

# Kallis's thesis - a Summary

Let  $\mathfrak{G}$  be a complex ~~reductive~~<sup>semisimple</sup> Lie algebra,  $G$  its adjoint

group. Let  $\Theta$  be an algebra automorphism of order 2 of  $\mathfrak{G}$ .

Let  $\mathfrak{G} = k \oplus p$  be the corresponding decomposition of  $\mathfrak{G}$  induced by  $\Theta$  where  $k, p$  are the +1, -1 eigenspaces of  $\Theta$ .

Let  $K_\Theta$  be the subgroup of  $G$  of the elements which commute with  $\Theta$ . If  $K$  is the Lie subgroup of  $G$  corresponding to the Lie algebra  $k$ , then  $K$  is the identity component of  $K_\Theta$ . In fact  $K_\Theta$  is a reductive algebraic group and has at most a finite number of components.

We note that there will be a real form  $\mathfrak{G}_{\mathbb{R}}$  of  $\mathfrak{G}$  stable under  $\Theta$  and hence  $\mathfrak{G}_{\mathbb{R}} = k_{\mathbb{R}} \oplus p_{\mathbb{R}}$  will be a Cartan decomposition of  $\mathfrak{G}_{\mathbb{R}}$  where  $\mathbb{C} \otimes k_{\mathbb{R}} = k$ ,  $\mathbb{C} \otimes p_{\mathbb{R}} = p$ . If  $\mathfrak{O}_{\mathbb{R}}$  is a maximal Abelian subalgebra of  $p_{\mathbb{R}}$  we let  $O = \mathbb{C} \otimes \mathfrak{O}_{\mathbb{R}}$ . Then  $F = \{a \in \exp_G(\alpha) \mid a^2 = 1\}$  is a finite group of order  $2^r$  ( $\dim O = r$ ) and  $F$  normalizes  $K$  and we have in fact that  $K_\Theta = F \cdot K$ .

There is a natural representation of  $K_\Theta$  on the space  $p$ .

This extends to an action of  $K_\Theta$  as algebra automorphisms on  $S(p)$ .

= the affine algebra of polynomials on  $p$ . If  $J =$  ring of  $K_\Theta$  invariants in  $S(p)$  we know that  $J = \mathbb{C}[u_1, \dots, u_r]$  where the  $u_i$  are algebraically

+ homogeneous  
independent (indeed the  $K_\theta$  invariants =  $K$  invariants in  $S(\mathfrak{p})$ )

are determined by their restriction to  $S(\mathfrak{o})^{W'}$ ,  $W'$  = the restricted Weyl group of  $\mathfrak{o}$ ,  $S(\mathfrak{o})^{W'} = W'$  invariants in  $S(\mathfrak{o})$ ,

i.e.  $J \xrightarrow{\text{rest.}} S(\mathfrak{o})^{W'}$  is an algebra ~~automorphism.~~ <sup>iso</sup>.

We are going to give a detailed description of the  $K_\theta$  orbits in  $\mathfrak{p}$ , structure of the ring  $J$  and the representation theory of  $K$  on the ring  $S(\mathfrak{p})$ .

### I. Semisimple Elements

We recall that any  $x \in J$  has a Jordan decomposition into  $x = x_s + x_n$  ( $\text{ad } x_s$  is semisimple,  $\text{ad } x_n$  is nilpotent) ~~such that~~.

Then it follows that  $xx \in \mathfrak{p}$  implies  $x_s, x_n \in \mathfrak{p}$  by uniqueness of Jordan decomposition.

Now define a Cartan subspace of  $\mathfrak{p}$  to be a maximal Abelian subalgebra of  $\mathfrak{p}$  all of whose elements are semisimple. As in the case of Cartan subalgebras in complex semisimple Lie algebras we have

Proposition 1.1 Any two Cartan subspaces are conjugate via  $K_\theta$  (in fact by  $K$ ). Any semisimple element can be imbedded in a Cartan subspace. And  $\mathfrak{Q} = \mathbb{C} \otimes \mathfrak{Q}_R$  (defined above) is a Cartan subspace,

As a corollary we obtain the usual conjugacy of Cartan subalgebras in complex semisimple Lie algebras.

We call a  $K_\theta$  orbit  $\mathcal{O}$  in  $P$  semisimple if it consists of semisimple elements. Let  $\mathcal{O}_s$  be the collection of these orbits.

We recall the  $u_i$  defined above. We consider the algebraic morphism: (\*)  $u: P \rightarrow \mathbb{C}^r$   $u(x) = (u_1(x), \dots, u_r(x))$ . Since the  $u_i$  are  $K_\theta$  invariant they are constant on any  $K_\theta$  orbit and hence we have

Proposition 1.2 The map induced by (\*)  $u_s: \mathcal{O}_s \rightarrow \mathbb{C}^r$

is a bijection. The (Zariski or Euclidean) closed  $K_\theta$  orbits are exactly the semisimple orbits. In fact if  $x \in P$  is semisimple then  $K_\theta(x) = K(x)$  is a connected closed algebraic set.

## II. Regular Elements of $P$

We call  $x \in P$  regular if  $\dim K_\theta(x) = \max_{y \in P} \dim K_\theta(y)$  (here dim is Zariski dimension). Clearly the set  $R$  of regular elements is an open dense subset of  $P$ .

For any  $x \in P$  we let  $\mathcal{O}(x) = \{u \in \mathcal{O} \mid [u, x] = \mathcal{O}\}$ ,  $k(x) = \bigcap_{u \in \mathcal{O}(x)} k_u$ . Then  $\mathcal{O}(x) = k(x) \cap P(x)$ . We have the following criterion for regularity.

Theorem 2.1  $x \in p$  is regular iff  $\dim k^{(x)} = \dim M = m$

(where  $M$  = Centralizer in  $k$  of  $g(x)$  of Prop. 1.1) iff  $\dim p^{(x)} = r$

iff  $\dim g(x) = m+r$ . In fact for any  $x \in p$ ,  $\dim p^{(x)}$  -

$\dim k^{(x)} = r-m$ . And  $x \in p$  is regular and semisimple iff  $p^{(x)}$  is a Cartan subspace.

(We remark here that the fact that  $\dim p^{(x)} - \dim k^{(x)} = r-m$  for any  $x \in p$  follows from the fact that  $k/k^{(x)} \oplus p/p^{(x)} = g/g(x)$  and that  $k/k^{(x)}, p/p^{(x)}$  will be maximal isotropic subspaces for the nonsingular alternating form induced on  $g/g(x)$  by the Killing form, i.e.  $(a, b) = B([x, a], b), a, b \in g$ ).

### III. Nilpotent Elements of $p$

If  $\eta$  is the set of nilpotent elements in  $p$  it is clear that  $u^{-1}(\eta) = \eta$ . Then  $\eta$  is a closed algebraic set.

If  $e \neq 0$   $e \in p$  is nilpotent we recall from the Jacobson-Morosov Theorem that there exist  $x, f \in g$  so that  $x, e, f$  is the base of a three dimensional simple (TDS) Lie algebra where the bracket relations are  $[x, e] = 2e, [x, f] = -2f, [e, f] = x$ .

We sharpen this result by choosing  $x \in k, f \in p$ . We call such a base  $\{x, e, f\}$  a normal S triple.

If we let  $K$  act on the set of all normal  $S$  triples then we have a bijective correspondence between  $K$  conjugacy classes of normal  $S$  triples and  $K$  orbits of nilpotents. This implies that there will be only a finite number of  $K$  orbits in  $\mathcal{N}$  since for a normal  $S$  triple  $\{x, e, f\}$  the eigenvalues of  $\text{ad } x$  are integral and bounded in absolute value by  $\dim G$ . Hence there are a finite number of  $K$  orbits in  $\mathcal{N}$ .

Proposition 3.1  $\mathcal{N}$  is a union of a finite number of sets  $\overline{O_e}$   $i=1, \dots, k$  (Zariski or Euclidean closure) where  $\overline{O_e}$  is an irreducible component of  $\mathcal{N}$  and has codimension in  $P = r$ . Thus the  $e_i$   $i=1, \dots, k$  will be regular and nilpotent in  $P$ .

The set  $\mathcal{N}_R = \mathcal{N} \cap R$  of regular nilpotents will be open dense in  $\mathcal{N}$ .

If  $e \neq 0$  is regular and nilpotent we call the corresponding  $S$  triple  $\{x, e, f\}$  principal and the TDS spanned by  $\{x, e, f\}$  principal.

We recall from classical Lie algebra theory that if  $\alpha^{(g)} = \{u \in g \mid \sum x_i u = g(x)u \text{ for all } x \in \mathfrak{g}\}$ ,  $\gamma$  a linear form on  $\mathfrak{g}$ ,  $\gamma \in \mathfrak{g}^*$  dual of then  $\alpha_\gamma = \mathcal{N} \oplus \mathfrak{g} \oplus \sum_{\gamma \in S} \alpha^{(g)}$ , where  $S = \{\gamma \in \mathfrak{g}^* \mid \alpha^{(g)} \neq 0\}$  is a root system in  $\mathfrak{g}^*$ , called the

"restricted root system." We let  $S_+$  be the positive roots,  $\Pi =$  simple roots ( $r = \text{card } \Pi$ ) ~~is semisimple~~. Let  $x^* \in \mathcal{O}$  ~~is semisimple~~ so that  $\langle \gamma_i, x^* \rangle = 2$  for all  $\gamma_i \in \Pi$  ( $x^*$  is unique).

