

## §2. Formal categories over a field

~~One~~ One knows that using pseudocompact modules over a field it is possible to develop a theory of formal groups parallel to the theory of affine group and moreover related to the latter by adjoint functors called "formalization" + "algebraization". In this section I outline the generalization ~~of these results~~ to formal categories. It is likely that one can <sup>further</sup> generalize to the case where the base is a pseudo-compact ring.

2.1. Let  $A$  be a field and let ~~the~~  $\text{Mod}_c(A)$  be the category of pseudocompact  $A$ -modules. Then we have an equivalence

$$\text{Mod}_c(A)^\circ \sim \text{Mod } A^\circ$$

(2.1.1)

$$\text{Hom}_{A^\circ}(M, A) \longleftrightarrow M$$

Suppose given <sup>additive</sup> an functor  $h: \text{Mod}_c(A) \rightarrow \text{Mod}_c(A)$  which is compatible with inverse limits. By duality  $h^\circ: \text{Mod } A^\circ \rightarrow \text{Mod } A^\circ$  is compatible with lim's so

(2.1.2)

$$h^\circ(M) \cong h^\circ(A) \otimes_{A^\circ} M$$

where  $h^\circ(A) = Q$  is an  $A^\circ, A^\circ$  ~~modules~~.

~~Not taking these into~~

Let  $A$  be regarded as a  $A^\circ$ -module (that is, a right  $A$ -module) in the obvious way and let  $Q = h^\circ(A)$  be regarded as an  $A, A$  module with right structure coming from the fact that  $Q \in \text{Ob } \text{Mod } A^\circ$  and with left structure coming from the endos.

Not liking all these  $^{\circ}$ 's, I think of  ~~$A$~~   $A$  as an  $A^{\circ}$ -module in the obvious way and  $Q = h^{\circ}(A)$  as an  $A, A$  module with right structure coming from the fact that  $Q$  is an object of  $\text{Mod } A^{\circ}$  and with left structure coming from the ends. of  $A$  as ~~a~~ a right  $A$ -module produces by left multiplication. Thus 2.1.2 may be rewritten

$$(2.13) \quad M \otimes_A Q \simeq h^{\circ}(M)$$

so by duality

$$(2.14) \quad \begin{aligned} h(M') &= h^{\circ}(M)' = \text{Hom}_{A^{\circ}}(M \otimes_A Q; A) \\ &= \text{Hom}_{A^{\circ}}(M, \text{Hom}_{A^{\circ}}(Q, A)) \end{aligned}$$

Let  $P = \text{Hom}_{A^{\circ}}(Q, A)$ . Then  $P$  is a left pseudo-compact  $A$ -module with  ~~$(a \cdot f)(g) = af(g)$~~   $(a \cdot f)(g) = af(g)$ . Moreover  $P$  is a right  $A$ -module with  $(f \cdot a)(g) = f(ga)$ . The right ~~multiplication~~ multiplication by each element of  $A$  is continuous but  $P$  is not necessarily pseudo-compact as a right  $A$ -module.  $P$  is therefore a left pseudo-compact  $A, A$ -module in the following sense.

Definition 2.1.5: A left-pseudo-compact  $A, A$ -module is a  ~~$A$~~  pseudo-compact  $A$ -module  $F$  endowed with a homomorphism

$$A^{\circ} \longrightarrow \underset{\text{Mod}(A)}{\text{End}(F)}$$

If  $F$  is a left pseudocompact  $A, A$ -module and if  $N$  is a pseudocompact  $A, A$ -module, set

$$(2.1.6) \quad F \hat{\otimes} N = \varprojlim_i F \otimes N_i$$

where  $N = \varprojlim_i N_i$  and the  $N_i \in \text{Ob Mod}(A)$ . Then

$$N \longmapsto F \hat{\otimes} N$$

is an endofunctor of  $\text{Mod}(A)$ . Moreover by 2.14

$$\begin{aligned} h(M') &= \text{Hom}_{A^{\circ}}(M, \text{Hom}_{A^{\circ}}(Q, A)) \\ &= \varprojlim_i \text{Hom}_{A^{\circ}}(Q, A) \otimes_A \text{Hom}_{A^{\circ}}(M_i, A) \\ &= P \hat{\otimes} M', \end{aligned}$$

so we find

Proposition 2.1.7: Any endo  $h$  of  $\text{Mod}(A)$  compatible with  $\varprojlim$ 's is of the form  $h(M) = P \hat{\otimes} M$  where  $P$  is the left pseudocompact  $A, A$ -module  $h(A)$ .

Remark: Obvious generalization  $\text{Mod}(A) \rightarrow \text{Mod}(B)$ .

In fact you should probably prove the proposition directly without ~~passing~~ passing to duals.

2.2. Let  $h: A \rightarrow \text{Mod}(A)$  be a functor and ~~consider~~ consider the functor  $F \longmapsto \text{Hom}(h, h_F)$  where

$h_F(X) = F \hat{\otimes} h(X)$ . If represented by  $P$ , then  $P$  is a left pseudocompact  $A, A$ -cogebra, i.e. a left p.c.  $A, A$ -module with

$$\begin{array}{c} P \xrightarrow{\varepsilon} A \\ P \xrightarrow{\Delta} P \hat{\otimes} P. \end{array}$$

Moreover the canonical map

$$hX \longrightarrow P \hat{\otimes} hX$$

gives a functor

$$\tilde{h} : \mathcal{A} \longrightarrow \text{Comc}(P) \quad (\text{pseudocompact } P\text{-comodules})$$

Again <sup>the</sup> basic descent argument gives the following result.

Theorem 2.21: Let  $A$  be a sfield, let  $\mathcal{A}$  be an abelian category and let

$$\mathcal{A} \begin{array}{c} \xrightarrow{h} \\ \xleftarrow{g} \end{array} \text{Modc}(A) \quad = \text{pseudocompact } A\text{-modules}$$

be adjoint functors such that  $h$  commutes with lim's. Then  $\mathcal{A}, h$  is equivalent to the category of pseudocompact  $P$ -modules ~~and~~ and forgetful functor, where  $P$  is the left-pseudocompact  $A, A$ -cogebra given by

$$(2.22) \quad \text{Hom}(h, h_F) = \text{Hom}_{\text{left p.c. } A, A \text{ mods}}(P, F).$$

Conversely given such a  $P$ ,  $P$  can be recovered from  $\text{Comc}(P)$  via (2.2.2).



Remark 2.2.3: To see that 2.2.1 holds we can use duality (although the argument of §1 is probably cleaner). Thus suppose given adjoint functors (I drop off the 's.)

$$A \begin{array}{c} \xleftarrow{g} \\ \xrightarrow{h} \end{array} \text{Mod}(A)$$

where  $A$  abelian,  $h$  faithful and lim compatible. Then

~~hgM = (hgA) \otimes M~~

$$hgM = (hgA) \otimes M$$

where  $Q = hgA$  is an  $A, A$  module endowed with maps

$$\eta: A \longrightarrow Q$$

$$\psi: Q \otimes_A Q \longrightarrow Q$$

of  $A, A$ -modules satisfying usual identities. I checked that this means simply that  $Q$  is a ring and  $\eta: A \rightarrow Q$  is a ring homomorphism (but  $Q$  is not nec. and  $A$ -alg.). Then as  $h$  is faithful, exact and  $A$  is abelian we have by descent argument that

$$(2.24) \quad A \sim \text{Mod}(Q)$$

$$gM = Q \otimes_A M, \quad hX = X_{[1]}$$

(one can show) Finally ~~note~~ that for any  $A$ -module

$$(2.25) \quad \text{Hom}(F \otimes h, h) = \text{Hom}_{A, A\text{-mod}}(F, Q).$$

Note that everything above holds for  $A$  ~~at~~ any ring not necessarily commutative.

Remark 2.2.6: It's interesting to combine the above remarks with §1. Thus suppose

$$A \begin{array}{c} \xleftarrow{h} \\ \xrightarrow{g} \\ \xleftarrow{f} \end{array} \text{Mod } A$$

with  $A$  abelian,  $h$  exact,  $g$  compatible with  $\varinjlim$ 's. Without making the last assumption we know that

$$A = \text{Mod } Q$$

$$hM = Q \otimes_A M$$

$$\text{hence } gM = \text{Hom}_A(Q, M)$$

The last assumption implies that

$$\text{and hence that } \text{Hom}_A(Q, M) = P \otimes_A M$$

~~Q~~  $Q$  is necessarily projective of finite type as a left  $A$ -module;

~~moreover~~ moreover

$$P = \text{Hom}_A(Q, A)$$

is projective of finite type as a right  $R$ -module and ~~that~~ we have

$$Q = \text{Hom}_A(P, A).$$

This  $Q$  is not to be confused with the  $Q$  of 2.13 which is  $\text{Hom}_A(P, A)$  see page 2.12.

Denote by  $\langle q, p \rangle$  the basic pairing of ~~Q~~  $Q$  and  $P$  so that

$$(2.2.7) \quad \begin{cases} \langle aq, p \rangle = a \langle q, p \rangle \\ \langle qa, p \rangle = \langle q, ap \rangle \\ \langle q, pa \rangle = \langle q, p \rangle a \end{cases}$$

Then the product of  $Q$  and diagonal of  $P$  are related by

$$\langle q_1 \otimes q_2, \Delta p \rangle = \langle q_1 q_2, p \rangle$$

where the LHS is defined to be

$$(2.2.8) \quad \sum \langle \rho_1, \langle \rho_2, P_{(1)} \rangle P_{(2)} \rangle$$

where I use Sweedler's notation  $\Delta \rho = \sum \rho_{(1)} \otimes \rho_{(2)}$ .

2.3. Tensor product. Suppose  $A$  commutative and that

$$\begin{array}{ccc} A & \xrightarrow{h} & \text{Mod}_c(A) \\ A' & \xrightarrow{h'} & \end{array}$$

are two functors and let

$$(2.3.1) \quad \begin{aligned} h \circ h' : A \times A' &\longrightarrow \text{Mod}_c(A) \\ X, Y &\longmapsto hX \otimes h'Y \end{aligned}$$

where the <sup>tensor</sup> product is the completed product of pseudocompact  $A$ -modules. Suppose  $\text{End } h$  and  $\text{End } h'$  represented by  $P, P'$  in the sense of 2.2.2. <sup>strengthened as below</sup> Then (sauf erreur)  $\text{End } h \circ h'$  is represented by  $P * P'$  defined to be the quotient of the completed tensor product  $P \otimes P'$  of left p.c.  $A$ -modules by the relations

$$(2.3.2) \quad pa \otimes p' = p \otimes p'a \quad \text{for all } a \in A.$$

In effect ~~was~~ given  $\theta : h \circ h' \longrightarrow F \hat{\otimes} (h \circ h')$  ~~Apply it to~~ one can <sup>at least</sup> (when one has the adjoint functors  $g, g'$ ) apply it to ~~get~~  $gA, g'A$  getting

$$P \otimes P' \longrightarrow F \hat{\otimes} (P \otimes P') \longrightarrow F \hat{\otimes} (A \otimes A) \cong F,$$

and the two right  $A$  module structure merge on  $F$ . I ~~checked the dual situation~~ didn't check everything, but everything

seems to work smoothly provided we ~~assume~~ <sup>assume</sup> the following stronger version of (2.2.2)

(2.3.4)

$$\text{Hom}(h_G, h_F) = \text{Hom}(G \hat{\otimes} P, F)$$

so <sup>now</sup> if  $\mathcal{A}$  is a category with a unitary associative commutative tensor operation, ~~if~~ <sup>if</sup>  $h$  is provided with a compatibility ~~with~~ <sup>with</sup> respect to this structure, <sup>and</sup> if  $P$  is a left p.c.  $A, A$  algebra representing  $\text{End } h$ , then we obtain maps

$$\begin{aligned} P \times P &\longrightarrow P \\ A &\longrightarrow P \end{aligned}$$

of p.c.  $A, A$  algebras which make  $P$  into a <sup>left</sup> pseudocompact  $A, A$ -algebra. Thus we abut on the definition of a formal category over  $A$  namely a left pseudocompact  $A, A$ -algebra.

One can describe the situation dually: Instead of  $P$  we consider  $Q = \text{Hom}_A(P, A)$  which is ~~a~~ a ring under  $A$  and provided with

$$\begin{aligned} Q &\xrightarrow{\epsilon} A \\ Q &\xrightarrow{\Delta} Q \otimes Q \end{aligned}$$

where  ~~$\Delta$~~   $\Delta$  is subject to ~~the~~ (among others) the relation

(2.3.5)  $\Delta L_a = L_a \otimes \text{id} = \text{id} \otimes L_a$   $L_a = \text{left mult of } a \text{ on } Q$

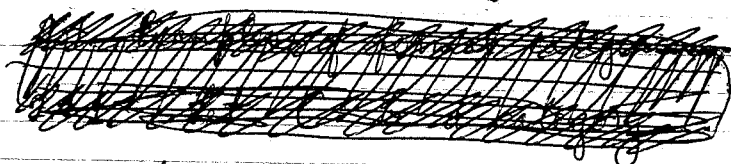
implying that ~~the~~  $\text{Im } \Delta \subset \{z \in Q \otimes Q \mid (a \otimes 1)z = (1 \otimes a)z\}$ ,

The category  $\mathcal{A}$  is thus dual to the category of ~~right~~ right  $Q$  modules,  $h^\circ$  being the underlying  $A^\circ$  module. The tensor product of  $Q^\circ$  modules  $M, N$  being defined to be  $M \otimes_A N$  with  $Q^\circ$ -action given by

~~$$(m \otimes n) q = m q(1) \otimes n q(2)$$~~

$$(m \otimes n) q = m q(1) \otimes n q(2)$$

the condition 2.3.5 assuring this is well-defined.



## 2.4. Some examples of formal categories.

2.4.1. (In this example  $A$  is not ~~group~~ field but a topologically pseudocompact semi-simple ring  $k^S$  where  $S$  is a set (and  $k$  is a field)). Let  $\mathcal{C}$  be a small category with objects set  $\mathcal{C}_{ob}$  and morphism set  $\mathcal{C}_{fl}$ . Let

$$A = k^{\mathcal{C}_{ob}}$$

$$P = k^{\mathcal{C}_{fl}}$$

whence the composition map  $\mathcal{C}_{fl} \times_{\mathcal{C}_{ob}} \mathcal{C}_{fl} \rightarrow \mathcal{C}_{fl} \quad (\theta_1, \theta_2) \mapsto \theta_2 \circ \theta_1$  gives a map

$$P \longrightarrow P \hat{\otimes}_A P$$

$$\delta_f \longmapsto \sum_{f_1 \circ f_2 = f} \delta_{f_1} \otimes \delta_{f_2}$$

$$\prod_{x \rightarrow y} k \longrightarrow \prod_{x \rightarrow y} k \otimes \prod_{(k)_y \rightarrow z} k$$

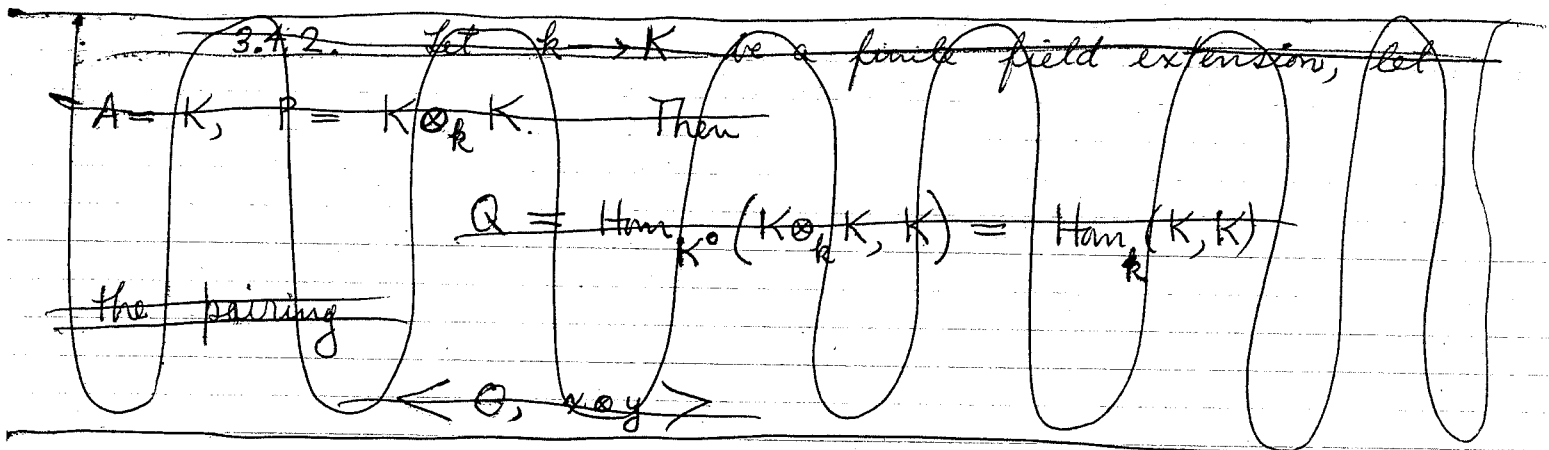
A pseudocompact  $A$  module is a product  $\prod_{x \in \mathcal{C}_{ob}} M_x$  of pseudo compact  $k$ -module. Then

$$P \hat{\otimes}_A M \cong \prod_{x \rightarrow y} M_y$$

and to give a comodule structure on  $M$  is to give

$$\prod_x M_x \implies \prod_{x \rightarrow y} M_y$$

that is, to make  $x \mapsto M_x$  a covariant functor from  $C$  to  $\text{Mod}(k)$ .



