

THE MANDELBROT SET,  
FAREY TREE,  
AND FIBONACCI SEQUENCE

- HOW TO COUNT
- HOW TO ADD

math. bu. edu / DYSYS

math. bu. edu / people / bob

# ITERATE

$$x^2 + c$$



REAL OR COMPLEX

GIVEN SEED  $x_0$ , WHAT  
IS THE FATE OF ORBIT

$$x_1 = x_0^2 + c$$

$$x_2 = x_1^2 + c$$

$$x_3 = x_2^2 + c$$

⋮

ORBIT  
OF  $x_0$



$$x^2 + c$$

FATE OF ORBIT OF 0?

$$c = 1 : x^2 + 1$$

$$0 \rightarrow 1 \rightarrow 2 \rightarrow 5 \rightarrow 26$$

$\rightarrow$  BIG  $\rightarrow$  BIGGER ....

TO  $\infty$

$$c = 0 : x^2$$

$$0 \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

FIXED

$$c = -1$$

$$0 \rightarrow -1 \rightarrow 0 \rightarrow -1 \dots$$

2 CYCLE

## SUMMARY

| $C =$    | FATE                  |
|----------|-----------------------|
| 1        | $\rightarrow \infty$  |
| 0        | FIXED PT              |
| -1       | 2-CYCLE               |
| -1.3     | $\rightarrow$ 4-CYCLE |
| -1.38    | $\rightarrow$ 8-CYCLE |
| $\vdots$ |                       |
| -1.75    | $\rightarrow$ 3-CYCLE |
| $\vdots$ |                       |
| -1.9     | CHAOS                 |

BIF'N DIAGRAM = SPINE OF THE  
MANDELBROT SET.



## FUNDAMENTAL DICHOTOMY

SOMETIMES ORBIT OF 0  $\rightarrow \infty$   
( $c \notin [-2, .25]$ )

SOMETIMES IT DOES NOT

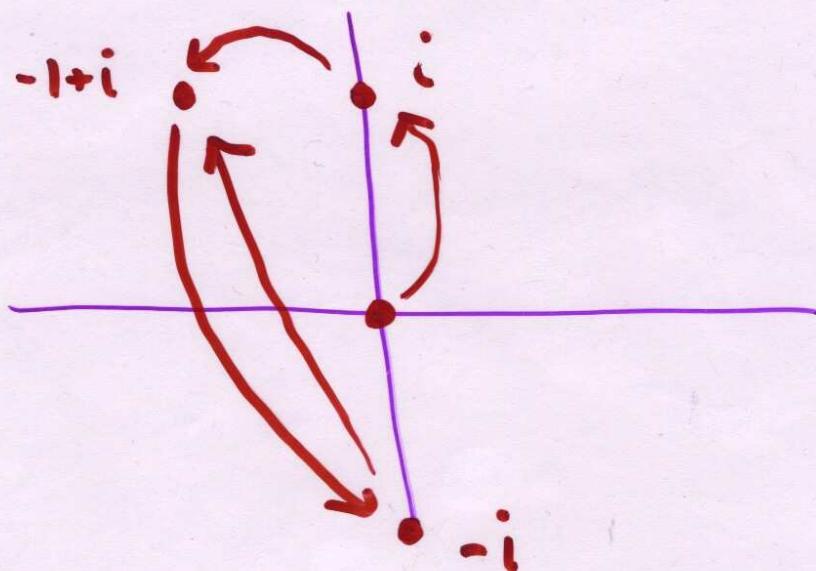
- FIXED PT
- CYCLE
- CHAOTIC

⋮

IF  $c$  IS COMPLEX

ORBIT IS A SEQUENCE  
IN THE PLANE

EX:  $x^2 + i$



EVENTUAL 2-CYCLE



EX  $x^2 + 2i$

$$0 \rightarrow 2i \rightarrow -4 + 2i \rightarrow 12 - 14i \\ \rightarrow \dots$$

TO  $\infty$

SAME DICHOTOMY

EITHER

• ORBIT OF  $0 \rightarrow \infty$

• ORBIT OF  $0 \rightarrow \infty$

- TEND TO FIXED PTS,  
CYCLES, CHAOTIC, ...

- POSSIBLE FATES  
STILL NOT KNOWN\*

# MANDELBROT SET:

ALL C-VALUES FOR  
WHICH ORBIT OF 0  
DOES NOT GO TO  
 $\infty$

$$i \in M\text{-SET}$$

$$z_i \notin M\text{-SET}$$

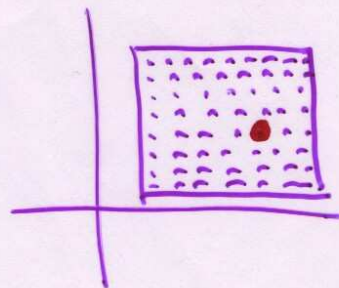
$$[-2, .25] \subset M\text{-SET}$$



# ALGORITHM

EACH POINT  
IS A C-VALUE

- CHOOSE GRID  
IN C-PLANE



- GIVEN  $c$  IN GRID  
COMPUTE ORBIT OF  
 $0$  UNDER  $x^2 + c$
- ORBIT OF  $0$  ESCAPES  
THEN COLOR  $c$   
(NOT IN M-SET)
- ORBIT OF  $0$  BOUNDED  
THEN LEAVE  $c$  BLACK  
(IN M-SET)