Theorem 3.1 If  $\tilde{\sigma} = (\chi, e, f)$  is a principal TDS then for any  $z \in \mathbb{C}^*$   $ze + \bar{z}f$  is a regular and semisimple element of  $P$ , and  $e + f$  is conjugate (via  $K$ ) to  $x^*$ . Any irreducible component with respect to the adjoint representation of  $\tilde{\mathcal{L}}$  on  $\mathfrak{g}$  is odd dimensional, i.e. 0 weight appears.

This allows us to characterize the regular nilpotents in a manageable fashion. That is, if  $M = \text{Centralizer in } K$  of  $a = \exp_G(\alpha)$  then  $M \cdot A$  is a closed connected algebraic subgroup of  $G$ , in fact  $M \cdot A = \text{Centralizer in } G$  of  $x^*$ . Then we know that  $M \cdot A$  will have an open orbit in the space  $\bigoplus_{\gamma \in \Pi} \mathfrak{g}_{\gamma}^{Q}$  where  $\gamma \in \Pi$ . Then

Corollary to Theorem 3.1  $e \in P$  is regular  $\wedge$  <sup>nilpotent</sup> iff  $e$  is  $G$  conjugate to an element of  $Q$ .

Thus we can now justify the use of the group  $K$  by  $\oplus$

Theorem 3.2  $K_\Theta$  acts transitively on the set  $\mathcal{N}_\lambda$  so that  $\mathcal{N}_\lambda$  is the unique open  $K_\Theta$  orbit in  $\mathcal{N}$ .

#### IV. Structure of $K_\Theta$ Orbits

We can now determine the structure of all the regular elements of  $P$ . For if  $X \in P$  is semisimple we know that  $Og(X)$  is reductive and  $\Theta$  stable. Hence we can apply our theory to the  $\Theta$  semisimple subalgebra  $Og(X)' = [Og^{(x)}, Og^{(x)}]$ . We have that

Proposition 4.1  $X \in P$  is regular iff  $X_n$  is regular nilpotent

in  $P^{(X_s)'}_{\Theta}$  with respect to  $Og^{(X_s)'}_{\Theta}$ :  $Og^{(X_s)'}_{\Theta} = K^{(X_s)'}_{\Theta} \oplus P^{(X_s)'}_{\Theta}$ .  $K^{(X_s)'}_{\Theta} = K^{(X_s)} \cap Og^{(X_s)}$

Now we call a  $K_\Theta$  orbit regular if it consists of regular elements. Let  $\mathcal{O}_R$  be the collection of regular orbits.

Theorem 4.1 The map induced by (\*)  $u_R: \mathcal{O}_R \rightarrow \mathbb{C}^r$

is a bijection. For any  $\xi \in \mathbb{C}^r$  we have

i)  $u_R^{-1}(\xi) = u^{-1}(\xi) \cap R$  is the unique open dense  $K_\Theta$  orbit of maximal dimension in  $u^{-1}(\xi)$ .

ii) each irreducible component of  $u^{-1}(\xi)$  contains a regular element of  $P$  and has codimension in  $P = r$ .

iii)  $u_R^{-1}(\xi) = u^{-1}(\xi) \cap S$  ( $S = \text{set of semisimple elements in } P$ ) is the unique  $K_\Theta$  orbit of minimal dimension in  $u^{-1}(\xi)$ . It is also the unique closed orbit in  $u^{-1}(\xi)$ .

iv)  $u^{-1}(\xi) = \{x \in P \mid u(x_s) = \xi\}$  is a union of a finite number of  $K_\Theta$  orbits.

## V. Structure of the Ring $J$

We now assume  $\mathfrak{G}$  is complex semisimple. We will construct a crosssection for the  $K_\Theta$  orbits of regular elements.

From theorem 3.1 we can assume that for the principal TDS  $\{x, e, f\}$   $e + f = x^* \in \mathcal{O}_L$ . And if we take  $e^* = \sum_{i=1}^r \beta_{\gamma_i}$   $f^* = \sum_{i=1}^r \beta_{-\gamma_i}$  so that  $\{x^*, e^*, f^*\}$  is an S triple for the same TDS ( $\beta_{\gamma_i} \in \mathfrak{o}_L^{(\gamma_i)}$ ,  $\beta_{-\gamma_i} \in \mathfrak{o}_L^{(-\gamma_i)}$ ) it is easy to check that the  $\beta_{\gamma_i}, \beta_{-\gamma_i}$  will satisfy the hypotheses of a Theorem of Serre in "Algebres de Lie Semisimple Complex (VI-19)". This implies that

Theorem 5.1 The subalgebra  $\tilde{\mathfrak{g}}$  of  $\mathfrak{G}$  generated by  $\mathcal{O}_L$  and the principal TDS  $\tilde{\mathcal{O}}_L$  is semisimple.  $\mathfrak{C}$  will be a Cartan subalgebra of  $\tilde{\mathfrak{g}}$ . If  $S' = \{\varphi \in S \mid \varphi_{1/2} \notin S\}$  (see defn. of  $S$  in III) then  $S'$  will be the root system of  $\tilde{\mathfrak{g}}$  with respect to  $\mathcal{O}_L$ .  $\tilde{\mathfrak{g}}$  will be stable under  $\Theta$  so that there will exist a  $\Theta$  stable normal real form  $\tilde{G}$  of  $\tilde{\mathfrak{g}}$ . And if  $\tilde{G}$  is the Lie subgroup of  $G$  corresponding to  $\tilde{\mathfrak{g}}$  then  $\tilde{G}$  will be its own adjoint group (i.e.  $\text{Cent}_{\tilde{G}} \tilde{G} = \{e\}$ ).

Let  $\tilde{\mathfrak{g}} = \tilde{k} \oplus \tilde{p}$  be the  $\Theta$  decomposition of  $\tilde{\mathfrak{g}}$ . Theorem 5.1

will now justify the use of principal TDS for  $\tilde{\Omega} = \{x, e f\}$ .

For now we have that  $\tilde{\Omega}$  is a principal TDS in the subalgebra

(using Kostant's notation from "Lie Group Representations on

Polynomial Rings."), i.e. "e" will be principal nilpotent in  $\tilde{\mathfrak{g}}$ .

We then have that  $\tilde{\mathfrak{g}}^{(e)} = \tilde{p}^{(e)} = p^{(e)}$ . This means that by applying Kostant's theory in the adjoint case we can determine the degrees of the homogeneous polynomials  $u_i$  defined above. We get the following commutative diagram:

$$\begin{array}{ccc} S(\tilde{\mathfrak{g}}) & \xrightarrow{\quad} & \\ \downarrow & \nearrow & \\ S(\tilde{p}) & \xrightarrow{\quad} & S(\alpha)^{w'} \\ \uparrow & \nearrow & \\ S(p) & \xrightarrow{\quad} & \end{array}$$

where all the maps " $\longrightarrow$ " are restriction are algebra isomorphisms.

Proposition 5.1 There is a basis  $v_{i,\lambda}$  of  $p^{(e)}$  so that  $[x, v_i] = p_i v_i$  and so that degree  $(v_i) = p_i + 1$ .

Now if we consider the r-plane  $f + p^{(e)}$  and define the map

$$(1) \quad J \longrightarrow S(f + p^{(e)}) , \quad S(f + p^{(e)}) = \text{poly. ring on } f + p^{(e)}$$

by restriction we have

Theorem 5.2 (1) is an algebra isomorphism. The plane  $f + p^{(e)}$  is a cross-section for the  $K_\Theta$  regular orbits, i.e. every regular

element of  $P$  is  $K_\Theta$  conjugate to one and only one element of the plane  $f+P^{(e)}$ .

If now we consider  $P$  as a linear manifold and consider the differentials  $du_1, \dots, du_r$  we get as a corollary

Corollary to Theorem 5.2 If  $x$  is regular in  $P$  then the differentials  $du_{r(x)}, \dots, du_{r(x)}$  are linearly independent.

We note that in the adjoint case the converse of this statement is true but in general is not true as an example of a rank 1 case shows.

We let  $\bar{J}^{\xi}$  be the ideal in  $S(P)$  generated by  $u_1 - \xi_1, \dots, u_r - \xi_r$  for any  $\xi = (\xi_1, \dots, \xi_r) \in \mathbb{C}^r$ . By the fact that every irreducible component of  $u^{-1}(\xi)$  has a regular element and by the above corollary we conclude that the ideal  $\bar{J}^{\xi}$  is radical from the following Lemma.

Lemma Let  $X$  be a n dim. vector space over  $\mathbb{C}$ ,  $S(X) =$  poly. ring over  $X$ ,  $f_1, \dots, f_k \in S(X)$   $k \leq n$ , and  $P(\xi) = \{x \in X \mid f_i(x) = \xi_i \quad i=1, \dots, k\}$ . Then if in each component of  $P(\xi)$  there is a point  $y$  so that the differentials  $df_1(y), \dots, df_k(y)$  are linearly independent then the ideal  $(f_1 - \xi_1, \dots, f_k - \xi_k)$  is radical.

Let  $D(P)$  be the algebra of constant coefficient differential operators on  $P$ .  $K_\Theta$  acts as algebra automorphisms in  $D(P)$ .

