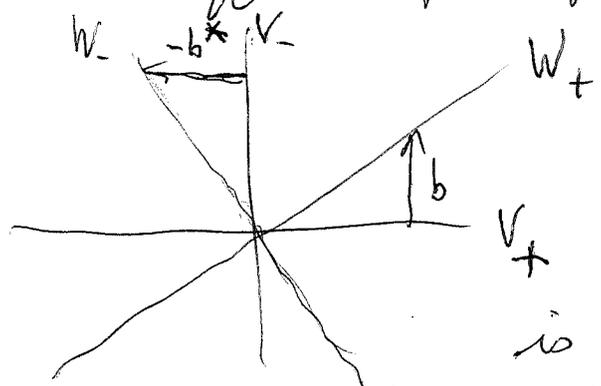


370

Summary: Some progress was made on the symmetric space $Sp(2n)/U(n)$. Specifically you examined polarizations $H = W_+ \oplus W_-$ close to the basepoint polarization $H = V_+ \oplus V_-$. Each W polarization is parametrized by a symmetric $n \times n$ matrix b . It's probably clearer to say that the space of Lagrangian subspaces W_+ such that $W_+ \cap V_- = 0$, i.e. transversal to V_- , is an affine space parametrized by such b .



~~First look~~ First look at these subspaces from the viewpoint of the positive herm. inner product. Then $X = \begin{bmatrix} 0 & -b^* \\ b & 0 \end{bmatrix}$ is skewadjoint and its C.T. is

$g = F\varepsilon = \frac{1+X}{1-X}$, also $\stackrel{u}{=} g^{1/2} = \frac{1+X}{(1-X^2)^{1/2}}$ has the

property that $u\varepsilon u^{-1} = u^2\varepsilon = F\varepsilon\varepsilon = F$.

~~Somehow~~ Somehow this means that u should yield a midpoint between the polarizations V_+, W_+ . You've checked that when $b^t = b$, i.e. W_+ ~~is~~ Lagrangian, then $g, u \in Sp(2n)$.

Other things to work on. Spectral theory for symmetric bilinear forms on a complex v.s. equipped with pos. herm. inner product. (also skew symm. bilinear forms).

Consider then $b: V \xrightarrow{\text{symm.}} V^t, * : V^t \xrightarrow{\sim} V$. You analyze as follows. Choose an orthonormal basis for V and use the dual basis for V^t .

$V \xrightarrow{b} V^t \xrightarrow{*} V$	better ? square	$V \xrightarrow{b} V^t \xrightarrow{*} V$
$x \mapsto x^t b \mapsto b^* \bar{x}$		$x \mapsto b x \xrightarrow{t} x^t b^t \mapsto \bar{b} \bar{x}$
$\bar{b} \bar{x}$		$x \mapsto \bar{b} \bar{x} \mapsto \bar{b} (\bar{b} \bar{x})^{-1} = (\bar{b} b) x$

371

You have: $V \xrightarrow{b} V^t \xrightarrow{*} V$ ~~with~~

given by $(*b)x = \bar{b}x$ with square $(*b)^2 x = (\bar{b}b)x$.

Now $\bar{b}b = b^*b$ (when $b^t = b$) so V can be split into eigenspaces for b^*b corresp. to eigenvalues ≥ 0 .

One has a polar decomposition of $*b$ into its abs. value $(b^*b)^{1/2}$ and a phase which should be an anti linear operator on V of square 1. (ignoring $\lambda=0$)

Explain this. The antilinear operator $*b$ commutes with its square $(*b)(*b) = b^*b$ which is ≥ 0 .

V splits into eigenspaces $V_\lambda, \lambda \geq 0$ on which $b^*b = \lambda^2$

Suppose $V_\lambda \neq 0$ with $\lambda > 0$. Look at $*b$ restricted to V_λ : it's antilinear with square $= \lambda^2$, so $(*b)\lambda^{-1}$ is antilinear with square = 1.

Therefore you get a real structure on V_λ . Putting these together (assuming $V_\lambda \neq 0 \Rightarrow \lambda > 0$) you get a polar decomposition of $*b$ into a pos. op $(b^*b)^{1/2}$ times a phase which is a antilinear op of square +1.

Now there's another approach to symmetric bilinear forms under unitary equivalence, namely the infinitesimal symmetric space arising from $Sp(2n)/U(n)$. Here you have Cartan

splitting $LSp(2n) = \mathcal{K} \oplus \mathcal{P} = \left\{ \begin{bmatrix} a & b \\ -\bar{b} & \bar{a} \end{bmatrix} : \begin{matrix} a^* = -a \\ b^t = b \end{matrix} \right\}$

$\mathcal{K} = \mathcal{L} \left\{ \begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix} : u \in U(n) \right\}$. One has conjugation action

of \mathcal{K} on \mathcal{P} : $\begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix} \begin{bmatrix} 0 & b \\ -\bar{b} & 0 \end{bmatrix} \begin{bmatrix} u^* & 0 \\ 0 & u^t \end{bmatrix} = \begin{bmatrix} 0 & ubu^t \\ -\bar{u}\bar{b}u^* & 0 \end{bmatrix} = \begin{bmatrix} 0 & ubu^t \\ (-\bar{u}\bar{b}u^*) & 0 \end{bmatrix}$

372

The problem is how to reconcile these two approaches to a spectral theory for \mathbb{C} -linear symmetric bilinear forms on a positive herm. vector space V/\mathbb{C} .

Consider $b^t = b$, b nondeg. You want to relate antilinear maps occurring twice.

First place $V \xrightarrow{b} V^t \xrightarrow{*} V$ } here use $b^t = b$
 $x \mapsto x^t b \mapsto b^* \bar{x} = \bar{b} \bar{x}$

Idea For any $n \times n$ matrix b you get antilinear with square

$$V \xrightarrow{b} V \xrightarrow{t} V^t \xrightarrow{*} V$$

$$x \mapsto bx \mapsto x^t b^t \mapsto \bar{b} \bar{x}$$

$$\bar{b} \overline{bx} = (\bar{b}b)x$$

Maybe you should restrict to just $x \mapsto \bar{b}x$. When does this have square = 1?

So you need $\bar{b}b = 1$, in which case \bar{b}, b commute

Discuss. General case $V \xrightarrow{b} V^t$ \mathbb{C} -bilinear form

$$V \xrightarrow{b} V^t \xrightarrow{*} V$$

$$x \mapsto x^t b \mapsto b^* \bar{x}$$

So you hope that any antilinear map $V \rightarrow V$ should have the form $x \mapsto a \bar{x}$

$$\mathbb{C}^n \xleftarrow{a} \mathbb{C}^n \xleftarrow{-} \mathbb{C}^n \quad \text{clear.}$$

square of $x \mapsto b \bar{x}$ is $\bar{b} \overline{bx} = (\bar{b}b)x$
 $x \mapsto \bar{b}x$ is $\overline{\bar{b}x} = (b\bar{b})x$

So the requirement that $b^t = \pm b$ serves to map $b\bar{b}$ and $\bar{b}b$ diagonalizable

373

Recalculate.

$$V \xrightarrow{b} V^* \xrightarrow{*} V$$

$$x \mapsto x^t b \mapsto b^* \bar{x}$$

So the choices you had before ~~that~~ for an antilinear operator ~~are~~ are included in the general form $x \mapsto b\bar{x}$ which has square $b\bar{b\bar{x}} = (b\bar{b})x$. You would like $b\bar{b}$ to be diagonalizable, which should be true if either $b^t = b$ or $b^t = -b$.

~~$$b = b^t \Rightarrow \bar{b} = b^* \Rightarrow \begin{cases} b\bar{b} = bb^* \geq 0 \\ \bar{b}b = b^*b \geq 0 \end{cases}$$~~

$$b = -b^t \Rightarrow \bar{b} = -b^* \Rightarrow \begin{cases} b\bar{b} = -bb^* \leq 0 \\ \bar{b}b = -b^*b \leq 0. \end{cases}$$

You still need to link antilinear maps to $X = \begin{bmatrix} 0 & b \\ -\bar{b} & 0 \end{bmatrix} = \begin{bmatrix} 0 & b \\ -b^* & 0 \end{bmatrix}$ The latter says X is skew adjoint — former — $X \in \mathfrak{p}$.

