of ~~a~~  $y$  on  $A$ . Review the argument:  $P$  being abelian  $P$  and  $xPx^{-1}$  are both Sylow subgroups of the centralizer of  $P \times P x^{-1}$  in  $G$ , so  $\exists z \in$  centralizer  $\exists zPz^{-1} = xPx^{-1}$ . Then  $y = \boxed{z^{-1}x}$  normalizes  $P$  and ~~and~~ and  $y(ix)y^{-1} = j_x$ . So to make things work with a non-trivial  $G$  module, it is necessary to know that  $z$  can be chosen to centralize  $M$ .

---

~~so modify the conjecture by putting~~

$$M = \mathbb{F}_p.$$

Example of rank 1. Let  $\sigma$  ~~be a~~  $\in S$  be the complement of a single element. Thus  $P_\sigma$  is the stabilizer of a flag with a single jump of 1 dimension

$P_\sigma :$

$$0 < V_1^1 < \cdots < V^P < V^{P+2} < \cdots < V^h = V$$

Is  $H^*(B) \xrightarrow{\sim} H^*(P_\sigma)$  ? Again there are two double cosets

$$P_\sigma = B \cup BsB \quad s \text{ omitted reflection}$$

and

$$B \cap sBs = N_\sigma \quad \text{the unipotent rad. of } P_\sigma.$$

$$1 \rightarrow N_\sigma \rightarrow P_\sigma \rightarrow \text{Aut}(V^{p+2}/V^p) \rightarrow 1$$

Choose

$$\begin{matrix} V^p \subset W \\ \subset_{W'} \subset V^{p+2} \end{matrix}$$

and let  $B$  stabilize  $W^*$ , and let  $s$  interchange  $W$  and  $W'$ . The question is whether the homomorphisms

$$N \xrightarrow[\text{conj. by } s]{\text{incl.}} B$$

induce the same homomorphism from  $H^*(B)$  to  $H^*(N)$ .

Example: In dimension 3

$$\begin{pmatrix} 1 & 0 & \alpha \\ 0 & 1 & \beta \\ 0 & 0 & \gamma \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & \beta \\ 0 & 1 & \alpha \\ 0 & 0 & \gamma \end{pmatrix}$$

$$N \xrightarrow{x \mapsto sxs^{-1}} B$$

This is ~~certainly~~ not conjugate in  $B$  to the standard embedding of  $N$  in  $B$ , and it clearly does not induce the identity on  $H^1$  when  $k = \mathbb{F}_2$ .

Thus the conjecture should be modified to

$\text{Aut}(V)$  and let

Conjecture: Let  $G = \boxed{\text{Aut}(V)}$  and let  
 a maximal flag ~~subset with~~ in  $V$ , that is, a top-dimensional simplex of the building. Here  $V \simeq k^n$ ,  $k$  finite of char.  $p$ . Then with coefficients in  $\mathbb{F}_p$ , the complex

$$(*) \quad \xrightarrow{\quad} \bigoplus_{\substack{\tau \in \sigma \\ \text{card}(\tau)=2}} H_*(P_\tau) \xrightarrow{\quad} \bigoplus_{\substack{\tau \in \sigma \\ \text{card}(\tau)=1}} H_*(P_\tau) \rightarrow H_*(G) \rightarrow 0$$

is exact.

Let  $\tilde{C}$  be the complex of  $\mathbb{F}_p^{\text{mod } p}$  chains on the suspension of  $X$ :

$$\circ \rightarrow C_{n-1}(X) \rightarrow \dots \rightarrow C_1(X) \rightarrow C_0(X) \rightarrow \mathbb{F}_p \rightarrow \circ$$

where  $X$  is the building. The homology of this complex is concentrated in degree  $n$  and is the Steinberg representation of  $G \otimes \mathbb{F}_p$ . I claim

$$(**) \quad H_*(G, \tilde{C}_p) = \bigoplus_{\substack{\tau \in \sigma \\ \text{card}(\tau) \geq p}} H_*(P_\tau)$$

In effect

$$\tilde{C}_p(X) = C_{p-1}(X) = \mathbb{F}_p \left[ \prod_{\substack{\tau \in \sigma \\ \text{card}(\tau)=p}} G/P_\tau \right]$$

so this is clear. (The point is that each simplex in the building is conjugate to a unique  $\tau \in \sigma$ . Pursuing

this, one sees that the differential in  $(*)$  is induced by the differential in  $\tilde{C}_*$ .)

Thus I see that  $(*)$  is the  $E^1$  term of the spectral sequence

$$E_{pq}^1 = H_q(G, \tilde{C}_p) \Rightarrow H_{p+q}(G, \text{Steinberg} \otimes \mathbb{F}_p)$$

so what the conjecture signifies is that the  $E^2$  term is zero. I am going to try to prove this by induction on  $n$ , having seen already that it is true for  $n = 2$ .

