

4-d GUTs and their Experimental Signatures

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Outline

- Motivation/ “Evidences” for GUTs
- Model building challenges
- Predictive $SO(10)$ model
- Experimental tests
- Conclusions

- KB, J. Pati, Z. Tavartkiladze, 2008
- KB, J. Pati, P. Rastogi, 2005
- KB, J. Pati, F. Wilczek, 2000
- S. Barr, S. Raby, 1997
- KB, S. Barr (1993)
- S. Dimopoulos, F. Wilczek, 1981
- H. Georgi, 1975
- H. Fritzsch, P. Minkowski, 1975
- H. Georgi, S. Glashow, 1974
- J. Pati, A. Salam, 1974

Motivations/Evidence favoring GUTs

- Electric charge quantization
 - ◊ $Q_p = -Q_e$ to better than 1 part in 10^{21}
- Miraculous cancellation of anomalies
- Quantum numbers of quarks and leptons
- Existence of ν_R and thus neutrino mass via seesaw
- Unification of gauge couplings with low energy SUSY
- $b - \tau$ unification
- Baryon asymmetry of the universe via leptogenesis

Structure of matter multiplets

$$Q = \begin{pmatrix} u_1 & u_2 & u_3 \\ d_1 & d_2 & d_3 \end{pmatrix} \sim (3, 2, \frac{1}{6})$$

$$u^c = (u_1^c \quad u_2^c \quad u_3^c) \sim (\bar{3}, 1, \frac{-2}{3})$$

$$d^c = (d_1^c \quad d_2^c \quad d_3^c) \sim (\bar{3}, 1, \frac{1}{3})$$

$$L = \begin{pmatrix} \nu \\ e^- \end{pmatrix} \sim (1, 2, \frac{-1}{2})$$

$$e^c \sim (1, 1, +1)$$

$$\nu^c \sim (1, 1, 0)$$

u_1	:	$\uparrow\downarrow\uparrow\uparrow\downarrow$ >
u_2	:	$\uparrow\downarrow\uparrow\downarrow\uparrow$ >
u_3	:	$\uparrow\downarrow\downarrow\uparrow\uparrow$ >
d_1	:	$\downarrow\uparrow\uparrow\uparrow\downarrow$ >
d_2	:	$\downarrow\uparrow\uparrow\downarrow\uparrow$ >
d_3	:	$\downarrow\uparrow\downarrow\uparrow\uparrow$ >
u_1^c	:	$\downarrow\downarrow\uparrow\downarrow\downarrow$ >
u_2^c	:	$\downarrow\downarrow\downarrow\uparrow\downarrow$ >
u_3^c	:	$\downarrow\downarrow\downarrow\downarrow\uparrow$ >
d_1^c	:	$\uparrow\uparrow\uparrow\downarrow\downarrow$ >
d_2^c	:	$\uparrow\uparrow\downarrow\uparrow\downarrow$ >
d_3^c	:	$\uparrow\uparrow\downarrow\downarrow\uparrow$ >
ν	:	$\uparrow\downarrow\downarrow\downarrow\downarrow$ >
e	:	$\downarrow\uparrow\downarrow\downarrow\downarrow$ >
e^c	:	$\downarrow\downarrow\uparrow\uparrow\uparrow$ >
ν^c	:	$\uparrow\uparrow\uparrow\uparrow\uparrow$ >

Standard Model

SO(10)

Miraculous cancellation of anomalies

- $SU(3)_C^2 \times U(1)_Y: \frac{1}{2} \left[2 \times \left(\frac{1}{6}\right) + 1 \times \left(\frac{-2}{3}\right) + 1 \times \left(\frac{1}{3}\right) \right] = 0$

- $SU(2)_L^2 \times U(1)_Y: \frac{1}{2} \left[3 \times \left(\frac{1}{6}\right) + 1 \times \left(\frac{-1}{2}\right) \right] = 0$

- $(\text{gravity})^2 \times U(1)_Y:$

$$\left[3 \times 2 \times \left(\frac{1}{6}\right) + 3 \times \left(\frac{-2}{3}\right) + 3 \times \left(\frac{1}{3}\right) + 2 \times \left(\frac{-1}{2}\right) + 1 \times 1 \right] = 0$$