You know that $u = g^{1/2} = \frac{1+X}{(1-X^2)^{1/2}} \in Sp(2n)$ and that

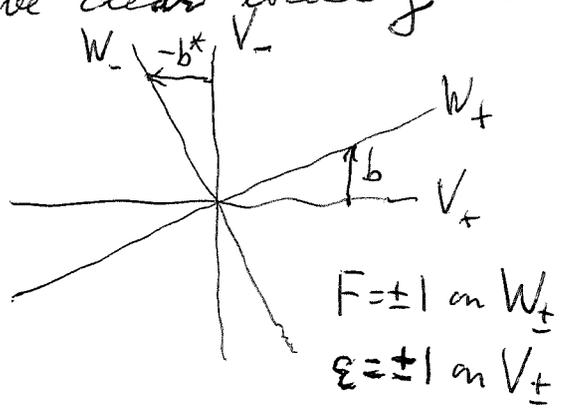
$$u \varepsilon u^{-1} = u^2 \varepsilon = F \varepsilon \varepsilon = F. \quad ??$$

It seems that the way to get an antilinear operator out of X is to form $u_t = \frac{1+tX}{(1-t^2X^2)^{1/2}}$ and to let

$t \rightarrow \infty$ in which case it seems that you get $\frac{X}{|X|}$. You have to assume b invertible

374

Idea: show that the space of polarizations is a symmetric space, more precisely, that there is a reflection through each point. ~~This~~ This should be clear locally at least. Assume $V_- \cap W_+ = 0$



$$V_+ \xrightarrow{\begin{bmatrix} 1 \\ b \end{bmatrix}} W_+, \quad V_- \xrightarrow{\begin{bmatrix} -b^* \\ 1 \end{bmatrix}} W_-$$

$$X = \begin{bmatrix} 0 & -b^* \\ b & 0 \end{bmatrix} \quad F(1+X) = (1+X)\varepsilon$$

$$g = F\varepsilon = \frac{1+X}{1-X}$$

$$u = g^{1/2} = \frac{1+X}{(1-X^2)^{1/2}}$$

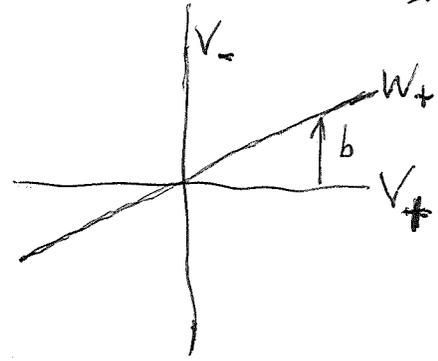
$F = \pm 1$ on W_{\pm}
 $\varepsilon = \pm 1$ on V_{\pm}

These calculations ~~take place inside~~ take place inside a Grassmannian. ~~the thing~~ You need to understand why a Grassmannian: $U(p+q)/U(p) \times U(q)$ is a symmetric space, at least what the reflection through a point.

Consider PV , a point of PV is an orth. splitting $V = V_+ \oplus V_-$ with $\dim V_{\pm} = 1$. The tangent space to PV at this point is $\text{Hom}(V_+, V_-)$.

Let's start with a polarized complex v.s. $V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$ where V has inner product compatible with splitting. Consider also the Grass variety of polarizations $W = \begin{bmatrix} W_+ \\ W_- \end{bmatrix}$ with $\dim W_+ = \dim V_+$.

You want to understand reflection. Reflection on the tangent ~~space~~ space at the point V_+ should be $\times (-1)$

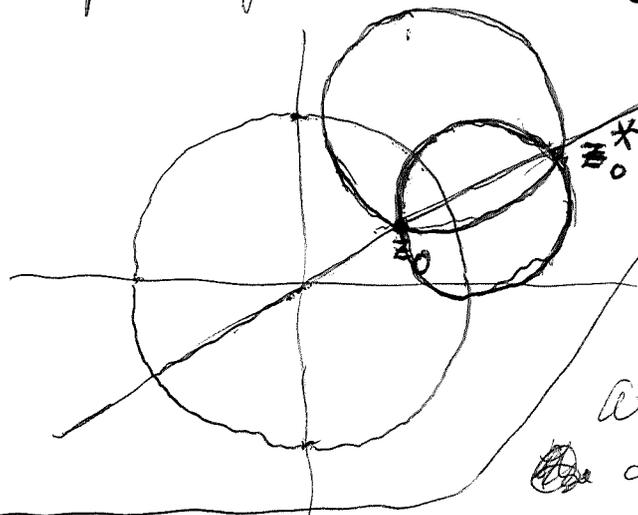


375

Let's aim to understand the Riemann sphere as a symmetric space. More precisely you want to ^{find} the reflections through any point.

~~Any~~ Any point of S^2 determines an antipodal point: the point z corresponds to the line $\begin{bmatrix} 1 \\ z \end{bmatrix} \mathbb{C}$, the orthogonal line is $\begin{bmatrix} -\bar{z} \\ 1 \end{bmatrix} \mathbb{C}$, ~~the~~ the antipodal map is $z \mapsto \frac{1}{-\bar{z}} = -\bar{z}^{-1}$. Geodesics on S^2 are great circles.

You should be able to visualize the ~~great circles~~ family of \hat{n} passing through a ~~given~~ given point z_0 , which also should pass through the antipodal point $z_0^* = -\bar{z}_0^{-1}$.



So what do you want?

You pick a point z_0

Consider the Riemann sphere S^2 with action of $SU(2)$, ~~the~~

At each point z the stabilizer is ~~a~~ a maximal torus which is the

group of rotations fixing the point z and its antipodal pt. Rotation through π gives ^{the} reflection you want.

Consider $P^n = U(n+1)/U(1) \times U(n)$, more generally consider $U(V)$ acting on $P(V)$. Stabilizer of a line l is $U(l) \times U(l^\perp)$.



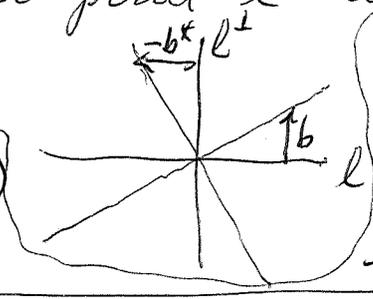
You want the operator = $\begin{cases} +1 & \text{on } l \\ -1 & \text{on } l^\perp \end{cases}$



376

$U(V)$ acting on $P(V)$. The isotropy group at $l \in P(V)$ is $U(l) \times U(l^\perp)$, this acts on the tangent space at the point l which is $\cong \text{Hom}(l, l^\perp)$, have picture

You want an elt. of $U(l) \times U(l^\perp)$ which induces -1 on the tangent space.



You are trying to understand why PV is a symmetric space. Given a point of PV , i.e. a line $l \subset V$, you have an orthogonal splitting $V = l \oplus l^\perp$. You would like to construct a symmetry of PV ,  more precisely, a unitary trans $g \in U(V)$ such that $g(l) = l$, ~~and $g(l^\perp) = l^\perp$~~ whence $g(l^\perp) = l^\perp$. Try taking $g = \epsilon = \begin{cases} 1 & \text{on } l \\ -1 & \text{on } l^\perp \end{cases}$

since $(\epsilon)^2 = -1$ is a scalar, it's clear that $g^2 = \text{id}$ on PV . Find ~~the~~ the fixpoints of g on PV .

Choose coord system $V = \mathbb{C}^n$ $l = \begin{bmatrix} \mathbb{C} \\ 0 \end{bmatrix}$, $l^\perp = \begin{bmatrix} 0 \\ \mathbb{C}^{n-1} \end{bmatrix}$

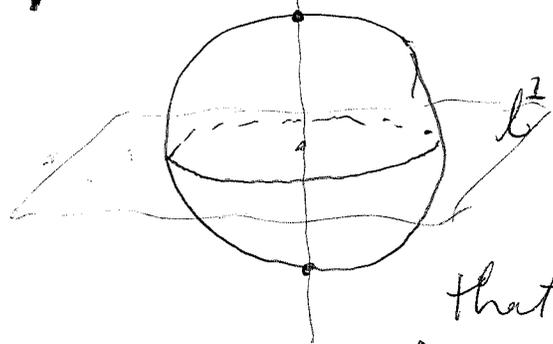
~~Take~~ Take another line $\begin{bmatrix} \lambda \\ v \end{bmatrix} \in P(V)$ in PV . Apply $g = \epsilon$ to get $\begin{bmatrix} \epsilon \lambda \\ -i v \end{bmatrix} \in \mathbb{C}$, when is $\begin{bmatrix} \epsilon \lambda \\ -i v \end{bmatrix} \in \begin{bmatrix} \lambda \\ v \end{bmatrix} \in \mathbb{C}$?