Let  $L$  be a line in  $V$  and let ~~the~~  $P$  be the parabolic subgroup ~~of~~ normalizing  $L$ . Then

$$H_*(G, M) \xrightarrow{\text{ind}} H_*(P, M) \xrightarrow{\text{res}} H_*(G, M)$$

is multiplication by  $[G; P] = \text{no. of lines} = \frac{q^n - 1}{q - 1} \equiv 1 \pmod{p}$ , so that if we knew triviality over  $P$ , we would have it over  $G$ . So we wish to consider the complex

$$p \mapsto H_q(P, \tilde{C}_p).$$

Review now ~~L~~ how one inductively determines the homotopy type of  $X$ . Let  $H_L$  be the set of hyperplanes in  $V$  complementary to  $L$ , and  $Y \subset X$  the full subcomplex consisting of all vertices not in  $H_L$ . Then  $Y$  is contractible. ( $W \mapsto W + L$  retracts  $Y$  ~~into the closed star~~ of the vertex  $L$ .) Observe that this

retraction gives an equivariant for  $P$  map

$$Y \times \Delta(1) \longrightarrow \text{Closed star}_L$$

and that  $C$  is a functor from simplicial complexes to chain complexes transforming simplicial homotopies to chain homotopies. Thus  $Y$  is  $P$ -equivariantly contractible, whence the complex

$$p \mapsto H_g(P, C_p(Y))$$

~~This~~ will contract to  $H_g(P, F_\emptyset)$  for  $p=0$ . A better way of putting it is to say that the complex  $\tilde{C}(Y)$ , defined in ~~a~~ analogy with  $\tilde{C}(X)$ , is equivariantly homotopic to zero. Thus

$$p \mapsto H_g(P, \tilde{C}_p(Y))$$

is acyclic for all  $g$ .

But we have clearly an exact sequence

$$(*) \quad 0 \longrightarrow \tilde{C}(Y) \longrightarrow \tilde{C}(X) \longrightarrow \overset{\text{``}}{\tilde{C}}(X, Y)[1] \longrightarrow 0.$$

~~This~~ Claim

$$C(X, Y) = \bigoplus_{W \in \mathcal{H}_L} \tilde{C}(X_W).$$

In effect  $C_p(X, Y)$  has as basis all  $p+1$  simplices  $\sigma$  containing some  $W$ , necessarily unique. So  $\sigma$ :

$$0 < V_1 < \dots < V_p < W,$$

~~the simplex~~ determines a simplex of some  $X_W$  or possibly the empty simplex.

~~the complex~~ Claim for each  $g, p$

$$(*) \quad 0 \longrightarrow H_g(P, \tilde{C}_p(Y)) \longrightarrow H_g(P, \tilde{C}_p(X)) \longrightarrow H_g(P, \tilde{C}_p(X, Y)) \rightarrow 0$$

is exact. Because as a  $P$ -module  $\tilde{C}_p(X)$  is the free abelian group generated by a  $P$ -set, while  $\tilde{C}_p(Y)$  is generated by a  $P$ -subset. Now ~~choose~~ choosing a  $W$  and letting  $P_W$  be its stabilizer we have

$$H_g(P, \tilde{C}_p(X, Y)) = H_g(P_W, \tilde{C}_p(X_W)).$$

Now  $P_W = k^* \times \text{Aut}(W)$ ,  $k^*$  acting trivially. By induction

$$H_*(P \mapsto H_g(\text{Aut}(W), \tilde{C}_p(X_W))) = 0 \quad (\text{assuming } n \geq 3)$$

so we see using the <sup>long</sup> exact sequence in homology associated to  $(*)$  (considered as an exact seq. of cxs.  $P$  varying,  $g$  fixed) that

$$H_*(P \mapsto H_g(P, \tilde{C}_p(X))) = 0$$

concluding the induction.  $\therefore$  Conjecture on page 6 is proved.

Remark: Observe for  $n=1$  that the conjecture is not true. Thus the complex is  $H_*(G) = F_p$  in degree  $p=0$ . ~~the complex~~ Note that

$$H_*(B, F_p \otimes \text{Steinberg}) = F_p \quad n \geq 2$$

$$H_*(G, F_p \otimes \text{Steinberg}) = 0 \quad n \geq 2$$

follows from the preceding proof.

### Questions

1. Can the preceding be generalized to any Tits system with finite Weyl group?

Suppose given  $G, B, N, S$ . Don't see the analogue of the lines.

2.  $H^*(G, \text{Steinberg} \otimes \mathbb{F}_\ell) = ? \quad \ell \neq p$

In mod  $\ell$  cohomology the complex  $H_*(G, \widetilde{C}_*(x))$  takes the form

$$\rightarrow \bigoplus_{\substack{0 < i < j < n}} H_*(GL_i \times GL_{j-i} \times GL_{n-j}) \longrightarrow \bigoplus_{0 < i < n} H_*(GL_i \times GL_{n-i}) \longrightarrow H_*(GL_n) \longrightarrow 0$$

Recall that if  $R$  is an augmented algebra over  $k$ , then  $T(R[1])$  with differential defined to be ~~the~~  
~~augmentation ideal~~

$$d[r_1, \dots, r_n] = \sum_{i=1}^{n-1} (-1)^i [r_1, \dots, r_{i+1}, \dots, r_n]$$

is the bar resolution for calculating

$$\text{Tor}_*^R(k, k).$$

Do not confuse the map  $H_*(M) = \bigoplus_{n \geq 0} H_n(GL_n) \rightarrow k$  sending  $GL_n$  to 0 for  $n > 0$  with the map induced by the map ~~M → pt~~  $M \rightarrow pt$ .