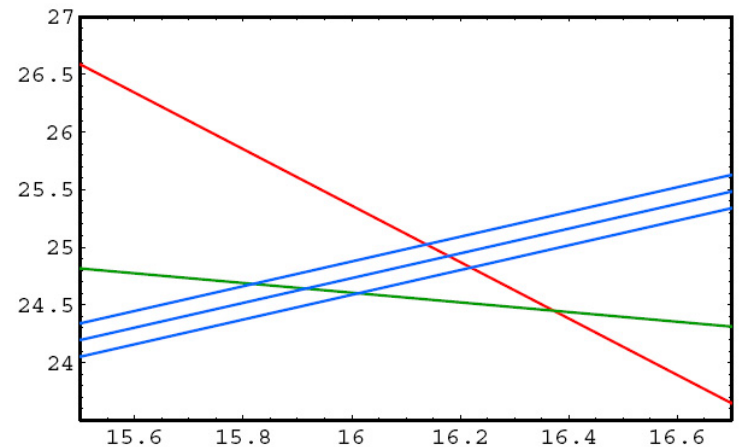
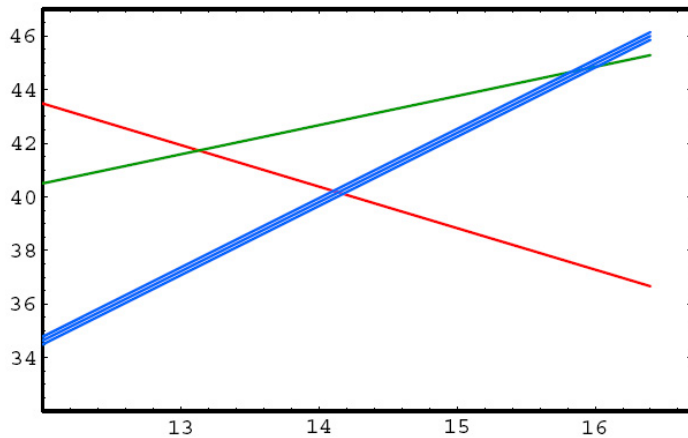
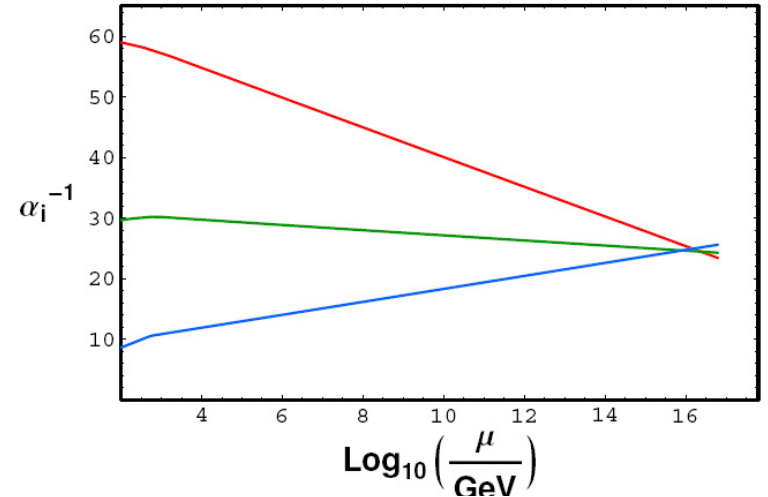
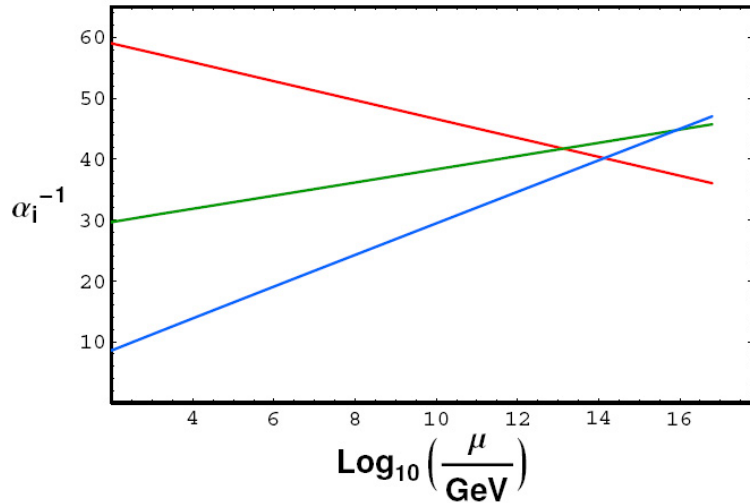
- $U(1)_Y^3:$

$$\left[3 \times 2 \times \left(\frac{1}{6}\right)^3 + 3 \times \left(\frac{-2}{3}\right)^3 + 3 \times \left(\frac{1}{3}\right)^3 + 2 \times \left(\frac{-1}{2}\right)^3 + 1 \times (1)^3 \right] = 0$$

Suggests $SO(10)$ embedding

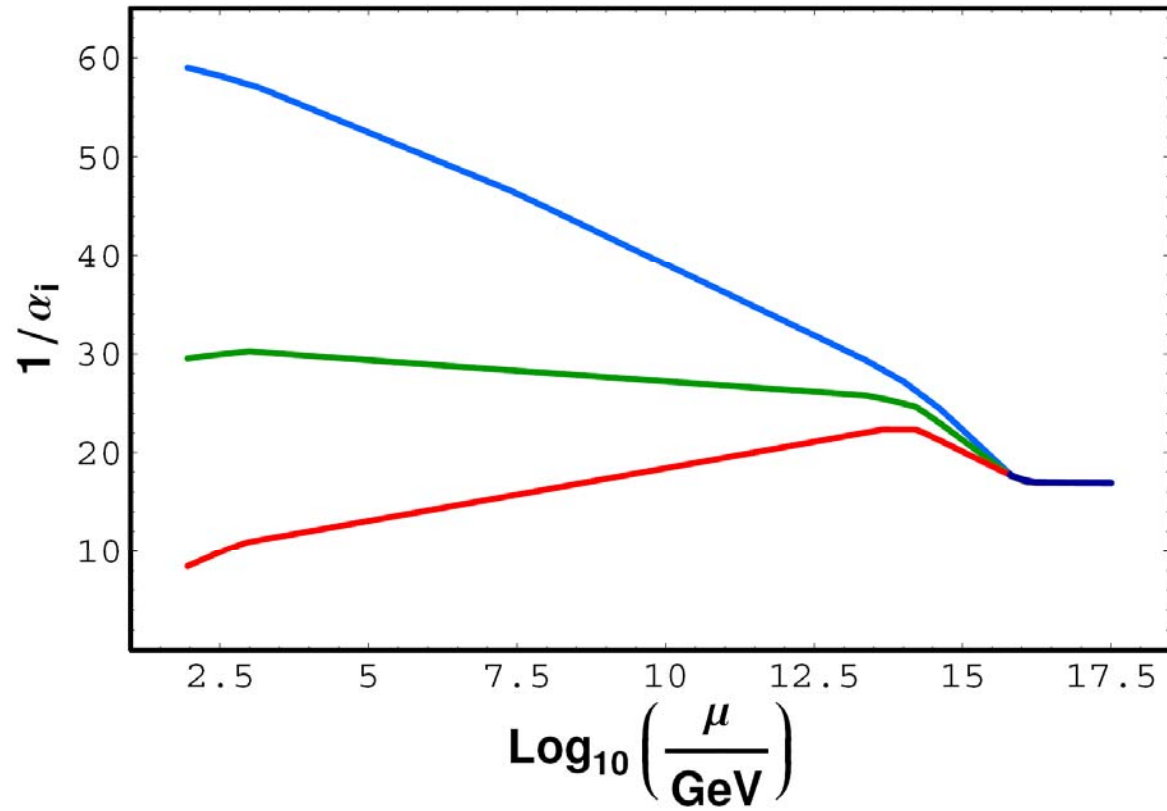
$SO(10)$ is automatically anomaly free

Evolution of Gauge Couplings



Standard Model

MSSM



Gauge coupling evolution in an explicit SO(10) model

Neutrino masses and the scale of new physics

$$\mathcal{L} = \frac{LLHH}{M_R}$$

$$\langle H \rangle \sim 246 \text{ GeV} \text{ and } m_{\nu_3} \sim 0.05 \text{ eV}$$