2.4.2. Let  $k \rightarrow A$  be ~~faithfully flat~~ a morphism of rings ~~such that  $A$  is a faithful projective  $k$  module of finite type.~~ such that  $A$  is a faithful projective  $k$  module of finite type. Apply the considerations of 2.2.6 to the situation

$$\text{Mod } k = \mathcal{A} \begin{array}{c} \xleftarrow{h} \\ \xrightarrow{g} \end{array} \text{Mod } A$$

where

$$h = A \otimes_k (?)$$

$$g = \text{underlying } k \text{ module}$$

$$kM = A' \otimes_A M$$

$$A' = \text{Hom}_{k^0}(A, k)$$

Thus we can think of  $\mathcal{A}$  as the category of  $P$ -comodules where  $P = A \otimes_k A$

$$P = A \otimes_k A$$

(that is,  $A$ -modules with descent data) or as the category of  $Q$  modules where

$$Q = \cancel{A \otimes_k A} \quad \cancel{A \otimes_k A}$$

and the algebra structure is given by

$$(a \otimes 1) \cdot (b \otimes \mu) = a \otimes \langle 1, b \rangle \mu.$$

Therefore  $Q = \text{Hom}_k(A, A)$  with product  $\theta_1 \cdot \theta_2 = \theta_1 \circ \theta_2$ .

When  $A$  is commutative, then the diagonal of  $Q$  is the ugly map

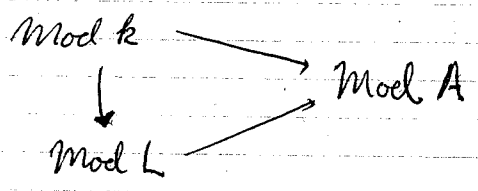
$$\text{Hom}_k(A, A) \xrightarrow{\mu_A^*} \text{Hom}_k(A \otimes_k A, A) \cong \underbrace{\text{Hom}_k(A, A) \otimes \text{Hom}_k(A, A)}_A$$

$$\Delta \theta = \sum_i \theta'_i \otimes \theta''_i \iff \theta(ab) = \sum_i \theta'_i(a) \theta''_i(b).$$

suppose  $A$  hence  $k$  is a field. If  $L$  is an intermediate field, then we have a quotient  $A, A$  coalgebra

$$A \otimes_k A \twoheadrightarrow A \otimes_L A$$

or the ~~subring~~ subring  $\text{End}_L(A)$  of  $\text{End}_k(A)$  <sup>(containing  $A$ )</sup> determined by the map



The Jacobson-Bourbaki theorem asserts that one thus obtains a 1-1 correspondence between intermediate  $L$  and subrings of  $\text{End}_k A$  containing  $A$ .

Interesting further cases are

Ⓐ  $A/k$  Galois extension of fields  $\implies A \otimes_k A \cong A \otimes_k k^G$   
 and  ~~$A \otimes_k A$~~   $\text{End}_k(A) = A \otimes_k k[G]$   ~~$A \otimes_k A$~~  with twisted multiplication.

Ⓑ  $A/k$  purely inseparable of height 1  $\implies \text{End}_k(A) =$  mixed universal enveloping algebra of  $\text{Der}_k(A)$  as a Lie ring +  $A$ -module + actions on  $A$ .

2.4.3. Let  $G$  be a monoid acting as endomorphisms of a field  $A$ . Let  $Q = A[G]$  with twisted product and let  $\Delta$  be given by

$$\begin{array}{ccc} \Delta: Q & \longrightarrow & Q \otimes Q \\ \parallel & & \parallel^A \\ A[G] & \longrightarrow & A[G \times G] \\ g & \longmapsto & g \otimes g \end{array}$$

Then we obtain a formal category.

At this point we've got the notation terribly screwed up, the problem being that the  $Q$  of 2.2.6 is ~~the~~ the same as the ~~the~~ transposed ring of the  $Q$  used in 2.1.3 to describe the dual of  $A$ . The way to resolve things is to forget  $Q$  and work <sup>directly</sup> with the left-p.c.  $A, A$ -cogebra  $P$ .

Let  $P = A^G$  with

$$t: A \longrightarrow A^G \quad \text{given by } t(a)(g) = ag$$



Using the notation  ~~$\sum f(g) \delta_g$~~   $\sum f(g) \delta_g$  for a function  $f: G \rightarrow A$  we have that

has the basis  $P \hat{\otimes}_A P$   
 $\delta_x \otimes \delta_y$   $x, y \in G$  as a left  $A$ -module.

Define

$$\Delta: P \longrightarrow P \hat{\otimes} P \quad \varepsilon: P \longrightarrow A$$

$$\text{by } \delta_g \longmapsto \sum_{xy=g} \delta_x \otimes \delta_y \quad \delta_g \longmapsto 1$$

$$s: A \longrightarrow P$$

$$s(a) = \sum_g a \delta_g$$

$$t(a) = \sum_g a^g \delta_g$$

(Check

$$\Delta s(a) = ~~s(a) \otimes 1~~ s(a) \otimes 1$$

$$\Delta t(a) = \sum_{xy} a^{xy} \delta_x \otimes \delta_y = \sum_{x,y} \delta_x \otimes \delta_y^{ax} = 1 \otimes t(a).$$

$(ax)^x \delta_x = \delta_x a^x$

A pseudocompact  $P$  module  $M$  is given by a map

$$M \xrightarrow{\Delta} P \hat{\otimes} M$$

~~$M \xrightarrow{\Delta} P \hat{\otimes} M$~~

Dually  $M' = \text{Hom}_A(M, A)$  is a right  $P'$  module. Extrapolating the formulas 2.2.7 + 2.2.8 to the present situation (where  $Q = \text{Hom}_A(P, A)$ ) we find that  $P'$  ~~is a free right  $A$  module with~~ is a free right  $A$  module with base  $\hat{x}$   $x \in G$  given by

$$\langle f, \hat{x} \rangle = f(x)$$

with multiplication given by

$$\begin{aligned}\hat{x}\hat{y} &= \widehat{yx} \\ a\hat{x} &= \hat{x}a^x\end{aligned}$$

In other words  $P'$  is the ~~opposite~~ <sup>opposite</sup> of the ring  ~~$A[G]$~~   $A[G]$ , so to give a right  $P'$ -module is the same as giving a left  $A[G]$ -module. Conclusion: The category of ~~pseudocompact~~ pseudocompact  $P$ -modules is dual to the category of  $A[G]$ -modules.

2.5. Let  $P$  be a left pseudocompact  $A, A$ -bigebras. Then  $P$  is a pseudocompact  $A$ -algebra for the left  $A$ -structure and so is a product of local pseudocompact  $A$ -algebras.

$$P \cong \prod_{\mathfrak{m} \in \text{Max } P} P_{\mathfrak{m}}$$

Assume  $P$  split, i.e. the residue field of each  $P_{\mathfrak{m}}$  is rational over  $A$ ; <sup>also</sup> assume  $P$  reduced. Then

$$P = \prod_G A$$

where  $G$  is the set  $\text{Hom}_{A\text{-alg}}(P, A)$ . ~~It seems~~ It seems clear that one is in the situation of the example 2.4.3. Therefore a reduced  $P$  over an algebraically closed field always comes from a ~~monoid~~ monoid of endomorphisms of the field.

2.6. If  $P$  is local over a field of characteristic zero, then we can define  $\Omega = I/I^2$  ~~and~~ and the de Rham complex of  $P$ .  $\Omega$  is a pseudocompact  $A$ -module, ~~and it would seem true that the old arguments of yours could be carried through to this case to show that p.c. de Rham complexes over a field of char 0 are same as local formal categories over that field.~~ Of course one ~~will~~ <sup>prefers to</sup>  $L = \text{Hom}_A(\Omega, A)$  and its mixed Lie algebra structure over  $A$ .

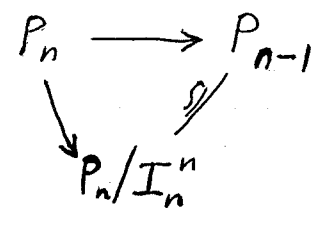
2.7. If  $P$  is local over a field  <sup>$A$</sup>  of char.  $p$  ~~and if~~ ~~subset of  $I$~~  and if  $P$  is of height 1 ~~in the~~ in the sense of that  $x^p = 0$  for all  $x \in I$ , then one expects the Jacobson theory to generalize and so  $P$  should be equivalent to a mixed ~~restricted~~ restricted Lie algebra ~~over  $A$~~  over  $A$ .

I'm tired, May 5, 1969

A first attempt which failed about April 28, 1969

Formal category schemes

By an adic ring we shall mean an inverse system of rings  $P_n$   $n \in \mathbb{N}$  such that for each  $n$  there is a commutative ~~triangle~~ triangle



where  $I_n = \text{Ker} \{P_n \rightarrow P_0\}$ . ~~where  $I_n = \text{Ker} \{P_n \rightarrow P_0\}$~~

~~Equivalently~~ Equivalently it may be defined as a ring  $P$  endowed with a filtration  $F_n P$  such that

$$F_0 P = P, \quad F_p P \cdot F_q P \subset F_{p+q} P$$

$\text{gr } P = \bigoplus F_p P / F_{p+1} P$  is generated by  $\text{gr}_0 P$  and  $\text{gr}_1 P$

$$P \simeq \varprojlim P/F_p P$$

Given two adic rings  $P$  and  $Q$  one defines their tensor product as direct sum in the category and checks that

$$(P \otimes Q)_n \cong P_i \otimes P_j / \left( \text{Im} \{ I_i \otimes P_j \oplus P_i \otimes I_j \rightarrow P_i \otimes P_j \} \right)^n$$

if  $i, j \geq n$ .

A formal category with object ring  $A$  is defined to be an adic  $A, A$  algebra  $P$  together with maps

A formal category with object ring A will be defined as an inverse system of A, A algebras P

$$(1) \quad \dots \longrightarrow P_1 \xrightarrow{\pi} P_0$$

~~which is an adic ring, and~~ which is endowed with an isom.

$$(2) \quad \epsilon_0: P_0 \xrightarrow{\cong} A$$

of A, A algebras and ~~maps~~ homomorphisms of A, A -algs.

$$(3) \quad \Delta_{k,l}: P_{k+l} \longrightarrow (P_k) \otimes_{A^s} (P_l) \quad k, l \in \mathbb{N}$$

This data is subject to a number of obvious conditions, namely compatibility with the  $\pi$  maps (1), with the isom (2), and coassociativity.

Thus regarding A as an adic ring in the obvious way we have in the category of adic ring a co-category object

$$A \begin{matrix} \xrightarrow{s} \\ \xrightarrow{t} \end{matrix} P \xrightarrow{\epsilon} A \quad \Delta: P \longrightarrow P_t \otimes_A P.$$

with ~~an isomorphism~~  $\epsilon$  an isomorphism in degree 0.

Equivalent definition: Consider the category <sup>Th</sup> of thickenings of A, i.e. whose objects are surjective ring homomorphisms  $R \rightarrow A$  with nilpotent kernel. Let  $Th_n$  be the full subcategory of object with  $(\text{kernel})^{n+1} = 0$ . Then a formal category with object ring A may be identified with a functor  $Cat: Th \rightarrow Cat$  such that Ob C is represented by A and ~~with~~  $\text{Fl C}$  ~~is~~ ~~representable~~ such that ~~functor~~ restricted to  $Th_n$  is representable for each n.

(I now wish to recover the functor

$$R \longmapsto \text{Hom}(A, R)$$

$$R \longmapsto \varinjlim_n \text{Hom}(P_n, R)$$

from rings (enough to do for  $R \in \text{Th } \mathbb{A}$ ) to categories as the endos. of a forgetful functor. The first thing one tries is  $P$ -stratified modules, i.e.  $A$ -modules  $M$  endowed with

$$\Delta_k : M \longrightarrow (P_k)_t \otimes M \quad k \in \mathbb{N}$$

satisfying the unit + coassociativity identities. However this doesn't seem to work since  $P$  itself is not a  $P$ -stratified module, rather it is a kind of  $P$ -stratified inverse system. Hence the following considerations)

Suppose ~~the~~  $P$  is an inverse system of  $A, A$  algebras endowed with (2) and (3). We do not suppose that ~~the~~  $P$  is adic. Let ~~the~~  $\text{Inv}(A)$  be the category of inverse systems of  $A$ -modules indexed by  $\mathbb{N}$  and let ~~the~~  $\text{stinv}(P)$  be the category of  $P$ -stratified inverse systems of  $A$ -modules, i.e. inverse systems  $M = \{M_n\}$  endowed with

$$\Delta_{k,l} : M_{k+l} \longrightarrow (P_k)_t \otimes M_l \quad k, l \in \mathbb{N}$$

such that

$$(i) \quad \Delta_{k,l}(am) = (s(a) \otimes 1) \Delta_{k,l}(m)$$

$$(ii) \quad \text{~~the~~} \quad (\pi \otimes \text{id}) \Delta_{k,l} = \Delta_{k-1,l} \pi$$

$$(\text{id} \otimes \pi) \Delta_{k,l} = \Delta_{k,l-1} \pi$$

where  $\pi$  refers to the structural maps  $P_k \rightarrow P_{k-1}$  or  $M_\ell \rightarrow M_{\ell-1}$ .

(iii)  $\Delta_{0,\ell} m = 1 \otimes m$ , i.e.

$$M_\ell \xrightarrow{\Delta_{0,\ell}} P_0 \otimes M_\ell \xrightarrow{\varepsilon \otimes \text{id}} A \otimes M_\ell \simeq M_\ell$$

is the identity.

(iv)

$$\begin{array}{ccc}
 M_{k+l+m} & \xrightarrow{\Delta_{k+l,m}} & P_{k+l} \otimes M_m \\
 \downarrow \Delta_{k,l+m} & & \downarrow \Delta_{k,l} \otimes \text{id} \\
 P_k \otimes M_{l+m} & \xrightarrow{\text{id} \otimes \Delta_{l,m}} & P_k \otimes P_l \otimes M_m
 \end{array}$$

commutes.

Let

$$h: \text{Stiw}(P) \longrightarrow \text{Inw}(A)$$

be the forgetful functor.

If  $M \in \text{ob Inw}(A)$  let

$$M(n)_k = M_{n+k}$$

and let  $T^n: M(n) \rightarrow M$  be the map in  $\text{Inw}(A)$  obtained from  $\pi^n: M_{n+k} \rightarrow M_k$ . Then the category of Artin-Rees pro-objects in  $\text{Mod}(A)$  is obtained by inverting all the maps  $T^*$  for all  $M$ . Denote this category by  $\text{AR}(A)$ .

Observe that there are also maps  $T^n: M(n) \rightarrow M$  for  $M$  in  $\text{Stiw}(P)$  defined the same way and we can also form the associated Artin-Rees category  $\text{StAR}(P)$ . Then

we have a commutative diagram of categories + functors

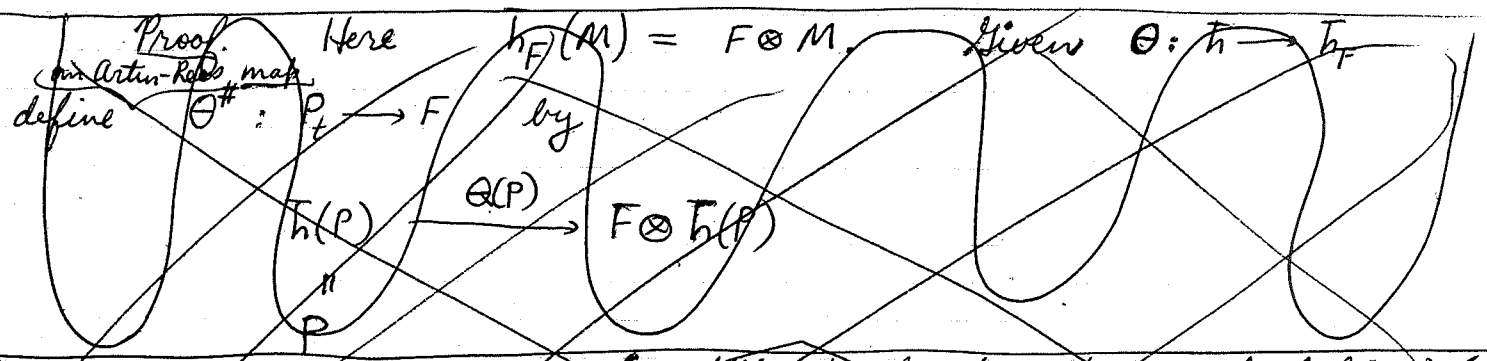
$$\begin{array}{ccc}
 \text{Stino}(P) & \xrightarrow{h} & \text{Ino}(A) \\
 \downarrow Q & & \downarrow Q \\
 \text{STAR}(P) & \xrightarrow{\bar{h}} & \text{AR}(A)
 \end{array}$$

where  $Q$  are the canonical functors and  $\bar{h}$  is the functor induced by  $h$ .