COLORS INDICATE #  
OF ITERATIONS TO  
EXCEED BOUND

RED

← FAST ESCAPE

ORANGE

YELLOW

GREEN

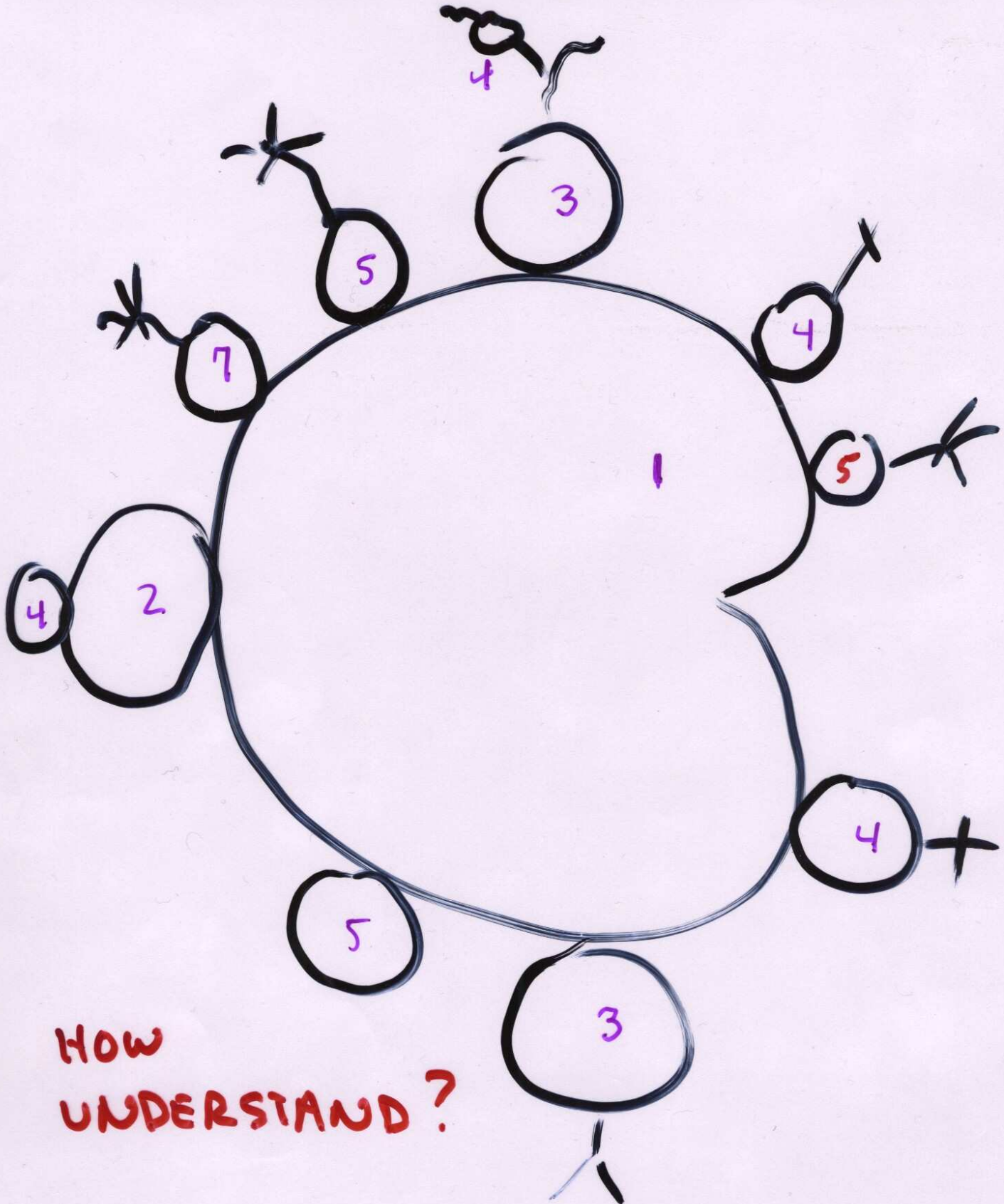
BLUE

← SLOW ESCAPE

VIOLET



# COMPLICATED MESS



(FILLED) JULIA SET

FIX  $c$ .