Recall the exact sequence model for BM:

$$(*) \quad \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \coprod_{a,b \geq 0} BG_{a,b} \longrightarrow \coprod_{a \geq 0} BG_a \longrightarrow pt$$

If we filter this by calling  $BG_{a_1, a_2, \dots, a_p}$  of filtration  $n$  if  $a_1 + a_2 + \dots + a_p \leq n$ , then the  $n$ -th graded complex is

$$\dots \longrightarrow \coprod_{\substack{a+b=n \\ a,b \geq 0}} BG_{a,b} \xrightarrow{\text{pt}} \coprod_{a \geq 0} BG_n \longrightarrow pt$$

It appears that the normalized homology is the complex

$$\longrightarrow \bigoplus_{\substack{a+b=n \\ a,b \geq 0}} H_*(G_{a,b}) \longrightarrow H_*(G_n) \longrightarrow 0$$

which is the thing obtained before, i.e. the complex we showed was acyclic for  $n \geq 2$ . Thus it appears that

$\text{Filt}_n(*)$  is acyclic mod  $p$

except for the trivial copy of  $B\mathbb{Z} = S^1$ .

March 25, 1972

K local field <sup>of char. 0 &</sup> residue char. p. To understand the mod p cohomology of  $GL_2(K)$  which hopefully is nice.

I consider the building  $X(K^2)$  with its natural  $G = GL_2(K)$  action. This gives rise to a ~~simplicial~~ category ~~simplicial~~ cofibred over  $\Delta^0$  whose fibre over  $[p]$  is the category of filtered  $A$ -modules.

$$L_0 \subset L_1 \subset \dots \subset L_p,$$

where each  $L_i$  is free of rank 2 and  $\pi L_p \subset L_0$ , and their isomorphisms. The non-degenerate objects are as follows

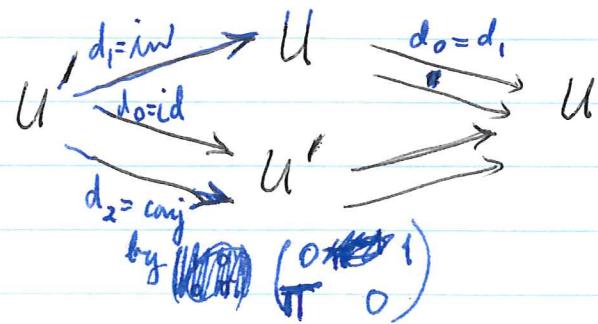
$p=0$   $L_0$  free  $A$ -module of rank 2 —  $GL_2 A$

$p=1$   $L_0 \subset L_1$  with  $\dim L_1/L_0 = 1$  — Iwahori subgrp  $(\begin{smallmatrix} * & * \\ \infty & * \end{smallmatrix})$

$p=1$   $L_0 \subset L_1$  with  $L_0 = \pi L_1$  —  $GL_2 A$

$p=2$   $L_0 \subset L_1 \subset L_2$  — Iwahori subgrp.

Set  $U = GL_2 A$ ,  $U' =$  Iwahori subgroup. Then the category, or properly its non-degenerate part takes the form



To understand this a bit better, let us compute ~~the~~ these homomorphisms. The idea is that we take for the last vertex the lattice  $Ae_1 + Ae_2$  and identify the stabilizer of this with matrices.

$$\begin{array}{ll} \text{basepoint lattice } \Lambda = Ae_1 + Ae_2 & \text{stabilizer } U = \begin{pmatrix} * & * \\ * & * \end{pmatrix} \\ \text{codim 1 lattice } \Lambda' = A\cancel{e}_1 + A\pi e_2 & \text{stabilizer } U' = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \end{array}$$

Then all the faces by the last are inclusions on the stabilizers. Next want

$d_1$  from  $\Lambda' < \Lambda$  to  $\Lambda'$   
 $d_2$  from  $\pi\Lambda < \Lambda' < \Lambda$  to  $\pi\Lambda < \Lambda'$   
 Thus we have to choose an isomorphism

$$\varphi: \begin{matrix} \Lambda & \xrightarrow{\sim} & \Lambda' \\ \cup & & \\ \Lambda' & \xrightarrow{\sim} & \pi\Lambda \end{matrix}$$

so

$$\begin{aligned} \varphi(e_1) &= \pi e_2 \\ \varphi(e_2) &= e_1 \end{aligned} \quad \varphi: \begin{pmatrix} 0 & 1 \\ \pi & 0 \end{pmatrix}$$

Then want the induced map

$$\text{Aut}(\Lambda' < \Lambda) = U' \longrightarrow \text{Aut}(\Lambda') \xrightarrow{\theta \mapsto \varphi^{-1}\theta\varphi} \text{Aut}(\Lambda)$$

$$\begin{aligned} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} &\longmapsto \begin{pmatrix} 0 & \pi^{-1} \\ \cancel{\pi} & 0 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} 0 & 1 \\ \pi & 0 \end{pmatrix} = \\ \begin{pmatrix} 0 & \pi^{-1} \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \beta\pi & \alpha \\ \delta\pi & \gamma \end{pmatrix} &= \begin{pmatrix} \delta & \pi^{-1}\gamma \\ \pi\beta & \alpha \end{pmatrix} \end{aligned}$$