Proposition:  $\left\{ \begin{array}{l} \text{Assume } P_n \rightarrow P_{n-1} \text{ surjective and } P_n \text{ right } A\text{-flat } \forall n \\ \text{If } F \text{ is an } A\text{-module, then} \end{array} \right.$

$$\text{Hom}^*(\bar{h}, \bar{h}_F) \cong \text{Hom}_{\text{AR}(A)}(P_{\infty}, F) \left( = \varinjlim_n \text{Hom}_{A, A}^{(P_n)} F \right)$$

(FALSE)



~~(an additive transformation not necessarily A-linear)~~

~~Proof: Given  $\theta: \bar{h} \rightarrow \bar{h}_F$  one obtains an AR map~~

~~$\theta(P): \bar{h}(P) \rightarrow \bar{h}_F(P)$~~

~~which may be represented by a map of inverse systems~~

~~$P_{m+1} \rightarrow F \otimes P$        $P(m) \rightarrow F \otimes P$~~

~~for some  $m$ . Composing with  $\varepsilon: P_{\infty} \rightarrow A$  one obtains a AR~~

~~map  $\theta^#: P \rightarrow F$~~



May 6, 1969

Dear Serre,

If Bourbaki should happen to have an extra copy of his redaction on algebras, I would very much appreciate him sending it to me. I have been working recently on a slight generalization of algebras and bialgebras that might perhaps make good exercises in case Bourbaki plans to write a ~~book~~ chapter on algebras.

Let  $A$  be a ring, unitary but not necessarily commutative. By an  $A, A$ -algebra I shall mean an  $A$ -bimodule  $P$  (that is an  $A \otimes_{\mathbb{Z}} A^{\circ}$ -module) endowed with morphisms

$$\begin{aligned} P &\xrightarrow{\varepsilon_P} A \\ P &\xrightarrow{\Delta_P} P \otimes_A P \end{aligned}$$

of  $A$ -bimodules satisfying the counit and coassociativity identities. If  $P$  is an  $A, A$ -algebra, then by a  $P$ -comodule I mean an  $A$ -module  $M$  endowed with a morphism

$$M \xrightarrow{\Delta_M} P \otimes_A M$$

of  $A$ -modules which is compatible with  $\varepsilon_P$  and  $\Delta_P$  in the sense that

$$(\varepsilon \circ \text{id}) \Delta_M = 1 \otimes m$$

$$(\Delta_P \circ \text{id}) \Delta_M = (1 \otimes \Delta_P) \Delta_M$$

<sup>additive</sup>  
The category of  $P$ -comodules will be denoted  $\text{Com}(P)$ ; it is

abelian if  $P$  is flat as a right  $A$ -module.

Example: Suppose that

$$\mathcal{A} \begin{array}{c} \xrightarrow{h} \\ \xleftarrow{g} \end{array} \text{Mod } A$$

are adjoint functors with  $h$  left adjoint to  $g$ . If the functor  $hg$  preserves inductive limits one knows that

$$hg(A) \otimes_A M \xrightarrow{\sim} hg(M)$$

where  $P = hg(A)$  is an  $A$ -bimodule, the right structure coming from the endomorphisms of  $A$  produced by right multiplications.

The canonical adjunction morphisms

$$hg \rightarrow \text{id} \quad gh \rightarrow \text{id}$$

furnish us with morphisms

$$P = hg(A) \rightarrow A$$

$$P \otimes_A P \cong hghg(A) \rightarrow hg(A) = P.$$

It is easy to see that  $P$  is thereby an  $A, A$ -cogebra and that  $h$  induces a functor

$$h': \mathcal{A} \longrightarrow \text{Com}(P)$$

The faithfully flat descent argument of Grothendieck may be used to prove the following result.

Theorem 1. Let  $\mathcal{A}$  be an abelian category and let

$$h: \mathcal{A} \longrightarrow \text{Mod } A$$

be a faithful exact functor which possesses a right adjoint

preserving inductive limits. Then

$$h': \mathcal{A} \rightarrow \text{Com}(P)$$

is an equivalence of categories, where  $P$  is the  $A, A$ -cogebra  $h'gA$ .

(For some purposes it is useful to have ~~the~~ a definition of the  $A, A$ -cogebra  $P$  not using the adjoint  $g$ . For any  $A$ -bimodule  $F$ , let  $h_F(X) = F \otimes_A hX$ . Then one can prove

$$(*) \quad \text{Hom}(h, h_F) \cong \text{Hom}_{A\text{-bimod}}(P, F)$$

where on the left one has morphisms of functors.)

Suppose now that  $A$  is a <sup>skew</sup> field and that

$$h: \mathcal{A} \rightarrow \text{Modf}(A)$$

is a faithful exact functor where ~~the~~ the right side denotes the finite dimensional  $A$ -modules. Then every object of  $\mathcal{A}$  is of finite length and  $\mathcal{A}$  is noetherian.  $h$  extends to a functor

$$h_e: \text{Ind } \mathcal{A} \rightarrow \text{Mod } A$$

where  $\text{Ind } \mathcal{A}$  is the locally noetherian category associated to  $\mathcal{A}$  by Gabriel. One shows easily that  $h_e$  satisfies the hypotheses of Theorem 1 and concludes the following.

Theorem 2. Let

$$h: \mathcal{A} \rightarrow \text{Modf}(A)$$

be a faithful exact functor where  $A$  is a skew field. Then  $\mathcal{A}$

is equivalent to the category of  $P$ -comodules which are finite dimensional  $A$ -modules, where  $P$  is the  $A, A$ -cogebra given by (A).

From now on all rings are commutative. By an  $A, A$ -bigeбра I mean an  $A, A$ -cogebra  $P$  endowed with a ring structure such that the maps

$$A \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} P \xrightarrow{\epsilon_P} A \qquad P \xrightarrow{\Delta_P} P \otimes_A P$$

$$s(a) = a \cdot 1$$

$$t(a) = 1 \cdot a$$

are ring homomorphisms. Such an animal gives rise to a covariant functor from the category of rings to the category of (small) categories by associating to  $R$  the category  $C(R)$  with

$$\text{Ob } C(R) = \text{Hom}_{\text{rings}}(A, R)$$

$$\text{Fl } C(R) = \text{Hom}_{\text{rings}}(P, R),$$

and with  $s, t, \epsilon_P, \Delta_P$  yielding the source, target, identity section, and composition ~~maps~~ defining the category structure. For this reason Grothendieck suggests calling such a  $P$  an affine category, the bigeбра of an affine group scheme over  $A$  being a special case.

The ring structure of  $P$  permits one to define a  $P$ -comodule structure on  $M \otimes_A N$ ; if  $M, N$  are two  $P$ -comodules, and hence  $\text{Com}(P)$  is a tensor category. There is a generalization



5  
of the representation theorem of G. Birkhoff ~~and is~~ for tensor categories which reads as follows.

Theorem 3: Let  $\mathcal{A}$  be an abelian category and let  $h: \mathcal{A} \rightarrow \text{Mod } A$  be a faithful exact functor where  $A$  is a field. Suppose that  $\mathcal{A}$  is endowed with a ~~bilinear~~ functor  ~~$X, Y \mapsto X \otimes Y$~~  and that  ~~$h$~~  coherently associative commutative and unitary tensor product operation  $X, Y \mapsto X \otimes Y$  and that  $h$  is compatible with this structure. (As  $h$  is faithful this means that there is given natural isomorphisms

$$(*) \quad h(X \otimes Y) \simeq (hX) \otimes (hY) \quad X, Y \in \text{Ob } \mathcal{A}$$

and an object  $I$  of  $\mathcal{A}$  with an isomorphism

$$(**) \quad hI \simeq A$$

such that the standard isomorphisms

$$[(hX) \otimes (hY)] \otimes hZ \simeq hX \otimes [hY \otimes hZ]$$

$$hX \otimes hY \simeq hY \otimes hX$$

$$A \otimes hX \simeq hX \simeq hX \otimes A$$

come via (\*) and (\*\*) from isomorphisms in  $\mathcal{A}$ .

$$[X \otimes Y] \otimes Z \simeq X \otimes [Y \otimes Z]$$

$$X \otimes Y \simeq Y \otimes X$$

$$I \otimes X \simeq X \simeq X \otimes I$$

Then  $\mathcal{A}$  is equivalent with all the structures to the category of  $P$ -modules with its natural tensor product where  $P$  is the

$A, A$ -bialgebra representing the functor  $C$  from rings to categories given by

$$\text{Ob } C(R) = \text{Hom}_{\text{rings}}(A, R)$$

$$\text{Hom}_{C(R)}(u, v) = \text{Hom}^{\otimes}(h_u, h_v),$$

where ~~the~~ if  $u: A \rightarrow R$  is a morphism of rings then  $h_u: A \rightarrow \text{Mod } R$  is the functor  $X \mapsto R_{[u]} \otimes_A hX$ , and where  $\text{Hom}^{\otimes}$  denotes natural transformations compatible with tensor product.

The above is a small sample of statements about affine group schemes which can be generalized to affine categories or at least to affine groupoids. Roughly speaking about any general assertion about algebraic groups not involving commuting elements seems to have a generalization of some kind. (This doesn't make much theory, of course!) For example there is a replacement for the Lie algebra and a generalization of Cartier's theorem that in characteristic zero the Lie algebra determines the formal completion at the identity; ~~this theorem is proved~~ this theorem is presently being exposed by Illusie in the Bertinot seminar on crystalline cohomology.

Sincerely yours

Daniel Quillen



$$S \xrightarrow{\eta} F$$

$$pS \xrightarrow{u} C_{fl} \xrightarrow{s} C_0$$

$$t$$

which furnish us with a map  $S \rightarrow s^*F$ ; ~~hence~~  
~~composing~~ composing with  $s^*F \rightarrow t^*F$  we get a  
 new map  $\eta: S \rightarrow F$  which we write  ~~$\eta = F(\eta)$~~

system  $\eta = F(x) \{ \in F(y) \}$ .

suppose now that  $C_0$  is a simplicial object in  $C$ .  
 By a cosimplicial object of  $E$  over  $C$ , I mean a rule  
 associating to each integer  $p \geq 0$  an object  $F^p$  of  $E$  over  $C_p$   
 and to each  $\varphi: [p] \rightarrow [q]$  a map

$$F_\varphi: C_\varphi^* F^p \rightarrow F^q \quad (\text{recall } C_\varphi: C_q \rightarrow C_p)$$

~~all this~~ all this subject to the evident transitivity  
 conditions. A simplicial system  $E$  of  $E$  over  $C$  consists  
 of  $F_p$  over  $C_p$  and

$$F_\varphi: F^q \rightarrow C_\varphi^* F^p$$

Cosimplicial ~~objects~~ <sup>systems</sup> are natural from the point of view of  
 cohomology. In effect given an object  $S$  of  $E$  over  $T$  in  $C$



~~we have a functor  $F: \mathcal{C} \rightarrow \mathcal{E}$  in the category  $\mathcal{C}$  and hence can~~  
~~for the ~~associated~~ we obtain a cosimplicial ~~system~~  $F(S)$  over~~  
 the simplicial set  $C(T)$  and so <sup>we</sup> can form the cosimplicial  
 set

$$\Gamma(C_0(T), F^0(S)) \rightrightarrows \Gamma(C_1(T), F^1(S)) \rightrightarrows \dots$$

which depends contravariantly on  $S$ .  
~~More particularly if  $\mathcal{C}$  is fibred in additive categories~~  
~~or simply  $\mathcal{C}_0$~~

Given a category  $C$  in  $\mathcal{C}$  let  $\text{Sing } C$  be the  
 simplicial object with

$$(\text{Sing } C)_0 = \overbrace{C_{fl} \times_{C_{ob}} \dots \times_{C_{ob}} C_{fl}}^0$$

Then a functor from  $C$  with values in  $\mathcal{E}$  gives rise to a  
 simplicial ~~object~~ <sup>system</sup>  $F$  over  $\text{Sing } C$  with

$$F_0 = F \times_{C_{ob}} \overbrace{C_{fl} \times_{C_{ob}} \dots \times_{C_{ob}} C_{fl}}^0$$

more precisely

$$F_0 = \overbrace{C_{fl}}^0 \times F$$

where  $f_0: [0] \rightarrow [0]$  is the first vertex. It also gives rise to  
 a cosimplicial ~~object~~ <sup>system</sup> with

$$F_0^0 = \overbrace{C_{fl} \times_{C_{ob}} \dots \times_{C_{ob}} C_{fl}}^0 \times F$$

more precisely

$$F_0^0 = \overbrace{C_{fl}}^0 \times F$$

where  $l_f: [0] \rightarrow [q]$  is the last vertex.

Examples: 1.] suppose  $C = \text{sets}$  and  $E_T = \text{sets}/T$ . Then

$C$  is a category and  $F$  is a functor from  $C$  to  $\text{sets}$  in the usual sense. The simplicial and co-simplicial systems are

$$\begin{aligned} \rightrightarrows \coprod_{x_0 \rightarrow x_1} F(x_0) &\rightrightarrows \coprod_{x \in C_{01}} F(x) \end{aligned}$$

$$\prod_{x \in C_{01}} F(x) \rightrightarrows \prod_{x_0 \rightarrow x_1} F(x_1) \rightrightarrows \dots$$

2.] let  $G$  be a group and define a category with  $C_{01} = e$ ,  $C_{11} = G$  and with composition  $C_{11} \times C_{11} \rightarrow C_{11}$  given by  $x, y \mapsto yx$ . Then a covariant functor from  $C$  to  $\text{sets}$  is a (left)  $G$ -set  $M$  and the co-simplicial system is

$$M \rightrightarrows \text{Map}(G, M) \rightrightarrows \text{Map}(G \times G, M)$$

3.] suppose  $C = \text{affine schemes}$ ,  $E = \text{quasi-coherent modules}$ . Then a category  $C$  is  $\text{Spec}$  applied to

$$A \begin{matrix} \xrightarrow{s} \\ \xrightarrow{t} \end{matrix} P \xrightarrow{\varepsilon} A \quad P \xrightarrow{\Delta} P \otimes_A P$$

whereas a functor with values in  $E$  is an  $A$ -module  $M$  endowed with a map of  $P$ -modules

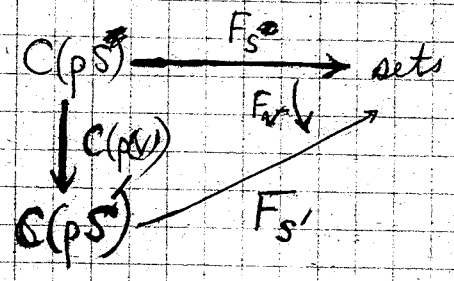
$$P \otimes_A M \rightarrow P \otimes_A M$$

or equivalently a map of  $A$ -modules

$$M \rightarrow P \otimes_A M$$

compatible with composition, i.e. a  $P$ -comodule.

Additions to preceding:  $\mathcal{F}$   $F$  functor on  $\mathcal{C}$  with values in  $\mathcal{E}$ ,  
then  $\forall S \in \mathcal{E}$  we have  $F_S: \mathcal{C}(pS) \rightarrow \text{sets}$  and  
for every map  $S' \xrightarrow{v} S$  in  $\mathcal{E}$  a map



## Co-homology

6.

Suppose that ~~with additive fiber~~  $p: \mathcal{E} \rightarrow \mathcal{C}$  is an additive fibered category over  $\mathcal{C}$ . This means that the fibers of  $p$  are additive categories and that the base change  $u^*$  is an additive functor. In particular for any map  $u: X \rightarrow Y$  in  $\mathcal{C}$  and objects  $F, G$  over  $X, Y$  resp,

$$\text{Hom}_u(F, G) = \text{Hom}_x(F, u^*G)$$

is an abelian group.  $\dagger$

Suppose now that  $\mathcal{C}$  is a category in  $\mathcal{C}$  and that  $F$  is a functor on  $\mathcal{C}$  with values in  $\mathcal{E}$ . ~~More~~ More generally suppose  $\mathcal{C}_0$  is a simplicial object of  $\mathcal{C}$  and that  $F'$  is a cosimplicial system over  $\mathcal{C}$  in  $\mathcal{E}$ . Then ~~the~~ for any object  $S$  of  $\mathcal{E}$  we have a cosimplicial abelian group

$$\begin{array}{ccc} \Gamma(\mathcal{C}_0(pS), F'(S)) & \rightrightarrows & \Gamma(\mathcal{C}_1(pS), F'(S)) \rightrightarrows \\ \downarrow & & \downarrow \\ \prod_{u: pS \rightarrow \mathcal{C}_0} \text{Hom}_u(S, F') & \rightrightarrows & \prod_{v: pS \rightarrow \mathcal{C}_1} \text{Hom}_v(S, F') \rightrightarrows \end{array}$$

and it is natural to interpret the cohomology of this cosimplicial abelian group as derived functors of some kind. With this end in mind we consider some examples.