If $\lambda \neq 0$, then $\begin{bmatrix} \epsilon \lambda \\ -i v \end{bmatrix} = \begin{bmatrix} \lambda \\ v \end{bmatrix} \Rightarrow -i v = \epsilon v \Rightarrow v = 0$.

If $\lambda = 0$, then v can be any nonzero vector in l^\perp so it looks like $P(l^\perp)$ is the ~~fixed~~ set of g fixpts other than ~~the~~ $\begin{bmatrix} 1 \\ 0 \end{bmatrix} \in \mathbb{C}$

377

PV



$$V = l \oplus l^\perp \quad \varepsilon = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \text{ Describe}$$

$U(V)$ acts on PV , the stab of the ^{base} point $l = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \in$ is $U(l) \times U(l^\perp)$

The tangent space at the basept l is $\text{Hom}(l, l^\perp)$. It seems

that the action of ε on PV yields

-1 on $\text{Hom}(l, l^\perp)$. ~~Now this action should be~~ You

should describe $PV = U(V)/U(l) \times U(l^\perp)$ and

then ~~the~~ the action of $g \in U(V)$ on PV is left mult by g on this homog. space, and ~~it~~

~~is~~ is given by conjugation by g when $g \in$ the isotropy group

Consider $\text{Grass}_p(V)$ the space of p -dim subspaces of V . $U(V)$ acts transitively on $\text{Grass}_p(V)$, if we pick a basept $V_+ \subset V$, let $V_- = V_+^\perp$ then the ~~isotropy~~ isotropy

group is $U(V_+) \times U(V_-)$, so $U(V)/U(V_+) \times U(V_-) \cong \text{Grass}_p(V)$.

You ~~can also~~ ~~identify~~ identify a ~~subspace~~ p -dim subspace V_+ of V with the self-adjoint involutions F such that $F = \pm 1$ on V_\pm . If $g \in U(V)$, then gV_+ is the action of g on V_+ ; in terms of F the action of g is gFg^{-1} .

The Grassmannian is supposed to be a symmetric spaces, which means that at each point there is an appropriate reflection. ~~But you want to~~

~~take~~ You have to construct this reflection. Start with a ^{polarization} point $V = V_+ \oplus V_-$, let $\varepsilon = \pm 1$ on V_\pm .

The ~~isotropy~~ isotropy group of the polarization is the

378

centralizer $U(V_+) \times U(V_-)$ of ε .~~NOTE~~

You have an isom.

$$U(V)/U(V_+) \times U(V_-) \xrightarrow{\sim} \text{Grass}_p(V)$$

$$g \left(\begin{array}{c} \text{''} \\ \text{''} \end{array} \right) \longmapsto g \varepsilon g^{-1}$$

You can identify ~~the~~ $T_\varepsilon(\text{Gr})$ with

$$\mathcal{L}U(V)/\mathcal{L}U(V_+) \oplus \mathcal{L}U(V_-) \simeq \text{Hom}(V_+, V_-)$$

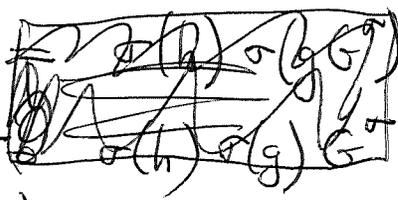


$$\text{Alternate: } \mathcal{L}U(V) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} : \begin{array}{l} a^* + a = 0 \\ c + b^* = 0 \\ d^* + d = 0 \end{array} \right\}$$

$$\text{So } T_\varepsilon(\text{Gr}) = \left\{ \begin{bmatrix} 0 & b \\ -b^* & 0 \end{bmatrix} \right\}$$

So  on this tangent spaceyou have conjugation by ε which = -1. Butconjugation by ε is defined  on the manifold level.

Let's look at this from the abstract viewpoint
 G group, $\sigma: G \rightarrow G$ an autom of order 2, get
 homog space G/G^σ . Now σ should operate on
 G/G^σ via $\sigma(gG^\sigma) = \sigma(g)G^\sigma$. Check comp. with
 left G -action

$$\begin{aligned} & \sigma(h(gG^\sigma)) \\ &= \sigma(hgG^\sigma) = \sigma(hg)G^\sigma = \sigma(h)\sigma(g)G^\sigma \end{aligned}$$


Still confused. Go back to the Grass case.

$$\text{Grass}_p(V) = \{ V_+; \dim V_+ = p \} = \left\{ \begin{bmatrix} V_+ \\ V_- \end{bmatrix} : V = V_+ \oplus V_- \right\}$$

379 ~~U(V)~~ $U(V)$ acts transitively on $\mathcal{G}_p(V)$, stabilizer of polarization $V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$ is $\begin{bmatrix} u(V_+) & 0 \\ 0 & u(V_-) \end{bmatrix} \in U(V)$
 $\therefore U(V) / \begin{matrix} \sim \\ u(V_+) \times u(V_-) \end{matrix} \rightarrow \mathcal{G}_p(V)$, $F = \pm 1$ on V_{\pm}

Start again with the space of polarizations $V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$ where $\dim(V_{\pm}) = p$. These are the same as operators $F = F^* = F^{-1}$ on V where $F_{\pm} = \pm 1$ on V_{\pm} , where $F_+ = 1$ has mult p . You know that $U(V)$ acts transitively on the space $\mathcal{G}_p(V)$ of polarizations, and that the stab. of F ~~is~~ is the centralizer of $F = \begin{bmatrix} u(V_+) & 0 \\ 0 & u(V_-) \end{bmatrix}$
 $U(V) / u(V_+) \times u(V_-) \xrightarrow{\sim} \mathcal{G}_p(V)$
 $g \longmapsto g F g^{-1}$

Conjugation by F is an autom of $U(V)$ of order 2, fixed subgroup is $u(V_+) \times u(V_-)$: ~~is~~

$$F g F^{-1} = g \iff F g = g F \iff g \in \text{Cent}(F).$$

What is ~~is~~ mult. by iF on $\mathcal{G}_p(V)$

$$iF \longmapsto iF (-i) F^{-1} = ?$$

$U(V)$ acts on $\mathcal{G}_p(V)$ via $(g, V_+) \mapsto g V_+$

~~is~~ Using $F = \pm 1$ on V_{\pm} this becomes

$$(g, F) \mapsto g F g^{-1} \text{ yields } U(V) / u(V)^F \xrightarrow{\sim} \mathcal{G}_p(V)$$

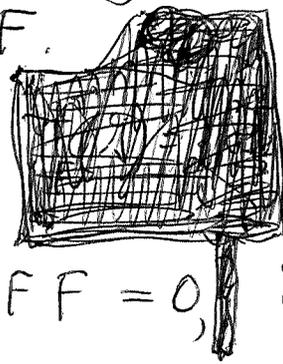
Now you also have conjugation by F acting on $U(V) / u(V)^F$

380

This time you ~~to~~ would like to define all objects in terms of the v.s V , $\text{End}(V)$ etc. Manifolds should ^{be} submanifolds, ideally you should exhibit a ^{local} embed retraction.

Start with V a complex v.s. with pos. hermitian inner product. $\mathcal{G}_p = \text{Grassmannian of } p \text{ dim subspaces}$ can be identified with the space $\{F \in \text{End}(V) \mid F = F^* = F^{-1}, \dim \text{Ker}(F-I) = p\}$. Actually it's better to define $\mathcal{G} = \{F \in \text{End}(V) : F = F^* = F^{-1}\}$, such an F same as a polarization: ~~orth~~ orth splitting $V = W_+ \oplus W_-$ where W_\pm is the ± 1 eigenspace of F .

Tangent space to \mathcal{G} at F :



$$T_F(\mathcal{G}) = \{ \delta F \in \text{End}(V) \mid F \delta F + \delta F F = 0, \delta F^* = \delta F \}$$

Why: $F = F^* \implies \delta F = \delta F^*$, $F^2 = I \implies \delta F F + F \delta F = 0$.

Restrict to $\mathcal{G}_p = \{F : \text{multiplicity of } F=1 \text{ is } p\}$.