We let  $\bar{J}_*$  be the invariants,  $\bar{J}_*^+ =$  ideal generated by  $\partial \in \bar{J}_*$

with zero as constant term. Then  $H = \{f \in S(p) \mid \partial f = 0 \quad \forall \partial \in \bar{J}_*^+\}$

is a  $K_\Theta$  module and by a well known result  $S(p) = J \cdot H = \{\sum j_i h_i \mid$

This means that the restriction map  $H \rightarrow S(O_x) = \{f|_{O_x} \mid \begin{array}{l} j_i \in J, h_i \in H \\ f \in S(p) \end{array}\}$   
 $O_x = \text{orbit } \eta_x$   
is a  $K_\Theta$  (hence a  $K$ ) module epimorphism. But since  
 $J^\circ = \langle u_1, \dots, u_r \rangle$  is radical we have

Theorem 5.3  $H \xrightarrow{\text{rest.}} S(O_x)$  is a bijection for  $x$  regular.

Then the map  $J \otimes H \rightarrow S(p), f \otimes g \mapsto f \cdot g$  is a  $K_\Theta$  module

bijection (hence a  $K$  module bijection). Hence  $S(p)$  is a free

$J$  module also. And for any  $\xi \in \mathbb{C}^r$  we have the vector space decomp-

osition  $S(p) = J^\xi \oplus H$ .

We can determine the structure of  $H$  as follows. We know that the Killing form  $B$  of  $\mathfrak{g}$  restricted to  $p$  defines a ring isomorphism

of the algebra  $D(p)$  onto  $S(p)$ , where  $\langle \partial_x, B\partial_y \rangle = B(x, y)$  with

$\langle , \rangle$  as the nonsingular pairing of  $D(p) \times S(p)$  defined by  $\langle \partial, f \rangle = \partial f(0)$ ,  $x, y \in p$  and  $\partial_x$  is the vector field defined on  $p$

by  $\partial_x f(z) = \frac{d}{dt} f(z + tx) \Big|_{t=0}$  for all  $z \in p, f \in C^\infty(p)$ .

Then if  $\eta =$  set of nilpotents in  $p$  let  $\eta' = B(\partial_x)$  for  $x \in \mathfrak{X}$  and  $H_\eta$  = linear space of all powers  $z^m$ ,  $m=0, 1, \dots$  for all  $z \in \eta'$ . Then we have

Proposition 5.2  $H = H_1$ .

## VI. Representation Theory

We now work with the group  $K$  and the  $K$  orbits in  $\mathcal{P}$ .

We recall that  $R(K/K^{(x)})$  = ring of regular functions on the orbit space  $K/K^{(x)}$  ( $K^{(x)}$  = isotropy grp of  $x$ )  $\Leftrightarrow \{f \in \text{holom on } K/K^{(x)} | (Kf) \text{ corresponds to left translates of } f\}$  is finite dimensional  $= \sum_{\lambda \in D} R^\lambda(K/K^{(x)})$  where  $D = \text{set of equivalence classes of finite dimensional irreducible representations of } K$ ,  $R^\lambda(K/K^{(x)})$  = elements of  $R$  which transform according to the irreducible representation  $v_\lambda : K \rightarrow \text{Aut } V_\lambda$ .

We have that  $\dim R^\lambda(K/K^{(x)}) = \ell_\lambda \cdot \dim V_\lambda$  where  $\ell_\lambda = \dim$  of fixed point set of  $K^{(x)}$  in  $V^\lambda$  = dual module to  $V_\lambda$  under contragredient representation.

But now if  $y \in \mathcal{P}$  is semisimple we know that the  $K_y$  orbit of  $y = K$  orbit of  $y$  so that  $R(K_y(K)) = R(K(K))$ . But  $K_y$  is closed implies that  $R(K/K^{(y)}) = S(O_{v_y})$  = restriction of polynomials to the orbit  $K_y$ . Thus now if  $y$  is both regular and semisimple we have by Theorem 5.3 that  $H$  and  $R(K/K^{(y)})$  are equivalent  $K$  modules. But from above we may assume  $y = x^* = e + f \in \mathcal{O}_e$  and hence we have  $K^{(y)} = M$ . Thus we determine  $\ell_\lambda$  relative to  $M$ . But since  $M$  is reductive we know that  $\dim V_\lambda^M = \dim (V^\lambda)^M$ .

Proposition 6.1  $H = \sum_{\lambda \in D} H^{(\lambda)}$  where the multiplicity of  $(\lambda)$

$\text{in } H$  = dimension of the fixed point set of  $M$  in  $V_\lambda$ . Then if

$S(p) = \sum_{\lambda \in D} S^{(\lambda)}$  is the decomposition of  $S(p)$  into primary components  
we have  $S^{(\lambda)}$  is  $K$  equivalent to  $T \otimes H^{(\lambda)}$ .

Now if we let  $a_n = \exp_G(n \cdot x)$  (where  $\{x, e, f\}$  is the principal TDS above) we consider the isotropy group  $K^{a_n(e+f)}$  and it

is clear that  $\dim V_\lambda^{K^{a_n(e+f)}} = \dim V_\lambda^{K^{(e+f)}} = \dim V_\lambda^M = \ell_\lambda$ .

Then we consider the limit  $\ell_\lambda$  space  $Z_\lambda$  of the  $\ell_\lambda$  spaces  $V_\lambda^{K^{a_n(e+f)}}$  in the Grassmann manifold of  $\ell_\lambda$  spaces on  $V_\lambda$ . Then we show that  $Z_\lambda$  is  $V_\lambda(x)$  stable and we have that

Proposition 6.2 For fixed  $\lambda \in D$  the degrees in which the  $H^{(\lambda)}$

irreducible components appear in  $H$  are exactly the eigenvalues of

$V_\lambda(x)$  in the space  $Z_\lambda$ .

Now let  $U(\mathfrak{g})$  be the universal enveloping algebra of  $\mathfrak{g}$ ,  
and  $D(\mathfrak{g})$  the symmetric algebra of  $\mathfrak{g}$  which is identified to the algebra of constant coefficient differential operators on  $\mathfrak{g}$ .

Then we have the decomposition  $D(\mathfrak{g}) = D(\mathfrak{g}) \cdot k \oplus D(p)$

where  $D(\mathfrak{g})k$  is the ideal in  $D(\mathfrak{g})$  generated by  $k$ . If  $\lambda: D(\mathfrak{g}) \rightarrow U(\mathfrak{g})$

is the well known symmetrization map then  $\lambda$  is a  $G$  module bijection.

If  $B: D(\mathfrak{g}) \rightarrow S(\mathfrak{g})$  is the linear map induced by the Killing form

on  $\sigma(J(S)) = \text{poly. in } J.$ ) When  $B$  is also a  $G$  module bijection. Then we have that if  $\sigma = \lambda \circ B^{-1}$ ,  $\sigma(J) = U(J)_k \oplus \sigma(S_p)$ , where  $U(J)_k$  is the right ideal in  $U(J)$  generated by  $k$ . Thus from Proposition 6.1 and Proposition 5.2 we have

Proposition 6.3 The linear map  $\sigma(J) \otimes \sigma(H) \rightarrow \sigma(S_p)$  defined by  $s \otimes t \mapsto \bar{s}t$  where  $\bar{s}$  is the unique element of  $\sigma(S_p)$  determined by  $s \cdot t = \bar{s} \text{ mod } U(J)_k$  is a  $K$  module bijection. The space  $\sigma(H)$  is the linear span of the elements  $e^k, k=0, 1, \dots$ , where  $e$  is any nilpotent element in  $\mathfrak{p}$ .

Thus as in Proposition 6.1 the multiplicity of a fixed  $K$  irreducible representation  $(\lambda)$  in  $\sigma(H)$  = dimension of fixed point set of  $M$  in  $V_\lambda$ .

Steinberg: Galois coh. of alg. lin. gp.

$K$  alg closed field

$G$  conn. affine alg. gp /  $k$ .

$\exists! R$  max. solv + conn. + normal, then

$G/R$  semi-simple

$R$  has comp. series ~~with~~ with quotients  $G_m$  and  $G_a$

$k$  perfect,  $G/k$ ,  $K = \bar{k}$  ~~is~~  $\Gamma = \text{Gal}(K/k)$ .

Defn.:  $\mathcal{Q}$  cocycle  $x_g \in G$   $g \in \Gamma$

$$(1) \quad x_g \cdot \gamma(x_\delta) = x_{g\delta}$$

(2) there exists a finite extension  $\bar{k}$  of  $k$  in  $K$  so that

$$x_g = 1 \quad \text{for } \gamma \in \text{Gal}(K/\bar{k})$$

equivalence relation:  $G$  acts on cocycles  $x_g \cdot a = a^{-1}x_g \cdot \gamma(a)$

$H^1(k, G)$  = equivalence classes

Classifies structures /  $k$  which becomes isomorphic over  $\bar{k}$ .

Examples:  $k$  arb.  $G = GL_n, SL_n, Sp_n$ ,  $H^1(k, G) = 0$ .

Thm A:  $k$  perfect,  $G$  conn /  $k$ . Assume  $G$  ~~contains~~ contains a Borel (max. conn. solv.) subgp /  $k$ . Then every element of  $H^1(k, B)$  can be reduced to a torus /  $k$ .

Proof: (1)  $k$  finite. Lang:  $q = \text{card } k$ ,  $\sigma = \text{Frob}$ , then  $\forall x \in G \quad \exists y \in x = y \cdot \sigma(y^{-1}) \rightarrow H^1(k, G) = 0$ .

2.  $k$  infinite. will assure  $G$  s.s., simply-conn.