Main example: ~~Let~~ Let  $\mathcal{C}$  be a topos and let  $\mathcal{C}_1 = \mathcal{C}/T$ . Then the cosimplicial systems <sup>over  $\mathcal{C}$</sup>  form a topos; ~~in~~ in



fact it is ~~the fiber topos~~ a special case of the fiber topos of Verdier's last exposé in SGAA as follows. ~~Each of the fibers~~ each of the fibers  $\mathcal{C}/T$ ,  $T \in \mathcal{C}$  has a topology so the fibers of  $\mathcal{E}$  all have topology and the base changes are continuous. Thus  $\mathcal{E}$  becomes a fibered site and one sees that a sheaf is a functor  $\mathcal{E}^{\circ} \rightarrow (\text{sets})$  which is representable on each fiber. This means that a sheaf is a rule associating to each  $T$  in  $\mathcal{C}$  an object  $F_T$  of  $\mathcal{C}/T$  and to each map  $f: T' \rightarrow T$  a map  $F_f: f^*F_T \rightarrow F_{T'}$ , all this subject to transitivity conditions

~~Let~~ Let

$$\Pi: \text{Cosys}(\mathcal{C}) \longrightarrow \text{Cosets}$$

be the morphism sending  $F^{\circ}$  to  $\nu_* F^{\circ}(\mathcal{C}, F^{\circ})$ . Then the Leray spectral sequence for  $\Pi$  is

$$E_2^{p,q} = \check{H}^p(\nu \dashv \vdash H^q(\mathcal{C}, F^{\circ})) \implies H^{p+q}(\mathcal{C}, F).$$

One uses the fact that the  $\check{H}^*$  are the derived functors of  $\check{H}^0$  on  $\text{Cosab}$ .

Example 2: Suppose given a fibered site  $\mathcal{E} \rightarrow \mathcal{C}$  and a simplicial object in  $\mathcal{C}$ , we can do the same as the above ~~example~~. For example if

$$\nu \dashv \vdash X_{\nu}$$

is a simplicial topological space,  $\mathcal{C} = \text{top spaces}$ ,  $\mathcal{E}_x = \text{stake spaces}/X$

then we can consider the topos of cosimplicial systems of sheaves over  $X$ , i.e.  $F^\nu$  over  $X_\nu$  with  $F^p: (X_p)^* F^p \rightarrow F^{\delta}$  for  $p: [p] \rightarrow [p+1]$ .  
 One gets the spectral sequence

$$E_2^{p,q} = \check{H}^p(\nu \mapsto H^q(X_\nu, F^\nu)) \Rightarrow H^*(X, F)$$

~~Example 2a~~

Example 2a. If  $X$  is a simplicial set considered as a discrete simplicial topology space, we find for any simplicial system  $F$  over  $X$ , that

$$H^*(X, F) = \check{H}^p(\nu \mapsto C^\nu(X, F)) \underset{\parallel \text{ defn}}{=} \prod_{x \in X_\nu} F(x)$$

Example 2b. If  $X = \overline{W}(G)$  where  $G$  is a topological group  $\dots G \times G \cong G \cong e$ ,

then we get for any abelian group  $A$  a spectral sequence  $E_2^{p,q} = \check{H}^p(\nu \mapsto H^q(G^\nu, A)) \Rightarrow H^{p+q}(BG, A)$

~~Example 2c~~ first signalled by Milnor.

Remark. ~~Example 2c~~ It seems that the cosimplicial systems of sheaves over the singular complex of a Cat in top spaces <sup>always</sup> give the good cohomology. One might ask when it's enough to consider only functors on the category with values in ~~sheaves~~

The fiber category  $\mathcal{E}$  with  $\mathcal{E}_x = \text{Et spaces}/X$ . Here's an example to show that this doesn't work when  $G$  is not discrete. Take a connected group  $G$ . Then a functor is an étale space  $F$  over a pt. endowed with a map

$$\begin{array}{ccc} \theta : G \times F & \longrightarrow & G \times F \\ (g, f) & \longmapsto & (g, gf) \end{array}$$

of étale spaces over  $G$ . Thus  $G \times F \rightarrow F$  is a continuous action of  $G$  on  $F$ ; as  $G$  is connected the action is trivial. So there are not enough functors.

To remedy this defect ~~the~~ <sup>one (Grand?)</sup> introduces the gross topos of sheaves having the advantage that ~~the~~ ~~map~~ ~~from spaces over X to sheaves over X is fully faithful.~~

Example 3: Suppose  $\mathcal{C}$  is a category scheme and that  $F$  is a functor with ~~values~~ <sup>the</sup> values in fiber of quasi-coherent sheaves. We work in the gross Zariski, étale, ~~fpqc~~ fpqc or fpqc topology on schemes and associate to a quasi-coh. sheaf  $F$  (in ~~the~~ <sup>sheaf</sup> sense) on  $X$  the gross sheaf

$$\begin{array}{ccc} U & \longmapsto & \Gamma(U, f^*F) \\ \downarrow f & & \\ X & & \end{array}$$

Now ~~the~~ the functor  $F$  gives rise to a cosimplicial system of quasi-coherent sheaves on the simplicial object  $\text{Sing } \mathcal{C}$ . Hence

$$E_2^{p,q} = \check{H}^p(\check{C} \rightarrow H^q(\mathcal{C}_\nu, F^\nu)) \Rightarrow H^*(\mathcal{C}, F)$$

where  $H^*(C, F)$  is the Zariski cohomology of  $F^\nu$  in the case of any of the above topologies. So if  $C$  is affine ~~with~~ with  $A = \Gamma(C, \mathcal{O}_C)$ ,  $P = \Gamma(C, \mathcal{O}_C^{\otimes 2})$  and  $F$  is given by the  $P$ -comodule  $\Gamma(C, F) = M$ , then the spectral sequence degenerates showing that

$$(A) \quad H^n(C, F) \cong \check{H}^n(\nu \mid \rightarrow \overrightarrow{P \otimes_A \dots \otimes_A P \otimes_A M})$$

~~if~~ if  $P$  is right flat over  $A$ , then the  $P$ -comodules form an abelian category and the left side is ~~the~~ a sequence of cohomological functors which is effaceable, hence ~~the~~ the derived functors of  $\check{H}^0$ . Thus

$$H^n(C, F) = \text{Cotor}_P^n(A, M)$$

~~Thus~~ Thus we have shown

Proposition: Let  $A, P$  etc. be an affine category and let  $M$  be a  $P$ -comodule. To the affine category we can associate a simplicial object  $C$  in the category of schemes, ~~and~~ and to  $M$  we can associate a cosimplicial system  $F$  in the fibre category of quasi-coherent sheaves. Then in the ~~topos~~ <sup>fibre</sup> ~~of~~ <sup>small</sup> Zariski sheaves over schemes (= gross Zariski sheaves) we obtain a cosimplicial system over  $C$ , and hence cohomology groups of this system  $H^*(C, F)$  are defined. Conclusion:

$$H^*(C, F) = \check{H}^*(\nu \mid \rightarrow \overrightarrow{P \otimes \dots \otimes P \otimes M})$$

( =  $\text{Cotor}_P^*(A, M)$  when  $P$  is right  $A$ -flat.)



Remarks 1. You have not yet understood when the cohomology in the cosimplicial sense equals that in the category of functors. This ~~is~~ <sup>uses</sup> an effaceability argument.

~~Letter to Cartier - outline of paper~~

~~Letter~~

~~Outline~~

~~Outline~~

So I let  $F: C^\circ \rightarrow ab$  be a functor. The point is to show that ~~the sequence~~ the sequence

$$\gamma^*: \mathbb{R}^0 \lim_C F \simeq \mathbb{R}^0 \lim_{\Delta/\text{Sing } C} F\gamma$$

$$\gamma: \Delta/\text{Sing } C \rightarrow C \quad \text{last vertex:}$$

Method (classical) consists of ~~the sequence~~

(i) check directly for  $q=0$

(ii) show the 2nd functor ~~is~~ vanishes for the induced  $F$ .

In fact (for (ii)) you take functor

$$g: \Delta/\text{Sing } C \longrightarrow \Delta$$

$$g_*: \text{Hom}((\Delta/\text{Sing } C)^\circ, ab) \longrightarrow \text{Hom}(\Delta^\circ, ab)$$

is exact, moreover gives s.s. of Leray

$$\mathbb{R}^0 \lim_{\Delta/\text{Sing } C} F\gamma = \mathbb{R}^0 \lim_{\Delta} g_*(F\gamma) = \check{H}^0 g_*(F\gamma)$$

$$g_*(F\gamma) = \check{H}^0 (v \mapsto \prod_{x_0 \rightarrow \dots \rightarrow x_q} F(x_i))$$

$$= C(C, F)$$

Thus

$$\mathbb{R}^0 \lim_{\Delta/\text{Sing } C} F\gamma = \check{H}^0(C, F)$$

This is effaceable by the usual argument.

May 9, 1969.

# Pseudogroups versus formal groupoids.

Example:  $G$  Lie ~~group~~ group acting on a manifold  $M$

Then one gets a ~~Lie category~~ Lie category (category object in the cat. of manifolds). More generally let a Lie alg  $\mathfrak{g}$  act as derivations of the sheaf of functions  $\mathcal{O}_M$  on a manifold  $M$ .

The associated de Rham complex is

$$\mathcal{O}_M \longrightarrow \left( \mathcal{O}_M \otimes_k \mathfrak{g}' \right) \longrightarrow \left( \mathcal{O}_M \otimes_k \wedge^2 \mathfrak{g}' \right) \longrightarrow \dots$$

where  $k = \mathbb{R}$ , and where

$$d: \mathcal{O}_M \longrightarrow \mathfrak{g}' \otimes \mathcal{O}_M$$

is given by

$$d = \sum_{i=1}^n e(\omega_i) \otimes X_i$$

where  $\omega_i$  and  $X_i$  are dual bases of  $\mathfrak{g}'$  and  $\mathfrak{g}$ , resp.

On the other hand we obtain a pseudogroup on  $M$  ~~as follows~~ as follows. ~~We~~ say that a <sup>germ of</sup> vector field  $X$  is in the pseudogroup if ~~it~~ it comes from  $\mathfrak{g}$ , i.e. if  $\exists u \in \mathfrak{g}$  such that  $X = i(u)d: \mathcal{O}_M \rightarrow \mathcal{O}_M$ . Of course we lose structure this way unless  $\mathfrak{g}$  acts faithfully on the manifold. Given a point  $m \in M$ , we obtain a filtration on  $\mathfrak{g}$  induced by the maps

$$\mathfrak{g}_k = \text{Ker} \left\{ \mathfrak{g} \longrightarrow J_k(T)(x) \right\}$$

~~starting from the origin~~  
In the tradition of pseudogroups one makes regularity assumption



Note that  $L_0$  is a Lie algebra over  $\mathcal{O}_M$ , since

$$[X, fY] = \cancel{f[X, Y]} + f[X, Y].$$

Now proceed as before

$$X \in \Gamma(L_g) \iff [X, L] \subset L_{g-1} \text{ and } X \in \Gamma(L_{g-1}).$$

$$\left( \text{Check: } [fX, L] \subset -(Lf) \cdot X + f[X, L] \subset L_{g-1}. \right)$$

One checks that

$$[L_p, L_q] \subset L_{p+q} \quad p, q \geq -1$$

~~First observe true if  $p$  or  $q = -1$ . Hence can assume  $p, q \geq 0$  and use induction on  $p+q$ , the case  $p=q=0$  being evident from the above.~~ Now

$$[L_p, L_q] \subset [L_{p-1}, L_q] \subset L_{p+q-1} \text{ by ind}$$

$$[[L_p, L_q], L] \subset \underbrace{[L_p, L], L_q}_{\subset L_{p+q-1}} + [L_p, [L_q, L]] \subset L_{p+q-1} \text{ using induction}$$

So we now have a filtered Lie algebra  $L_0$  over  $\mathcal{O}_M$ .  
In the example above the bracket on  $L \cong \mathcal{O}_X \otimes \mathfrak{g}$  differs from its bracket as vector fields, e.g. let  $X_i$  be a basis for  $\mathfrak{g}$ , then

$$[f \otimes X, g \otimes Y] = fg[X, Y] + f(Xg)Y - g(Yf)X$$

Is there a canonical way of reorganizing the bracket?



For a pseudo-group there appears to be a basic map of bundles

$$(*) \quad L \longrightarrow J_{\infty}(T_M)$$

of Lie algebras which doesn't seem to exist in general. For example take a principal bundle  $P$  over  $M$  with group  $G$  and consider the formal category associated to the complex of  $G$ -invariant forms on  $P$ . Then one has  $L = G$ -invariant vector fields on  $P$  and the ~~basic~~ exact sequence

$$0 \longrightarrow P \times_{\mathbb{G}} \mathfrak{g} \longrightarrow L \longrightarrow T_M \longrightarrow 0$$

of bundles on  $M$ . Thus  $L_0 = P \times_{\mathbb{G}} \mathfrak{g}$  = invariant fields on  $P$  tangent to the fibers. Now locally  $P = M \times G$  so an invariant vector field is the sum of an element of  $\mathfrak{g}$  and ~~is not~~ a vector field on  $M$ , these two commuting of course. Thus  $[L_0, L] \subset L_0$  and so  $L_0 = L_1 = L_2 = L_3 = \dots$ , which makes one hope that  $*$  can be defined by induction, viz:

$$L/L_n \hookrightarrow J_n(T_M).$$

Unfortunately I can't seem to define such a map. I seem also to need the map

$$(1) \quad D: L \longrightarrow \Omega \otimes L$$

whose solutions give the actual ~~actual~~ Lie algebra of vector fields. From such a  $D$  I can construct

$$(2) \quad L \longrightarrow J_n(T_M)$$

by induction using the ~~Cartan-Kuranishi~~ formula.

$$I_n T \cong I_{n-1} T \times_{\mathbb{R}T} \text{Ker} \{D: \Omega^1 I_{n-1} T \rightarrow \Omega^2 I_{n-1} T\}$$

Conversely given (2) I obtain  $D$ , assuming the  $L_n$  filtration is complete.

Conclusion: It seems that a <sup>(formal)</sup> pseudogroup on a manifold  $M$  is a de Rham complex

$$\Omega_M \xrightarrow{\delta} \Omega^1 \xrightarrow{\delta} \Omega^2 \rightarrow \dots$$

endowed with a stratification relative to the map  $M \rightarrow pt$ , that is, a double complex

$$\begin{array}{ccccccc} \Omega_M & \xrightarrow{\delta} & \Omega^1 & \xrightarrow{\delta} & \Omega^2 & \rightarrow & \dots \\ \downarrow d & & \downarrow d & & \downarrow d & & \\ \Omega_{M/pt}^1 & \rightarrow & \Omega_{M/pt}^1 \otimes \Omega^1 & \rightarrow & \Omega_{M/pt}^2 \otimes \Omega^2 & \rightarrow & \dots \\ \downarrow & & \vdots & & \vdots & & \\ \Omega_{M/pt}^2 & \dots & \dots & \dots & \dots & \dots & \dots \end{array}$$

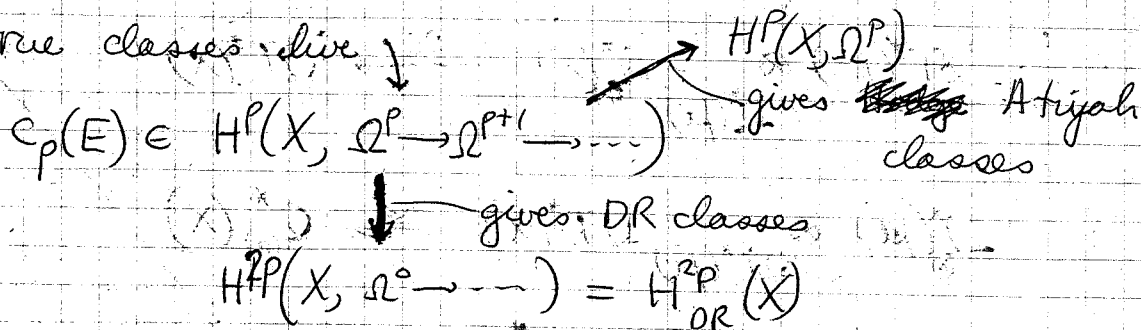
For example suppose the pseudogroup is finite, e.g.  $\Omega^1$  is a vector bundle ~~the sheaf of solutions~~ <sup>then taking</sup> the sheaf of solutions of  $d$  one obtains a complex

$$\underline{\mathbb{R}} \rightarrow \mathfrak{g}' \rightarrow \Lambda^2 \mathfrak{g}' \rightarrow \dots$$

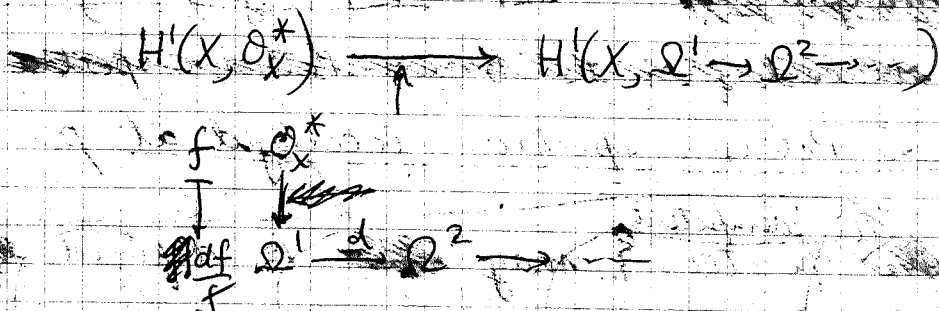
where  $\mathfrak{g}'$  is a locally constant sheaf of Lie algebras acting ~~as~~ as vector fields ~~on~~ on  $M$ .

Remarks on DR Chem classes.