LET SEED VARY

(FILLED) J-SET = ALL  
SEEDS WHOSE ORBIT  
DOES NOT GO TO  $\infty$

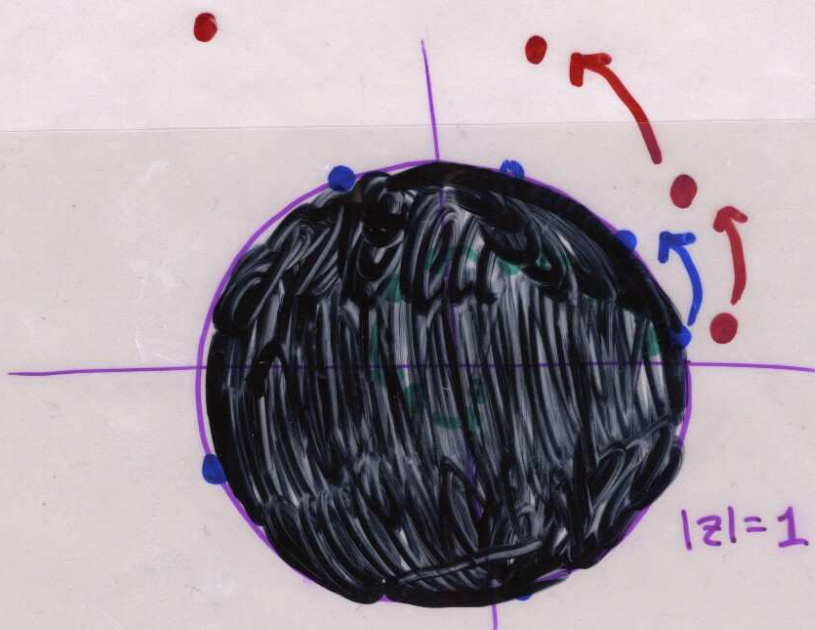
DIFFERENT J-SET FOR  
EACH  $c$ -VALUE



EX

$$x^2$$

$$(c=0)$$



FILLED J-SET IS ALL  
SEEDS ON, INSIDE  $|z|=1$

# IMPORTANT DISTINCTION

## M-SET

- PARAMETER PLANE
- VARY  $c$
- COMPUTE ORBIT OF 0

## J-SET

- DYNAMICAL PLANE
- FIX  $c$
- VARY SEEDS

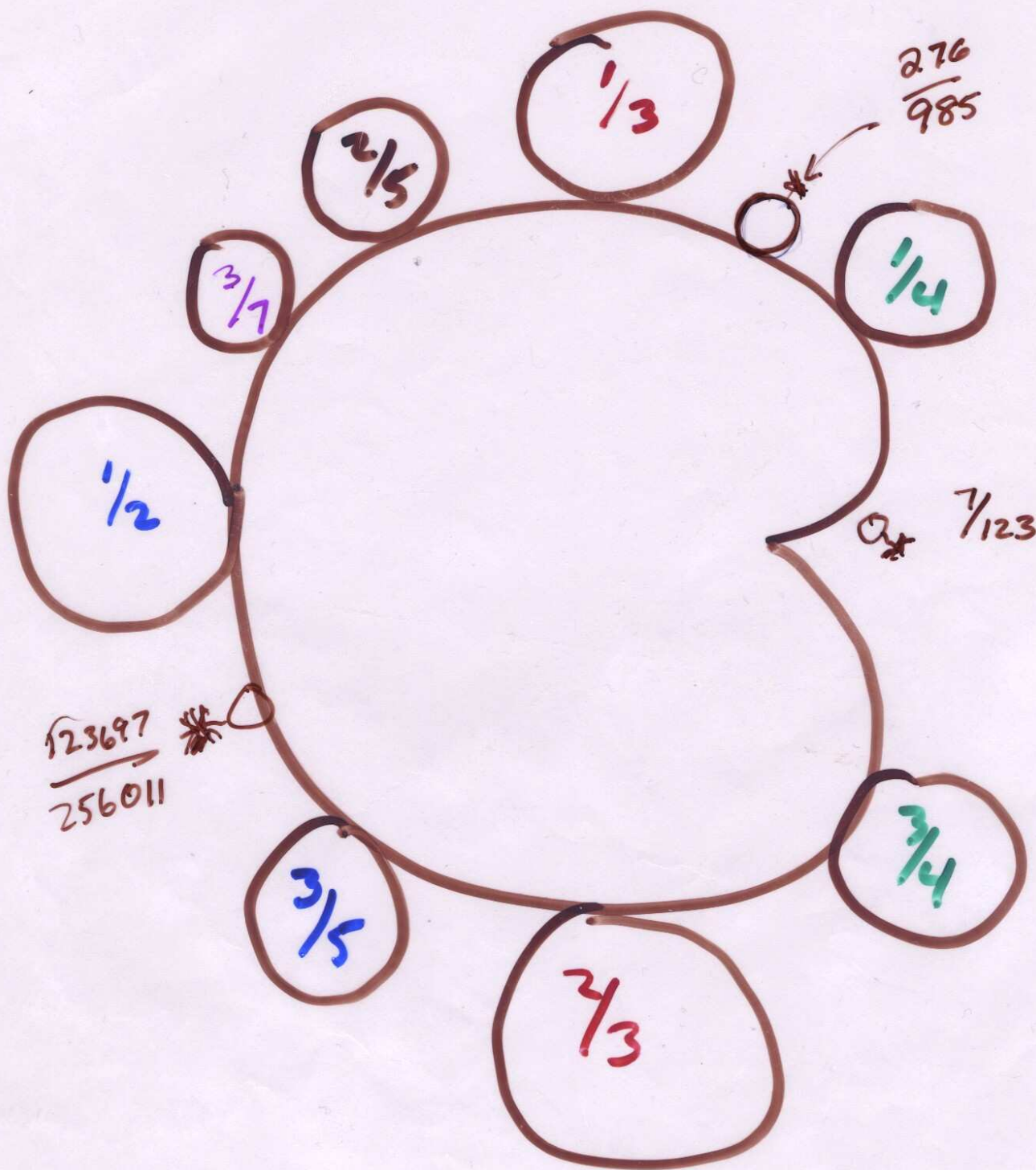


THM

$C$  IN  $M$ -SET  $\Leftrightarrow$   $J$ -SET  
IS CONNECTED

$C$  NOT IN  $M$ -SET  $\Leftrightarrow$   
 $J$ -SET IS TOTALLY  
DISCONNECTED (CANTOR SET)

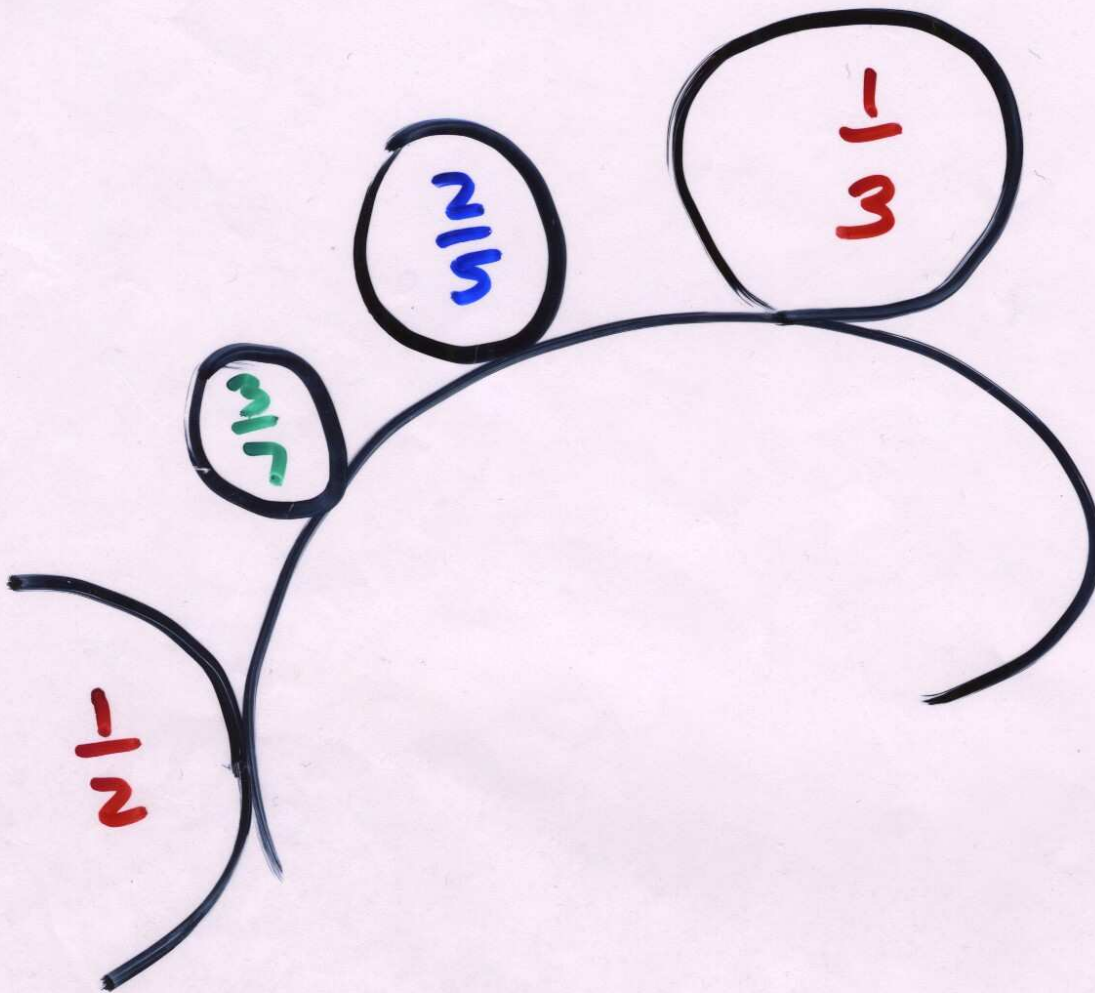
# ROTATION NUMBERS



HOW TO COUNT....