from atmospheric neutrino oscillation data



$$M_R \sim 10^{14} - 10^{15} \text{ GeV}$$

Very Close to the GUT scale

$$M_R \sim \frac{M_{\text{GUT}}^2}{M_{\text{Pl}}}$$

Leptogenesis via ν_R decay explains cosmological baryon asymmetry

Finding order in fermion mass spectrum

$$\begin{array}{ll} m_t = 1.0 & m_b = 1.67 \times 10^{-2} \\ m_c = 3.6 \times 10^{-3} & m_s = 3.1 \times 10^{-4} \\ m_u = 1.3 \times 10^{-5} & m_d = 2.3 \times 10^{-5} \end{array}$$

$$\begin{array}{ll} m_\tau = 1.0 \times 10^{-2} & m_3 = 2.9 \times 10^{-13} \\ m_\mu = 6.2 \times 10^{-4} & m_2 = 5.2 \times 10^{-14} \\ m_e = 3.0 \times 10^{-6} & m_1 = < m_2 \end{array}$$

$$V_q = \begin{pmatrix} 0.976 & 0.22 & 0.004 \\ -0.22 & 0.98 & 0.04 \\ 0.007 & -0.04 & 1 \end{pmatrix}$$

$$U_\ell = \begin{pmatrix} 0.85 & -0.54 & < 0.2 \\ 0.33 & 0.62 & -0.72 \\ -0.40 & -0.59 & -0.70 \end{pmatrix}$$

$$\text{Im} \left(\frac{V_{ub}V_{cs}}{V_{us}V_{cb}} \right) = 0.33$$

Minimal SU(5) GUT

Georgi, Glashow (1974)

Matter multiplets: $\{10 + \bar{5} + 1\}$

$$10 : \begin{pmatrix} 0 & u_3^c & -u_2^c & u_1 & d_1 \\ -u_3^c & 0 & u_1^c & u_2 & d_2 \\ u_2^c & -u_1^c & 0 & u_3 & d_3 \\ -u_1 & -u_2 & -u_3 & 0 & e^c \\ -d_1 & -d_2 & -d_3 & -e^c & 0 \end{pmatrix}$$

$$\bar{5} : (d_1^c, d_2^c, d_3^c, e, -\nu_e)$$

$$1 : \nu^c$$

Higgs: $24_H, \{5_H, \bar{5}_H\} \Rightarrow$ Contain color triplets $\{H_C, \bar{H}_C\}$

Yukawa Couplings $Y_u^{ij} 10_i 10_j 5_H + Y_d^{ij} 10_i \bar{5}_j \bar{5}_H$

$$M_\ell = M_d^T \Rightarrow m_b = m_\tau, m_s = m_\mu, m_d = m_e$$

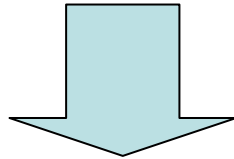
MSSM Higgs doublets have color triplet partners in GUTs.

$$H(1, 2, 1/2) \oplus H_c(3, 1, -1/3) = \mathbf{5} \text{ of } SU(5)$$

$$\bar{H}(1, 2, -1/2) \oplus \bar{H}_c(\bar{3}, 1, 1/3) = \bar{\mathbf{5}}$$

H, \bar{H} **must remain light**

H_c, \bar{H}_c **must have GUT scale mass to prevent rapid proton decay**



Doublet-triplet splitting

Even if color triplets have GUT scale mass, d=5 proton decay is problematic.

Symmetry breaking in SUSY SU(5)

Doublet-triplet splitting:

$$W_{D-T} = \bar{5}_H (\lambda 24_H + M) 5_H$$

$$\langle 24_H \rangle = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -3/2 & 0 \\ 0 & 0 & 0 & 0 & -3/2 \end{pmatrix} V$$

FINE-TUNED TO $O(M_W)$

$$M_{H_c} = \lambda V + M \sim O(M_{GUT}) \quad M_H = -\frac{3}{2}\lambda V + M$$

The GOOD

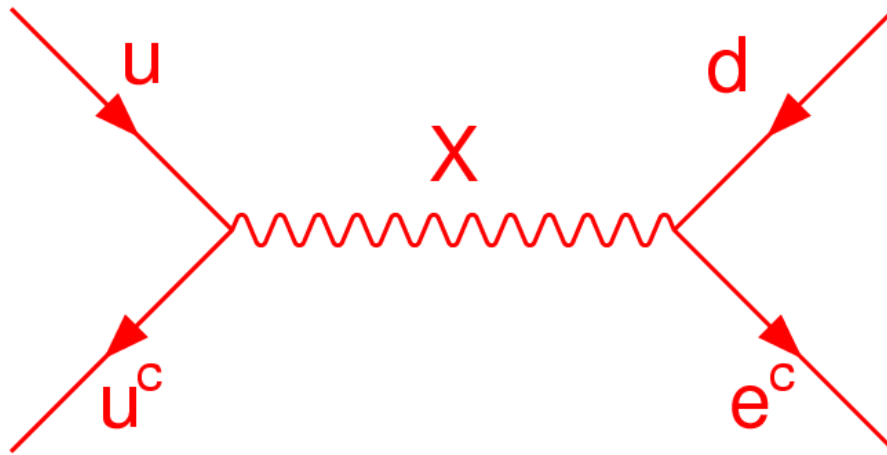
- (1) Predicts unification of couplings
- (2) Uses economic Higgs sector

The BAD

- (1) Unnatural fine tuning
- (2) Large proton decay rate

Nucleon decay in SUSY GUTs

Gauge boson exchange:



$$p \rightarrow e^+ \pi^0, \tau_p^{-1} \approx \left[\frac{g^2}{M_X^2} \right]^2 m_p^5 \approx [10^{35 \pm 1} \text{yr}]^{-1}$$

SUSY SU(5) Prediction for proton decay

$$\frac{1}{\Gamma(p \rightarrow e^+ \pi^0)} = (2.0 \times 10^{35} \text{ yr}) \times \left(\frac{\alpha_H}{0.01 \text{ GeV}^3} \right)^{-2} \left(\frac{\alpha_G}{1/25} \right)^{-2} \left(\frac{A_R}{2.5} \right)^{-2} \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4$$

$$(-2\alpha_3^{-1} - 3\alpha_2^{-1} + 3\alpha_Y^{-1})(M_Z) = \frac{1}{2\pi} \left\{ 36 \ln \left(\frac{M_X}{M_Z} \left(\frac{M_\Sigma}{M_X} \right)^{1/3} \right) + 8 \ln \left(\frac{M_{\text{SUSY}}}{M_Z} \right) \right\}$$

- ◆ Color octet Higgs boson mass is near GUT scale, but it is not precisely known.