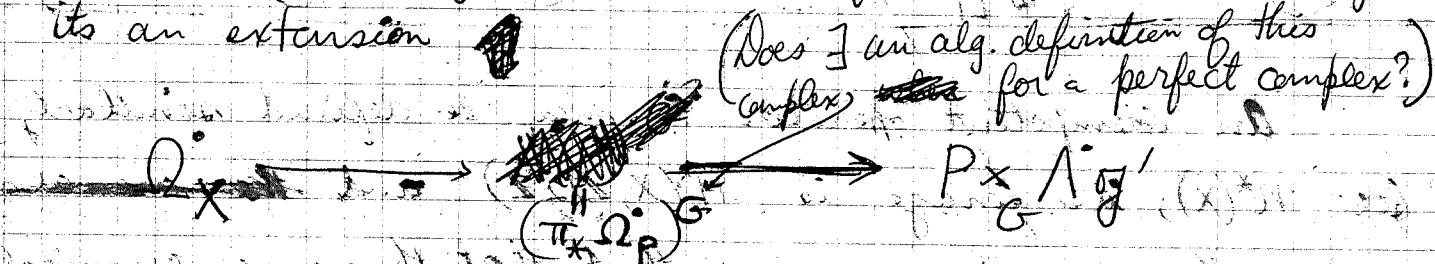
A. The true classes live ↓



Example:



B. The conjectured method is to take the complex of invariant forms on the principal bundle  $P$  of  $E$  which is kind of "cofibration" of DG cochain algebras, its an extension



Filtering  $A(P)^G$  by the ideal gen. by  $\Omega^{\geq p}$  one gets a spectral sequence (in the affine case at least, but use Deligne's improvement on Katz-Oda)

$$E_2^{pq} = H_{DR}^p(X, H_{DR}^q(G)) \implies H^{p+q}(P)$$

When  $G$  reductive. Chara. classes result when one sees why the primitive classes in  $H^*(G) = H^*(\Lambda^* \mathfrak{g}')$  are universally transgressive.



C. Problem: Let  $G$  be an alg. gp acting on  $X$ .

What is the significance of any of

$$\mathbb{R}H_G^0, (RP), (\Omega_X)?$$

~~van Est spec~~ If  $G$  reductive,  $X$  affine (in char 0)

then

$$A^*(X)^G \longrightarrow A^*(X) \text{ is}$$

Thus <sup>it's</sup> not the same as the equivariant DR cohomology.

D. van Est spec. sequence

$G$  alg. gp,  $P$  principal bundle

$$H_{gp}^p(G, H_{top}^0(P)) \longrightarrow H^{p+0}(A^*(P)^G)$$

two cases: (i)  $G$  nilpotent whence get

$$H_{gp}^p(G, H_{top}^0(P/G)) \implies H^{p+0}(A^*(P)^G)$$

eg.  $P=G$

$$H_{gp}^p(G) \stackrel{(\cong)}{=} H^p(\overline{G}) \stackrel{(\cong)}{=} H^p(\overline{G})?$$

$$(ii) G \text{ reductive } \implies \text{get } H_{top}^*(P) = H^*(A^*(P)^G)$$

E. Characterize those formal categories associated to pseudogroups.

Now I must show how to do this transgression universally

General setting  
of Lie algebra

$G$  ~~with~~ <sup>Lie</sup> algebra group of associated Lie algebra over a field  $K$  of char. 0

van Est

$$H_{gp}^p(G, H_{top}^q(G, \mathbb{R})) \implies H_{gp}^{p+q}(G, \mathbb{R})$$

$G$  reductive  
 $\downarrow$   
 $H_{gp}^*(G, V) = 0$   
if  $\dim V < \infty$ ?

Proof:

~~Look at  $G$  as a  $G$  space~~

(i) Consider the algebra of differential forms on  $G$

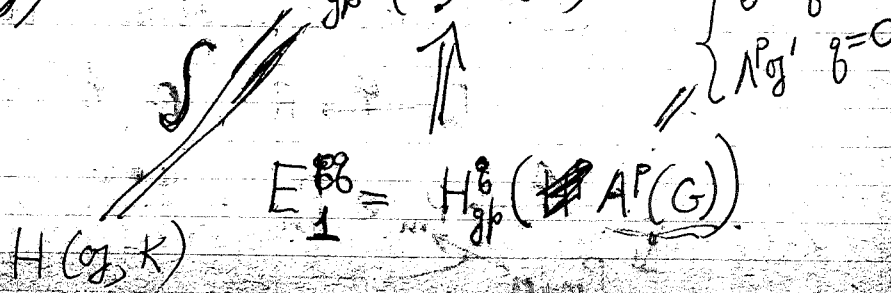
$$A^*(G) = A(G) \otimes \wedge^* \mathfrak{g}'$$

Take its cohomology

$$A(G) \longrightarrow A(G) \otimes \mathfrak{g}' \longrightarrow A(G) \otimes \wedge^2 \mathfrak{g}' \longrightarrow \dots$$

This is a complex of  $G$ -modules, hence basic seq

$$E_2^{p,q} = H_{gp}^p(G, H_{top}^q(G)) \implies H_{gp}^{p+q}(G, A^*(G))$$



$\Lambda E$

filter by

$$F_p(\Lambda E) = \text{Im } \Lambda_p E' \otimes \Lambda E \longrightarrow \Lambda E.$$

$$E = F_0 \quad F_p \supset F_{p+1} \supset \dots$$

$$A^*(B) \quad \textcircled{A^*(E)} \quad A^*(F)$$

consider crude filtration on the base

$$\supset F_p \supset F_{p+1} \supset \dots$$

$$0 \longrightarrow \text{gr}_{p+1} \longrightarrow F_p / F_{p+1} \longrightarrow \textcircled{\text{gr}_p} \longrightarrow 0$$

$$H^0(\text{gr}_p) \longrightarrow H^0(\text{gr}_{p+1}).$$

$$E_1^{p,0} = H^0(\Omega^p) \quad \text{~~is~~}$$

~~is~~

$$0 \longrightarrow f^* \Omega_B \longrightarrow \Omega_E \longrightarrow \Omega_f \longrightarrow 0$$

$F_p A^*(E)$  means  $\geq p$  terms coming from B.

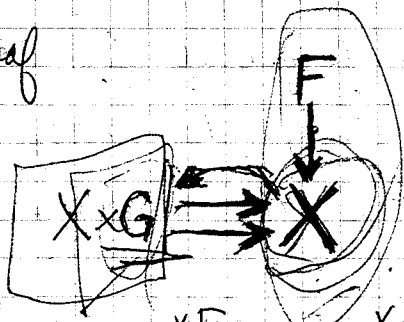
Then

$$F_p A^*(E) / F_{p+1} A^*(E) = f^*(\Omega_B) \otimes \Omega_f$$

gives

$$E_1^{p,0} =$$

G-sheaf

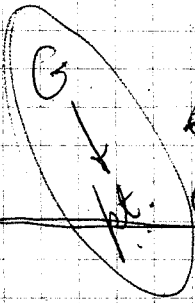


?

$$p_1^* F \cong p_2^* F$$

Observe that in the gross ~~class~~ etale topos

$$p_1^* F = F \times G$$



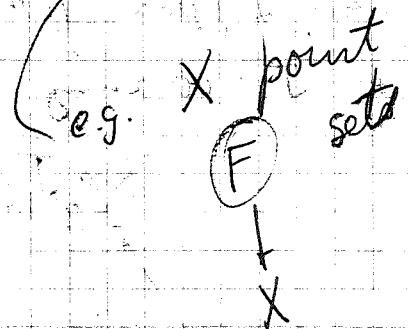
so that this descent is just a continuous action of  $G$  on  $F$ .

$$A^*(G) = \Gamma(\Omega^*)$$

$$R^1 \Gamma(\Omega^*) = 0$$

now you calculate

$$H^0_{gp}(G, \Gamma(\Omega^*))$$



$$G \times F \rightarrow F$$

Therefore note that there need not exist enough G sheaves on X.

unless  $X \times G, X \times G \times G, \dots$  belong.

$$X \otimes DX \otimes Y \rightarrow Y$$

~~$$X \otimes DX \otimes Y \rightarrow Y$$~~

~~$$X \otimes DX$$~~

~~$$L \subset \text{Der } \mathcal{O}_X$$~~

○

$$L \subset \text{Hom}_{\mathcal{O}_X}(\Omega, \mathcal{O}_X)$$

$$\mathcal{O}_X \xrightarrow{d} \Omega$$

~~the~~

an  
inert  
filtered  
sheaf

$L$  sheaf of vector fields leaving  $\Omega$  invariant

$$L \longrightarrow \underline{T} \xrightarrow{j_\infty} \underline{J_\infty}(T)$$

and now I can look at the sub  $R$ -module<sup>L</sup> of  $\underline{J_\infty}(T)$  generated by the ~~image~~ image of this.

Thus say  $L \subset \underline{J_\infty}(T)$  is a subbundle

$J_\infty$

$$\mathcal{O}_X \otimes L$$

- (i) sheaf of  $\mathcal{O}_X$  modules
- (ii) define the bracket as ~~follows~~ operators on fns.

~~the~~

Lecture tomorrow

~~Mag 67~~

1. Correction - no distinguished generators for  $\mathcal{Q}(pt)$
2. Affine categories + groupoids

rings ~~...~~

Suppose given a functor  $(\text{rings}) \xrightarrow{c} \text{Cat}$  which is representable

$$\text{Ob } C(R) = \text{Hom}_{(\text{rings})}(A, R)$$

$$\text{Fl } C(R) = \text{Hom}_{\text{''}}(P, R)$$

$$A \begin{matrix} \xrightarrow{s} \\ \xrightarrow{t} \end{matrix} P \xrightarrow{\varepsilon} A$$

$$\Delta: R \rightarrow P \otimes_{A_s} P \quad \text{map of } A, A \text{ algs}$$

Call  $(A, P, s, t, \varepsilon, \Delta)$  an affine category. An affine groupoid = ~  
inversion  $i: P \rightarrow P$

$\text{Com}(A, P) =$  cat of comodules  $M$

$$M \xrightarrow{\Delta} P \otimes_A M$$

tensor category  $\left\{ \begin{matrix} \text{unitary} \\ \text{assoc} \\ \text{comm.} \end{matrix} \right. \quad 1 = A \quad \Delta = 1 \otimes (?)$

3.  $\mathcal{A}$ : tensor cat  $\left\{ \begin{matrix} \text{unitary} \\ \text{assoc.} \\ \text{comm.} \end{matrix} \right. \quad 1$   
 $h: \mathcal{A} \rightarrow \text{Mod } A$  tensor functor

$$\begin{aligned} 1 \otimes X &\simeq X \otimes 1 \simeq X \\ X \otimes (Y \otimes Z) &\simeq (X \otimes Y) \otimes Z \\ X \otimes Y &\simeq Y \otimes X \\ h1 &\simeq A \end{aligned}$$

forgetful functor  $\text{Com}(A, P) \rightarrow \text{Mod } A$   
 $hX \otimes_A hY \rightarrow h(X \otimes Y)$

Define  $C = \text{End}^{\otimes} h : (\text{Rings}) \rightarrow \text{Cat}$

$$\left\{ \begin{aligned} \text{Ob } C(R) &= \text{Hom}(A, R) \\ \text{Hom}_{C(R)}(u, v) &= \text{Hom}_{\text{Hom}^{\otimes}(A, \text{Mod } R)}(R_u \otimes_A h, R_v \otimes_A h) \end{aligned} \right.$$

$$C' = \text{Aut}^{\otimes} h$$

$$\text{Hom}_{C'(R)}(u, v) = \text{Isom}_{\text{Hom}^{\otimes}(A, \text{Mod } R)}(R \otimes_A^u h, R \otimes_A^v h)$$

Suppose  $\text{End}^{\otimes} h$  representable i.e.  $\exists A \xrightarrow{s} P \xleftarrow{t} A$

~~$\forall R \xleftarrow{u} A$~~

~~$\text{Hom}^{\otimes}(R \otimes_A^u h, R \otimes_A^v h) \cong \text{Hom}_{A \otimes A \text{ alg}}(P \otimes_A R, R \otimes_A P)$~~

~~isomorphism is natural~~

$$\text{Hom}_{A, A \text{ algs}}(P, R) \cong \text{Hom}_{R}^{\otimes}(R \otimes_A^u h, R \otimes_A^v h)$$

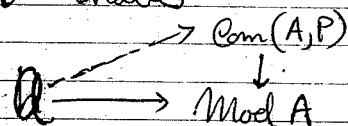
||

$$\text{Hom}_A^{\otimes}(h, R \otimes_A^v h)$$

i.e.  $\exists$  canonical ~~morphism~~ morphism in  $\text{Hom}^{\otimes}(A, \text{Mod } A)$ .

$$j: h \rightarrow P \otimes_A h$$

In particular has



not quite general enough. One wants  $(A, P)$  to act on  $h: A \rightarrow \text{Mod } A'$  when given  $A \rightarrow A'$  and  $\forall X \in A$

$$hX \rightarrow P \otimes_A hX$$

Defn: Say that  $(A, P)$  acts on  $h: A \rightarrow \text{Mod } A$  if there is given dotted arrow. Of course one gets a morphism

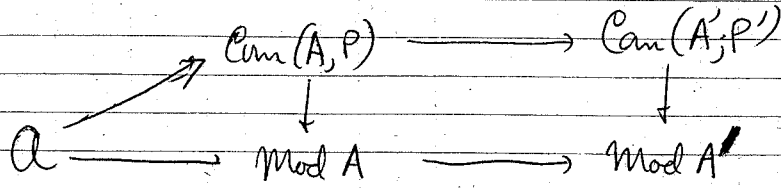
$$(A, P) \leftarrow \text{Hom}_{\text{Mod } A}^{\otimes} h$$

4.  $f: (A, P) \rightarrow (A', P')$  morphism of affine cats.

fully faithful =  $A' \otimes_{P'} P \otimes_A A' \xrightarrow{\sim} P'$

equivalences: ff +  $\exists g: A' \rightarrow A$  and  $\theta: P \rightarrow A \otimes P'$   
 $\theta: \text{id}_A \otimes g$

Suppose  $f: (A, P) \rightarrow (A', P')$  is a morphism  
and  $(A, P)$  acts on  $h$ .



so  $A', P'$  acts on  $h' = A' \otimes_A h$ . If  $(A, P) = \underline{\text{End}}^{\otimes} h$   
(resp.  $\underline{\text{Aut}}^{\otimes} h$ ) then  $(A', P') = \underline{\text{End}}^{\otimes} h'$  (resp.  $\underline{\text{Aut}}^{\otimes} h'$ )  $\Leftrightarrow f$   
is fully faithful.

Suppose  $f$  equivalence. Then choosing  $g, \theta$

$$\underbrace{A \otimes_{\mathcal{A}} h'}_{\mathcal{A}'} = A \otimes_{\mathcal{A}'} (A' \otimes_{\mathcal{A}} h) = A \otimes_{\mathcal{A}} h \cong h$$

5.  $\Omega$ .  $\underline{\text{Aut}}^{\otimes} \Omega$



I want to apply the preceding to  $\Omega^{ev} = \Omega: (\text{Man})^0 \rightarrow \text{Mod } A$   $A = \Omega(\text{pt})$ .

If  $R$  is a (graded anti-commutative) ring ~~is~~ a morphism  $v: \Omega(\text{pt}) \rightarrow R$  same as  $F(X, Y) = \sum_k a_{k\ell} X^k Y^\ell$

$a_{k\ell} \in R^{2-2k-2\ell} = R_{-2+2k+2\ell}$  Let

$$G(R) = \left\{ \varphi(X) = \sum_{n \geq 0} \gamma_n X^{n+1} \mid \gamma_n \in R_{2n}, \gamma_0 \in R^* \right\}$$

group under composition.

Given  $\varphi$  and  $v$  recall  $\exists!$  mult. char class

$$\tilde{\varphi}: K \rightarrow R_v \otimes_A \Omega$$

$$\tilde{\varphi}(L) = \sum_{n \geq 0} \gamma_n \otimes c_1^{\otimes n}(L)$$

and ~~the unique mult. char class~~

$$\hat{\varphi}: \Omega \rightarrow R_v \otimes_A \Omega$$

$$\hat{\varphi}(f_* x) = f_* \hat{\varphi}(x) \cdot \tilde{\varphi}(v_f)$$

By RR  $\hat{\varphi}$  unique map from  $\hat{\varphi}(e_1(L)) = \sum \gamma_n \otimes c_1^{\otimes n}(L)^{n+1}$

Proposition: Given  $u, v: R \rightarrow \Omega$  with group law  $F_u, F_v$   
 $\text{Isom}^{\otimes} (R_u \otimes_A \Omega, R_v \otimes_A \Omega) \cong \{ \varphi \in G(R) \mid \varphi * F_v = F_u \}$ .