# HOW TO ADD

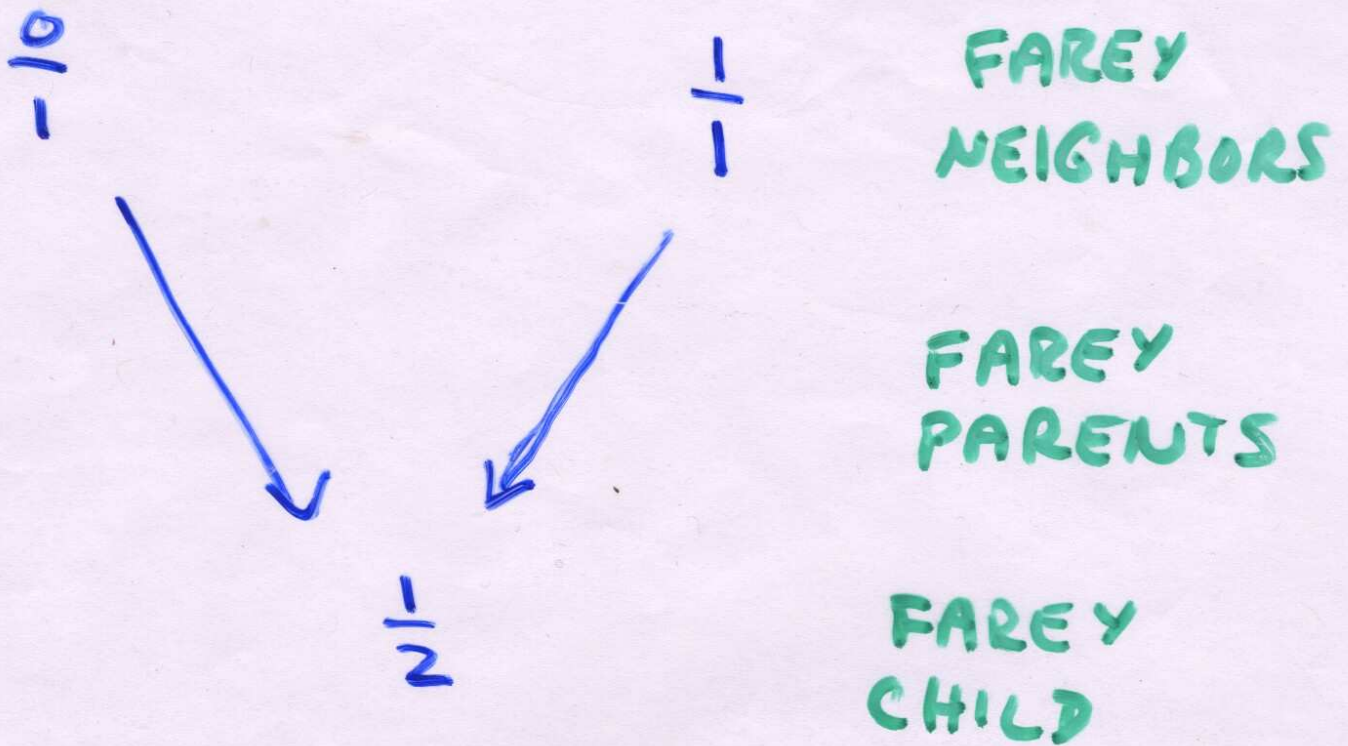


TO GET LARGEST BULB  
IN BETWEEN....