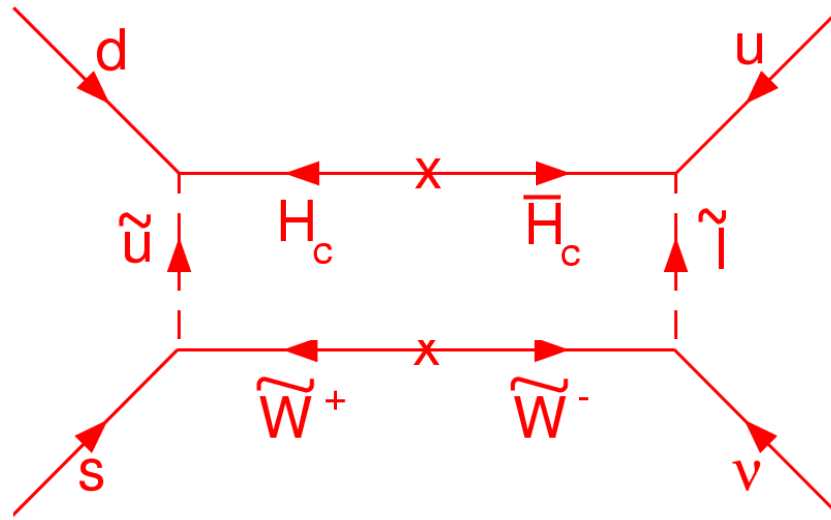
$$\frac{M_\Sigma}{M_X} \leq 1.8 \quad (\text{Perturbation theory})$$

Hisano, Murayama, Yanagida (1993)

Higgsino Exchange:

Sakai, Yanagida (1982)

Weinberg (1982)



$$p \rightarrow \bar{\nu} K^+$$

$$\tau_p^{-1} \approx \left[\frac{f^2}{M_{H_c} M_{SUSY}} \right]^2 \left(\frac{\alpha}{4\pi} \right)^2 m_p^5 \approx [10^{28} - 10^{32} \text{ yr}]^{-1}$$

SUSY SO(10) Grand Unification

- ★ Quarks and leptons $\sim \{16_i\}$
- ★ Contains ν_R and Seesaw mechanism

Higgs: $\{45_H + 10_H + 16_H + \bar{16}_H\}$

$$\mathcal{L}_{\text{Yukawa}} = f_{ij} 16_i 16_j 10_H + h_{ij} 16_i 16_j \bar{16}_H \bar{16}_H / M_{Pl}$$

$$\Rightarrow m_{\nu_\tau}^D \simeq m_t; m_{\nu_{\tau R}}^M \simeq h_{33} \frac{M_{GUT}^2}{M_{Pl}}$$

$$m_{\nu_\tau} = \frac{m_t^2}{m_{\nu_{\tau R}}} \simeq 0.05 \text{ eV}, h_{33} \sim 1$$

Fits the atmospheric neutrino data well

- ❖ Small Higgs rep \Rightarrow small threshold corrections for gauge couplings
- ❖ R-parity not automatic (needs a Z_2 symmetry)

Symmetry breaking in SUSY SO(10)

$$W_{D-T} = \lambda(\bar{10}_H 45_H 10'_H) + \dots$$

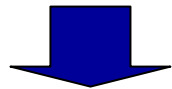
$$\langle 45_H \rangle = \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & a & 0 & 0 & 0 \\ 0 & 0 & a & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \otimes i\tau_2 \propto B - L$$

→ B-L VEV gives mass to triplets only (**DIMOPOULOS-WILCZEK**)

→ If 10_H only couples to fermions, no d=5 proton decay

→ Doublets from 10_H and $10'_H$ light

4 doublets, unification upset



Add mass term for $10'_H$

$$W_{D-T} = \lambda(\bar{10}_H 45_H 10'_H) + M 10'_H 10'_H$$

Fermion masses and mixings

KB, Pati, Tavartkiladze

$$U = \begin{pmatrix} 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} m_U, \quad D = \begin{pmatrix} 0 & \epsilon' + \eta' & 0 \\ -\epsilon' - \eta' & 0 & \sigma + \sigma \\ 0 & \bar{\epsilon} + \sigma & 1 \end{pmatrix} m_D,$$

$$N = \begin{pmatrix} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} m_U, \quad L = \begin{pmatrix} 0 & -3\epsilon' - \eta' & 0 \\ 3\epsilon' + \eta' & 0 & 3\bar{\epsilon} + \sigma \\ 0 & 3\epsilon + \sigma & 1 \end{pmatrix} m_D$$

$$M_\nu^R = \begin{pmatrix} x & 0 & z \\ 0 & x & y \\ z & y & 1 \end{pmatrix} M_R$$

"1" : $16_3 16_3 10_H$

" σ " : $1\vec{6}.\vec{X}16_3 10_H/M$

" ϵ " : $1\vec{6}.\vec{Y}16_3 C.C' 45_H/M^3$

" η " : $1\vec{6}.1\vec{6}10_H 45_H S/M^2$

$1\vec{6} \sim 2$ of Q_4
 $\vec{X}, \vec{Y} \sim$ flavons

$\langle 45_H \rangle \propto (B - L)$

Postdictions

$$\begin{aligned}m_b^0 &\approx m_\tau^0 \\m_d^0 m_s^0 m_b^0 &= m_e^0 m_\mu^0 m_\tau^0 \\m_s(1 \text{ GeV}) &\approx 116 \text{ MeV} \\V_{cb} &\approx 0.043\end{aligned}$$

$$\begin{aligned}\sin^2 2\theta_{\mu\tau} &= (0.99, 0.96, 0.91, 0.86, 0.83, 0.81) \\ \frac{m_{\nu\mu}}{m_{\nu\tau}} &= (1/6, 1/10, 1/15, 1/20, 1/25, 1/30)\end{aligned}$$

$$\begin{aligned}m_d(1 \text{ GeV}) &\approx 8 \text{ MeV} \\ \theta_c &\approx \left| \sqrt{m_d/m_s} - e^{i\phi} \sqrt{m_u/m_c} \right| \\ \left| \frac{V_{us}}{V_{cs}} \right| &\approx \sqrt{\frac{m_u}{m_c}} \approx 0.07\end{aligned}$$

Realistic SO(10) model without fine-tuning

Quarks and leptons: $\{16_i\}$

Economic Higgs system

$\{45_H + 16_H + \overline{16}_H\} \Rightarrow$ breaks symmetry to SM

$\{10_H + 10'_H\} \Rightarrow$ EW symmetry breaking

$\{16'_H + \overline{16}'_H\} \Rightarrow$ avoids pseudoGoldstones

$\{2 \text{ singlets : } S, Z\} \Rightarrow$ Fixes VEVs

Small Higgs representation \Rightarrow Small threshold effects for gauge couplings

A Z_2 -assisted anomalous $\mathcal{U}(1)$ symmetry guarantees stability

Issues to be addressed with DW mechanism

- Can the VEV pattern for 45_H be realized?
- Is the VEV structure stable?
- Are there flat directions?
- Are there pseudo-Goldstone bosons?
- Are threshold corrections small?
- Is $d = 5$ proton decay consistent with data?