Proof: Given  $\varphi$  consider

$$\hat{\varphi}: \Omega \longrightarrow R_V \otimes_A \Omega$$

$$\hat{\varphi}(c_i L) = \sum r_n \otimes c_i(L)^{n+1}$$

$\hat{\varphi}$  extends! to a R-hom.

$$\hat{\hat{\varphi}}: R \otimes_A \Omega \longrightarrow R_V \otimes_A \Omega$$

where

~~u~~ u is composite

$$A \xrightarrow{F^\Omega} R_V \otimes_A \Omega(k) \xleftarrow[\cong]{\text{in}_1} R$$

To calculate  $F_u$ .

$$\varphi'(X) = \varphi(X) \otimes 1$$

$$c'_i(L) = 1 \otimes c_i L = c_i^{\Omega_V}(L)$$

$$\hat{\hat{\varphi}}(c_i L) = \varphi'(c'_i L)$$

$$\begin{aligned} \Rightarrow (\hat{\hat{\varphi}} F^\Omega)(\hat{\varphi} c'_i L, \hat{\varphi} c'_j L_0) &= \hat{\hat{\varphi}}(c'_i(L) \otimes c'_j(L_0)) \\ &= \varphi'(F^{\Omega_V}(c'_i L, c'_j L_0)) \end{aligned}$$

$$\Rightarrow (\hat{\hat{\varphi}} F^\Omega)(\varphi' X, \varphi' Y) = \varphi'(F^{\Omega_V}(X, Y))$$

$$\hat{\hat{\varphi}} F^\Omega = \varphi' * \underline{F^{\Omega_V}} \quad , \quad F^{\Omega_V} = \text{in}_2 F^\Omega$$

~~$F_u = \varphi * F_V$~~

$$F^{\Omega_V} = 1 \otimes F^\Omega = F_V \otimes 1$$

$$F_u \otimes 1 = (\varphi \otimes 1) * (F_V \otimes 1)$$

$$F_u = \varphi * F_V$$

$$F_u = \varphi_* F_v = \varphi_* \psi_* F_w = (\varphi \circ \psi)_* F_w$$

$$\Omega_u \xrightarrow{\hat{\varphi}} \Omega_v \xrightarrow{\hat{\psi}} \Omega_w$$

$\xrightarrow{\hat{\varphi \circ \psi}}$

$$\hat{\psi}(\hat{\varphi}(c_1 L)) = \hat{\psi}(\varphi c_1 L) = \varphi \hat{\psi} c_1 L = (\varphi \circ \psi)^* c_1 L$$

$\therefore \hat{\varphi}$  is an isomorphism inverse  $(\varphi^{-1})^*$ .

$$\{\varphi \in G(R) \mid \varphi_* F_v = F_u\} \longrightarrow \text{Isom}^\otimes(\Omega_u, \Omega_v)$$

$\subset \quad \quad \quad \cup$   
 $\quad \quad \quad \emptyset$

$$\theta : R_u \otimes_A \Omega \xrightarrow{\cong} R_v \otimes_A \Omega$$

$\uparrow \otimes \quad \nearrow \theta_0$   
 $\Omega$

$$\theta_0 c_1(L) = \sum_{n \geq 0} r_n \otimes c_1 L^{n+1} \quad r_n \in R_2$$

To show  $r_0 \in R^*$  let  $c: pt \rightarrow P^1$

$$\begin{array}{ccccccc} 0 & \longrightarrow & \Omega_u(pt) & \xrightarrow{L^*} & \Omega_u(P^1) & \xrightarrow{c^*} & \Omega_u(pt) \longrightarrow 0 \\ & & \downarrow r_0 & & \downarrow \theta \cong & & \downarrow \cong \theta \\ 0 & \longrightarrow & \Omega_v(pt) & \xrightarrow{L^*} & \Omega_v(P^1) & \xrightarrow{c^*} & \Omega_v(pt) \longrightarrow 0 \end{array}$$

$$\theta(L^* 1) = \theta(c_1 \theta(1)) = r_0 c_1 \theta(1) + 0 = r_0 L^* 1 \quad \therefore r_0 \in R^*$$

$$\left\{ \begin{array}{l} (\underline{\text{Aut}}^{\otimes} \Omega)(R) = \text{category objects: formal gp. law } F \\ \text{Hom}(F, F') = \{ \varphi \in G(R) \mid \varphi * F' = F \} \end{array} \right.$$

$$\text{Spec } \Omega(\text{pt}) \times G \longrightarrow \text{Spec } \Omega(\text{pt})$$

~~Now~~ suppose that we do the above for  $\Omega(\text{pt})$

Example 1: Over  $\mathbb{Q}$ .

Work with  $\Omega_{\mathbb{Q}}$   $(A_{\mathbb{Q}}, P_{\mathbb{Q}}) \sim (\mathbb{Q}, G_m)$

$$\Omega_{\mathbb{Q}} \simeq (A_{\mathbb{Q}}) \otimes_{\mathbb{Q}} (\mathbb{Q} \otimes_{A_{\mathbb{Q}}} \Omega_{\mathbb{Q}})$$

$$A_{\mathbb{Q}} \longrightarrow \mathbb{Q} \longrightarrow A_{\mathbb{Q}}$$

$\mathbb{Q} \otimes_{A_{\mathbb{Q}}} \Omega_{\mathbb{Q}}$  retract of  $\Omega$

$$H^*(X, \mathbb{Q})$$

$$\boxed{\Omega_{\mathbb{Q}}^*(X) \simeq \Omega(\text{pt})_{\mathbb{Q}} \otimes_{\mathbb{Z}} H^*(X, \mathbb{Z})}$$

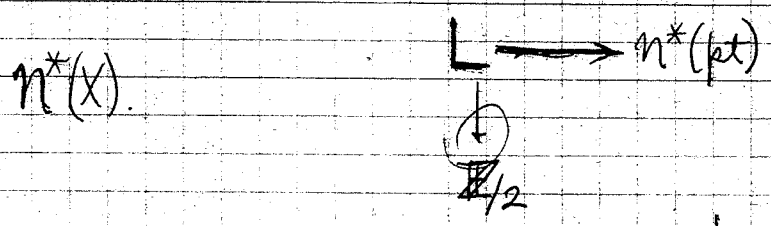
Example 2: Unoriented cobordism  $\mathcal{N}^*(X)$ .

$$\left\{ \begin{aligned} F^n(X, Y) &\cong \sum_{k, l} a_{kl} X^k Y^l & a_{kl} \in \mathcal{N}^{1-k-l}(pt) \\ F^n(X, X) &= 0 \end{aligned} \right. \text{ law of height } \infty.$$

Aut<sup>⊗</sup>  $\mathcal{N}^*$  to each  $R$  of char 2 laws  $a_{kl} \in R_{k+l-1}$  of height  $\infty$ .

Aut<sup>⊗</sup>( $\mathcal{N}^*$ ) coordinates changes in  $G_1(R)$ .

F.  $\exists!$   $l(X) = X + \sum_{\substack{n \neq 2^k - 1 \\ n > 0}} a_n X^{n+1}$   $a_n \in R_n$ .



$$\mathbb{Z}/2 \otimes_{\mathbb{Z}} \mathcal{N}^* \cong M^*$$

$$M^* \otimes_{\mathbb{Z}/2} L \cong \mathcal{N}^*$$

(a)  $L$  poly ring gen.  $\neq 2^k - 1$   $\mathcal{N}^*(pt)$  by Thom.

$$\begin{aligned} M^*(pt) &= \mathbb{Z}/2 \\ \mathcal{N}^*(pt) &\leftarrow L \end{aligned}$$

$$M^*(X) = H^*(X, \mathbb{Z}/2)$$

$$\mathcal{N}^*(X) \cong \mathcal{N}^*(pt) \otimes H^*(X, \mathbb{Z}/2)$$



Alg. geometry

$\mathcal{V}$  schemes smooth quasi-projective /  $k$  field.

~~Def~~ 0. Let  $Y \mapsto Q(Y)$ ,  $f \mapsto f^*$  ~~is~~ a contravariant functor on  $\mathcal{V}$  values in (rings)

I.  $Y \mapsto Q(Y)$   $f \mapsto f_*$   $f$  proper cov. values in  $\mathcal{A}b$ .

II. fr. base change for  $f_*$

III.  $Q(Y) \cong Q(A^1 \times Y)$

IV.  $Q(Y_1 \amalg Y_2) \cong Q(Y_1) * Q(Y_2)$

V.  $(f_1 \times f_2)_* (x \otimes y) = f_{1*} x \otimes f_{2*} y$

VI. If  $L$  line bundle let  $c_1(L) = (c^* L_x) \cdot 1$ . Then  $Q(\text{PE})$  ~~is~~ free.

VII.  $Y \hookrightarrow X$  closed ~~embed~~ <sup>immersion</sup> in  $\mathcal{V}$   $f: U \rightarrow X$  comp

$$Q(Y) \xrightarrow{f_*} Q(X) \xrightarrow{f^*} Q(U) \rightarrow 0 \text{ exact}$$

VIII.  $i: Y \rightarrow X$  closed imm.  $i^* L_x y = (i^* L_x) \cdot y$

Let  $\mathcal{Q}$  be the initial object of the category of such  $\mathcal{Q}$

Theorem 1: Over  $\mathcal{Q} \exists$  universal theory  $\Omega_{\mathcal{Q}}$  and infact  $\exists$

$$\Omega_{\mathcal{Q}}(X) \cong Q[p_1, p_2, \dots] \otimes_{\mathbb{Z}} K(X) : \text{Ch}$$

compatible with  $f^*$  #

Proof: By axioms have Chern classes <sup>in  $\mathcal{Q}$</sup>  and a formal group law ~~is~~  $F^a$  ~~is~~

Then  $\int (F(x, y)) = lx + ly$  and  $lx = \sum \binom{p}{n} \frac{x^{n+1}}{n+1}$

and I can define

$$ch : K(x) \longrightarrow Q(x)$$

unique additive ext. of

$$ch L = e^{l(c^q L)}$$

ch is a ring homomorphism. Now define

$$Ch : Q[P_1, \dots] \otimes K(x) \longrightarrow Q(x)$$

$$P_i \otimes 1 \longmapsto p_i$$
  
$$1 \otimes x \longmapsto ch x$$

$$[Ch, f^*] = 0$$

~~ch~~  $ch(e^k(L)) = ch(1-L^{-1}) = 1 - e^{-l(c^q L)}$

let  $Todd X = \frac{X}{1 - e^{-l(X)}} = \frac{X}{1 - e^{-\sum P_n \frac{x^{n+1}}{n+1}}}$

Then define  $f_i$  in  $Q[P] \otimes K$  by

$$f_i(r \otimes x) = r f_i(x) \quad r \in Q[P], x \in K$$

$$f_i(x) = f_x(x \cdot Todd^{-1}(\psi_f))$$

Todd L



$$\text{ch } \{c_1^K(L)\} = \frac{1 - e^{-l(c_1^Q L)}}{c_1^Q L} \cdot c_1^Q L$$

$$= T(c_1^Q L) \cdot c_1^Q L$$

Therefore define

$$f_i(x) = f_x(x \cdot \Phi(y_f))$$

$$c_1^i(L) = T(L) \cdot c_1^K(L)$$

$$\text{ch } c^K L = \text{ch}(1 - L^{-1}) = 1 - e^{-l(c_1^Q L)}$$

Set  $\gamma(x) = \text{inverse of } 1 - e^{-\sum_{n=0}^{\infty} p_n \frac{x^{n+1}}{n+1}}$

and define

$$f_*^{\gamma} x = f_x(x \cdot \tilde{\gamma}(y_f))$$

where

$$\tilde{\gamma}(L) = \frac{\gamma(c_1^K L)}{c_1^K L} = \sum a_n \frac{(c_1^K L)^n}{c_1^K L} \quad \text{if } \gamma(x) = \sum a_n x^{n+1}$$

then

$$c_1^{\gamma} L = c_*^{\gamma} L \cdot 1 = c_*^{\gamma} (1 \cdot \tilde{\gamma}(c_1^K L))$$

$$= c_1 L \cdot \tilde{\gamma} c_1 L = \gamma(c_1^K L)$$

and

$$\text{ch } c_1^{\gamma} L = (\text{ch } \gamma) (\text{ch } c_1^K L) = \left( 1 - e^{-\sum p_n \frac{x^{n+1}}{n+1}} \right) \Big|_{c_1^K L}$$

so set  $\Omega = \mathbb{Q}[P] \otimes_{\mathbb{Q}} K$  with  $f_x^{\Omega} = f_x^{\mathbb{Q}}$ .

$$\text{Ch: } \Omega \longrightarrow \mathbb{Q}$$

Prop: Suppose  $\mathbb{Q} \xrightarrow{\text{over } \mathbb{Q}}$  as above. Then  $f_x^{\mathbb{Q}} | = 1$  for any blowup  $\Rightarrow F^{\mathbb{Q}}(x, y) = X + Y + \beta XY$  some  $\beta \in \mathbb{Q}(p)$ .

May 67

Write up a <sup>small</sup> version of operations - goal = complete proofs of your assertions about operations in ST.

1. Key result is that you can recover all operations from ring operations.

Suppose  $h: A^{\circ} \rightarrow \text{Mod } A$  is a tensor functor <sup>(we are given)</sup> i.e. given

$$\begin{cases} A \rightarrow h1 \\ hX \otimes_A hY \rightarrow h(X \otimes Y) \end{cases}$$

~~There~~ If  $\exists$   $A$  module ~~is~~  $P_t \rightarrow$

$$\text{Hom}_Z(h, P_t \otimes_A h) \simeq \text{Hom}_A(P_t, F)$$

then  $P$  has the structure of an affine category.

2. Criterion that  $P$  exist:  $h$  ind representable by Kinneth objects

$$h(X) = \varinjlim \text{Hom}_A(X, E_i)$$

where  $h(E_i)$  finitely gen. proj.  $A$ -module and

$$\begin{cases} h(E_i) \otimes_A h(X) \xrightarrow{\sim} h(E_i \otimes X) \\ A \xrightarrow{\sim} h(1) \end{cases}$$

$$\text{Hom}_Z(h, M \otimes_A h) = \varprojlim_i M \otimes_A h(E_i)$$

$$= \text{Hom}_A(\varinjlim h(E_i), M)$$

3. Operations in ST!

May 21, 1969

# Affine categories and ~~algebra~~ operations in generalized cohomology theories.

## 1. Coalgebras.

Definition:  $A$ -coalgebra .

$$\begin{cases} \epsilon: P \rightarrow A \\ \Delta: P \rightarrow P \otimes_A P. \end{cases}$$

$\text{Com}(P)$  left comodules.

$$M \rightarrow P \otimes_A M.$$

Example: Two structures coincide.

## 2. Endomorphisms of a functor $h: \mathcal{A} \rightarrow \text{Mod } A$ .

Assume  $\exists$  right  $A$ -module  $P \cong$

$$\text{Hom}_{A^0}(P, M) \xrightarrow{\sim} \text{Hom}_{\text{Hom}(A^0, A^0)}(h, M \circ h)$$

Then  $P$  is an  $A$ -coalgebra and  $h$  induces

$$h: \mathcal{A} \rightarrow \text{Com } P.$$

Prop: If  $f: \text{Com } P \rightarrow \text{Mod } A$  is the forgetful functor then  $\text{End}(f) = P$ .

Proof: ~~shown~~ ✓

The identity morphism of  $h$  gives rise to a bimodule ~~map~~ map

$$\varepsilon: P \rightarrow A$$

and the ~~map~~ composition

$$h \rightarrow P \otimes h$$

### 3. Sufficient conditions for $P$ to exist

Proposition Suppose

$$h(X) = \varinjlim \text{Hom}(X, E_i)$$

where  $h(E_i) \in P(A)$ .

Then  $P = \varinjlim h(E_i)^\vee$ .

### 4. ~~Products~~ ~~Digraphs~~ Tensor products

<p><math>A</math> commutative</p> <p><math>P \times P'</math></p> <p><math>\text{Com}(P) \times \text{Com}(P') \longrightarrow \text{Com}(P \times P')</math></p>
---

~~Diagram illustrating the relationship between the commutators of the tensor product of two coalgebras and the tensor product of their commutators.~~

### 5. Affine categories + ~~algebras~~

<p>define <math>\otimes</math>-algebras over <math>A</math>.</p> <p><math>\text{Com}(P) =</math> a tensor category.</p>	<p>represent functors</p> <p><del>antipode</del> or inversion</p> <p>ass. comm. unit. <math>\otimes</math></p>
---	--

Prop:  $h: A \rightarrow \text{Mod } A$   $\otimes$ -functors, then  $\text{End}^{\otimes} h$  is an affine category.