(a) Rosenlicht density thm:  $G_k$  dense in  $G$ . (any conn  $G$   $k$  inf prof)

Regular elements Any element whose centralizer is a torus.

(b) Regular elements  $\geq$  non-empty open sets. (semi-simple)

(c) Every regular class defined /  $k$  contains an element /  $k$ .  
(use  $B$  defd /  $k$ )

Consequences

$$\dim k \leq 1 \Leftrightarrow Br(k) = 0.$$

Examples:

finite local with alg closed residue field.

Thm B:  $k$  perfect.

If  $\dim k \leq 1$  then  $H^1(k, G) = 0$  for every conn. lin alg. gp /  $k$   
and conversely.

Thm C: Suppose  $k$  local field residue field  $\dim \leq 1$ .

$G$  semi-simple + simply-conn. Then  ~~$H^1(k, G) = 0$~~ ,  $H^1(k, G) = 0$ .

(original to Kneser, new proof to Borel-Tits).

Thm D: Suppose  $k$  an alg. no. field.  $G$  semi-simple s.c. /  $k$ .

$$H^1(k, G) \rightarrow \prod_{\sigma} H^1(k_{\sigma}, G) \quad \text{is bijective.}$$

not yet proved for  $E_8$

only real or occurs.  
by C.

J. Wolf colloquium

$X > 0$  or finite fund. gp.

complex flag manifold = compact homogeneous Kähler manifold  
 = homogeneous complex projective variety  
 = semi-simple gp / parabolic subgp.

Example  $S^2 = \frac{SL(2, \mathbb{C})}{\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}}$

Generalizations

first  $G$  complex semi-simple

$B$  maximal solv. conn. subgp. Borel subgp

$$G/B$$

2nd compact hermitian symmetric space

$G$  compact semi-simple,  $\sigma$  auto of order 2

$K$  = fixed point set of  $\sigma$   $G_K/K$

assume  $\exists$  complex structure.

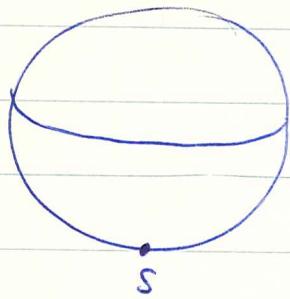
$G$  complex ss  $P$  complex  $\supseteq B$  "parabolic"

$$G/P = X$$

$G_u$  compact real form of  $G$

Then  $G_u$  transitive on  $X$  and  $X = \frac{G_u}{G_u \cap P}$

centralizer of torus.



$$\} \text{disk} = \text{SL}(2, \mathbb{R}) / \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

Easy to generalize this for compact hermitian space

$$g_k = k + f$$

define  $g_0 = k + \sqrt{-1}f$ . Then  $G_0/K$  is a non-compact hermitian space. There is standard embedding of  $G_0/K$  in compact one. Always have

$$\overbrace{\text{(nonc)} \subset \text{(euclid)} \subset \text{(compact)}}$$

This doesn't generalize

General Case:  $X = G/P$  (centerless)  
 $G_0$  a real form of  $G$ .  $\tilde{g}_k = g_0 + \sqrt{-1}g_0$

$$G \ni G_0$$

(This defn of real form not same as real points.)  $G_0$  acts on  $X$

Study orbits of action

$$\begin{array}{ccc} \tilde{X} & = & G/B \\ & \downarrow & \downarrow P/B \\ X & = & G/P \end{array}$$

Easy Case # Take  $P = B$ .

$B = N(B)$  hence 1-1 corres between  $gB \leftrightarrow gBg^{-1}$ . Hence can think of  $X$  as space of all Borel subgps with  $G$  acting by conjugation. Let  $\tau$  be complex conjugation of  $g$ ; it extends to centerless gps and ~~is~~  $G_0$  is connected component of fixed points of  $\tau$ .

Take  $x \in X$  let  $B = \text{stabilizer of } x$  so  $G_0(x) = G_0 \cap B$ .  
 $G_0 \cap B = G_0 \cap B \cap \tau B = S_x$ . Now ~~is~~  $\tau B$  a Borel subgp  
 $\Rightarrow B \cap \tau B \supset \text{Cartan subalg } H$ . So

$$b = H + \sum_{\alpha \in \Delta^+} g_\alpha$$

$\Delta = \cancel{\text{roots}}$

$\Delta^+ = \text{pos. roots}$

$$\tau b = H + \sum_{\alpha \in \tau \Delta^+} g_\alpha$$

So

$$b \cap \tau b = H + \underbrace{\sum_{\alpha \in \Delta^+ \cap \tau \Delta^+} g_\alpha}_{\text{reductive nilpotent}}$$

L.A of  $S_x = s_x = G_0 \cap b \cap \tau b$  is a real form of  $b \cap \tau b$

So

$$S_x \text{ has L.A. } G_0 \cap \left( H + \sum_{\Delta^+ \cap \tau \Delta^+} g_\alpha \right)$$

$O$  orbit  $\rightsquigarrow b \cap \tau b \rightsquigarrow$  conjugacy class  $H_0 = H \cap G_0$  of  $G_0$ .

$|W| = \text{number of ways of ordering roots}$

$\geq \# \text{ orbits for a given } \cancel{\text{conjugacy}} \overset{\text{class}}{\sim} \text{Cartan's in } G_0$ .

$\therefore$  only a finite no. of orbits

Closed ~~orbit~~ orbit  $G_0(x)$  compact

$$G_0 = KAN$$

$$G_0(x) = \underbrace{G_0/S_x}_{\text{contains } AN} \Rightarrow K \text{ transitive}$$

Let  $M = \text{centralizer of } A \text{ in } K$

$$\Rightarrow (\text{Cartans of } A) = (\text{Cartans of } K) \times A$$
$$\therefore H_K = A \cdot N \subset S_x.$$

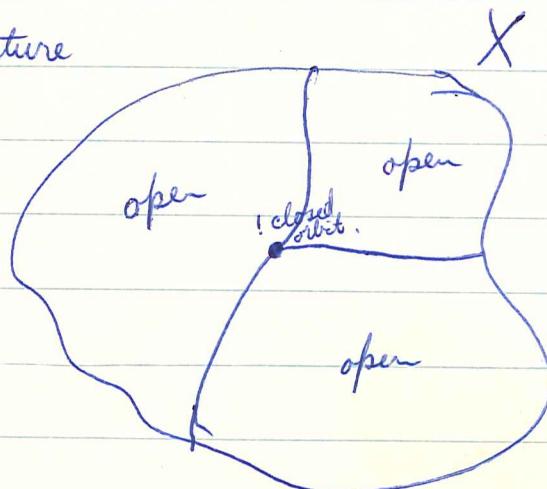
One can show that  $S_x = F \cdot H_K \cdot AN$

where  $F$  elementary 2 abelian.  $= M/M_0$ .  
 $H_K \cdot AN$  minimal parabolic

Thus Furthermore any two such  $S_x$  are conjugate

Theorem:  $\exists !$  closed orbit

Picture



Thus  $\exists$  open orbit.  $\exists$  closed orbit on topological boundary of every orbit.

Suppose rank  $G = l$ ,  $r = \text{card } \Delta^+$ ,  $\Rightarrow \dim B = l+r \Rightarrow \dim G = l+2r$   
 $\Rightarrow \dim X = r \Rightarrow \dim X = 2r$ . To find open orbit

$$\underbrace{\dim G_0}_{l+2r} - \underbrace{\dim S_x}_{l+\text{card } \Delta^+ \cap \Delta^+} = 2r$$

$$\Downarrow$$

$$\Delta^+ \cap \tau \Delta^+ = \emptyset$$

and hence

$$\boxed{\tau \Delta^+ = \Delta^- \text{ iff } \exists \text{ open orbit}}$$

$$H_0 = H \cap g = \underbrace{H_T}_{\text{toral}} + \underbrace{H_V}_{\text{vector}}$$

Roots lie in  $\Gamma_1 H_T + H_V$ .  $\tau \Delta^+ = \Delta^- \Rightarrow \Gamma_1 H_T$  has a regular element  $\Rightarrow H_0$  is as compact as possible.

$$\text{Example: } \underset{\substack{\uparrow \\ V^{n-1}}}{\text{SL}(n, \mathbb{R})} \supseteq \text{SO}(n, \mathbb{R}) \supset T^{\left[\frac{n}{2}\right]}$$

So must complete  $T^{\left[\frac{n}{2}\right]}$  to a Cartan subalg.

Thus taking a maximally compact  $H_0$  and dividing into chambers and ~~intersecting~~ forming a suitable quotient due to roots coming from  $H_T$  one gets number of open orbits.

boundary components of a

closed symmetric domain  $D = G/K$

$\Gamma$  discrete subgp. of  $G_0 \Rightarrow$

$\mu(D/\Gamma) < \infty$  but  $D/\Gamma$  not compact.

e.g.  $\mathbb{O}$ .

Then bdry components must be added to obtain compactification

February 23, 1968.

Each element  $\sigma \in W$  gives us a functor

$$F_\sigma V = V/\sigma\circ\tau$$

from  $(g-k)$  to  $(M \times \mathbb{C})$  and the basic conjecture is that there exist canonical ~~isomorphisms~~ natural transformations

$$V/\sigma\circ\tau \xrightarrow{\sim} V/\tau\circ\sigma \otimes g^{-1}.$$

of  $M \times \mathbb{C}$  modules.

Approaches to problem.