We now have a complete model where all issues are successfully addressed

Complete SO(10) Model

Z_2 -assisted anomalous $\mathcal{U}(1)$

	$A(45)$	$H(10)$	$H'(10)$	$C(16)$	$\bar{C}(\overline{16})$	Z	S	$C'(16)$	$\bar{C}'(\overline{16})$	16_i
$\mathcal{U}(1)$	0	1	-1	$\frac{k+4}{2k}$	$-\frac{1}{2}$	$\frac{2}{k}$	$\frac{2}{k}$	$\frac{k-4}{2k}$	$-\frac{k+8}{2k}$	$-\frac{1}{2} + a_i$
Z_2	-	+	-	+	+	-	+	+	+	P_i

Superpotential:

$$W(A) = M_A \text{tr} A^2 + \frac{\lambda_A}{M_*} (\text{tr} A^2)^2 + \frac{\lambda'_A}{M_*} \text{tr} A^4$$

$$W(A, C, C') = C \left(\frac{a_1}{M_*} Z A + \frac{b_1}{M_*} C \bar{C} + c_1 S \right) \bar{C}' + C' \left(\frac{a_2}{M_*} Z A + \frac{b_2}{M_*} C \bar{C} + c_2 S \right) \bar{C}$$

$$W(DT) = \lambda_1 H A H' + \lambda_{H'} \frac{S^k}{M_*^{k-1}} (H')^2 + \lambda_2 H \bar{C} \bar{C}$$

Fixing the VEVs

$$\langle A \rangle = i\sigma_2 \otimes \text{Diag}(a, a, a, 0, 0)$$

$$\langle C \rangle = \langle \bar{C} \rangle = c, \quad \langle C' \rangle = \langle \bar{C}' \rangle = 0$$

$$\langle S \rangle = s, \quad \langle Z \rangle = z$$

$$F_A = 0 \Rightarrow a^2 = \frac{M_A M_*}{2(6\lambda_A + \lambda'_A)}$$

$$\mathcal{U}(1) \text{ Dterm} \Rightarrow c^2 + z^2 + s^2 = \frac{k}{2}\xi \quad (\xi = \frac{g_A^2 M_P^2}{192\pi^2} \text{Tr}Q)$$

$$F_{C'} = 0 \Rightarrow -\frac{3a_1}{M_*}za + \frac{b_1}{M_*}c^2 + c_1s = 0$$

$$F_{\bar{C}'} = 0 \Rightarrow \frac{3a_2}{M_*}za + \frac{b_2}{M_*}c^2 + c_2s = 0 .$$

$$F_S = 0 \Rightarrow \langle C' \rangle = 0$$

$$F_Z = 0 \Rightarrow \langle \bar{C}' \rangle = 0$$

$$\Rightarrow s = \frac{c^2}{M_*} \frac{b_1 a_2 - b_2 a_1}{a_1 c_2 - a_2 c_1}, \quad z = \frac{c^2}{3a} \frac{b_1 c_2 - b_2 c_1}{a_1 c_2 - a_2 c_1}$$

All the VEVs are fixed, in desired directions

Doublet-Triplet Mass Matrix

$$M_{D,T} = \begin{matrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{matrix} \begin{pmatrix} 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ 0 & \eta_{D,T} a & \lambda_2 c & 0 \\ -\eta_{D,T} a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T} Y_1 \\ 0 & 0 & \kappa_{D,T} Y_2 & M' \eta \end{pmatrix}$$

with $\eta_D = 0$, $\eta_T = \lambda_1$, $\kappa_D = 3$, $\kappa_T = 2$

$$M_{H'} = \lambda_{H'} s^k / M_*^{k-1}, \quad Y_{1,2} = 2a_{1,2} z a / (M_*) \sim M_{\text{GUT}}^2 / M_*$$

$$M' \subset Z^2 S C' \bar{C}' / M^2, \quad \eta = 1 \text{ or } 0$$

All color triplets heavy, one pair of Higgs doublets light

$$H_u \text{ is in } 5_H, \quad H_d \text{ in } \bar{5}_H + 16'_H \Rightarrow \tan \beta \neq \frac{m_t}{m_b}$$

Stability of doublet mass

$$M_{D,T} = \begin{matrix} & 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ \begin{matrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{matrix} & \left(\begin{array}{cccc} 0 & \eta_{D,T} a & \lambda_2 c & 0 \\ -\eta_{D,T} a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T} Y_1 \\ 0 & 0 & \kappa_{D,T} Y_2 & M' \eta \end{array} \right) \end{matrix}$$

Zeros in first column protected by $\mathcal{U}(1) \times Z_2$ symmetry

Mass for doublet needs negative charge VEV

All GUT scale VEVs have positive $\mathcal{U}(1)$ charge

Superpotential must be holomorphic

$A^n (C\bar{C})^m$ type terms would destabilize $(B-L)$ VEV

Such terms forbidden by $\mathcal{U}(1)$ symmetry

$$W_\mu = HC'C' \Rightarrow \mu \sim M_{\text{SUSY}} \sim 10^2 \text{ GeV}$$

This shows all order stability of doublet mass

Spectrum of doublets and triplets

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4 M_{\text{eff}} \cos \gamma}$$

with $\tan \gamma = \frac{\lambda_2 c}{3 Y_2}$, $\frac{1}{M_{\text{eff}}} = (M_T^{-1})_{11} = \frac{M_{H'}}{\lambda_1^2 a^2}$

M_{eff} controls $d = 5$ proton decay: $\mathcal{A}(d = 5) \propto 1/M_{\text{eff}}$