Conclude that the category realizing  $GL_2(K)$  is

$$\begin{array}{ccc}
 & \xrightarrow{d_0=i} & U \\
 U' & \swarrow \begin{matrix} d_0=id \\ d_1=id \end{matrix} & \searrow \begin{matrix} d_0=id \\ d_1=id \end{matrix} \\
 & \xrightarrow{d_0=id} & U \\
 & \searrow \begin{matrix} d_0=i \\ d_1=ih \end{matrix} & \swarrow \begin{matrix} d_0=i \\ d_1=ih \end{matrix} \\
 & U' &
 \end{array}$$

where

$$U = GL_2 A$$

$$U' = \begin{pmatrix} * & * \\ \leq 0 & * \end{pmatrix} \text{ subgroup of } U$$

$$i: U' \longrightarrow U \quad \text{inclusion}$$

$$h \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \delta & \pi^{-1}\gamma \\ \pi\beta & \alpha \end{pmatrix} \quad h \text{ order 2}$$


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March 26, 1972

model for  $GL_2(k)$ :

$$\begin{array}{ccc} \left( \begin{array}{cc} * & * \\ * & 1 \end{array} \right) & \longrightarrow & GL_2(k) \\ \downarrow & & \\ GL_1(k) & & (?) \end{array}$$

~~If  $k$  is finite then the vertical map~~

The vertical map induces isoms. on mod  $\ell$  cohomology and  $\mathbb{Q}$  cohomology,  $\ell \neq \text{char}(k)$ . Thus in these cases  $GL_2(k) \rightarrow (?)$  induces isos. on cohomo. When  $k$  is finite of characteristic  $p$ , the horizontal arrow induces isoms on mod  $p$  coh. Thus (?) has trivial mod  $p$  homology.

~~The idea is to~~

To generalize to higher dimensions. The idea I think is to look for what one might call  $\text{Filt}_n BGL(k)^+$

which should be generated by vector spaces of dimension  $\leq n$ .  
Thus

$$\text{Filt}_n(BU) = BU_n$$

and

$$\begin{aligned} H^*(\text{Filt}_n(BU), \text{Filt}_{n-1}(BU)) &\simeq H^*(BU_n, BU_{n-1}) \\ &= \tilde{H}^*(MU_n) \end{aligned}$$

From my earlier work on stability I have a good idea as to what  $\text{gr}_{\leq n} \text{BGL}(R)^+$  might be. Its ~~homology~~ should be

$$H_*(\text{GL}_n k, \tilde{C}(X(k^n)))$$

where  $X(k^n)$  is the unimodular vector complex of  $\mathbb{R}^n$ .

Problem with the preceding model: A d.v.r. in  $K$ ,  $[K : \mathbb{Q}_p] < \infty$  where  $\mu_p \subset A$ . Then if I try the preceding to modify  $\text{GL}_2(A)$ , I get the wrong spectrum.

Try instead

$$\begin{array}{ccc} \text{GL}_{1,1}(A) & \longrightarrow & \text{GL}_2(A) \\ \downarrow & & \downarrow \\ \text{GL}_1(A)^2 & \longrightarrow & (?) \end{array}$$

I know that

$$\begin{array}{ccc} \text{Spec } H^*(\text{GL}_{1,1} A) & \longrightarrow & \text{Spec } H^*(\text{GL}_2 A) \\ \downarrow & & \downarrow \\ \text{Spec } H^*((\text{GL}_1 A)^2) & \longrightarrow & \text{Spec } H^*(?) \end{array}$$

will be a pushout diagram. The point is that ~~the~~ any elementary abelian  $p$ -subgroup of  $\text{GL}_2 A$  comes from  $\text{GL}_{1,1} A$ , and the different ones of rank 2 in  $\text{GL}_{1,1} A$  all get identified in  $(\text{GL}_1 A)^2$ .

March 29, 1972.

Compactification of the Building

A ~~complete~~ d.v.r. quotient field  $K$ . Note:  
The building of a  $K$ -vector space  $V$  is the same  
as for the  $\mathbb{R}$  vector space  $V = \mathbb{R} \otimes_K V$ , so we will  
suppose  $A$  complete.

I propose to ~~compactify~~ the building  $\text{Im}(V)$ .  
So let  $L_\alpha$  be a directed system of lattices. Assuming  
the residue field  $k$  is finite we can, for each interval  
 $L < L'$  in the building, arrange, by selecting a suitable  
subsystem, that

$$\boxed{L \subset L_\alpha \cap L'}$$

$$L + L_\alpha \cap L' = \boxed{L} \cdot (L + L_\alpha) \cap L'$$

stabilizes. Call it  $E_{LL'}$ , and note that

$$(1) \quad L + E_{L,L'} = E_{LL'} \quad L < L < L'$$

$$(2) \quad L' \cap E_{L,L''} = E_{LL''} \quad L < L' < L''$$

Set

$$E_{L'} = \varprojlim_{L < L'} E_{LL'} = \bigcap_{L < L'} E_{LL'}$$

and note that by completeness of  $A$  (pass to limit in (1))

$$(1)' \quad L + E_{L'} = E_{LL'}.$$

Also by passage to limit in (2)

$$(2)' \quad L' \cap E_{L''} = E_{L'} \quad L' < L''$$

Now set

$$E = \bigcup_{L''} E_{L''}$$

so that

$$E_{L'} = L' \cap E$$

and so

$$E_{LL'} = L + L' \cap E = (L + E) \cap L' \quad L \subset L'$$

Thus have proved.