$U(V)$ acts transitively on \mathcal{G}_p . Choose a basepoint polarization $V = V_+ \oplus V_-$ and let ε be the corresponding involutions. ~~Let $W = W_+ \oplus W_-$, F~~ Let $W = W_+ \oplus W_-$, F be any polarization with $p = \dim W_+$. Choosing orth bases for V_+ and W_+ , and also for ~~the~~ the orthog complements V_- and W_- , yields a unitary $g \in U(V)$ such that $gV_\pm = W_\pm$, equiv. $g\varepsilon g^{-1} = F$.

More generally the action of g on $F \in \mathcal{G}_p$ is gFg^{-1} . The ~~isotropy~~ isotropy g_F is the centralizer $U(V)^F = U(V_+) \times U(V_-)$.

$$U(V)^F = U(V_+) \times U(V_-). \therefore \boxed{U(V)/U(V)^F \xrightarrow{\sim} \mathcal{G}_p, g \mapsto gFg^{-1}}$$

(381) V complex v.s. equipped with herm. inner product
 polarization of V is a splitting $V = V_+ \oplus V_-$ into orthog
 subspaces. Equiv. ~~to~~ assoc. to a polarization the
 operator $F = \pm 1$ on V_{\pm} . Then $F = F^* = F^{-1}$, and one has
 a bijection between polarizations and such operators F .
 Put $\mathcal{G} = \{F \in \text{End}(V) : F = F^* = F^{-1}\}$, $\mathcal{G}_p = \{F \in \mathcal{G} : \dim \text{Ker}(F-1) = p\}$.

Natural action of $g \in U(V)$ sends $V = V_+ \oplus V_-$ into
 $V = gV_+ \oplus gV_-$, equiv. to gFg^{-1} . This action transitive
 on \mathcal{G}_p (Why: Given $V_0 = W_+ \oplus W_-$ with $\dim(W_+) = p$
 choose orth bases for V_+, W_+ and orth bases for V_-, W_-)

Fix basept $V = V_+ \oplus V_-$, let ε be corresp. involution
 Transitivity $\Rightarrow U(V)/U(V)^{\varepsilon} \xrightarrow{\sim} \mathcal{G}_p$, where $U(V)^{\varepsilon} =$
 cent. of ε in $U(V)$, which is $U(V_+) \times U(V_-)$.

What would you like to accomplish? Given
 a point F of \mathcal{G}_p you would like to construct
 a global symmetry of \mathcal{G}_p which is a "reflection" through
 the point F . By "global symmetry of \mathcal{G}_p " you would
 like an element g of $U(V)$, and by "reflection" you
 mean that the global symmetry g induces -1 on $T_F(\mathcal{G}_p)$
 and that it respects 1-parameter subgroups.

~~By transitivity one can assume the point F is the basepoint ε of \mathcal{G}_p . The global symmetry should be conjugation by ε on \mathcal{G}_p , which is the same as conjugation by $g = c\varepsilon$, since c is a scalar operator. It's clear that this g fixes ε , also g fixes $-\varepsilon$ the opposite polarization.~~
 By transitivity one can assume the point F is the basepoint ε of \mathcal{G}_p . The global symmetry should be conjugation by ε on \mathcal{G}_p , which is the same as conjugation by $g = c\varepsilon$, since c is a scalar operator. It's clear that this g fixes ε , also g fixes $-\varepsilon$ the opposite polarization.

On the tangent space $T_\varepsilon(\mathcal{G}_p)$ conjugation by ε has order 2, so eigenvalues are ± 1 , hence all eigenvalues of ε_* on $T_\varepsilon(\mathcal{G}_p)$ are -1 , otherwise ?? something's missing.

What you might do to calculate the action of the isotropy group $U(V)^\varepsilon = U(V_+) \times U(V_-)$ on $T_\varepsilon(\mathcal{G}_p)$. Recall $\mathcal{G}_p = \{F \in \text{End}(V) : F = F^* = F^{-1}\}$. A tangent vector to \mathcal{G}_p at ε is a 1st order variation $\delta\varepsilon$ preserving the conditions $F = F^*$ and $F^2 = 1$, yielding $\delta F = \delta F^*$ and $F\delta F + (\delta F)F = 0$; thus $T_\varepsilon(\mathcal{G}_p)$ is the space of self adjoint operators in $\text{End}(V)$ which anticommute with F . Clearly conjugation by ε on $\text{End}(V)$ is -1 on $T_\varepsilon(\mathcal{G}_p)$.

Let's try to summarize: polarization of V = orthogonal splitting $V = V_+ \oplus V_-$ = operator $F \in \text{End}(V)$ s.t. $F = F^* = F^{-1}$. The space of polarizations \mathcal{G} is the space of self adjoint involutions. $U(V)$ acts on \mathcal{G} by $g : F \mapsto gFg^{-1}$, stabilizer of F is $U(V)^F = U(V_+) \times U(V_-)$. The orbits of $U(V)$ are $\mathcal{G}_p = \{F \in \mathcal{G} : +1 \text{ mult } p\}$.

Problem: $\mathcal{G}_p \subset \text{End}(V)$, identify $T_F(\mathcal{G}_p) \subset \text{End}(V)$. You know the answer $T_F(\mathcal{G}_p) = \{X \in \text{End}(V) \mid FX = -XF, X^* = -X\}$

383

So ~~you~~ you have ~~the~~ the ~~the~~ space of polarizations of V consisting of $F \in \text{End}(V)$ s.t. $F = F^* = F^{-1}$. $U(V)$ acts trans. on \mathcal{G}_p ^{the conju. Imp.}
 stabilizer $U(V)^F = U(V_+) \times U(V_-)$

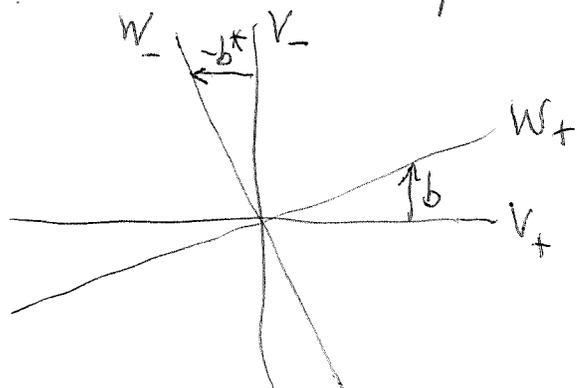
tangent space at F : $\left\{ \delta F \in \text{End}(V) : \begin{aligned} \delta F &= \delta F^* \\ F \delta F + \delta F F &= 0 \end{aligned} \right\}$

$$\text{End}(V) = \begin{bmatrix} V_+ \otimes V_+^* & V_+ \otimes V_-^t \\ V_- \otimes V_+^t & V_- \otimes V_-^* \end{bmatrix}$$

Notationally things might be simpler if you worked with

$$\mathcal{L}U(V) = \{ X \in \text{End}(V) : X + X^* = 0 \}$$

Let's now ~~work~~ work locally near the basepoint ε of \mathcal{G}_p . Standard picture



You are assuming that $V_- \cap W_+ = 0$ so that W_+ is the graph $\begin{bmatrix} 1 \\ b \end{bmatrix} V_+$
 W_- ————— $\begin{bmatrix} -b^* \\ 1 \end{bmatrix} V_-$

$$W_+ \oplus W_- = \begin{bmatrix} 1 & -b^* \\ b & 1 \end{bmatrix} \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$$

invertible since $X = \begin{bmatrix} 0 & -b^* \\ b & 0 \end{bmatrix}$ skew adj

Then $F(1+X) = (1+X)\varepsilon$, $F\varepsilon = \frac{1+X}{1-X}$

384

Recap. Space of polarizations of V ,

$$\mathcal{G} = \{F \in \text{End}(V) : F = F^* = F^{-1}\}, \text{ tangent space}$$

$$T_F(\mathcal{G}) = \{\delta F \in \text{End}(V) : \delta F = \delta F^*, F(\delta F) + (\delta F)F = 0\}.$$