ADD NUMERATORS + ADD  
DENOMINATORS

$$\frac{1}{2} \oplus \frac{1}{3} = \frac{2}{5}!$$

# FAREY TREE

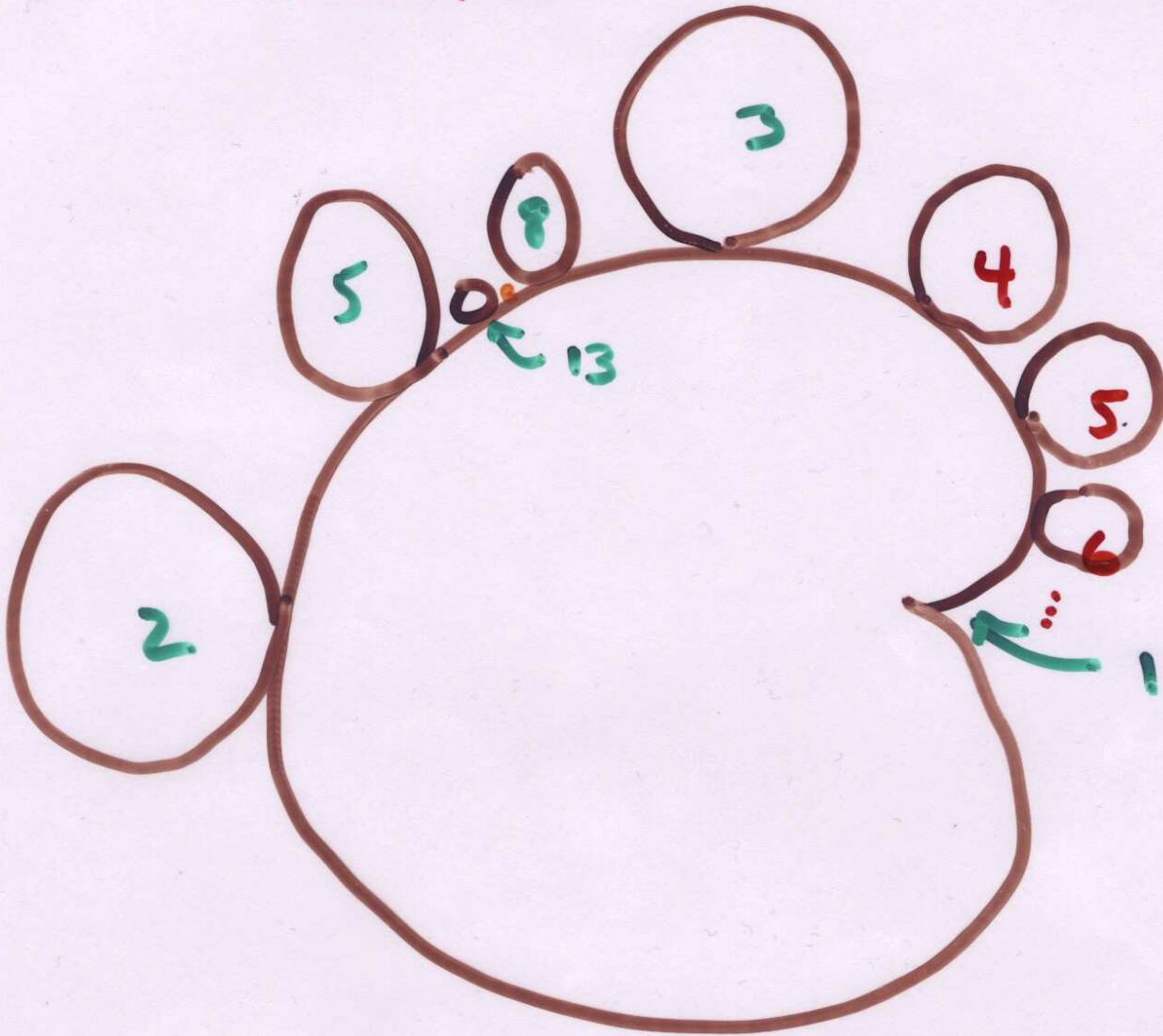


- FRACTION BETWEEN PARENTS WITH SMALLEST DENOM.

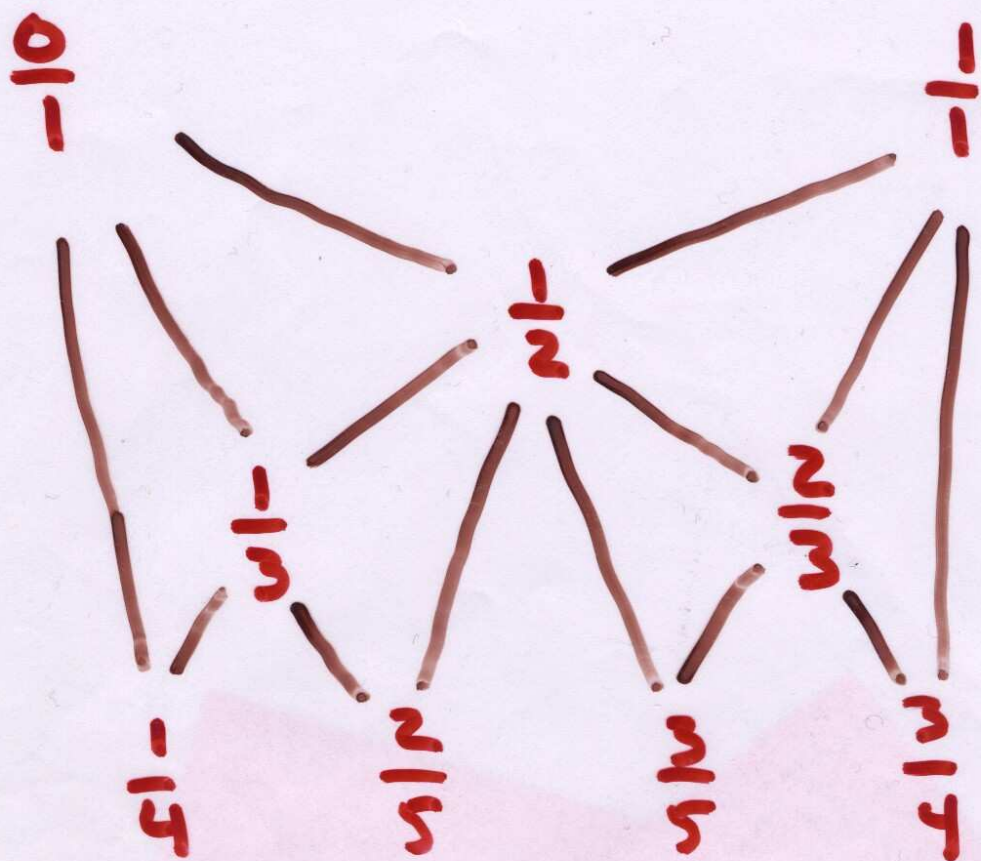
- $\frac{1}{2} = \frac{0}{1} + \frac{1}{1}$



DEVANEY



(DENOMINATORS ONLY)



$\frac{0}{1}$   $\frac{1}{5}$   $\frac{1}{4}$   $\frac{2}{7}$   $\frac{1}{3}$   $\frac{2}{8}$   $\frac{2}{5}$  .....

GET ALL FRACTIONS THIS WAY



KNOWN:

- THE FIBONACCI SEQUENCE CONVERGES TO THE "GOLDEN NUMBER"  $\alpha$  (ESSENTIALLY)

- $\alpha$  IS THE "MOST IRRATIONAL" NUMBER

- $\alpha$  IS FURTHEST FROM THE RATIONALS

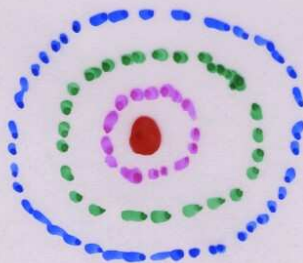
- $$\left| \alpha - \frac{P}{Q} \right| > \frac{\text{CONST}}{Q^K}$$