Lemma: Given any directed system of  $A$ -submodules  $E_\alpha$  of  $V$ , there exists a subsystem which converges to a submodule  $E$  of  $V$  in the sense that  $\forall L \subset L'$  (lattices)

$$\begin{aligned} L + E \cap L' &= (L + E_\alpha) \cap L' \\ &= L + E_\alpha \cap L' \end{aligned}$$

for all suff. large  $\alpha$ .

What this amounts to is that

$$(A\text{-submodules of } V) \xrightarrow{\sim} \varinjlim_{[L:L']} (A\text{-submodules of } L'/L)$$

and this must be due to the fact that  $A$  is compact.

So we consider the set of  $\mathcal{Y}(V)$  submodules of  $V$  as the vertices of the compactification. A simplex will be a chain  $E_0 \subset E_1 \subset \dots \subset E_n$  of submodules such that  $\pi E_n \subset E_0$ . It will be necessary to add a topology.

Note that for any  $E$  we have a canonical exact sequence

$$0 \longrightarrow E_{\text{div}} \longrightarrow E \longrightarrow \hat{E} \longrightarrow 0$$

$$\hat{E} = \varprojlim E/\pi^n E$$

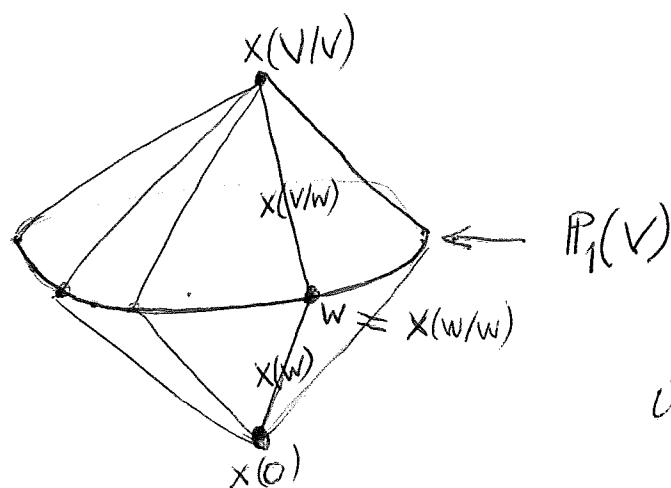
$$\bar{E}_{\text{div}} = \bigcap \pi^n E$$

where  $\hat{E} \simeq A^r$  and  $E_{\text{div}} \simeq K^s$ . Thus given a chain  $E_0 \subset E_1 \subset \dots \subset E_n$  we have  $E_0 \supset \pi E_n \supset (E_n)_{\text{div}}$  and so the simplex comes from a simplex of the building of  $A/E_{n,\text{div}}$ . Thus without topology

$$E_n \otimes K$$

$$\mathcal{Y}(V) = \coprod_{W \subset W' \subset V} X(W/W)$$

Picture for  $\dim V=2$ :



interior is  $X(V)$

~~Definition:~~ Define a topology on the realization of  $\mathcal{Y}(V)$  as follows. A point of the realization  $(\mathcal{Y}(V))$  is a pair  $(\tau, z)$  where  $\tau$  is a simplex and  $z$  is a point in the ~~the~~ geometric simplex with vertices  $\tau$ , i.e. if  $\tau = (E_0, \dots, E_m)$ , then  $z = \sum t_i E_i$ . ~~that~~ It's clear what is meant by a nbhd of  $\tau$  - we give an interval  $L \subset L'$  in  $V$  and consider all simplices  $\tau$  whose image in the interval is the same as  $\tau$ . Example. suppose  $\tau = L_0 < \dots < L_m$  is in the interior. Then take  $L = L_0$  and  $L' = \pi^{-1}L_m$ . If then  $E_0 < \dots < E_n$  is in this neighborhood we must have

$$\{\pi L_0 + E_i \cap \pi^{-1}L_m\} = \{L_i\}$$

$$\pi L_0 + E_0 \cap \pi^{-1}L_m = L_0 \Rightarrow E_0 \cap \pi^{-1}L_m = L_0$$

(by Makayama's lemma)  $\Rightarrow$  ~~that~~  $L_0 \subset E_0$

$$\text{and } E_0 \cap \pi^{-1}L_0 = L_0$$

~~that~~  $\Rightarrow E_0 = L_0$  ( $V/L_0$  ~~is~~ ~~not~~ ~~a~~ ~~vector~~ ~~space~~)

= injective hull of  $(\pi^{-1}L_0/L_0)$ .