Can analyze 2nd condition as follows

$$\begin{aligned} \text{End}(V) &= \left[\begin{array}{c|c} V_+ & V_- \\ \hline V_+ & V_- \end{array} \right] \otimes \left[\begin{array}{c|c} V_+^* & V_-^* \\ \hline V_+^* & V_-^* \end{array} \right] \\ &= \begin{bmatrix} V_+ \otimes V_+^* & V_+ \otimes V_-^* \\ V_- \otimes V_+^* & V_- \otimes V_-^* \end{bmatrix} \quad V_+ \otimes V_-^* = \text{Hom}(V_-, V_+) \end{aligned}$$

condition δF anti commutes with F means diagonal operators ~~must be zero~~ must be zero.

$$\mathcal{L}U(V) = \{X \in \text{End}(V) : X + X^* = 0\} \quad \{U(V) : g^*g = 1\}$$

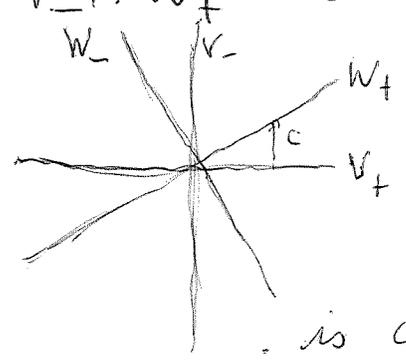
$$V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix} \quad \varepsilon = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \text{End}(V) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \begin{bmatrix} M_{pp} & M_{p\bar{p}} \\ M_{\bar{p}p} & M_{\bar{p}\bar{p}} \end{bmatrix} \right\}$$

$$\mathcal{L}U(V) = \left\{ X = \begin{bmatrix} a & b \\ c & d \end{bmatrix}, \begin{array}{l} a^* + a = 0, d^* + d = 0 \\ b = -c^* \end{array} \right\}$$

$$\mathcal{L}(U(V)/U(V_+) \times U(V_-)) = \left\{ X = \begin{bmatrix} 0 & -c^* \\ c & 0 \end{bmatrix}, c : V_+ \rightarrow V_- \right\}$$

idea Restrict to open set of \mathcal{G}_p of $V = \begin{bmatrix} W_+ \\ W_- \end{bmatrix}$ such that

$$V_- \cap W_+ = 0.$$



$$X = \begin{bmatrix} 0 & -c^* \\ c & 0 \end{bmatrix} \quad X \text{ skew adj.}$$

~~Point~~ Point $U(V)$ subman. of $M_n(\mathbb{C}) \cong \text{End}(V)$, \mathcal{G}_p also, action of $g \in U(V)$

is conjugation gFg^{-1} . Perhaps the idea needed is that $T_F(\mathcal{G}_p)$ consists of ~~skew adj.~~

$$\delta(gFg^{-1}) = \delta g F + F(-\delta g) = XF - FX.$$

385

An inf unitary acts by bracket, so

$$T_F(\mathcal{G}_p) = \{ XF - FX : X + X^* = 0 \}, \quad XF - FX = F(FXF - X)$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 & -c^* \\ c & 0 \end{bmatrix} = \begin{bmatrix} 0 & c^* \\ c & 0 \end{bmatrix}$$

Again $XF - FX = (X - FXF)F$

$$= \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} - \begin{bmatrix} a & -b \\ -c & d \end{bmatrix} \right) \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

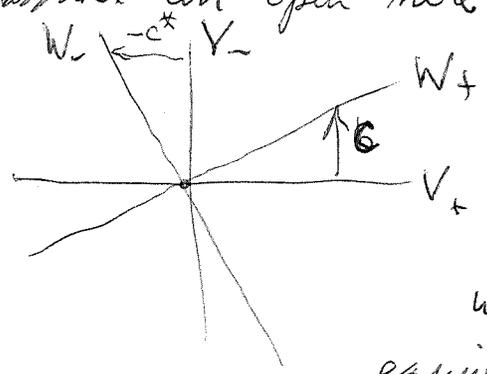
$$= \begin{bmatrix} 0 & 2b \\ 2c & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} 0 & -2b \\ 2c & 0 \end{bmatrix} = 2 \begin{bmatrix} 0 & c^* \\ c & 0 \end{bmatrix}$$

What does this mean? You have $U(V)$ acting on \mathcal{G}_p , and a point F of \mathcal{G}_p . The tangent space $T_F(\mathcal{G}_p)$ is the image of the infinitesimal action $X \mapsto [X, F]$ by $\mathcal{L} U(V)$ at F .

Still not very clear. You should be able to show $\mathcal{G} = \{ F \in \text{End}(V) : F = F^* = F^{-1} \}$ is a submanifold of $\text{End}(V)$ by exhibiting local retractions at the same time finding the tangent space (bundle).

Pick a point of \mathcal{G} : $V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$ $\varepsilon = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$.

An open nbd of ε is given by any $V = \begin{bmatrix} W_+ \\ W_- \end{bmatrix}$



such that the orthogonal projection onto V_+ restricted to W_+ is invertible,

which means $V_- \cap W_+ = 0$ and $V_+ \cap W_- = 0$, equivalently that $g = F\varepsilon$ does not \rightarrow as an

eigenvalue. In this good case $W_+ = \begin{bmatrix} 1 \\ c \end{bmatrix} V_+$ is the graph of $c: V_+ \rightarrow V_-$ and $W_- = \begin{bmatrix} -c^* \\ 1 \end{bmatrix} V_-$. The involution

F corresponding to the W polarization is given by $F(1+X) = (1+X)\varepsilon$ where $X = \begin{bmatrix} 0 & -c^* \\ c & 0 \end{bmatrix}$.

As X is skewadjoint $1 \pm X$ is invertible (simpler might be $-X^2 = \begin{bmatrix} c^*c & 0 \\ 0 & cc^* \end{bmatrix} \geq 0$, so $1-X^2 = (1+X)(1-X)$ is invertible hence also $1 \pm X$. Then $F\varepsilon = \frac{1+X}{1-X}$ and $u = \frac{1+X}{(1-X^2)^{1/2}}$ satisfies $\varepsilon u = u^{-1}\varepsilon$, $u^2 = F\varepsilon$.

You have parametrized all polarizations F in the open nbd of ε given by $1+F\varepsilon$ invertible using \mathbb{C} linear maps $c: V_+ \rightarrow V_- : F = \frac{1+X}{1-X}\varepsilon$ or $F = u\varepsilon u^{-1}$. You can now find the action of ε on \mathcal{Y} ; the i occurs so that $\varepsilon \in U(V)$, but ~~since~~ the action is by conjugation on F, the scalar i drops out. Clearly $\varepsilon X = -X\varepsilon$, so conjugation by ε changes c to $-c$.

Summarize. You define the space of polarizations and show that at each point there's a globally defined reflection, also a ^{complex} affine space nbd. Next project is to handle the $Sp(2n)$ case.

~~Start with a complex vector space with a symplectic form and also a positive hermitian product. There's a compatibility condition: the "ratio" of these two forms is an antilinear operator whose square should be -1.~~

$J^* = -\bar{J}$
 $-\bar{J}J = J^*J$

$$V \xrightarrow{J} V^t \xrightarrow{*} V \quad \rightsquigarrow \quad \cancel{J^* \bar{J} x} = \cancel{J^* J^t x}$$

$$x \mapsto x^t J \mapsto J^* \bar{x} \quad \rightsquigarrow \quad J^* \overline{J^t x} = (J^* J^t) x$$

$$\text{or } x \mapsto Jx \mapsto x^t J^t \xrightarrow{*} \bar{J} \bar{x} \rightsquigarrow \bar{J} \overline{J^t x} = \bar{J} J^t x$$

387

Consider $Sp(2n)$ situation. Here you have a $2n$ dim \mathbb{C} -v.s. V equipped with a ~~pos. hermitian~~ pos. hermitian form and a \mathbb{C} -bilinear symplectic form subject to a compatibility condition. The pos. herm. form gives rise to an antilinear isom.

$V \xrightarrow{*} V^t$ which ~~we~~ we denote $*$, because if you choose an orth. basis for V and the dual basis for V^t , regard ^{elts of V} V as column vectors and elts of V^t as row vector, then the map $*$ which represents linear functionals via the hermitian form, is given by $x \mapsto x^*$ the ~~transpose~~ ^{vector} conjugate row corresponding to the column vector x .