- (i) Russian
- (ii) Bruhat - integration over a Schubert cell
- (iii) Kostant

One should work out <sup>all</sup> ~~these~~ approaches for  $sl(2, \mathbb{R})$ .

Method (i): ~~Integration~~ The problem is to ~~be~~ compute

$$\text{Hom}_{\text{of}}(I(\mathcal{J}_1), I(\mathcal{J}_2)) = \text{Hom}_g(J \otimes \mathcal{J}_1, I(\mathcal{J}_2))$$

$$= \text{Hom}_{M \times \mathbb{C}, M \times \mathbb{C}}(1 \otimes J, \text{Ham}(\mathcal{J}_1, \mathcal{J}_2)).$$

## Understand class 1 representations.

Recall for a given value of  $\lambda$  we get a homomorphism  $C(\lambda, 1) = S(\alpha)^W \rightarrow \mathbb{C}$ . The irreducibility of the ~~induced~~ coinduced module  $(U(g) \otimes_k 1) \otimes_{E_1} \lambda$  is then equivalent to the non-degeneracy of the pairing

$$\lambda \otimes C(\lambda, 1) \otimes C(\lambda, 1) \xrightarrow{E_1} \lambda.$$

The point is that  $C(\lambda, 1)$  is a free module over  $E_1$  with basis ~~is~~ having  $\dim_{\mathbb{H}} \text{Hom}_{\mathbb{H}}(\lambda, \text{---}) = \text{Hom}_k(\lambda, 1) = l(\lambda)$  elements. ~~This suggests~~ The same is probably true for  $C(\lambda, 1)$  so consequently the pairing

$$C(\lambda, 1) \otimes C(\lambda, 1) \rightarrow C(\lambda, 1)$$

is given by an  $l(\lambda) \times l(\lambda)$  matrix. whose determinant is what we must calculate!

Check this.

$$C(\lambda, 1) = \text{Hom}_k(\lambda, U(g) \otimes_k 1)$$

Proposition: ~~dim E<sub>1</sub> = 2 and there are 2g modules with~~

~~E<sub>1</sub>~~  $\exists$  canonical isomorphism

~~Hom<sub>k</sub>(E<sub>1</sub>, H)~~

$$\Phi: E_1 \otimes \text{Hom}_k(1, H) \xrightarrow{\sim} C(1, 1)$$

~~is~~  
 $\text{Hom}_k(1, 1)$

of  $E_1$  modules given by sending  $\varphi: 1 \xrightarrow{k} H$  into

$$1 \xrightarrow{\varphi} H \hookrightarrow S(p) \xrightarrow{e} U(g)/U(g)_k \cong U(g) \otimes_k 1$$

Proof: Consider associated graded map.

$$S(p)^k \otimes \text{Hom}_k(1, H) \longrightarrow \text{Hom}_k(1, S(p)).$$

Clearly an isomorphism by the formula  $S(p) = S(p)^k \otimes H$ .

Why is  ~~$C(1, 1)$~~   $C(1, 1)$  a right free  $E_1$  module?

$$C(1, 1) = \text{Hom}_k(1, U(g) \otimes_k 1).$$

$S(p) \otimes 1$ .

Thus take  $\text{Hom}_k(1, H \otimes 1) = \text{Hom}_k(1, H)$ . So if  $\varphi: 1 \xrightarrow{k} H$   
then get an inv. in  $H \otimes 1$  hence one in  $U(g) \otimes_k 1$ . and its OKAY

Proposition:  $\exists$  canonical right  $\mathcal{E}_1$  module isom.

$$\text{Hom}_k(\Lambda'; H) \otimes \mathcal{E}_1 \xrightarrow{\sim} \mathcal{E}(1, 1)$$

where  $\varphi \in \Lambda' \rightarrow H$  goes into the element

$$1 \longrightarrow \sum_i e(\varphi \hat{1}_i) \otimes \lambda_i \in e(S(p)) \otimes \Lambda = U(g) \otimes_k \Lambda.$$

where  $\lambda_i$  is a basis for  $\Lambda$  and  $\hat{1}_i$  is the dual basis.

Proof:  $\varphi \otimes a \longmapsto a \cdot \sum_i e(\varphi \hat{1}_i) \otimes \lambda_i$

$a \in U(g)^k$   
 $\varphi \in \text{Hom}_k(\Lambda'; H)$

Passing to associated graded we have to show

$$\text{Hom}_k(\Lambda'; H) \otimes S(p)^k \longrightarrow \text{Hom}_k(1, \cancel{S(p)})$$

is an isomorphism, but this is clear by  $S(p) = S(p)^k \otimes H$ .

so I now have to calculate comp.  $\mathcal{E}(1, 1) \otimes \mathcal{E}(1, 1) \rightarrow \mathcal{E}(1, 1)$

So take  $\varphi: \Lambda' \rightarrow H$  and  $\psi: \Lambda' \rightarrow H$ , then if  $\tilde{\varphi} \in \mathcal{E}(1, 1)$  and  $\tilde{\psi} \in \mathcal{E}(1, 1)$  are the associated elements by the above propositions, we have

$$(\tilde{\varphi} \circ \tilde{\psi})(1) = \tilde{\psi} \left( \sum_i e(\psi \hat{1}_i) \lambda_i \right) = \sum_i e(\psi \hat{1}_i) e(\varphi \hat{1}_i) \cdot 1$$

which verifies Berts formula.

Next stage is to apply the functor  $F$ .

$$\begin{array}{ccc} \mathcal{C}(1,1) \otimes \mathcal{C}(1,1) & \longrightarrow & \mathcal{C}(1,1) \\ \downarrow F \otimes F & & \downarrow F \\ [U(\alpha) \otimes \text{Hom}_M(1,1)] \otimes [U(\alpha) \otimes \text{Hom}_M(1,1)] & \longrightarrow & [U(\alpha) \otimes \text{Hom}_M(1,1)] \end{array}$$

Therefore  $FC(1,1) \subset U(\alpha) \otimes \text{Hom}_M(1,1)$

is free of rank  $\ell(1)$  over  ~~$E_1$~~   $FE_1 \simeq U(\alpha)^W$   
ditto for  $FC(1,1)$ .

If you knew what the image ~~of~~ of each generator is  
you would be done! Thus can you determine the image  
~~of~~ of the map

$$\begin{array}{ccc} \del{\mathcal{C}(1,1)} & \longrightarrow & \mathcal{C}(1,1) \xrightarrow{F} U(\alpha) \otimes \text{Hom}_M(1,1) \\ \text{Hom}_k(1, H) & & \end{array}$$

i.e.

$$\begin{array}{ccc} \text{Hom}_k(1, H) & \longrightarrow & \mathcal{C}(1,1) \\ \downarrow \text{val at } g? & & \downarrow F \\ \text{Hom}_M(1,1) & \xrightarrow{?} & U(\alpha) \otimes \text{Hom}_M(1,1) \end{array}$$

Thus you get  $\ell(1)$  elements in  $U(\alpha) \otimes \text{Hom}_M(1,1)$  an  $\ell(1) \times \ell(1)$  matrix  
in  $U(\alpha)$ ; similarly from  $\mathcal{C}(1,1)$  you get an  $\ell(1) \times \ell(1)$  matrix. The  
product of these two matrices is what you are after.  $\blacksquare$

February 24, 1968.

Two operations:

$$\varphi(kan) = \nu(a).$$

1. If  $\varphi$  is a function on  $G \rightarrow \cancel{\varphi(gb^{-1}) \cdot \varphi(b)}$  for  $b$

$$\hat{\varphi}(g) = \int \varphi(gk) dk ?$$

2.  $f(kgk^{-1}) = f(g)$

$$F_f(a) = e^{\int_N^{(log a)} f(an) dn}$$

$$\int_K e^{\nu(H(xk))} dk$$

where  $xk = \underline{k_x} \cdot H(x,k) \cdot N(x,k)$

$$\therefore \varphi(gk) = \varphi(H(xk)).$$

~~then~~ Better: If  $\nu$  function on ~~K~~ or set

$$\psi_\nu(x) = \int_K e^{\frac{(\nu-\rho)(H(xk))}{\varphi(x)}} dk$$

then

$$\begin{aligned} \varphi(kx) &= \varphi(x) \\ \varphi(xn) &= \varphi(x). \end{aligned}$$

hoping ~~to make~~  $\varphi$  to be a section of the induced repn.

~~Take a section of the principal series associated to an element  $\lambda \in \mathfrak{o}_0!$~~  Then  $s$  is same as a function

$$s: G \rightarrow \mathbb{C}$$

with

$$s(g(ma)^{-1}) = e^{\lambda(\log a)} s(g).$$

What are spherical functions?