Example: Let  $E \cong A^s$  in  $V$ . Choose  $L$  so that  $L \cap E \subset \pi E$ . Then if  $E'$  is in the  $L, L'$  neighborhood, we have and  $L' \supset E$

$$\begin{aligned} L + E' \cap L' &= L + E \cap L' \\ &= L + E \end{aligned}$$

$$\frac{E' \cap L'}{E' \cap L} = \frac{L + E' \cap L'}{L} = \frac{L + E}{E} = \frac{E}{L \cap E}$$

?

The topology is then defined by saying that a nbd of  $(\tau, \varepsilon)$  consists of  $(\tau, w)$  where  $\tau$  is in an  $(L, L')$  nbd. of  $\sigma$  and where  $w$  is in the image of a nbd. of  $\varepsilon$ .

Conjecture: 1) The above definition makes sense and makes  $|Y(V)|$  into a compact space.

2)  $|Y(V)|$  is homeomorphic to the suspension of the Borel-Serre compactification of the building associated to  $SL(V)$ ,  $V$  being given a volume.

The argument on page 4 uses

Lemma: If  $L$  is a lattice in  $V$ ,  $E$  a submodule, and if

$$\pi L + \pi^{-1}L \cap E = L$$

then  $E = L$ .

Proof: Nakayama  $\Rightarrow \pi^{-1}L \cap E = L$ , ~~so~~ so  $L \subset E$ . But ~~because~~  $\pi^{-1}L/L$  is the socle of  $V/L$ , so  $E/L \cap \pi^{-1}L/L = 0 \Rightarrow E/L = 0$ .

~~Corollary: Assume  $E$  generates  $V$  so that we can find an  $L$  in  $E$  such that  $L \rightarrow \hat{E}$ . Let  $E'$  be in the  $(\pi L, \pi^{-1}L)$  nbd. of  $E$ , i.e.~~

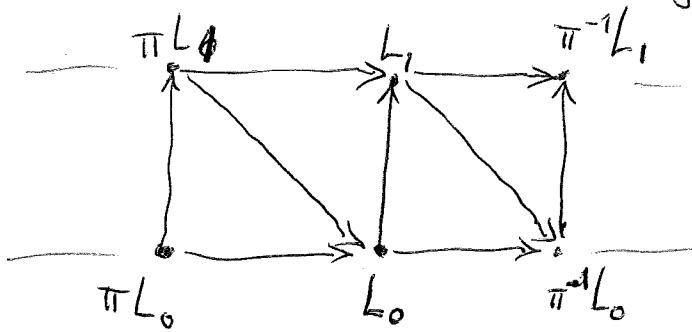
$$\pi L + E' \cap \pi^{-1}L = \pi L + E \cap \pi^{-1}L$$

~~Then  $E' \subset E$  and  $\hat{E}' \rightarrow \hat{E}$~~

Why ~~the~~ the Bruhat-Tits building of  $V$  is contractible. Recall that if  $X(V)$  is ~~mine~~ building and  $Y(V)$  theirs there is a map

$$X(V) \xrightarrow{f} Y(V)$$

Vertices of  $Y(V)$  are homothety classes of  $L \subset V$ , i.e.  $L \sim \lambda L' \quad \lambda \in K^*$ . ~~Consequently~~ A ~~simplicial~~ subset of  $Y(V)$  is a simplex  $\Leftrightarrow$  it is the image of a simplex of  $X(V)$ . Thus the map  $f$  is simplicial and  $Y(V)$  is contractible provided all of the fibres are. But a typical fibre looks like



so it is clear all the fibres are contractible.

It is also clear from this, granted the Borel-Serre thm, that the coh. with confo. supports of  $X$  is the suspension of that of  $Y$ .

April 29, 1972

# Compactification of the building

$A, K, k$ , we usual d.v.r. situation.

$V$  a  $K$ -module f.t.  $X(V)$  its building. Then

$$X(V) = \bigcup_n X(\pi^{-n}\Lambda / \pi^n\Lambda)$$

where  $\Lambda$  is a fixed lattice in  $V$  and  $X(\pi^{-n}\Lambda / \pi^n\Lambda)$  is the subcomplex of  $X(V)$  whose vertices lie between  $\pi^n\Lambda$  and  $\pi^{-n}\Lambda$ . More generally have  $X(\Lambda_1 / \Lambda_0)$  when  $\Lambda_0 \subset \Lambda_1$  are lattices in  $V$ .

Suppose the layer  $\Lambda'_0 \subset \Lambda'_1$  is contained in the layer  $\Lambda_0 \subset \Lambda_1$ , i.e.

$$\Lambda_0 \subset \Lambda'_0 \subset \Lambda'_1 \subset \Lambda_1$$

Then there is a retraction

$$X(\Lambda_1 / \Lambda_0) \longrightarrow X(\Lambda'_1 / \Lambda'_0)$$

$$L \mapsto (L \cap \Lambda'_1) + \Lambda'_0 = (L + \Lambda'_0) \cap \Lambda'_1$$

Better, this is a retraction of  $X(V)$  to  $X(\Lambda'_1 / \Lambda'_0)$ .