The herm. form $(x, y) \in V \rightarrow x^* y$

Let the symplectic form be denoted $(x, y) \mapsto x^t J y$ where $J: V \rightarrow V^t$. Now consider more generally any skew-symm. $J: V \rightarrow V^t$. Composing with $*$: $V \rightarrow V$ yields an antilinear transf.

$$V \xrightarrow{J} V^t \xrightarrow{*} V$$

$$\text{1st choice: } x \mapsto x^t J \xrightarrow{*} (x^t J)^* = J^* \bar{x}$$

$$\text{2nd choice: } x \mapsto Jx \mapsto (Jx)^t \mapsto ((Jx)^t)^* = \overline{Jx} = \bar{J} \bar{x}$$

Compute the squares of these antilinear transf.

$$\text{1st: } J^* \overline{J^* \bar{x}} = (J^* J^t) x$$

$$\text{Note } (J^* J^t)^* = \bar{J} \bar{J}$$

$$\text{2nd: } \bar{J} \overline{\bar{J} \bar{x}} = (\bar{J} \bar{J}) x$$

so these two squares are related by $*$.

So far you haven't used $J^t = -J$. This implies

$J^* = -\bar{J}$ so that the 1st + 2nd choices for the antilinear map differ by sign, and the square coincide:

$$J^* J^t = (-\bar{J})(-J) = \bar{J} J$$

The compatibility condition relating a pos herm $*$: $V \rightarrow V^t$ and a symplectic $J : V \rightarrow V^t$ is that \square is that \square the square of the antilinear operator is -1 . Let $\sigma_J : x \mapsto J^* \bar{x}$ be the first choice, so that $\sigma_J^2 x = (\bar{J} J) x$. Recall that $J^t = -J \Rightarrow J^* = -\bar{J} \Rightarrow J^* J = -\bar{J} J$ so you want $J^* J = 1$ so that $\bar{J} J = -1$.

Somehow you must link this to polarizations. Say you've started with $V \xrightarrow{J} V^t \xrightarrow{*} V$, where J is a skew symmetric matrix such that $\bar{J} J = -1$, equivalently $J^* J = 1$, which means that J is a unitary skew-symmetric matrix. Look at $n=1$.

$$\text{Then } J = \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}, |i|=1 \quad J^* J = \begin{bmatrix} 0 & -\bar{i} \\ \bar{i} & 0 \end{bmatrix} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$V = \mathbb{C}^2 \quad \sigma_J \begin{bmatrix} x \\ y \end{bmatrix} = J^* \begin{bmatrix} \bar{x} \\ \bar{y} \end{bmatrix} = \begin{bmatrix} 0 & -\bar{i} \\ \bar{i} & 0 \end{bmatrix} \begin{bmatrix} \bar{x} \\ \bar{y} \end{bmatrix} = \begin{bmatrix} -\bar{i} \bar{y} \\ \bar{i} \bar{x} \end{bmatrix}$$

$$\sigma_J \sigma_J \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 0 & -\bar{i} \\ \bar{i} & 0 \end{bmatrix} \begin{bmatrix} -\bar{i} \bar{y} \\ \bar{i} \bar{x} \end{bmatrix} = \begin{bmatrix} -x \\ -y \end{bmatrix}. \quad \text{Because } J$$

describes a bilinear form, $u \in U(V)$ acts on such a J via $u^t J u$ so

$$\begin{bmatrix} \bar{i} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix} \begin{bmatrix} \bar{i} & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -i & 0 \end{bmatrix} \begin{bmatrix} i & 0 \\ 0 & 1 \end{bmatrix}$$

ideas. to replace antilinear transformations ~~by doubling~~ you should be able to translate the calculations involving a positive herm. form and a symmetric bilinear form on V into calculations within $\mathcal{L}(Sp(2n))$, where $n = \dim V$. Maybe even within $\mathcal{L}(Sp(2n)/\varphi U(n))$.

you want an ~~intrinsic~~ intrinsic interpretation of symmetric unitary, and skew-symmetric unitary matrices.

389

Your aim today will be to link your spectral theory for symmetric bilinear forms up to unitary equivalence (involving antilinear operators) to the infinitesimal symmetric space $L(Sp(2n)/\varphi U(n))$.

Recall that you ~~start~~ start with a ~~space~~ complex pos herm. v.s. V and a symmetric form $b: V \rightarrow V^t$ from which you get an antilinear op.

$$V \xrightarrow{b} V^t \xrightarrow{\sim} \bar{V}$$

$$x \mapsto x^t b \mapsto b^* \bar{x} = \overline{bx}$$

$$b(x, -)$$

Having worked on the Grassmannian yesterday you should try to generalize to $Sp(2n)$.

Thus you should focus on $L(Sp(2n)/\varphi U(n))$

$$= \left\{ X = \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix} : c^t = c \right\}$$

$$\varphi(u) = \begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix}$$

It now

becomes clear that working ~~concretely~~ concretely with matrices is a good tool, perhaps ~~it~~ it justifies the way you handle V .

Point: Because you invoke duality in the $Sp(2n)$ and $O(2n)$ cases, the basic repr^{for $Sp(2n)$} is $\begin{bmatrix} V_+ \\ V_- \\ ?? \end{bmatrix}$ equipped with the symplectic form $\begin{bmatrix} x_1 \\ y_1 \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ y_2 \end{bmatrix}$.

It seems that you are continually fighting with V, V^t, \bar{V}, V^* which are 4 ^{different} vector spaces.

from some general viewpoint: V, \bar{V} covariant
 V^t, V^* contravariant. A pos. herm. product identifies $\bar{V} = V^t, V = V^*$.

(390)

V pos. herm. v.s.

$$V = V^*, \quad \bar{V} = V^t$$

Form $H(V) = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$ basic repr.

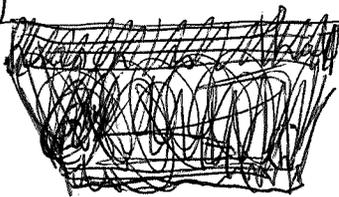
$$\mathcal{L} Sp(2n) \hookrightarrow \text{End}(H(V)) = \begin{bmatrix} V_+ \\ V_- \end{bmatrix} \otimes \begin{bmatrix} V_+^* & V_-^* \end{bmatrix} \quad ??$$

$$\{X = \begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix} : a^* + a = 0, c^t = c\}$$

$$\begin{matrix} a & c \\ n^2 + \frac{n(n+1)}{2} & 2 \\ = 2n^2 + n & = \frac{2n(2n+1)}{2} \end{matrix}$$

You need to understand how the operator $a^* = -a$ on V_+

gives rise to an operator \bar{a} on V_- also ~~skew~~ skew adjoint.



Go back to original picture of $\mathcal{L}(Sp(2n))$

$$Sp(2n, \mathbb{C}) = \left\{ g \in GL(2n, \mathbb{C}) \mid g^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} g = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \right\}$$

$$U(2n) = \left\{ g \in GL(2n, \mathbb{C}) \mid g^* g = I \right\}$$

$n=1$.

$$g = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

$$\det(g)^2 = 1.$$

$$g^t = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} g^{-1} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

Case 1.

$$\det(g) = 1.$$

$$\det(g) = -1$$

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} -c & a \\ -d & b \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} a & 0 \\ b & d \end{bmatrix}$$

$$g = \begin{bmatrix} a & b \\ -\bar{b} & \bar{a} \end{bmatrix} \quad |a|^2 + |b|^2 = 1$$

$$\therefore Sp(2, \mathbb{C}) = SL(2, \mathbb{C})$$



$$g^* = g^{-1}$$

$$\begin{bmatrix} \bar{a} & \bar{c} \\ \bar{b} & \bar{d} \end{bmatrix} = \begin{bmatrix} d & -b \\ -\bar{c} & a \end{bmatrix}$$

$$\therefore d = \bar{a} \quad c = -\bar{b}$$

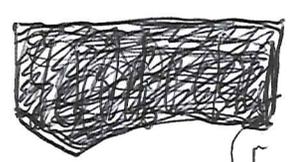
So you do all these matrix calculations for the n th time. Idea In the theory of C^* algebras ~~the basic objects are C^* algebras + alg. morphisms.~~ the basic objects are ~~C^* algebras + alg. morphisms.~~ algebras + alg. morphisms. There isn't always a basic repr whose endos give the algebra.