Let  $V$  be a class 1 representation with  $k$  fixed vector  $v_0 \neq 0$ . Then by decomposing over  $k$  we may define the projection onto  $\mathbb{C}v_0$  operators  $E$ . If  $x \in G$  or  $U(g)$  then one can consider

$$\text{tr} \{ E \pi(x) E \} = \varphi(x)$$

which is a scalar. Clearly

$$\begin{aligned} \varphi(k_1 x k_2) &= \text{tr} (\pi(k_1) E \pi(x) E \pi(k_2)) \\ &= \text{tr} E \pi(x) E = \varphi(x) \end{aligned}$$

so  $\varphi$  is ~~bi~~ invariant for  $K$ . Also if  $z \in \mathbb{Z}$ , then

If  $x \in U(g)$  set

$$\varphi(x) = E \pi(x) E \in \text{Hom}(\mathbb{C}v_0, \mathbb{C}v_0).$$

Then  $\varphi: U(g) \rightarrow \mathbb{C}$  is linear. If ~~if  $y \in U(g)$  then~~  
 $y \in U(g)^k$  then  $E(y) = \pi(y) E$  so

$$\begin{aligned}\varphi(xy) &= E\pi(x)\pi(y)E \\ &= E\pi(x)E\pi(y) \\ &= \varphi(x) \cdot \varphi(y)\end{aligned}$$

similarly

$$\boxed{\varphi(yx) = \varphi(y)\varphi(x).}$$

If  $y \in U(k)$ , then  $Ey = \pi(y)E$ . Moreover  $\varphi(y) = ey$

Lemma: Let  $V$  be a  $U(g)$ - $k$  module and let  $\mathcal{Z}$  be a set of isomorphism classes of finite simple  $k$  modules. Then  $V = V^2 \oplus V^{-2}$  where for all  $1 \in \mathcal{Z}$  we have  $\text{Hom}_k(1, V) = \text{Hom}_k(1, V^2)$  and for all  $1 \notin \mathcal{Z}$  we have  $\text{Hom}_k(1, V) = \text{Hom}_k(1, V^{-2})$ .  $V^2$  is stable under the ring  $U(g)^k \cdot U(k) \subset U(g)$ , hence the projection operator  $E_{\mathcal{Z}}$  onto  $V^2$  belongs to  $\text{Hom}_{U(g)^k \cdot U(k)}(V, V)$ .

~~Similarly there is a canonical isomorphism~~

~~$$U(g)^k \otimes_{U(k)} U(k) \longrightarrow U(g)^k \cdot U(k).$$~~

Conjecture:  $U(g)^k \otimes_{U(k)} U(k) \xrightarrow{\sim} U(g)^k \cdot U(k)$ . ?

$$\sum a_i h_i = 0 \quad a_i \in U(g)^k \quad h_i \text{ harmonic ind in } U(k).$$

Assume now that  $V$  comes from a Banach space representation of the group  $G$ , so that now  $\varphi(x)$  is defined for all distributions on  $G$  of compact support. ~~for all  $x \in G$~~

Does  $\exists$  any relation between  $\varphi$  as a function on  $G$  and  $\varphi$  as a linear function on  $U(g)$ ? Yes if you are given a function  $f$  on the group ~~on interprets~~ one has

$$\langle f, T \rangle = \int f T \, d\text{Haar}$$

which defines  $f(T)$  for  $T \in C_c(G)$  and hence by limits for all  ~~$f$~~   $\in C_c(G)$ . ~~It is important to note that if  $z \in U(g)$  then~~ We have seen that

$$\cancel{\text{if}} \quad f(xy) = f(x) \cdot f(y) \quad \text{if one of } x \text{ or } y \text{ commutes with } E.$$

In particular if  $g \in G$  and  $x \in U(g)^k$

~~$$(gf)(g) = f(gg)$$~~

$$(gf)(x) = f(xg) = f(x) \cdot f(g)$$

Recall interpretation of  $x \in U(g)$  as a left inv. DO. i.e.

$$(x * f)(g) = f(gx) = f(gx^{-1} \cdot g)$$

?

Problem: You are given the algebra of distributions with compact support which you think of as the group ring of the group  $G$ . A function  $f$  on the group ~~is therefore~~ gives rise to a linear function on  $\mathcal{D}$ . Thus we can speak of  $f(x)$  where  $x \in \mathcal{D}$ . Now define

$$(g * f)(g * x) = f(x)$$

i.e.  $(g * f)(x) = f(g^{-1} * x)$ . Taking linear combinations we get

$$(y * f)(x) = f(y * x)$$

$y = \text{antipode of } g$ .

Returning to the  $f$  defined by

$$f(x) = E \pi(x) E.$$

Then

$$(y * f)(g) = f(y * g) = f(y) f(g) \quad \text{if } y \in U(g)^k$$

In other words

$$\boxed{y f = f(y) \cdot f \quad y \in U(g)^k U(k)}$$

which shows among other things that the function  $f$  we have defined is an eigenfunction for the invariant D.O.'s.

Conclusion: If  $V$  is a class 1 representation of  $G$ , then the spherical function

$$f(x) = E \pi(x) E$$

$E$  = proj. on  $K$  inv.

$\pi(x)$  = action of  $x \in G$  on  $V$

is a  $K$ -biinvariant function on  $G$  which is an eigenfunction for the operators of  $U(g)^K$ .

spherical function = K inv. fn. on  $G/K$  which is an eigenfunction for the  $G$ -invariant D.O. on  $G/K$ .

Back to Harish-Chandra transforms.

~~Take note~~

Basic formula

$$\int_G \tilde{\varphi}(x) f(x) dx = \int_A \varphi(a) \hat{f}(a) da$$

$$\int_G \int_K \varphi(xk) f(x) dk$$

" f biinv.

$$\int_K \int_G \varphi(xk) f(xk) dx$$

"

$$\int_G \varphi(x) f(x) dx = \int_{KAN} \varphi(an) f(an) e^{2g(\log a)} dk da dn$$

$$\varphi(kg) = \varphi(g)$$

$$\downarrow \\ = \int_A \varphi(a) f(an) e^{2\beta \log a} da dn$$

$$= \int_A \varphi(a) e^{2\beta \int_N^{\log a} f(an) dn} da$$

Thus

$$\int_G \left( \int_K \varphi(xk) dk \right) f(x) dx = \int_A \varphi(a) \left( e^{2\beta \int_N^{\log a} f(an) dn} \right) da$$

where

$$\varphi(kxk^{-1}) = \varphi(x)$$

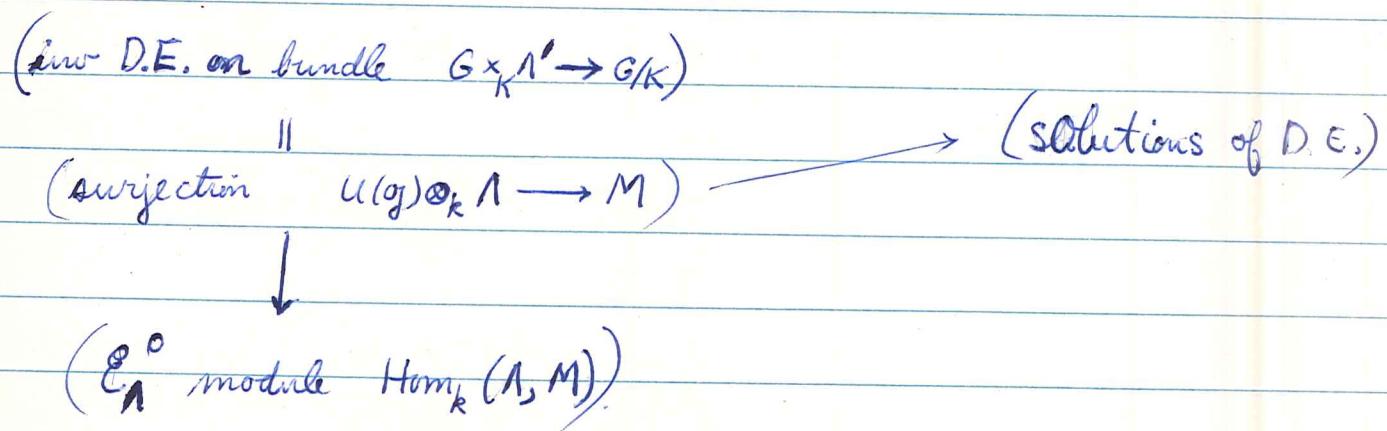
$$f(k_1 x k_2) = f(x)$$

Summary:

- 1.) spherical function = ~~K-~~invariant function on  $G/K$  which is an eigenfunction for the invariant D.O's on  $G/K$ . (<sup>necessarily</sup> analytic)
- 2.) If  $V$  ~~repn.~~ a Banach repn. of  $V$  with  $V^K$  of dim 1, then  $\varphi(x) = E\pi(x)E$  is a spherical function.
- 3.) The spherical functions are given by  $\varphi_\lambda(x) = \int_K e^{(\lambda - \rho)(H(xk))} dk$  where  $\lambda \in \alpha'$  and  $\varphi_\lambda = \varphi_\mu \Leftrightarrow \lambda = \mu s$  for some  $s \in W$ .

basic idea: A spherical fn  $\varphi$  defines a hom.  $E_1 \rightarrow C$ , because it is ~~this~~<sup>an</sup> eigenfunction. On the other hand a homomorphism  $E_1 \rightarrow C$  is an irred  $E_1$  module and it determines an invariant Diff equation on ~~the~~ the trivial bundle on  $G/K$ . Thus the problem is: Can you relate spherical functions to solutions of differential eqns on  $G/K$ ?

Relation diagram



Recall that ~~if~~ a section of the bundle  $G \times_K \Lambda' \rightarrow G/B$  may be identified with a map  $G \xrightarrow{s} \Lambda'$  which is  $K$  equivariant. i.e.

$$\Gamma(u, G \times_K \Lambda') = \text{Hom}_k(\Lambda, C^\infty(\pi^{-1}u))$$

$\pi: G \rightarrow G/K$   
canon. prof.