Using these retractions we obtain an inverse system of simplicial complexes

$$\longrightarrow X(\pi^{-n}\Lambda / \pi^n\Lambda) \longrightarrow X(\pi^{-n+1}\Lambda / \pi^{n-1}\Lambda) \longrightarrow \dots$$

and we can take the inverse limit

$$\bar{X}(V) = \varprojlim_n X(\pi^{-n}\Lambda / \pi^n\Lambda).$$

Note that when  $k$  is finite,  $X(V)$  is a compact space, since  $X(\pi^{-n}\Lambda/\pi^n\Lambda)$  is a finite simplicial complex.

Fix  $n$  and let  $L$  belong to  $X(\pi^{-n-1}\Lambda/\pi^{-n+1}\Lambda)$  but not  $X(\pi^{-n}\Lambda/\pi^n\Lambda)$ . Then there are two cases either  $L \not\subset \pi^{-n}\Lambda$  or  $L \not\subset \pi^n\Lambda$  and both can occur simultaneously, e.g.  $L = \langle \pi^{-n+1}e_1, \pi^{n+1}e_2 \rangle$  where  $\Lambda = \langle e_1, e_2 \rangle$ . But  $L$  is immediately joinable to the subcomplex  $X(\pi^{-n}\Lambda/\pi^n\Lambda)$ ; suppose  $\{L, L_0\}$  is a one simplex, then either

$$\textcircled{a} \quad L_0 \subset L \subset \pi^{-1}L_0 \Rightarrow \pi^{-n}\Lambda \subset L \subset \pi^{-n-1}\Lambda$$

$$\textcircled{b} \quad \pi L_0 \subset L \subset L_0 \Rightarrow \pi^{n+1}\Lambda \subset L \subset \pi^{-n}\Lambda$$

and these cases can't occur at the same time. Perhaps this can be used to compute the cohomology of  $X(V)$  with supports in  $X(\pi^{-n}\Lambda/\pi^n\Lambda)$ ?

I want  $\#$  to identify the space  $X(V)$ .

Suppose that  $x = (x_n) \in X(V)$  with  $x_n \in X(\pi^{-n}\Lambda/\pi^n\Lambda)$ . Then the dimension  $d(x_n)$  of the open simplex containing  $x_n$  is a bounded monotone function of  $n$ , hence it stabilizes:  $d = d_n$  for  $n$  large. ~~and so on~~ Then

$$x_n = \sum_{i=0}^d t_i L_{i,n} \quad l_{0n} < l_{1n} < \dots < l_{dn}$$

for uniquely determined  $L_{i,n}$  in the layer  $\pi^n\Lambda \subset \pi^{-n}\Lambda$ . Assuming  $A, K$  complete, we know that

$$\lim_n L_{i,n} = E_i$$

where  $E_i$  is an  $A$ -submodule of  $V$ , and that

$$(*) \quad E_0 < \cdots < E_d \quad \pi E_d \subset E_0.$$

Thus we see that set-theoretically  $\bar{X}(V)$  is identifiable with the simplicial complex whose simplices are sequences of the form (\*). Thus set-theoretically at least

$$\bar{X}(V) = \bigcup_{0 \in w_0 \subset w_1 \subset V} X(w_1/w_0)$$

by our previous work (uses ~~the~~ the exact sequence  
 $0 \rightarrow E_{\text{div}} \rightarrow E \rightarrow \hat{E} \rightarrow 0.$ )

March 31, 1972

Why the last vertex functor

$$\text{Nerv}(\mathcal{C}) \xrightarrow{f} \mathcal{C}$$

$$(x_p \leftarrow \dots \leftarrow x_0) \longmapsto x_0$$

is ~~not~~ a homotopy equivalence. In general given a functor  $f: \mathcal{C} \xrightarrow{\sim} \mathcal{C}'$ , consider

$$(x, y) \longmapsto \text{Hom}_{\mathcal{C}'}(y, f(x))$$

$$\mathcal{C} \times (\mathcal{C}')^0 \longrightarrow \text{sets}$$

and form the category  $M_f$  ~~not~~ cofibred over  $\mathcal{C} \times (\mathcal{C}')^0$  associated this functor. Thus we have

$$\begin{array}{ccc} & (x, g, \text{---}: y \rightarrow f(x)) & \\ M_f & \swarrow_{pr_1} & \searrow_{pr_2} \\ \mathcal{C} & & \mathcal{C}' \end{array}$$

where  $pr_1$  is cofibred, and  $pr_2$  is fibred. In addition define a section of  $pr_1$ ,

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{s} & M_f \\ x & & (x, f(x), \text{id}: f(x) \rightarrow f(x)) \end{array}$$

such that  $pr_2 \circ s = f$ . Since

$$\text{Hom}_{M_f}((x, y, \text{id}: y \rightarrow f(x)), (u, f(u), \text{id}: f(u) \rightarrow f(u))) = \text{Hom}_{\mathcal{C}}(x, u)$$

it follows we have adjoint functors

$$\mathcal{C} \xrightleftharpoons[s]{pr_1} M_f$$

so that  $pr_1$  and  $s$  are homotopy equivalences. Thus to prove  $f$  is a h.e., it suffices to show that each of the categories  ~~$\mathcal{C}$~~   $y/\mathcal{C}$  consisting of  $(x, \xi: y \rightarrow f(x))$  is contractible.