$M_{\text{eff}} \sim 10^{19}$ realized naturally, as $M_{H'} \ll M_{\text{GUT}}$

Spectrum heavy states from 45

$$M_{e_A^c} = M_3 = \frac{1}{2} M_8 \equiv \frac{1}{2} M_\Sigma, \quad \text{with} \quad M_\Sigma = \frac{2\lambda'_A}{6\lambda_A + \lambda'_A} M_A.$$

$$M_3 : M(1, 3, 0), \quad M_8 : M(8, 1, 0), \quad M_{e^c} : M(1, 1, 1)$$

Remaining states are in $10 + \overline{10}$ of $SU(5)$

Spectrum from 10 + 10-bar

$$M(\Psi^{10}) = \begin{matrix} & \bar{\Psi}_A^{10} & \bar{\Psi}_C^{10} & \bar{\Psi}_{C'}^{10} \\ \Psi_A^{10} & M_\Psi & 0 & X_1 \\ \Psi_C^{10} & 0 & 0 & \kappa_\Psi Y_1 \\ \Psi_{C'}^{10} & X_2 & \kappa_\Psi Y_2 & M'\eta \end{matrix} ,$$

with $\Psi = (u^c, q, e^c)$, $\kappa_\Psi = (2, 1, 0)$, $M_\Psi = (0, 0, M_\Sigma/2)$

$$X_{1,2} = 4a_{1,2}zc/M_*$$

$$u_1^c u_2^c = Y_1 Y_2 (4 + \tilde{p}^2), \quad Q_1 Q_2 = Y_1 Y_2 (1 + \tilde{p}^2), \quad \varepsilon_1^c \varepsilon_2^c = Y_1 Y_2 \hat{p}^2$$

$$\text{with } \tilde{p}^2 = \frac{|X_1|^2}{|Y_1|^2} = \frac{|X_2|^2}{|Y_2|^2}, \quad \hat{p}^2 = \tilde{p}^2 \left| 1 - \frac{M_\Sigma M'}{2X_1 X_2} \eta \right|$$

Gauge boson spectrum

$$M^2(X, Y) = g^2 a^2 \equiv M_X^2, \quad M^2(X', Y') = M_X^2(1 + p^2)$$

$$M^2(V_{uc}, \bar{u}^c) = M_X^2(4 + p^2), \quad M^2(V_{ec}, \bar{e}^c) = M_X^2 p^2, \quad \text{with } p^2 = \frac{4c^2}{a^2}$$

Note: $p = \tilde{p}$ in the model

\Rightarrow Higher SUSY in $\{10 + \overline{10}\}$ spectrum

\Rightarrow Threshold corrections from $\{10 + \overline{10}\}$ cancels

\Rightarrow Model almost as predictive as minimal SUSY $SU(5)$

Threshold corrections

$$\alpha^{-1}(\Lambda) = \alpha_i^{-1}(M_Z) - \frac{b_i}{2\pi} \ln \frac{\Lambda}{M_Z} + \Delta_{i,w}^{(2)} + \Delta_i^{\text{GUT}},$$

Weak scale threshold

Case 1 : $\tan \beta = 3$, $m_0 \simeq 300$ GeV, $m_{1/2} \simeq 352.4$ GeV

$$\Rightarrow \Delta_{i,w}^{(2)} \simeq (0.2602, 0.349, 1.207)$$

Case 2 : $\tan \beta = 3$, $m_0 \simeq 930$ GeV, $m_{1/2} \simeq 146.8$ GeV

$$\Rightarrow \Delta_{i,w}^{(2)} \simeq (0.4021, 0.2264, 0.9483);$$

Case 3 : $\tan \beta = 3$, $m_0 \simeq 1.97$ TeV, $m_{1/2} \simeq 146.8$ GeV,

$$\Rightarrow \Delta_{i,w}^{(2)} \simeq (0.6431, 0.4739, 1.209).$$

GUT scale threshold

$$\ln \frac{M_{\text{eff}} \cos \gamma}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right) \\ - \ln \frac{4\kappa^{5/2}}{9} + \ln \frac{(4+p^2)^{3/2}(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)^{3/2}(1+p^2)^2} + \ln \frac{p}{\tilde{p}}$$
$$\ln \frac{(M_X^2 M_\Sigma)^{1/3}}{M_Z} = \frac{\pi}{18} \left(5(\alpha_1^{-1} + \Delta_{1,w}^{(2)}) - 3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) \right) \\ + \frac{1}{6} \ln \kappa - \frac{1}{6} \ln \frac{(4+p^2)(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)(1+p^2)^2} - \frac{1}{3} \ln \frac{p}{\tilde{p}},$$

Very similar to minimal SUSY $SU(5)$ threshold

A single new parameter beyond $SU(5)$

\Rightarrow better $\alpha_3(M_Z)$

M_{HC} of $SU(5)$ replaced by $M_{\text{eff}} \cos \gamma$

$\Rightarrow d = 5$ proton decay under control

Correlation between d=5 and d=6 proton decay

$$M_{\text{eff}} \simeq 10^{18} \text{GeV} \cdot \left(\frac{10^{16} \text{GeV}}{M_X} \right)^3 \left(\frac{3}{\tan \beta} \right) \left(\frac{1/25}{r} \right) \frac{\exp[2\pi(\Delta_{2,w}^{(2)} - \Delta_{3,w}^{(2)} - \delta\alpha_3^{-1})]}{1.42 \cdot 10^{-2}}.$$