~~the basic objects are C^* algebras + alg. morphisms.~~ You want to define $Sp(2n)$ as the Lie group of ~~matrix~~ symmetries of a ~~linear algebra~~ certain linear algebra ^{type} structure

Interested in harmon. oscillators + glueing. Viewpoint is to ~~examine~~ examine $n=1$; $g = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in GL(2, \mathbb{C})$, two conditions $g^*g = \mathbb{1}$, i.e. $g \in U(2)$, $g^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} g = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$, i.e. $g \in Sp(2, \mathbb{C})$. Analyze 2nd condition $\Rightarrow \det(g) = \pm 1$, if -1 no solutions, if $\det(g) = 1$ get all $g \in SL(2, \mathbb{C})$.

Combining 2 conditions $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ $ab + cd = 0$
 $\begin{bmatrix} a \\ c \end{bmatrix}$ prop. to $\begin{bmatrix} -\bar{b} \\ \bar{d} \end{bmatrix}$?

$a\bar{b} + c\bar{d} = 0$



$\begin{vmatrix} a & \bar{a} \\ c & -\bar{b} \end{vmatrix} = 0$

take $\begin{matrix} \bar{d} = a \\ \bar{b} = -c \end{matrix}$ $\begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix}$

$Sp(2) = \left\{ \underbrace{\begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix}}_g : |a|^2 + |b|^2 = 1 \right\}$

$\mathcal{L} Sp(2) = \left\{ \underbrace{\begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix}}_X : a + \bar{a} = 0 \right\}$

OK what is a good viewpoint; you want to pass from $n=1$ to higher n by the same construction which should be very simple. You've done this for

$\mathcal{L} Sp(2n) = \left\{ X = \begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix} \in M_{2n} \mathbb{C} : \begin{matrix} a^* + a = 0 \\ c^t = c \end{matrix} \right\}$

392

Problem:

Is there an intrinsic way to handle $L Sp(2n)$? This is the idea you have been missing. You ~~start with~~ want to start with a complex vector space V equipped with a pos hermitian form, then form $H(V)$ with its 3 structures, any two \Rightarrow third. What's intrinsic about a ~~unitary skew-symmetric matrix~~ or a unitary symmetric matrix?

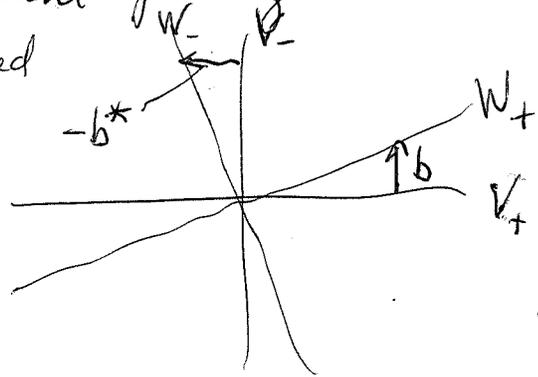
Laura Ashley

For the moment you want to use $L(Sp(2n)/U(n))$ to study polarizations. $H(V) = \begin{bmatrix} V_+ = \mathbb{C}^n \\ V_- = \mathbb{C}^n \end{bmatrix}$ basepoint polarizations. First you need to understand the space or variety of polarizations = space of Lag subsp.

It seems worthwhile trying to embed the space of Lagrangian ^{subspaces} polarizations into the Grassmannian $U(2n)/U(n)^2$.

~~It's better to use the local picture~~

It seems clear that the space of Lagrangian polarizations can be identified with the space of self-adjoint involutions F such that the $F=+1, -1$ eigenspaces are Lagrangian. Locally around the basepoint polarization ε a point F of \mathcal{L}_n can be pictured



You should know that W_+ is Lagrangian iff $b^t = b$, and this implies that $-b^* = -\bar{b}$ is also symmetric so that W_- is Lagrangian.

You were supposed to use c instead of b .

393

What ~~is~~ you need to do next is to study the infinitesimal symmetric space $L(\mathrm{Sp}(2n)/\mathrm{U}(n))$ which should ~~be~~ ^{yield} tangent spaces to the flag manifold of polarizations. A polarization of H is ~~an~~ as \mathbb{C} -linear operator F on H with certain properties; $F = F^* = F^{-1}$. There's another property, which should imply that the eigenspaces of F are Lagrangian. There are various things to try. Let's try the local picture near the basepoint where you get $F = \frac{1+X}{1-X} \varepsilon$ $X = \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix}$.

Recall the three properties ~~for~~ for $X \in L(\mathrm{Sp}(2n))$:

(i) $X^* + X = 0$, (ii) $X^t J + JX = 0$, (iii) $JX = \bar{X} J$

$$\bar{X} = \begin{bmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} c & d \\ -a & -b \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} d & -c \\ -b & a \end{bmatrix}$$

(iii) means $X = \begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix}$.

Notice that $\varepsilon = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ and $J = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ anticommute and they are real. The operator $X = \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix}$ where $c^t = c$

~~is~~ satisfies (i) and (iii) so it satisfies (ii).

Let's check that the C.T. $g = \frac{1+X}{1-X}$ is a symplectic autom:

$$g^t J g = J?$$

$$g^t = J g^{-1} J^{-1} = \bar{g}^T = \frac{1-\bar{X}}{1+\bar{X}}$$

$$X = \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix} \Rightarrow \bar{X} = \begin{bmatrix} 0 & -c \\ \bar{c} & 0 \end{bmatrix} = -X^t$$

$$X^t = \begin{bmatrix} 0 & c \\ -\bar{c} & 0 \end{bmatrix} = -\bar{X}$$

$$g^t = \left(\frac{1+X}{1-X} \right)^t = \frac{1+X^t}{1-X^t}$$

394 What have you learned? You seem to be able to use self-adjoint ~~involutions~~ involutions F satisfying ~~...~~ $JFJ^{-1} = -F$ to describe polarizations. Go back to $H = \begin{Bmatrix} x \\ y \end{Bmatrix}$

$$\begin{bmatrix} x_1 \\ y_1 \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ y_2 \end{bmatrix} \quad \text{polar}$$

Let's go over this stuff again. You have symp. form: $\begin{bmatrix} x_1 \\ y_1 \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ y_2 \end{bmatrix}$, herm form: $\begin{bmatrix} x_0 \\ y_0 \end{bmatrix}^* \begin{bmatrix} x_2 \\ y_2 \end{bmatrix}$

The (mult. difference) of these form is an antilinear ϕ .

$$\begin{bmatrix} x_1 \\ y_1 \end{bmatrix} \mapsto \begin{bmatrix} x_1^t & y_1^t \end{bmatrix} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} = \begin{bmatrix} -y_1^t & x_1^t \end{bmatrix} = \begin{bmatrix} x_0^* & y_0^* \end{bmatrix}$$

$$\therefore \begin{bmatrix} x_0 \\ y_0 \end{bmatrix} = \begin{bmatrix} -\bar{y}_1 \\ \bar{x}_1 \end{bmatrix}$$

$H = \left\{ \begin{bmatrix} x \\ y \end{bmatrix} : x, y \in \mathbb{C}^n \right\}$ two forms. | symp #
| pos herm.

define polarization $H = V_+ \oplus V_-$, V_+, V_- isotropic.

~~...~~ F is the involution with $F = \pm 1$ on V_{\pm} .

~~...~~ Using F and the symplectic form you want to express that V_+, V_- isotropic.

$$\Omega(\xi_+ + \xi_-, \eta_+ + \eta_-)$$

Go back to $V \xrightarrow{c} V^t \xrightarrow{\sim} \bar{V} \xrightarrow{c} V^* \xrightarrow{\sim} V$

$$x \mapsto \begin{matrix} x^t c \\ c^* \bar{x} \end{matrix} \mapsto c^* \bar{x} \mapsto c c^* \bar{x} \quad \begin{matrix} c^* \bar{x} \\ (c^* c^t) x \end{matrix}$$

$$V \xrightarrow{c} V^t \xrightarrow{*} V \xrightarrow{c} V^t \xrightarrow{*} V$$

$$x \quad x^t c \quad c^* \bar{x} \quad (c^* \bar{x})^t c = x^* \bar{c} c \quad (\bar{c} c)^* x = (c^* c^t) x$$

395

$$HV = H \otimes_{\mathbb{C}} V$$

You want a good picture of a polarization of HV. Let's recall possibilities.

Question: Is there a quaternionic picture? What might you mean by this? Start with V a \mathbb{C} vector space of dim n equipped with pos hermitian form.