~~if  $\varphi: \Lambda \rightarrow C^\infty(G)$  then  $s(\varphi)(g)(\lambda) = \varphi(\lambda)(g)$ .~~

~~check~~

~~$s(\varphi)(g)(\lambda) = \varphi(\lambda)(kg) = s(\varphi(kg))(\lambda) = s(\varphi(k)s(\varphi)(g))(\lambda) = \varphi(\lambda)(g)$~~

~~$[s(\varphi)(g)](\lambda) = \varphi(\lambda)(kg) = s(\varphi(kg))(\lambda) = s(\varphi(k)s(\varphi)(g))(\lambda) = \varphi(\lambda)(g)$~~

To  $\varphi: \Lambda \rightarrow C^\infty(\pi^{-1}U)$  we associate  ~~$s(u) \mapsto u \mapsto s(u)$~~   
where given by  $s(u)(\lambda) = \varphi(\lambda)(u^{-1})$ . Then

~~$$[s(uk)](\lambda) = \varphi(\lambda)(uk^{-1}) = [k \cdot s(u)](\lambda)$$~~

~~$$= \varphi(k\lambda)$$~~

~~$$s(uk^{-1}) = k \cdot s(u)$$~~

i.e.

~~$$[s(uk^{-1})](\lambda) = [k \cdot s(u)](\lambda)$$~~

~~$$\varphi(\lambda)(ku^{-1}) \stackrel{?}{=} s(u)(k^{-1}\lambda)$$~~

~~$$\varphi(k^{-1}\lambda)(u^{-1})$$~~

ii

$$\Gamma(U, \mathcal{G}_K \Lambda') = \text{Hom}_K(\Lambda, C^\infty(\pi^{-1}U))$$

where if  $f \in C^\infty(\pi^{-1}U)$  we define

$$(k \cdot f)(u) = f(uk)$$

Proof:  ~~$\varphi: \Lambda \rightarrow C^\infty(\pi^{-1}U)$~~  defines a function

$$S: \pi^{-1}U \longrightarrow \Lambda' \quad \text{with} \quad S(uk) = k^{-1}s(u)$$

by

$$S(u)(\lambda) = \varphi(\lambda)(u)$$

Check:

$$S(uk)(\lambda) = [k^{-1}s(u)](\lambda) = s(u)(k\lambda) = \varphi(k\lambda)(u)$$

$$\varphi(\lambda)(uk)$$



$$[k \cdot \varphi(u)](u)$$

$$\varphi(u)(uk)$$

$$\Gamma(G/K, \mathcal{G} \times_K \Lambda') = \text{Hom}_K(\Lambda, C^\infty(G))$$

where  $(kf)(g) = f(gk)$ .

The solutions of my invariant D.E. given by  $M$  are

$$\Gamma(G/K, \delta_M) = \text{Hom}_{\mathcal{G}}(M, C^\infty(G))$$

Now suppose you give yourself

$$M = ((U(g) \otimes_K \Lambda) \otimes_{E_\Lambda} \xi)$$

i.e.

$$\Gamma(G/K, \delta_M) = \text{Hom}_{\mathcal{G}}((U(g) \otimes_K \Lambda) \otimes_{E_\Lambda} \xi, C^\infty(G))$$

$$= \text{Hom}_{E_\Lambda}(\xi, \text{Hom}_{\mathcal{G}}(U(g) \otimes_K \Lambda, C^\infty(G)))$$

$$= \text{Hom}_{E_\Lambda}(\xi, \Gamma(G/K, \mathcal{G} \times_K \Lambda')).$$

If  $\Lambda = 1$  and  $\xi = \chi: E_\Lambda \rightarrow \mathbb{C}$ , then

$$\Gamma(G/K, \delta_M) = \text{Hom}_{E_\Lambda}(\chi, \Gamma(G/K, 1)) = \{f \text{ smooth on } G/K : Df = \chi(D)f \quad \forall D \in E_\Lambda^*\}$$

Therefore irreducibility means:

Proposition: Let  $\chi: E \rightarrow \mathbb{C}$  be a homomorphism.

Then the module  $(U(g) \otimes_k 1) \otimes_{E_i} X$  is simple  $\Leftrightarrow$  given any function  $f$  on  $G/K$  with  $Df = \chi(0)f$  for all  $D \in E_i$ , there is a finite linear combination of  $G$ -translates of  $f$  whose  $K$ -average is the spherical function associated to  $X$ .

NO

This ~~if false~~ would be true in the unitary case.

Proposition: Let  $\chi: E \rightarrow \mathbb{C}$  be a homomorphism. Then

the module  $(U(g) \otimes_k 1) \otimes_{E_i} X$  is simple  $\Leftrightarrow$  every function  $f$  on  $G/K$  which is an eigenfunction for  $E_i$  with eigenvalue  $\chi$  is the limit of linear combinations of  $G$ -translates of the spherical function  $\varphi_X$ .

Proof: Let  $M = (U(g) \otimes_k 1) \otimes_{E_i} X$ . Then the solutions  $S_M$  of the global invariant D.E. on functions on  $G/K$  defined by  $M$  are the functions  $f$  on  $G/K$  with  $Df = \chi(0)f$  for all  $D \in E_i$ .  
~~Let  $V$  be the closed invariant subspace of  $S_M$  generated by the solution space  $S_M$  generated by the spherical function  $\varphi_X$ .~~ Note that  $S_M$  is topologically ~~closed~~ We want to conclude that  $0 < V \subset S_M \Rightarrow \exists D \in E_i \text{ s.t. } V \cap \ker D \neq \{0\}$ .  $\exists$  diff operator  $\mu: G_K \rightarrow G_K$  non-zero on  $S_M$  but 0 on  $V$

$\Rightarrow M$  ~~is~~ reducible. Also we want to have that if  $M'$  is a proper non-zero quotient module of  $M$ , then  $M$  and  $M''$  have enough solutions so that  $V$  must consist of solutions of  $M''$  and therefore be different from  $S_M$ .

However all this is probably OKAY because we throughout the whole discussion restrict attention to  $K$ -finite things. We can produce lots of ~~solutions by duality~~

~~with  $M'', M' \neq 0$  let  $\varphi: U(g) \otimes_K 1 \xrightarrow{\psi} M'$  and choose  $\Theta$~~

~~then get differential operator~~

$$\Theta: G \times_K 1 \rightarrow G \times_K 1'$$

~~which annihilates the solutions of  $M'$  but is non-zero on  $M$ .~~

Claim that any DE has lots of global  $K$ -finite solutions e.g.

$$\text{Hom}_K(\Lambda, C^\infty(G/K)) = \text{sections of } G \times_K 1'$$

#!# Maps

Suppose you give  $\Lambda_1 \rightarrow \Gamma(G \times_K 1')$   $K$  equivariant

Idea being that  $(U(g) \otimes_K 1)' =$   
 $k\text{-finite-Hom}(U(g) \otimes_K 1, \mathbb{C})$

$k\text{-finite-Hom}(\mathcal{U}(g) \otimes_k 1, \mathbb{C})$

this gives the exponentials of the polynomial fns on  $\mathfrak{g}$ .  
which is not stable under  $G$ -translation.

It would seem to follow by  $\int$  that the  $k$ -finite solutions  
are always dense. ?

Conjecture: There should be some way of decomposing  
the functions on  $G/K$  into pieces transforming by a  
 $K$  repn.  $\Lambda$  and a eigencharacter  $\chi$  of  $E$ . Thus the  
 $K$  invariant functions can be decomposed into spherical functions

To generalize the notion of spherical function.

1) Let  $\mathfrak{g}$  be a finite dimensional  $E_\lambda$  module. We  
wish to consider

2) Let  $V$  be a Banach repn. of  $G$  such that  ~~$\mathfrak{g}$  is a simple right  $E_\lambda$  mod.~~  
 $\mathfrak{g} = \text{Hom}_k(\Lambda, V)$  is a simple right  $E_\lambda$  mod. Let  $E$  be the  
projection of  $V$  onto  $V^1$ . Then if  $x \in G$  and if  $\mathfrak{o} \in \mathfrak{g}$   
get

$$[E\pi(x)E : G \rightarrow \text{Hom}(V^1, V^1)]$$

~~The idea was to~~ this makes sense for any  $x \in G$ .

$$\text{Hom}_k(\Lambda, V)$$

$$\underline{(\text{trace } E\pi(x)E)}$$

?

Goodment

$$\varphi(x) = E \pi(x) E$$

$$\varphi(k_1 x k_2) = \sigma(k_1) \pi(x) \sigma(k_2)$$

First of all a spherical fn. should be assoc. to an irred  $E_\Lambda$  module  $\mathfrak{g}$ .  $E_\Lambda$  operates on sections of bundle  $G \times_K \Lambda'$ . So I can speak of eigenfunctions of type  $\mathfrak{g}$  ie  $\text{Hom}_{E_\Lambda}(\mathfrak{g}, \mathbb{C}^\infty(G) \otimes \Gamma(G \times_K \Lambda'))$

$$\text{Hom}_{E_\Lambda}(\mathfrak{g}, \mathbb{C}^\infty(G))$$

So this is our notion of ~~eigenfunctions~~ eigenfunctions. Among the solutions of the equation are those transforming with certain  $K$  representations. Certainly we should ~~not~~ require it to transform under  $K$  in some way.

$$\begin{array}{ll} 778-781 \\ \text{BAMS } 1963 \quad 782-788 \\ \text{AJM } 85(63) \quad 667-692 \end{array}$$

February 25

Ideas for further work.