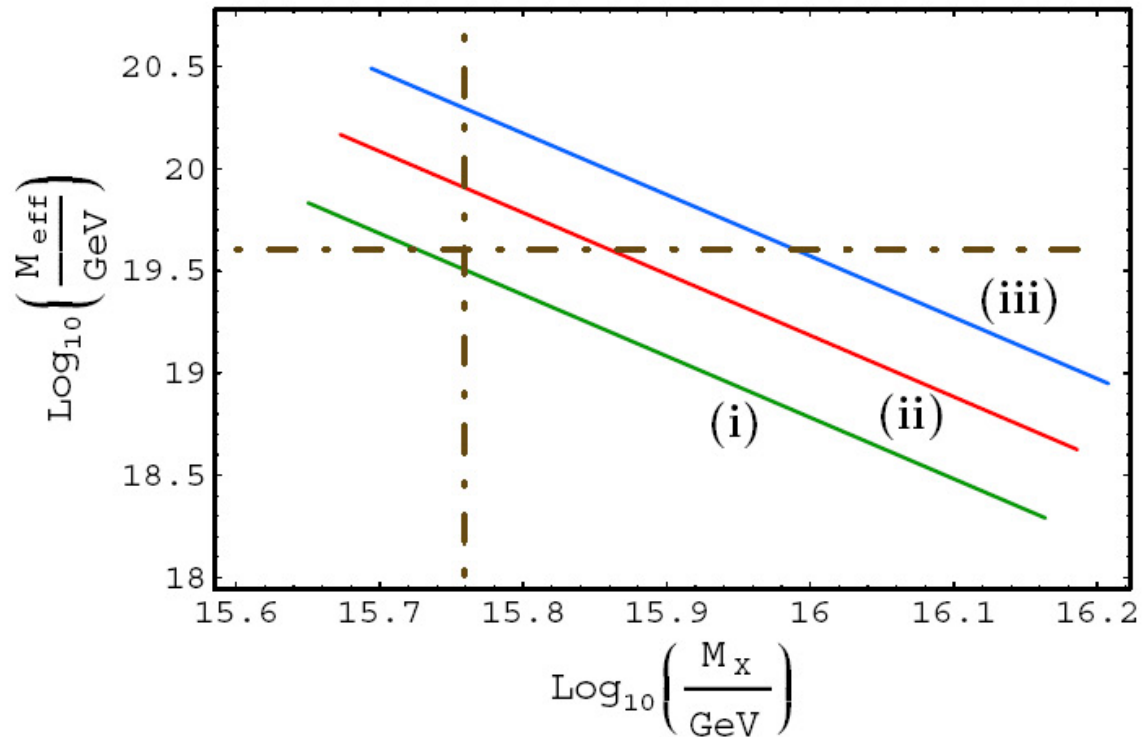
$$r \equiv M_\Sigma / M_X \ll 1, \quad r = (1/25 - 1/200)$$

$$\alpha_3^{-1}(M_X) = \alpha_3^{-1}(M_Z) + \Delta_{3,w}^{(2)} - \frac{1}{4\pi} + \frac{3}{2\pi} \ln \frac{M_X}{M_Z}$$

$$-\frac{1}{2\pi} \ln \frac{M_X^4}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} + \frac{3}{2\pi} \ln r - \frac{1}{2\pi} \ln \frac{M_X^2}{U_1^c U_2^c} - \frac{1}{\pi} \ln \frac{M_X^2}{Q_1 Q_2}.$$

$$\alpha_G = (1/19 - 1/22)$$

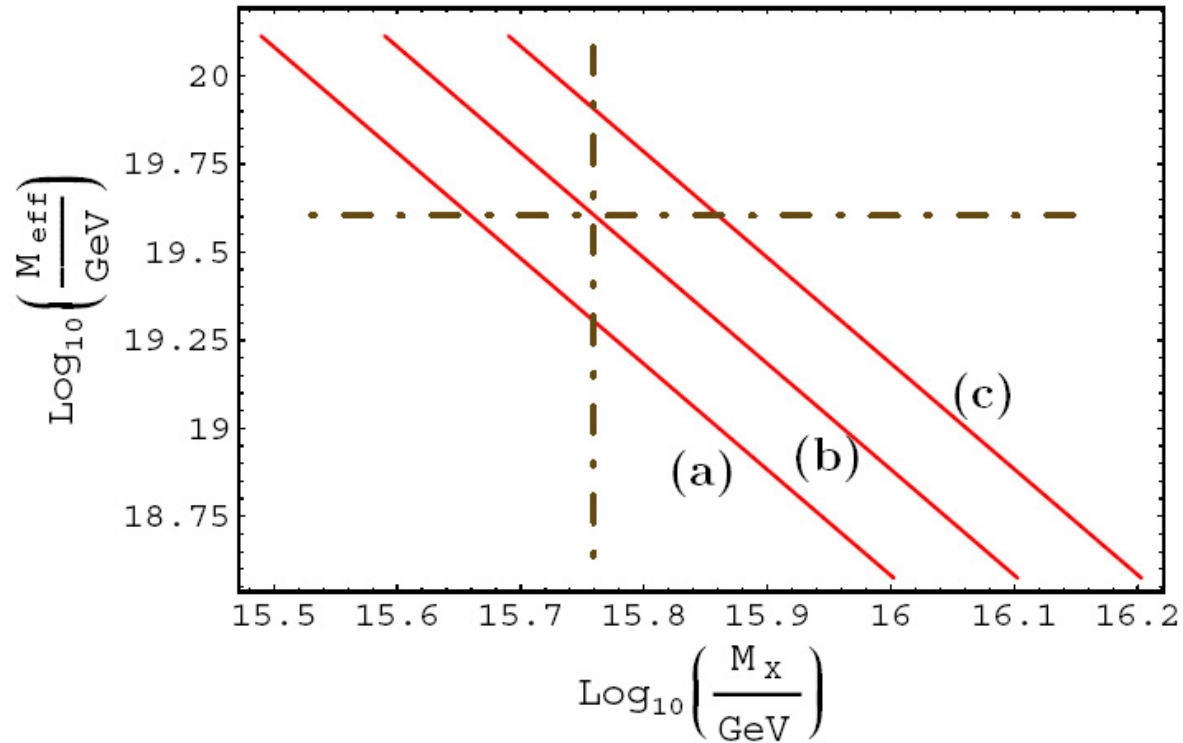
Correlation of d=5 and d=6 proton decay



- (i): $\alpha_3 = 0.1156$
- (ii): $\alpha_3 = 0.1176$
- (iii): $\alpha_3 = 0.1196$

$$r = 1/200, \quad \tan \beta = 3$$

Correlation of d=5 and d=6 proton decay



(a): $r = 1/50$

(b): $r = 1/100$

(c): $r = 1/200$

$$\alpha_3 = 0.1176, \quad \tan \beta = 3$$

d=5 Proton Decay in the model

$$\tau_p^{d=5}(p \rightarrow \bar{\nu} K^+) \simeq 2.3 \cdot 10^{33} \text{ yrs} \times \left(\frac{0.01 \text{ GeV}^3}{\tilde{\beta}} \right)^2 \left(\frac{1.74}{A_S^t} \right)^2 \left(\frac{1.34}{A_L} \right)^2 \times \\ \times \left(\frac{M_{\text{eff}}}{1.51 \cdot 10^{19} \text{ GeV}} \right)^2 \left(\frac{m_{\tilde{q}}}{1.8 \text{ TeV}} \right)^4 \left(\frac{125 \text{ GeV}}{M_{\tilde{W}}} \right)^2 .$$

$$\Rightarrow M_{\text{eff}} > 1.2 \times 10^{19} \text{ GeV} \quad \text{From experimental limit}$$

$$M_{\text{eff}} < 5 \times 10^{19} \text{ GeV} \quad \text{From theory -- tuning}$$