Now return to the last vertex functor

$$\text{New}(\mathcal{C}) \xrightarrow{f} \mathcal{C}$$

Then given  $y$  in  $\mathcal{C}$  we  ~~$\mathcal{C}$~~  consider the category of arrows  $y \rightarrow f(x)$  i.e. the simplicial set  ~~$\mathcal{C}$~~  whose  $p$ -simplices are:

$$y \rightarrow x_0 \rightarrow \dots \rightarrow x_p.$$

Anyhow we still need to show contractibility of this.

The idea will be to consider the functors

$$\begin{array}{ccc}
 (y \rightarrow x_0 \rightarrow \dots \rightarrow x_p) & \longmapsto & (y \rightarrow x_0 \rightarrow \dots \rightarrow x_p) \\
 & & \uparrow d_0 \quad \text{nat. transf.} \\
 & \longmapsto & (y \xrightarrow{d_1^p} y \rightarrow x_0 \rightarrow \dots \rightarrow x_p) \\
 & & \downarrow d_1^p \\
 & \longmapsto & (y \rightarrow y)
 \end{array}$$

which actually do provide the desired contraction

So now let  $\mathcal{M}$  be the category of coh. sheaves on a noetherian scheme  $X$  and their isomorphisms. Let  $R$  be the ~~sheaf~~ cofibred category over  $\mathbb{A}^1$  whose fibre at  $P$  is the category of filtered objects of  $\mathcal{M}$  of length  $P$  up to isom.

$$0 \subset M_1 \subset \dots \subset M_p.$$

Let  $S$  be the category with  $\text{Ob}(S) = \text{Ob}(\mathcal{M})$ , in which an arrow  $M' \rightarrow M$  is an isom. of  $M'$  with a subquotient of  $M$ , i.e.:

$$\begin{array}{ccc} F & \subset & M' \\ \downarrow & & \\ M' & & \end{array}$$

Then we obtain a functor

$$R \xrightarrow{f} S$$

$$(0 \subset M_1 \subset \dots \subset M_p) \longmapsto M_p$$

which I want to show is a homotopy equivalence. So I fix an object  $V$  of  $S$  and consider the category of arrows  ~~$y \rightarrow f(x)$~~   $y \rightarrow f(x)$ . Thus I wish to consider diagrams

$$\begin{array}{ccc} F & \subset & V \\ \downarrow & & \\ 0 \subset M_1 \subset \dots \subset M_p & & \end{array}$$

as the objects of the category. A morphism in  $R$

from  $0 \subset M_1 \subset \dots \subset M_p$  to  $0 \subset N_1 \subset \dots \subset N_g$   
 consists of  $\varphi: [g] \rightarrow [p]$  and an isomorphism

$$\xi: M_{\varphi(g)} / M_{\varphi(0)} \xrightarrow{\sim} N_g$$

$\cup$                      $\cup$

which induces:  $M_{\varphi(i)} / M_{\varphi(0)} \longrightarrow N_i$  for  $0 \leq i \leq g$ .

Now we are given  $F \subset V$  and  $E \subset V$

$\downarrow$                      $\downarrow$

$M_p$                      $N_g$

so if  $(\varphi, \xi)$  carries the former to the latter  
 then

$$\begin{array}{ccc} E & \hookrightarrow & F \\ \downarrow & & \downarrow \\ M_{\varphi(g)} & \hookrightarrow & M_p \end{array}$$

must be cartesian

and given map  $\xi$  is uniquely determined. So what I am trying to say is that this  $y \mapsto f(y)$  category is cofibred over  $\Delta^\circ$  with discrete fibres, in fact the category is equivalent to the category belonging to the simplicial set whose  $p$ -simplices are chains of subobjects

$$M_0 \subset M_1 \subset \dots \subset M_p \subset V$$

This is the nerve of the category of all  $M \subset V$  which is a category with a final object and hence is contractible.

To be very careful, given

(\*)

$$\begin{array}{ccc} F & \hookrightarrow & V \\ \downarrow & & \\ 0 \subset M_1 \subset \dots \subset M_p & & \end{array}$$

Send it into

$$0 \times_{M_p} F \subset M_1 \times_{M_p} F \subset \dots \subset M_p \times_{M_p} F \subset V.$$

This is a functor of cofibred cats  $/\Delta^\circ$ . In the opposite direction send

$$M_0 \subset M_1 \subset \dots \subset M_p \subset V$$

to

$$\begin{array}{c} M_p \subset V \\ \downarrow \\ \end{array}$$

$$0 \subset M_1/M_0 \subset \dots \subset M_p/M_0.$$

(The point: The fibre category of  $y \rightarrow f(x)$  is cofibred over  $R$  hence also over  $\Delta^\circ$ . And there is a unique isomorphism from any diagram (\*) to any other. Thus the fibre category is a simplicial set.) I have proved:

Proposition:  $R =$  the cat  $\text{cof}/\Delta^\circ$  of  $0 \subset M_1 \subset \dots \subset M_p$   
 $S =$  cat of  $M$  where  $M^{\bullet} \rightarrow M'$  is  $\begin{array}{c} F \subset M \\ \downarrow \\ M' \end{array}$

Then

$$f: R \longrightarrow S$$

$$(0 \subset M_1 \subset \dots \subset M_p) \mapsto M_p$$

is a homotopy equivalence.