FOR SOME  $K$

- WE UNDERSTAND WHAT IS HAPPENING ON BOUNDARY OF CARDIOID AT

- HIGHLY IRRATIONAL PTS
- RATIONAL PTS

HIGHLY IRRATIONAL  $\Rightarrow$   
SIEGEL DISK



HAVE A FIXED PT — ALL OTHER  
ORBITS RUN AROUND DENSELY  
ON NEARBY "CIRCLES" ...  
IRRATIONAL ROTATIONS

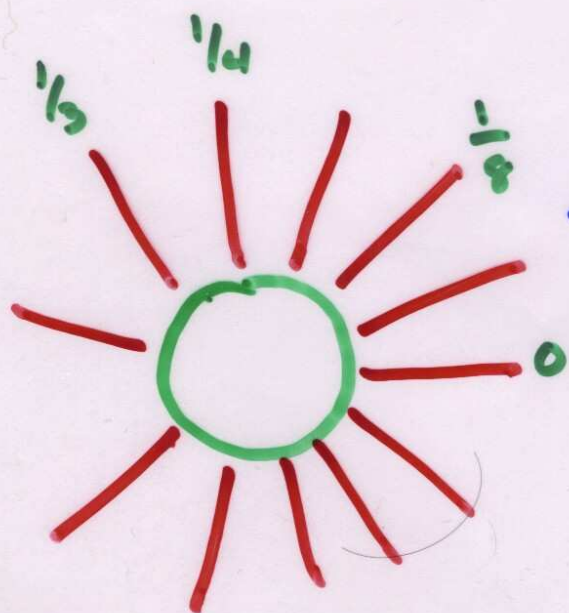


NO IDEA WHAT IS  
HAPPENING NEAR A  
FIXED PT WITH A  
NOT-SO-IRRATIONAL  
ROTATION

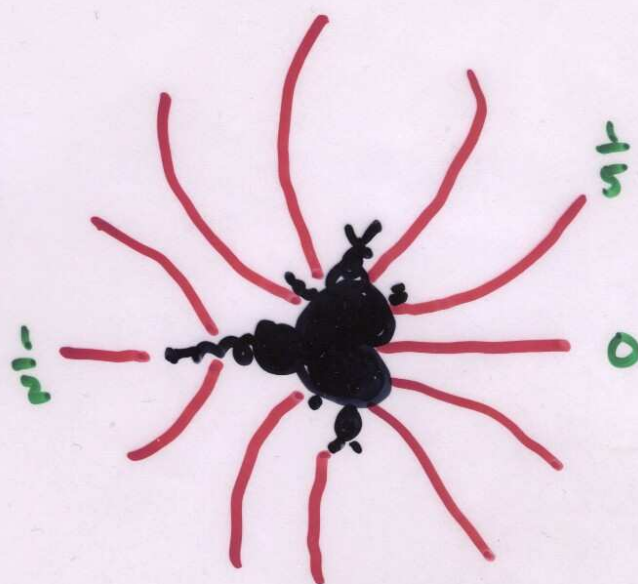
THE "REAL" WAY TO  
UNDERSTAND  $M$ :

(EXTERNAL) RIEMANN MAPPING THM:

$\Rightarrow$  ANALYTIC HOMEO FROM EXTERIOR  
OF UNIT CIRCLE TO EXT. OF  $M$



EXTERIOR  
OF  $|z|=1$



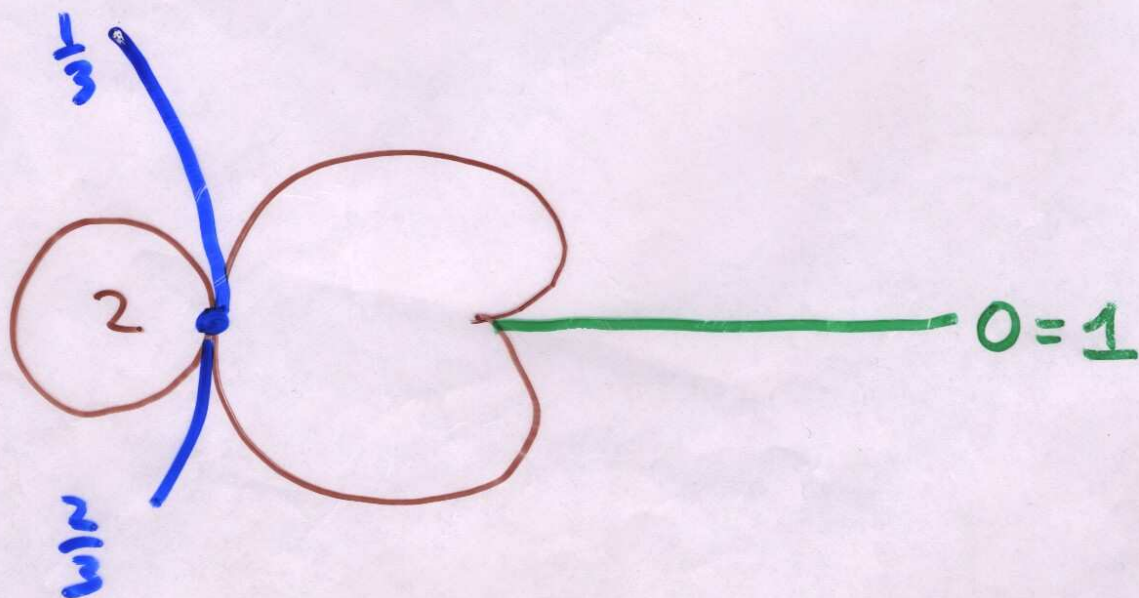
IMAGES ARE  
"EXTERNAL RAYS"  
MEASURE MOD 1