Light gaugino and heavy scalars preferred

$p \rightarrow e^+ \pi^0$ lifetime in SUSY SO(10) (yr)

$r \backslash \alpha_3$	0.1156		0.1176		0.1196	
	<i>A</i>	<i>B</i>	<i>A</i>	<i>B</i>	<i>A</i>	<i>B</i>
1/50	6.7×10^{32}	3.9×10^{32}	2.5×10^{33}	1.4×10^{33}	8.1×10^{33}	4.7×10^{33}
1/100	1.7×10^{33}	1.1×10^{33}	6×10^{33}	3.5×10^{33}	2×10^{34}	1.2×10^{34}
1/200	4×10^{33}	3×10^{33}	1.5×10^{34}	9×10^{33}	5×10^{34}	3×10^{34}

A: $M_{\text{eff}} = 3 \cdot 10^{19}$ GeV B: $M_{\text{eff}} = 4.5 \cdot 10^{19}$ GeV

$$r = M_{\Sigma}/M_X$$

Lepton flavor violation in SUSY SO(10)

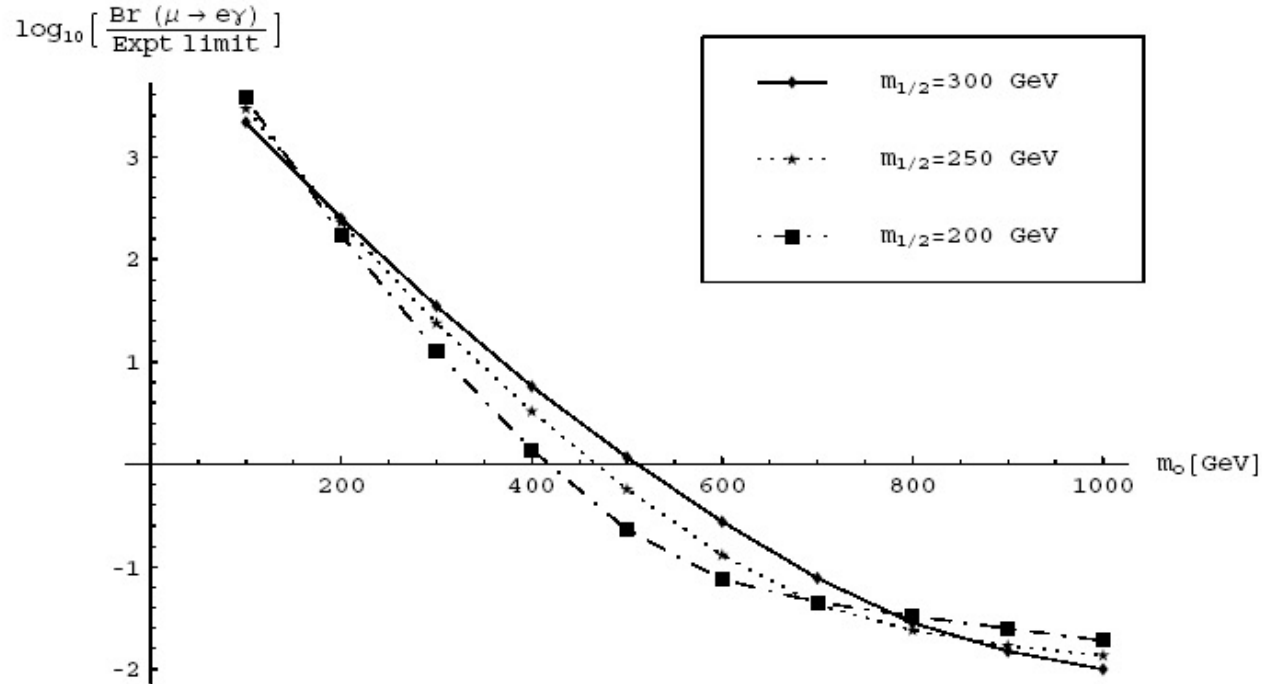


Fig. 1. Log of $\text{Br}(\mu \rightarrow e\gamma)$ divided by the experimental bound (1.2×10^{-11}) obtained for the SO(10) framework with $\ln(M^*/M_{GUT}) = 1$, $\tan\beta = 10$ and $\mu > 0$ vs m_o (in GeV) with $m_{1/2} = 200, 250$ and 300 GeV.

$$\Delta\hat{m}_{\tilde{b}_L}^2 = \Delta\hat{m}_{\tilde{b}_R}^2 = \Delta\hat{m}_{\tilde{\tau}_L}^2 = \Delta\hat{m}_{\tilde{\tau}_R}^2 \equiv \Delta \approx -\left(\frac{30m_o^2}{16\pi^2}\right)h_t^2 \ln(M^*/M_{GUT})$$

Electric dipole moments

$$\mathcal{L}_{eff} = -\frac{i}{2} d_f \bar{\psi} \sigma_{\mu\nu} \gamma_5 \psi F^{\mu\nu}$$

Violates CP

Electron: $d_e(Exp) \leq 2.1 \times 10^{-27}$ e-cm

Neutron: $d_n(Exp) \leq 6.3 \times 10^{-26}$ e-cm



Phases in SUSY breaking sector must be small, < 0.001

Light gaugino and heavy squarks suppress EDM

Summary and Conclusions

- SUSY GUTs in 4d well motivated
- Doublet–triplet mass hierarchy can be stable to all orders
- GUT scale threshold effects surprisingly small
- Good values of $\alpha_3(M_Z)$ predicted
- $d = 5$ proton decay adequately but not fully suppressed
- $d = 5$ and $d = 6$ proton decay rates correlated
- Both $p \rightarrow \bar{\nu}K^+$ and $p \rightarrow e^+\pi^0$ should be within reach of HyperKamiokande and DUSEL experiments
- Light gaugino and heavy scalars preferred
- SUSY FCNC under control by non-Abelian discrete symmetry