1. Zhulobenko's idea of constructing the symmetry operators for simple roots using transitivity of induction.
2. Harish-Chandra's generic irreducibility theorem. - this probably amounts to a determination of the category tensored with the quotient field of  $S(\mathfrak{o})^W$ .
3. Relation between differential equations on  $G/K$  and the induced modules  $I(\mathfrak{g})$ . Here's a typical case. Suppose we have that  $\nu = 0$  and that the map  $(U(\mathfrak{g}) \otimes_{k!}) \otimes_{E_\lambda} \xi \rightarrow I(\mathfrak{g})$  ( $\xi = \text{Hom}_k(1, \mathfrak{g}) = \lambda$ ) is ~~an~~ an isomorphism, in other words the cyclic submodule of  $I(\mathfrak{g})$  generated by the  $k$ -invariants is of full multiplicity. Let  $M = (U(\mathfrak{g}) \otimes_{k!}) \otimes_{E_\lambda} \xi$ . We know that  $M$  defines an invariant DE on  $G/K$ , whose solutions over an ~~open set~~ open set  $U$  are

$$\text{Hom}_{\mathfrak{g}}(M, C^\infty(\pi^{-1}U)) = \text{Hom}_{E_\lambda}(\xi, \Gamma(U, G \times_k 1))$$

i.e. the functions on ~~U~~  $U$  which are eigenfunctions for  $E_\lambda$  with ~~eigenvalues~~ eigencharacter  $\xi$ . But in the set of global ~~sols~~ solutions there is a distinguished one, namely the unique  $K$  ~~inv~~ invariant one - i.e. the spherical function. Now  $\mathfrak{g}$  acts on the solutions so we obtain ~~spherical~~ a cyclic module with a  $k$ -invariant with eigencharacter  $\lambda$ . Thus get map  $M \rightarrow \text{Hom}_{\mathfrak{g}}(M, C^\infty(G))$  which is probably injective  $\Leftrightarrow M$  irreducible. In any case ~~this~~ we

now have two maps

$$\begin{array}{ccc} M & \xrightarrow{\quad} & \mathbb{I}(S) \\ \downarrow & & \\ \mathrm{Hom}_g(M, C^\infty(G)) & & \end{array}$$

and we can try to construct a transform among the ends.

4. One knows that the center  $Z$  acts on  $C(\lambda_1, \lambda_2)$  ~~particularly~~ and that we can decompose the simple modules with respect to their eigenvalues over  $Z$ .

Given  $\lambda, \nu$  (you can) reconstruct the eigenvalues on  $Z$

Can decompose your simple modules over  $W$  orbits of  $S'$ s in such a way that

~~Problem:~~ For  $sl(2, \mathbb{R})$  you have

$$I(f) = \sum (\delta_\sigma) \quad e^{2\pi i \sigma} = \nu$$

$$\left\{ \begin{array}{l} X\delta_\sigma = \frac{1}{\sqrt{2}}(\lambda + \sigma) \delta_{\sigma+1}(\lambda) \\ Y\delta_\sigma = \frac{1}{\sqrt{2}}(\lambda - \sigma) \delta_{\sigma-1}(\lambda) \\ H\delta_\sigma = \sigma \delta_\sigma \end{array} \right.$$

Check:  $C = \frac{1}{2}(H^2 + XY + YX)$

$$= \frac{1}{2}(H^2 + H + 2YX)$$

$$2C\delta_\sigma = \left( \sigma^2 + \sigma + 2\frac{1}{2}\frac{1}{\sqrt{2}}(\lambda + \sigma)(\lambda - \sigma - 1) \right) \delta_\sigma$$

$$\begin{aligned} C\delta_\sigma &= \frac{1}{2}(\sigma^2 + \sigma + \lambda^2 - \sigma^2 - \lambda - \sigma) \delta_\sigma \\ &= \frac{1}{2}(\lambda^2 - \lambda) \delta_\sigma \end{aligned}$$

eigenvalue of  $C = \frac{1}{2} \left[ \left(1 - \frac{1}{2}\right)^2 - \frac{1}{4} \right]$

Therefore define

$$\mathbb{E} \{ \delta_\sigma(\lambda) \} = \frac{a(\sigma)}{b(\sigma)} \delta_\sigma(1-\lambda)$$

$$\mathbb{E} \{ X\delta_\sigma(\lambda) \} = X \cdot \cancel{\frac{a(\sigma)}{b(\sigma)} \delta_\sigma(1-\lambda)} \cdot \mathbb{E}(\delta_\sigma(1))$$

$$\frac{a(\sigma)}{b(\sigma)} \times \delta_{\sigma}(1-\lambda)$$

~~$a(\sigma)$~~   ~~$b(\sigma)$~~

$$\mathbb{E} \left\{ \frac{1}{\sqrt{2}} (\lambda + \sigma) \delta_{\sigma+1}(\lambda) \right\}$$

||

$$\frac{1}{\sqrt{2}} (\lambda + \sigma) \frac{a(\sigma+1)}{b(\sigma+1)} \delta_{\sigma+1}(1-\lambda) = \frac{a(\sigma)}{b(\sigma)} (1-\lambda + \sigma) \delta_{\sigma+1}(1-\lambda)$$

$$b(\sigma) = 1$$

$$\boxed{\frac{a(\sigma+1)}{a(\sigma)} = \frac{1-\lambda+\sigma}{\lambda+\sigma}} =$$

$$\frac{a(\sigma)}{a(\sigma+1)} = \frac{\sigma+\lambda}{\sigma}$$

Problem: Represent  $a(\sigma)$  in a nice form.

$$\frac{a(\sigma, \lambda)}{a(\sigma+1, \lambda)} = \frac{\lambda+\sigma}{1-\lambda+\sigma} \quad \cancel{\frac{1-\lambda+\sigma}{\lambda+\sigma}}$$

$$\frac{a(\sigma, 1-\lambda)}{a(\sigma+1, 1-\lambda)} = \frac{1-\lambda+\sigma}{\lambda+\sigma} = \frac{a(\sigma+1, \lambda)}{a(\sigma, \lambda)}$$

$$\therefore a(\sigma, 1-\lambda) a(\sigma, \lambda) = a(\sigma+1, 1-\lambda) a(\sigma+1, \lambda)$$

$$a(\sigma, \lambda) a(\sigma, 1-\lambda) = f(\lambda, e^{2\pi i \sigma}) = f(\lambda, \nu)$$

$$\frac{a(\sigma, \lambda)}{a(\sigma+1, \lambda)} = \frac{\lambda+\sigma}{1-\lambda+\sigma}$$

Try quotient of two  $\Gamma$  function. Recall

$$\Gamma(s+1) = s \Gamma(s). \quad \Gamma \text{ meromorphic.}$$

$$a(\sigma, \lambda) = \frac{\Gamma(\sigma+1-\lambda)}{\Gamma(\sigma+\lambda)}$$

Check

$$\frac{a(\sigma, \lambda)}{a(\sigma+1, \lambda)} = \frac{\Gamma(\sigma+1-\lambda)}{\Gamma(\sigma+\lambda)} \Bigg/ \frac{\Gamma(\sigma+1+1-\lambda)}{\Gamma(\sigma+1+\lambda)} \quad \cancel{\text{cancel}}$$

$$= \frac{\sigma+\lambda}{\sigma+1-\lambda} \quad \checkmark$$

Also

$$a(\sigma, \lambda) a(\sigma, 1-\lambda) = \frac{\Gamma(\sigma+1-\lambda)}{\Gamma(\sigma+\lambda)} \frac{\Gamma(\sigma+\lambda)}{\Gamma(\sigma+1-\lambda)} = 1.$$

Proposition: Let  $E \rightarrow X$  be an <sup>oriented</sup> vector bundle over a smooth manifold  $X$ . Then there is a class  $\alpha \in H_c^*(E)$  such that

$$\text{Index } f^{-1}X = \int_M L(\tau_{f^{-1}X}) \cdot f^*\alpha$$

for any map  $f: M \rightarrow E$  transversal to 0-section where  $M$  is compact oriented and smooth.

Proof: Let  $U \in H_c(E)$  be a Thom class for  $E$  so that for any  $\beta \in H(X)$  we have

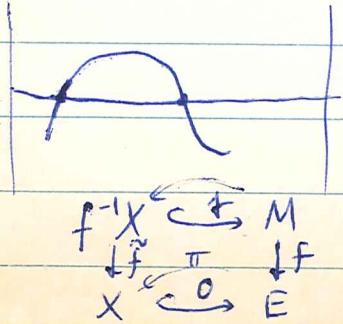
$$O_* \beta = \cancel{U \cdot \pi^* \beta}$$

where  $O: X \rightarrow E$  is the zero-section embedding. As  $f$  is transversal to 0-section  $f^*U$  is a Thom class for  $f^{-1}X$  in  $M$ . Thus if  $j: f^{-1}X \rightarrow M$  is the inclusion

$$j_*(\gamma) = f^*U \cdot p^* \gamma$$

where  $p$  is a retraction of support  $f^*U$  onto  $f^{-1}X$ . Thus

$$\text{Index } f^{-1}X = \int_{f^{-1}X} L(\tau_{f^{-1}X}) = \int_{f^{-1}X} j^* L(\tau_M) \cdot f^* \tilde{\rho}(E)^{-1}$$



$$= \int_M L(\tau_M) \cdot f^*(U) \cdot p^* \tilde{f}^* \tilde{\rho}(E)^{-1}$$

$$= \int_M L(\tau_M) f^* \{ U \cdot \pi^* L(E)^{-1} \}.$$