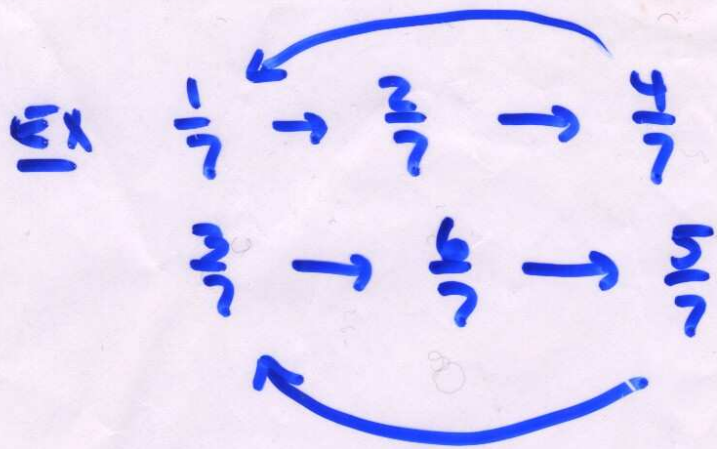


WHERE RAYS LAND  
DETERMINES  $M$

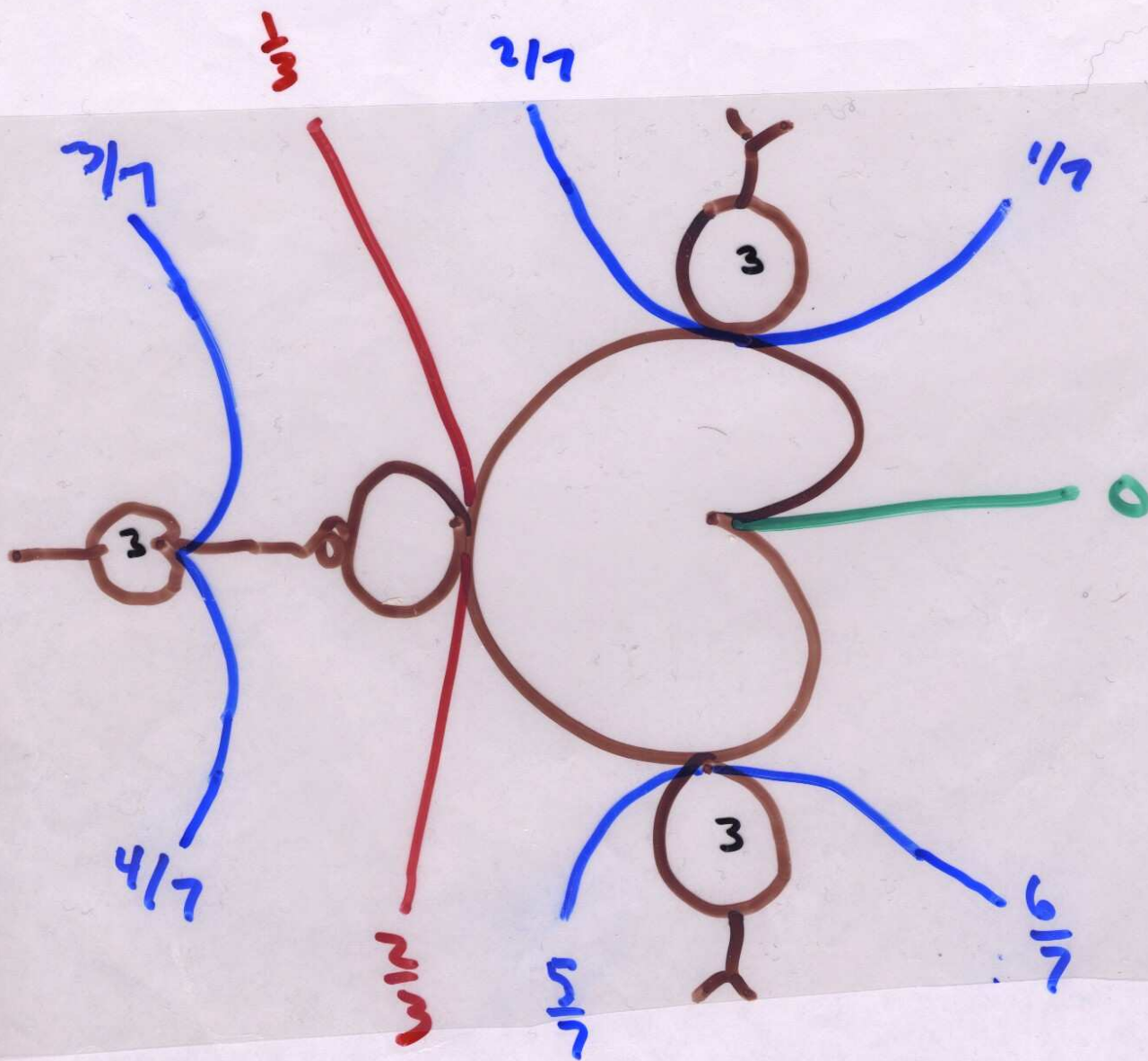
IF  $P/Q$  IS PERIODIC  
OF PERIOD  $\equiv N$  UNDER  
DOUBLING, THEN  $P/Q$   
RAY "CUTS OFF" PERIOD  
 $\equiv N$ -BULB