## (C) Affine groupoids and existence of an antipode

~~Algebra~~ Cohomology operations

A stable finite homot. cat

$$h^*: \mathcal{A}^0 \rightarrow \text{gr Mod } h^*(pt)$$

$h$  gen. coh. theory with products

$$h^*(X) = \varinjlim_i {}^* \{X, E_i\} \quad \Bigg| \quad \text{where } h^*(E_i) \text{ proj. f.t.}$$

~~Algebra~~

$$P = \varinjlim_i h^*(E_i) \quad \text{~~is a projective module~~$$

moreover  $\exists$  canonical maps

$$h^*(X) \longrightarrow P \otimes_A h^*(X)$$

$$h^*(X) = \varinjlim_i \text{Hom}^*(X, E_i)$$

$$\longrightarrow \varinjlim_i \text{Hom}_{\text{mod gr}(h^*(pt))}(h^*E_i, h^*X)$$

$$\cong \varinjlim_i h^*(E_i) \otimes_{h^*(pt)} h^*(X)$$

$$P \otimes_A h^*(X)$$

Conclusion If  $F$  is a gr  $h^*(pt)$  module, then

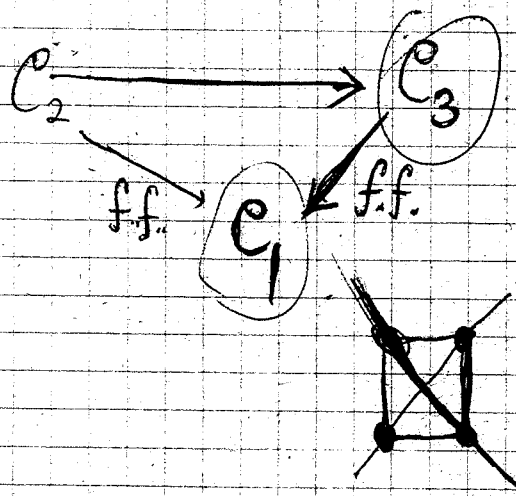


Definition: Given  ~~$(A, P)$~~  an affine category  $(A, P)$  we say that two morphisms  $A \xrightarrow{u} R$  and  $v: A \rightarrow S$  are equivalent if the extended affine categories  $(R, R \otimes_{A \otimes_A} P \otimes_{A \otimes_A} R)$  and  $(S, S \otimes_{A \otimes_A} P \otimes_{A \otimes_A} S)$  are equivalent.

The reason for this definition is as follows. Let  $h: A \rightarrow \text{Com } P$  be a tensor functor. Then the extended functors  $h_u$  and  $h_v$  determine each other. In effect we are given

$$f: R \rightarrow S$$

$$f_1: R \otimes P \otimes R \rightarrow S \otimes P \otimes S$$



The basic question is it represents no



Lemma: ~~...~~  $P$  has unique ring structure  $\Rightarrow$

$\gamma: h \rightarrow P \otimes h$  compatible with products i.e.

$$\begin{array}{ccc}
 h(X) \otimes h(Y) & \xrightarrow{\gamma \otimes \gamma} & (P \otimes hX) \otimes (P \otimes hY) \\
 \downarrow & & \downarrow \\
 h(X \otimes Y) & \xrightarrow{\gamma} & P \otimes h(X \otimes Y) \\
 & & \downarrow \\
 & & P \otimes h(X \otimes Y)
 \end{array}$$

commutes. Moreover  $P$  is commutative + associative

with unit. ~~...~~ Finally if  $R$  is an  $A, A$  alg, then a map of  $A, A$  modules  $P_2 \rightarrow R_2$  is a ring homomorphism iff  $h \rightarrow R \otimes h$  is compatible with tensor product.

Proof: ~~...~~ Uniqueness of ring structure. Suppose given  $\mu: P * P \rightarrow P \Rightarrow$  diag. commutes. Consider subcat gen. by  $E_i$ ; then  $hX \otimes hY \cong R(X \otimes Y)$  isom so  $\#$  isom so have ~~...~~ transf  $hX \otimes hY \rightarrow P \otimes hX \otimes hY$  which by above corresponds to ~~...~~ the map.

$$\mu_P: P * P \rightarrow P$$

shows  $\mu_P$  unique + how to define it. To show when this defined, diagram above commutes for all  $X, Y$ . But we know it commutes if  $X = E_i$  (resp  $Y = E_j$ ) and any element of  $h(X)$  (resp  $h(Y)$ ) comes from  $h(E_i)$  (resp  $h(E_j)$ ) by maps  $X \rightarrow E_i$  (resp.  $Y \rightarrow E_j$ )

Thus  $\mu_P$  exists. To show  $P$  commutative we compare the ~~...~~ maps

$$hX \otimes hY \rightarrow h(X \otimes Y) \cong h(Y \otimes X) \rightarrow P \otimes h(Y \otimes X) \cong P \otimes hY \otimes hX \cong P \otimes hX \otimes hY$$

etc.

So the present attempt at proof runs as follows:

$$\text{Given } {}_1hX \otimes {}_1hX' \longrightarrow {}_1F_2 \otimes_2 {}_2hX \otimes_2 hX'$$

fix  $X$  whence by the universal property of  $\mathcal{F}$  it can be expressed uniquely as a composition

$${}_1hX \otimes {}_1hX' \xrightarrow{\text{id} \otimes \mathcal{F}'} {}_1hX \otimes_1 P'_2 \otimes_2 hX' \xrightarrow{\mathcal{S}^{(X)} \otimes \text{id}} {}_1F_2 \otimes_2 {}_2hX \otimes_2 hX'$$

where

$$\mathcal{S}^{(X)}: {}_1hX \otimes_1 P'_2 \longrightarrow {}_1F_2 \otimes_2 {}_2hX$$

is a  $A$ -bimodule map, which is natural in  $X$  by uniqueness.

Thus by the universal property of  $\mathcal{F}$ ,  $\mathcal{S}^{(X)}$  can be uniquely expressed as a composition

$${}_1hX \otimes_1 P'_2 \xrightarrow{\mathcal{F} \otimes \text{id}} {}_1P_2 \otimes_2 {}_2hX \otimes_1 P'_2 \xrightarrow{\text{id} \otimes \mathcal{T}} {}_1P_2 \otimes_1 P'_2 \otimes_2 {}_2hX$$

$$\downarrow \mu \otimes \text{id}$$

$${}_1F_2 \otimes_2 {}_2hX$$

where  $\mu: {}_1P_2 \otimes_1 P'_2 \longrightarrow {}_1F_2$  is an  $A$ -bimodule map. Putting these together we find that  $\Theta$  may be uniquely expressed as the composition

$${}_1hX \otimes {}_1hX' \xrightarrow{\mathcal{F}''} ({}_1P_2 \otimes_2 {}_2hX) \otimes_1 (P'_2 \otimes_2 hX') \xrightarrow{\mu \otimes \text{id} \otimes \text{id}} {}_1F_2 \otimes_2 {}_2hX \otimes_2 hX'$$

where  $\mathcal{F}''$  is the composition

$${}_1hX \otimes {}_1hX' \xrightarrow{\mathcal{F} \otimes \mathcal{F}'} ({}_1P_2 \otimes_2 {}_2hX) \otimes (P'_2 \otimes_2 hX') \xrightarrow{\text{id} \otimes \text{id}} ({}_1P_2 \otimes_1 P'_2) \otimes ({}_2hX \otimes_2 hX')$$

Therefore  $\text{End}^A(h \otimes h')$  is representable by  ${}_1P_2 \otimes_1 P'_2$  as claimed. According to prop. it has a dicalgebra structure which we have

Notes on G's notes of my stuff.

1. Formal cato, the definition,
2. ~~the~~ Complexes of DR in general, def.
3. Lie algebra assoc to DR ex. 1

W1

~~Class attention for the final details of structures of generating fun~~

clean up

~~the following~~

operations  $h: \mathcal{A}^0 \rightarrow \text{Mod } A$

assume that  $\exists$  ind object  $\{E_i, i \in I\}$  of  $\mathcal{A}$  such that

$$h(X) = \varinjlim_i \text{Hom}_{\mathcal{A}}(X, E_i)$$

and that  $h(E_i) \in \mathcal{P}(\mathcal{A})$ .

work over a base  $X$   
and establish  
 $\varprojlim_E \Omega(\mathcal{P}(E)) =$   
~~the following~~

Lemma 1: For every  $\mathcal{A}^0$ -module  $F$

$$\text{Hom}_{\text{Hom}(\mathcal{A}^0, \text{ab})}(h, F \circ h) = \text{Hom}_{\mathcal{A}^0}(P, F)$$

where  $P = \varprojlim_i h(E_i)^\vee$

$h(E_i)^\vee = \text{Hom}_A(h(E_i), A)$

Proof:

$$\text{Hom}_{\text{Hom}(\mathcal{A}^0, \text{ab})}(h, F \circ h) = \varinjlim_i \text{Hom}(\text{Hom}(\cdot, E_i), F \circ h)$$

$$= \varinjlim_i F \circ h(E_i) = \varprojlim_i \text{Hom}_{\mathcal{A}^0}(h(E_i)^\vee, F)$$

$$= \text{Hom}_{\mathcal{A}^0}(P, F)$$

Question: Does  $\exists$  a direct proof.

$$h: \mathcal{A}^{\circ} \rightarrow \text{Mod}_R(A)$$

$$h(x) \otimes_A h(y) \rightarrow h(x \cdot y)$$

suppose that

$$h^*(X) = \varinjlim_i \text{Hom}^*(X, E_i)$$

for any  $A$ -module  $F$

$$\text{Hom}_Z^*(h^*, F \otimes h^*) = \varinjlim_i F \otimes h^*(E_i)$$

Yoneda

$$= \varinjlim_i \text{Hom}_A(h^*(E_i), F)$$

$$= \text{Hom}_A(P, F)$$

where  $P = \varinjlim_A \text{Hom}(h^*(E_i), A)$

Structure of  $P$ : Call ~~above~~ the right  $A$ -module structure

(i) left st.

$$\begin{array}{ccc} h & \xrightarrow{\gamma} & P \otimes h \\ \downarrow a & & \downarrow \text{id} \otimes a \\ h & \xrightarrow{\gamma} & P \otimes h \end{array}$$

Thus  $P$  is a bimodule. Check

$$\text{Hom}_A(h, B \otimes h) = \text{Hom}_{A,A}(P, B)$$

(ii)  $h \xrightarrow{\text{id}} A \otimes h \Rightarrow \varepsilon: P \rightarrow A$

(iii)  $h \xrightarrow{\gamma} P \otimes h \xrightarrow{\text{id} \otimes \gamma} P \otimes P \otimes h \Rightarrow \Delta: P \rightarrow P \otimes_A P$



Proposition: Let  $A$  be a commutative ring and let

$$h: A \rightarrow \text{Mod } A$$

$$h': A' \rightarrow \text{Mod } A$$

be functors such that  $\text{End } h$  (resp.  $\text{End } h'$ ) is represented by the dicogebra  $P$  (resp.  $P'$ ). ~~Let~~ ~~Let~~

$$h \otimes h': A \times A' \rightarrow \text{Mod } A$$

be the functor  $X, X' \mapsto hX \otimes hX'$ . Then  $\text{End}(h \otimes h')$  is ~~also~~ also represented by the dicogebra  $P * P'$  defined as follows

$P * P'$  as an  $A$ -bimodule is the tensor product

$$\langle P \rangle_{1,2} \otimes_{A \otimes A'} \langle P' \rangle_{1,2}$$

Thus  $P * P'$  is generated by elts  $p \otimes p'$   $\Rightarrow$

$$\begin{cases} ap \otimes p' = p \otimes ap' \\ pa \otimes p' = p \otimes p'a \end{cases}$$

and having the universal property associated with these identities

$$\left\{ \begin{array}{l} \varepsilon_{P * P'}(p \otimes p') = \varepsilon_{P'}(p) \varepsilon_P(p') \\ \Delta_{P * P'} \end{array} \right. \quad \langle P \rangle_{1,2} \otimes_{A \otimes A'} \langle P' \rangle_{1,2} \longrightarrow$$

~~Then~~ Make this affine category act on  $\Omega$  as follows.  
~~Make this affine category act on  $\Omega$  as follows.~~

Given a group law  $F$  over a ring  $R$ , let

$$\Omega_F = R \otimes_A \Omega$$

where  $u: A \rightarrow R$  sends  $F_{univ}$  into  $F$ . Given another law  $F'$  and a power series  $p(x) \neq p * F = F'$ , one knows there exists a unique multiplicative transformation

$$\hat{p}: \Omega \longrightarrow \Omega_{F'}$$

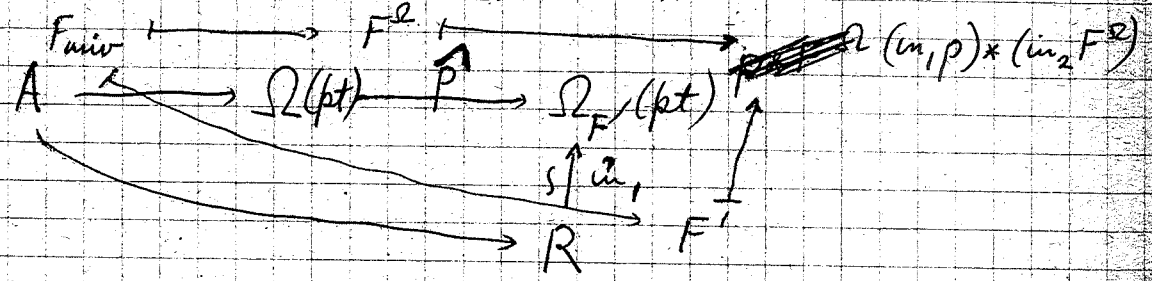
such that

$$\hat{p}(c_{1,L}^\Omega) = \cancel{c_{1,L}^\Omega} (in_1, p)(in_2, c_{1,L}^\Omega).$$

Here

$$R \xrightarrow{in_1} \Omega_F \xleftarrow{in_2} \Omega$$

are the inclusions. Moreover one notes that



commutes. Consequently  $\hat{p}$  induces

$$\Omega_{F'} \longrightarrow \Omega$$

Let  $\mathcal{A}$  = suspension category of finite complexes  
 and let  $h^*$  be a generalized cohomology theory with products  
 on the category of finite complexes. Let  $A = h^*(pt)$ . Denote  
 by

$$h: \mathcal{A} \longrightarrow \text{Modgr}(A)$$

the functor induced by  $X \mapsto h(X)$ . There is a natural  
 transformation

$$h(X) \otimes_A h(Y) \longrightarrow h(X \wedge Y)$$

satisfying ~~some~~ (rather obvious) ~~conditions of~~ associativity,  
 unit, and commutativity conditions.

Let  $\mathcal{A}$  be the suspension category of finite CW complexes  
 and let  $h$  be a generalized cohomology theory with products  
 on the category of finite complexes. ~~Let  $A$  be the graded (anti)commutative ring  $h^*(pt)$ .~~  
 Then  $h$  may be viewed  
 as ~~an additive functor~~ an additive functor

$$h: \mathcal{A} \longrightarrow \text{Modgr}(A)$$

endowed with a natural transformation

$$(\ ) \quad h(X) \otimes_A h(Y) \longrightarrow h(X \wedge Y)$$

~~...~~ satisfying some  
 rather obvious associativity, unit, and commutativity conditions.

If  $R$  is a commutative (anti)commutative ring, ~~then~~ let  
~~...~~  $(\text{End}^{\otimes} h)(R)$  be the category whose objects are



~~ring homomorphism  $u: A \rightarrow R$  and where a morphism from  $u$  to  $v$  is defined to be a natural transformation  $\theta$  from  $h_u$~~

If  $R$  is a <sup>(anti-)</sup>commutative ~~non~~ graded ring we define a category  $(\text{End}^{\otimes} h)(R)$  as follows. For objects we take the sets of morphisms  $u: A \rightarrow R$  of (anti-comm. graded) rings. Given such a  $u$  let

$$h_u: A \rightarrow \text{Modgr}(R)$$

be the functor  $X \mapsto R_u \otimes_A h(X)$ , where  $R_u$  denotes  $R$  ~~as a~~ ~~module~~ ~~over~~  ~~$A$~~  ~~endowed~~ ~~with~~ ~~the~~  ~~$A$ -algebra structure coming via  $u$ .~~

~~Observe that~~  $h_u$  is a tensor functor, ~~with~~ i.e. provided with a natural transformation

$$(*) \quad h_u(X) \otimes_R h_u(Y) \rightarrow h_u(X \wedge Y)$$

~~Define a morphism~~  
 ~~$\theta: h_u \rightarrow h_v$~~   
~~to be a natural transformation~~  
 ~~$\theta: h_u \rightarrow h_v$~~   
~~which is~~  
~~compatible with the tensor~~  
~~structure,~~  
~~i.e. the natural~~  
~~transformation~~  
~~(\*)~~

We define a morphism in  $(\text{End}^{\otimes} h)(R)$  from  $u$  to  $v$  to be a stable  $R$ -linear natural transformation  $\theta: h_u \rightarrow h_v$  which is ~~stable~~ compatible with the tensor ~~structure~~ structure, i.e. the natural transformation (\*).

We wish to prove that the functor  $\text{End}^{\otimes} h$  from rings to categories is represented by a quasi-bialgebra  $P$  over  $A$ . For this we need the following condition signalled by Adams in connection with ~~the~~ generalizations of the Adams spectral

sequence [ ].

③

(\*\*) There is ~~is~~ a filtered inductive system  $E_i$  in  $A$  such that for all  $X$

$$h(X) = \varinjlim_i \pi^*(X, E_i)$$

and such that for each  $i$ ,  $h(E_i)$  is a finitely generated projective  $A$ -module.

Theorem: Suppose  $h$  is a generalized cohomology theory with products satisfying (\*\*). Then the ~~the~~ functor  $\text{End}^{\otimes h}$  is represented by a quasi-algebra  $P$  over  $A$ . Moreover if  $M$  is an  $A$ -module, then

$$\text{Hom}_{\mathbb{Z}}^*(\bullet \otimes h, M \otimes_A h) \cong \text{Hom}_A^*(P, M)$$

### Endomorphisms of a tensor functor.

Suppose that  $A$  is commutative and that

$$h: \mathcal{A} \rightarrow \text{Mod}_{\text{gr}}(A)$$

is a functor. Suppose that

Proof: (In outline) Yoneda's lemma

$$\begin{aligned} \text{Hom}_{\mathbb{Z}}^*(h, M \otimes h) &\stackrel{\text{Yoneda's lemma}}{=} \varprojlim_i M \otimes_A h(E_i) \\ &= \varprojlim_i \text{Hom}_A^*(h(E_i)^\vee, M) \\ &= \text{Hom}_A^*(P, M) \end{aligned}$$

where

$$P = \varprojlim_i h(E_i)^\vee$$

Let this <sup>A-module</sup> structure of  $P$  be called the right one. To define the left one let  $a \in A^0$ . Then  $\exists! a \# \gamma \Rightarrow$

$$\begin{array}{ccc} hX & \xrightarrow{\gamma} & P \otimes hX \\ a \cdot \downarrow & & \downarrow a \cdot \text{id} \\ hX & \xrightarrow{\gamma} & P \otimes hX \end{array}$$

Thus  $P$  becomes an  $A$ -bimodule. Check that if  $M$  is an  $A$ -bimodule then

$$\text{Hom}_A^*(h, M \otimes h) = \text{Hom}_{A,A}^*(P, M)$$

An effect ~~map~~  $\leftarrow$  clear and given  $\theta: hX \rightarrow M \otimes hX$  left  $A$ -linear, let  $P \xrightarrow{u} M$  be the right linear map  $\cdot \triangleright \theta = (u \otimes id)$ .  
 Then if  $a \in A^b$  ~~we have~~  $(a u \otimes id) \triangleright X = a \theta X = \theta a X = (u \otimes id) \triangleright a X = (u \otimes id) \triangleright a X \rightarrow$  ~~we have~~  $u(a p) = a u(p)$ .

Define product structure on  $P$  as follows: Start with

~~we have~~

$$h(X \times Y) \xrightarrow{\gamma} P_2 \otimes_2 h(X \times Y)$$

Use [A] Let ~~we have~~  $\mathcal{A}' \subset \mathcal{A}$  be the full subcategory containing the  $E_i$  <sup>(and suspensions)</sup>. Then

$$\text{Homst}_2(h/\mathcal{A}', M \otimes h/\mathcal{A}') \cong \text{Hom}_A(P, M)$$

(same argument)

[B] On this subcategory

$$h(X \times Y) \xleftarrow{\sim} hX \otimes_A hY$$

so that ~~we have~~ we have

$$hX \otimes hY \xrightarrow{\quad} \quad$$

Claim:  $\exists ! \mu: P_2 \otimes_{1,2} P_2 \rightarrow P_2$   $\triangleright$

$$\begin{array}{ccc}
 hX \otimes hY & \xrightarrow{\gamma \otimes \gamma} & (P_2 \otimes_2 hX) \otimes (P_2 \otimes_2 hY) \xrightarrow{id \otimes id} (P_2 \otimes_{1,2} P_2) \otimes (hX \otimes_2 hY) \\
 \downarrow & & \downarrow \mu \otimes id \\
 h(X \times Y) & \xrightarrow{\gamma} & P_2 \otimes_2 h(X \times Y) \xleftarrow{\square} P_2 \otimes_2 (hX \otimes_2 hY)
 \end{array}$$



To prove the claims we first work on the category  $\mathcal{A}'$ . Then the map  $\square$  is an isom so we have

$$\theta: \underline{hX} \otimes \underline{hY} \longrightarrow \underline{P_2} \otimes \underline{hX} \otimes \underline{hY}$$

~~Fix~~ Fix  $X$ ; by ~~the~~ universal property of  $\underline{P_2}$   $\theta$  comes from

$$\underline{hX} \otimes \underline{P_2} \longrightarrow \underline{P_2} \otimes \underline{hX}$$

which also comes from

$$\mu: \underline{P_2} \otimes \underline{P_2} \longrightarrow \underline{P_2}$$

~~It's~~ It's clear that square  $\square$  commutes if  $X, Y \in \mathcal{A}'$  but holds in general since any element  $x \in \underline{hX}$  is induced by a map  $X \rightarrow E_i$ .

So now I've shown  $\mu$  exists. Next to show  $\mu$  is associative structure. ~~Associativity~~: Start with

$$\underline{hX} \otimes \underline{hY} \otimes \underline{hZ} \longrightarrow$$

~~$$\underline{hX} \otimes (\underline{hY} \otimes \underline{hZ}) \longrightarrow \underline{hX} \otimes \underline{h(Y \otimes Z)}$$~~

May 19, 1969

Problem: Given a formal category find an appropriate category of modules.

Example: If  $A$  a field + if  $\dim_A \Omega < \infty$  then  $P = \varprojlim P_n$  is left (also right) pseudo compact and we know by previous work that pseudo compact  $A$  modules with  $P$  coaction form a good category of modules. More particular if  $\text{char } A = 0$  +  $\Omega = \mathfrak{g}'$  of a lie algebra, then the ~~correct~~ correct category is pseudo compact  $\mathfrak{g}$ -modules. This is the dual of the locally noetherian category of  $\mathfrak{g}$ -modules. The Artinian objects of p.c.  $\mathfrak{g}$ -mods seem to be the Artin-Rees category I constructed earlier.

Other possibility: Let  $\mathcal{C}$  be the topos of covariant functors from  $\text{Th}(A)$  to  $\text{Sets}$ . ~~Then the~~ formal category gives me <sup>(a category)</sup> ~~objects~~ objects of  $\mathcal{C}$ . Observe  $\mathcal{C}$  is a ringed topos with  $\mathcal{O}(R) = R$ ; let  $\mathcal{E}$  be the fiber category of  $\mathcal{O}$ -modules over objects of  $\mathcal{C}$ . Thus if  $F \in \text{Ob } \mathcal{C}$  an  $\mathcal{O}_F$ -module ~~is~~ is a rule associating to  $\xi \in F(R)$  an  $R$ -module  $M_\xi$  such that given  $R \rightarrow R'$  with  $\xi \mapsto \xi' \in F(R')$  then we have a dihomomorphism  $M_\xi \rightarrow M_{\xi'}$ . For example if  $M$  is an  $A$ -module.

$$F(R) = \text{Hom}_{\text{Th}}(A, R)$$

$$M_\xi = R \otimes_A M$$

and these are the "constant  $\mathcal{O}$ -modules".



# Program of research in cobordism theory.

Some basic cleaning up required in

- 1) Equivariant cobordism | the formal group law picture [ projective bundle theories for a compact non-abelian group
- 2) Real cobordism [ symplectic self conjugate SO theory
- 3) Supports and cobordism theory over a base.

hypercategories + homotopification. Introducing ~~algebraic~~ cohomology theories with values in triangulated cats.

Critical problem: Find an algebraic model for the category of  $\mathcal{U}$  motives

Your key somehow is K theory. You must try to understand everything about cobordism + K theory!

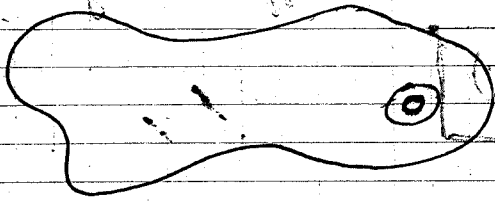
\* holds

$P \otimes P$

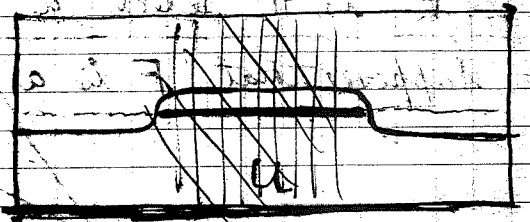
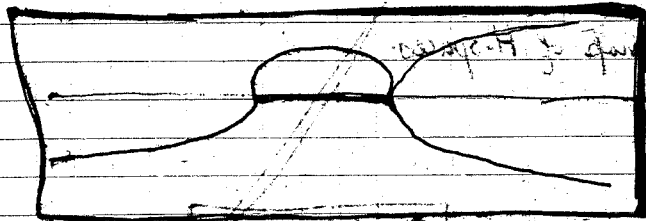
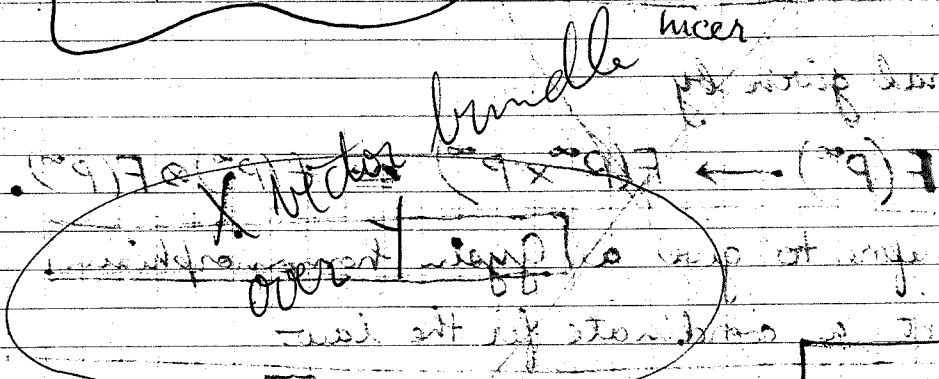
It might be possible to define multiplication

this way. Still you have to ~~construct a map~~

Relate  $\text{Hom}(P, R)$  to a  $\text{End}(h_1, h_2)$

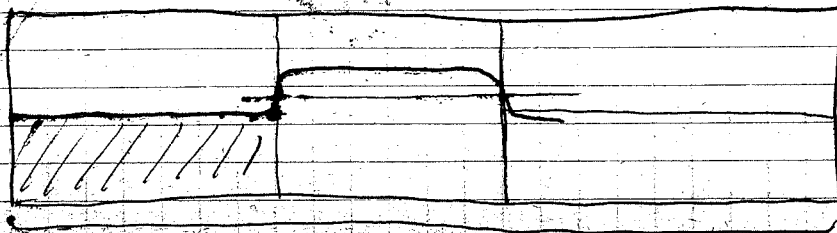


question whether one can replace  $Y$  by something nicer



$X \times \mathbb{R}$

$Y \times 0$



$X$

$g$  acts  $\theta_g: \Omega_G \rightarrow \Omega_G \otimes_{\mathbb{Z}} R$  hom

also we want

$$\begin{aligned}(g_1 \cdot g_2) \sigma &= g_1 (g_2 \sigma) \\ &= \chi(g_2) \chi(g_1) \sigma\end{aligned}$$

thus

$$\theta_{g_1 g_2} = \theta_{g_1} \circ \theta_{g_2} =$$

so need

$$\begin{aligned}\theta_{g_1} \theta_{g_2} c_1(L) &= \theta_{g_1} f_{g_2}(c_1(L)) \\ &= (\theta_{g_1} f_{g_2})(f_{g_1}(c_1(L)))\end{aligned}$$

thus I need

$$(\theta_{g_1} f_{g_2}) \circ f_{g_1} = f_{g_1 g_2}$$

~~any obvious candidates~~

any ideas. have to produce a ~~simple~~<sup>can</sup> element  
in  $F[X] / \prod_x (X - c_i(x))^{n_x}$

associated to a homomorphism  $\hat{G} \rightarrow R^*$

---

need something more

to each  $\chi$  have  $\chi(g) \in R^*$

and  $\chi$

---

we

need

$f(x)$

$\hat{G}$  acts

= ~~a~~ a  $G$  action on  $\Omega$

---

want a  $G$ -action on  $\Omega_G$  is a  $\hat{G}$ -grading

$$\Omega_G = \sum V_\chi$$

$$V_\chi \cdot V_{\chi'} \subset V_{\chi\chi'}$$

$$g(\sigma \cdot \omega) \stackrel{?}{=} g\sigma \cdot g\omega$$

~~the~~

$$\chi(g)\chi'(g) \cdot \sigma \cdot \omega$$

must have

$$(\Omega_G \otimes_{\mathbb{Z}} R)^* \longleftarrow K_G \quad ?$$

$$G^{\wedge} \longrightarrow R^*$$

$$\boxed{\text{Pic}_G \longrightarrow \Omega_G \otimes_{\mathbb{Z}} R}$$

need a map (not a hom)

$$\text{Pic}_G \longrightarrow (\Omega_G \otimes_{\mathbb{Z}} R)^*$$

$$\text{Pic}_G(X) = \varinjlim_{\check{V}} [X, P\check{V}]$$

hence we need an element of

$$\varprojlim_{\check{V}} (\Omega_G(P\check{V}) \otimes_{\mathbb{Z}} R)$$

$$= \left[ \underbrace{(\Omega_G(\text{pt}) \otimes_{\mathbb{Z}} R)}_{\Gamma} \{X\} \right]^*$$

$$\varprojlim_{\check{V}} \left( \Gamma[X] / \prod_x (X - c_i(x))^{n_x} \right)^*$$

same as something  $f(x) \in \Gamma\{X\} \ni$   
 $f(c_i(x)) \in \Gamma^*$  all  $x$ .

grading cobordism by means of rep.

to define an action of  $G$  on  $\Omega$  i.e.  
to each  $g \in G(R)$  want a map

$$u(g): \Omega_G \rightarrow \Omega_G \otimes_{\mathbb{Z}} R \quad \text{auto}$$

$\therefore$  should arise from a char. class i.e. ~~an element~~

a map

$$K_G \rightarrow \Omega_G \otimes_{\mathbb{Z}} R \quad \text{sends sums to products}$$

$\text{Pic}_G(X)$

first example is

$$E \mapsto a^{\dim E}$$

want something  $\text{Pic}_G(X) \rightarrow \Omega_G \otimes_{\mathbb{Z}} R$   
Gabelian

$$R(G) = \mathbb{Z}[\hat{G}] \quad \text{K}_G(\text{pt})$$

thus have a hom  $R(G) \rightarrow R$

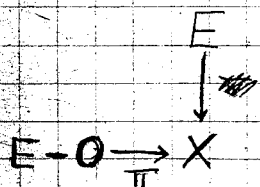
$$\mathbb{Z}[\hat{G}] \rightarrow R$$

$$x_i \mapsto \chi(g)$$

$$\Omega_G \otimes_{\mathbb{Z}} R \leftarrow K_G$$



# Chern classes via a sphere bundle argument



vector bundle of dim  $n$

~~\*\*\*\*\*~~

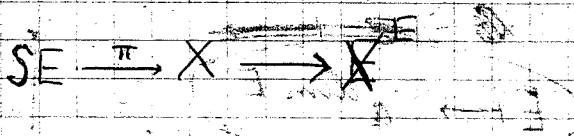
$$\pi^*E = 1 + F$$

Given Chern classes ~~of  $F$~~  of  $F$  as known



one has to hope that

$$\pi^* H(X) \xrightarrow{\sim} H(SE) \text{ in a range.}$$



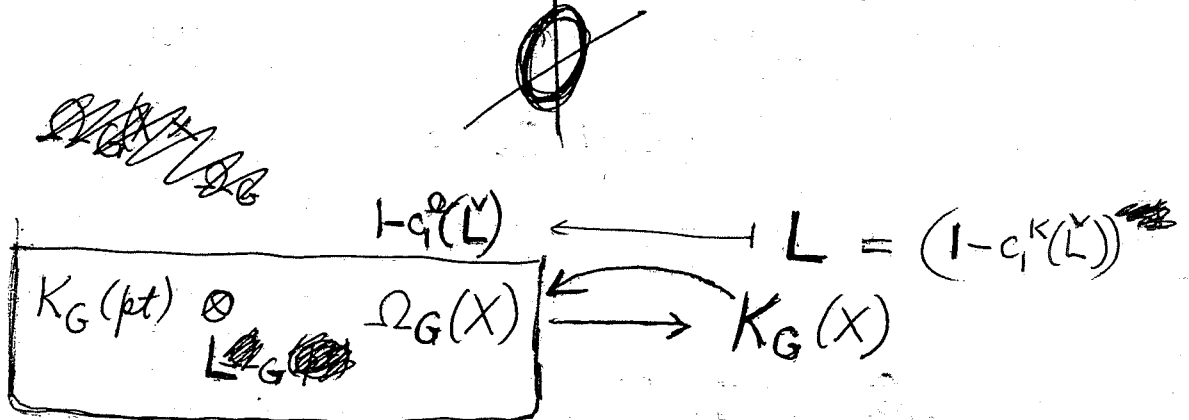
$$\begin{array}{ccc}
 \Omega(SE) & \leftarrow & \Omega(X) \leftarrow \Omega(X \times E) \\
 & & \uparrow \text{SI} \\
 & & \Omega(X)
 \end{array}$$

$\text{UC}_n(E)$

$$\begin{array}{ccc}
 R & \leftarrow & R \\
 R & \leftarrow & R \\
 R & \leftarrow & R \\
 R & \leftarrow & R
 \end{array}$$

Cannor-Floyd thm. in equivariant cobordism theory

$$\Omega_G \longrightarrow K_G \quad \text{via Atiyah } \text{Segal.}$$



has universal property wrt

these  $L_G$  should be the universal thing for  
the equivariant group law ~~XXXXXX~~

oughta work

What is  $L_G$  ????????



$$\begin{array}{ccc} X' & \xrightarrow{g'} & X'' \\ \downarrow f' & & \downarrow f \\ Y' & \xrightarrow{g} & Y \end{array}$$

$$g'^* \nu_f \cong \nu_{f'} \quad \text{in strong sense} \\ \therefore \text{in weak.}$$