EX  $\frac{1}{3} \rightarrow \frac{2}{3} \rightarrow \frac{1}{3}$  PERIOD 2

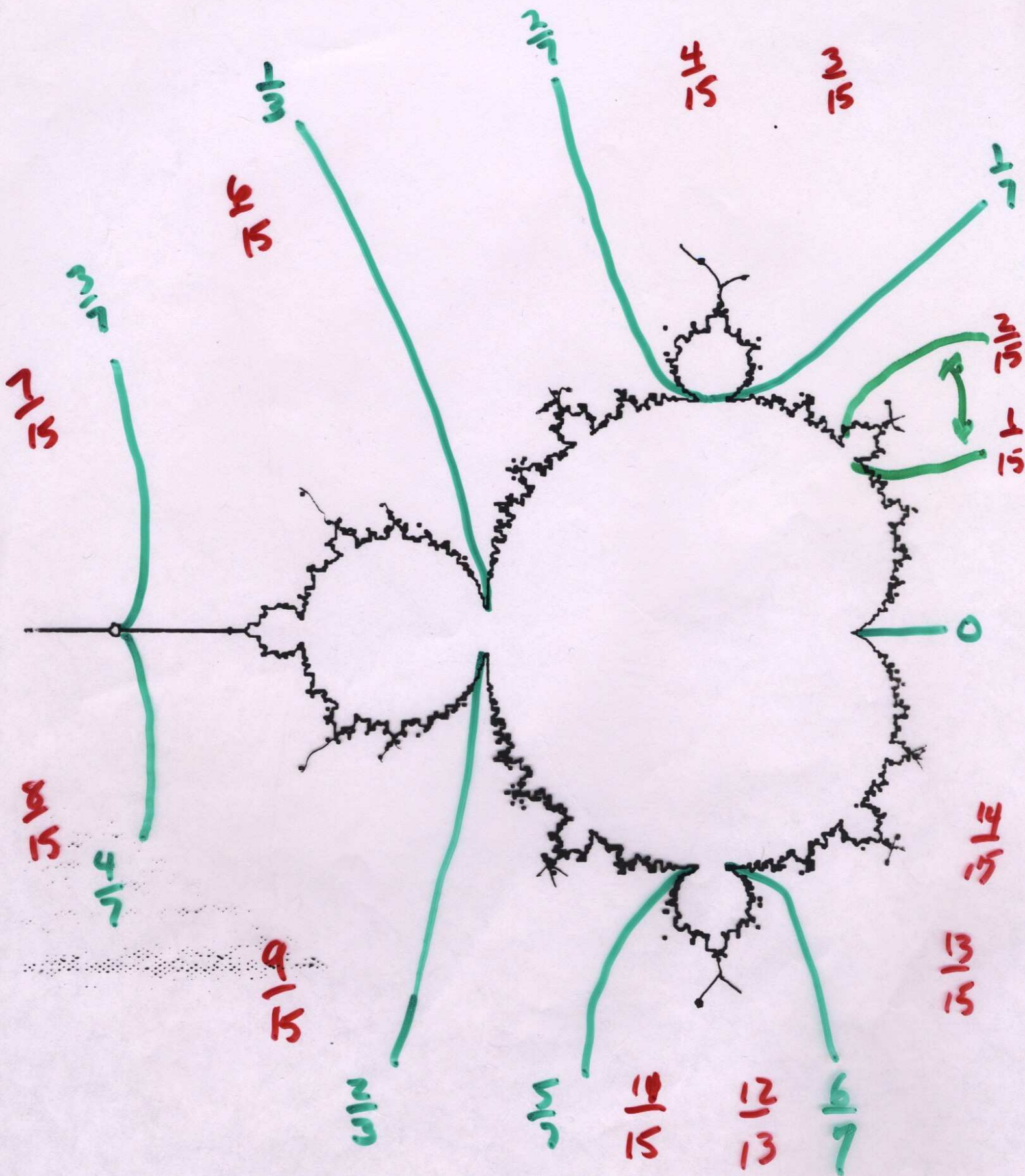




PERIOD 3

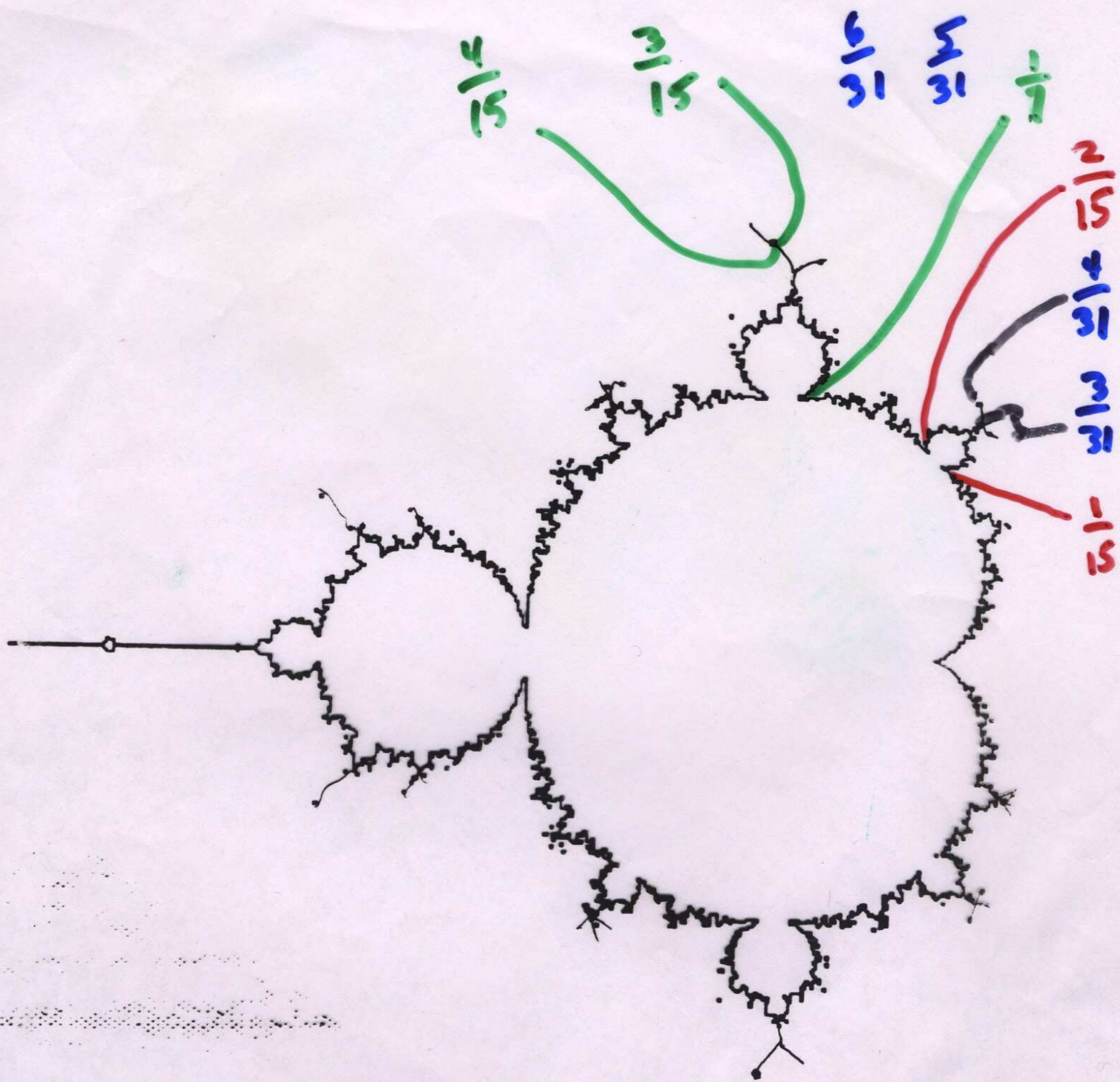






$\frac{1}{15} \rightarrow \frac{2}{15} \rightarrow \frac{4}{15} \rightarrow \frac{8}{15} \rightarrow \frac{1}{15} \dots$  PERIOD 4





$\frac{k}{31}$  HAS PERIOD 5