~~Then it should be possible to construct a canon. isom.~~

Then it should be possible to construct a canon. isom.

$$H \otimes_{\mathbb{C}} V \xrightarrow{\sim} HV$$

which respects a lot of the ~~structure~~ structure. Natural question is which embedding $\mathbb{C} \hookrightarrow H$ do you use?

Possible embeddings are elements of H of square = -1. $(t + x\hat{i} + y\hat{j} + z\hat{k})^2$ $t, x, y, z \in \mathbb{R}$

$$t^2 + 2t(x\hat{i} + y\hat{j} + z\hat{k}) - (x^2 + y^2 + z^2)$$

If this $\in \mathbb{R}$, then either $t=0$ or $x\hat{i} + y\hat{j} + z\hat{k} = 0$

~~square~~ $t=0$ square is $-(x^2 + y^2 + z^2)$ so you get S^2 possibilities, If $t \neq 0$ then $x=y=z=0$ and $t^2 > 0$, not = -1.

Conclude $S^2 =$ embeddings $\mathbb{C} \hookrightarrow H$

$$S^2 / \text{antipodal map} = \text{complex subfields of } H.$$

The problem is still to understand polarizations. It should be easy because you have control of the relevant structures.

Maybe you should introduce K-theory picture where you take limits as $n \rightarrow \infty$

396

Lets try linking the spectral theory of symmetric forms modulo unitary equivalence to the infinitesimal symmetric space $\mathcal{L}(Sp(2n)/\varphi U(n))$.

$\mathcal{L}(Sp(2n)/\varphi U(n))$ is the groupoid whose objects are matrices $\begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix}$ where c is

a complex symmetric $n \times n$ matrix, and where the morphisms arise from the conjugation action of

$$\varphi(u) = \begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix}, \text{ for } u \in U(n). \quad \text{e.g.}$$

$$\varphi(u)^{-1} \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix} \varphi(u) = \begin{bmatrix} u^{-1} & 0 \\ 0 & \bar{u}^{-1} \end{bmatrix} \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix} \begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix}$$

$$= \begin{bmatrix} u^* & 0 \\ 0 & u^t \end{bmatrix} \begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix} \begin{bmatrix} u & 0 \\ 0 & \bar{u} \end{bmatrix}$$

$$= \begin{bmatrix} 0 & -u^* \bar{c} \bar{u} \\ u^t c u & 0 \end{bmatrix}$$

Next go back to "multiplicative difference" between pos herm + symmetric forms.

$$\begin{array}{ccccccc} V & \xrightarrow{c} & V^t & \sim & V & \xrightarrow{c} & V^t \sim V \\ x & \mapsto & (cx)^t & \xrightarrow{*} & \bar{c}x & \mapsto & (c\bar{c}x)^t \xrightarrow{*} \overline{c\bar{c}x} = (\bar{c}c)x \end{array}$$

antilinear operator $\sigma_c(x) = \overline{cx}$, $\sigma_c \sigma_c(x) = \overline{c\bar{c}x} = (\bar{c}c)x$

397

You have to square $\begin{bmatrix} 0 & -\bar{c} \\ c & 0 \end{bmatrix}$?

Let's go over the general pattern. Let V be a complex v.s. with pos. herm. form. There should be a spectral theory for symmetric \mathbb{C} -bilinear forms and also one for skew-symmetric \mathbb{C} -bilinear forms.

The former should be linked to $Sp(2n)/\varphi U(n)$, the latter to $SO(2n)/\varphi U(n)$. These symmetric spaces are the homogeneous spaces consisting of all polarizations in the hyperbolic symplectic and orthogonal spaces associated to V .

~~Review polarizations~~

Review polarizations close to the base point. $\left| \begin{array}{l} \text{you want the} \\ \text{F picture.} \end{array} \right.$

To set up HV properly. V complex v.s. with pos herm inner product. $V \xrightarrow{\sim} V^*$, $\bar{V} \xrightarrow{\sim} V^t$. The best

$$HV = \begin{bmatrix} V \\ \bar{V} \end{bmatrix}$$

~~What did you mean?~~

What is HV?

Go back to the beginning: V ex v.s. w. pos herm form, no take $V = \mathbb{C}^n$.

Here some ideas: $H = \begin{bmatrix} V \\ V^t \end{bmatrix}$ $\text{End}(H) = \begin{bmatrix} V \\ V^t \end{bmatrix} \otimes \begin{bmatrix} V \\ V^t \end{bmatrix}^t$

$$\text{End}(H) = \begin{bmatrix} V \\ V^t \end{bmatrix} \otimes \begin{bmatrix} V \\ V^t \end{bmatrix}^t = \begin{bmatrix} V \\ V^t \end{bmatrix} \otimes \begin{bmatrix} V^t \\ V \end{bmatrix} = \begin{bmatrix} V \otimes V^t & V \otimes V \\ V^t \otimes V^t & V^t \otimes V \end{bmatrix}$$

$$HV = H \otimes_{\mathbb{C}} V = \underbrace{(\mathbb{R} + \mathbb{R}i + \mathbb{R}j + \mathbb{R}k)}_{\mathbb{C}} \quad f^i = -k$$

$$\begin{array}{l} = V \oplus jV \\ \text{for left mult by } \mathbb{C} \quad jV = \bar{V}. \end{array}$$

$$\mathbb{H} \otimes_{\mathbb{C}} V = (\mathbb{R} + \mathbb{R}i + \mathbb{R}j + \mathbb{R}k) \otimes_{\mathbb{C}} V$$

Now $\mathbb{R}j + \mathbb{R}k = (\mathbb{R}1 + \mathbb{R}i)j = j(\mathbb{R} + \mathbb{R}i) = \mathbb{C}j = j\mathbb{C}$ $j(-i) = k$

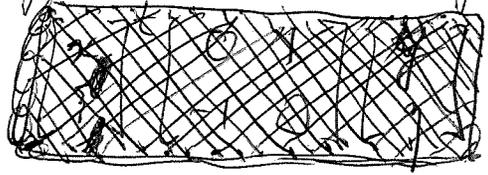
$$\therefore \mathbb{H} \otimes_{\mathbb{C}} V = V \oplus jV = \begin{bmatrix} V \\ jV \end{bmatrix}$$
 You are

still missing the good viewpoint. There are probably 3 viewpoints, at least 2, namely (i) $\mathbb{H} \otimes_{\mathbb{C}} V$ the \mathbb{H} -module generated by the \mathbb{C} -module V , (ii) the hyperbolic space $\begin{bmatrix} V \\ V^t \end{bmatrix}$ associated to V .

You want $\mathbb{H}V$ explained clearly, the important topic ~~seems~~ seems to be polarizations.

Where's the problem. One picture of $\mathbb{H}V$ is

$$\begin{bmatrix} V \\ V^t \end{bmatrix}$$
 with



skew-symmetric form

$$\begin{bmatrix} x \\ \xi \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} y \\ \eta \end{bmatrix}$$

Another picture of $\mathbb{H}V$ depends on a positive herm. form given on V . Then you get $V \xrightarrow{\sim} V^t$ invertible but antilinear. It might be better to say that you have $(x, y) \mapsto \langle x | y \rangle$, $\bar{V} \xrightarrow{\sim} V^t$

Also you have $V \xrightarrow{\sim} V^*$, $y \mapsto \langle x | y \rangle$

You still want a clear picture of ~~hyperbolic~~ hyperbolic

$\mathbb{H}(V)$. Let's agree to start with the symplectic form

$$\mathbb{H}V = \begin{bmatrix} V \\ V^t \end{bmatrix}, \begin{bmatrix} x \\ \xi \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} y \\ \eta \end{bmatrix}$$

 $\bar{V} \rightarrow V^t$ $x \mapsto (y \mapsto \langle x | y \rangle)$

then use pos. herm. form

~~Handwritten scribbles at the top of the page.~~

What is HV? $HV = \begin{bmatrix} v \\ v^t \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} y \\ x \end{bmatrix}$

What End(HV)? $End(HV) = \begin{bmatrix} v \\ v^t \end{bmatrix} \otimes [v^t \ v]$

Here's something you've not looked at enough:

$$= \begin{bmatrix} v \otimes v^t & v \otimes v \\ v^t \otimes v^t & v^t \otimes v \end{bmatrix}$$

$Hom(V \leftarrow W) = V \otimes_{\mathbb{C}} W^t = V \otimes_{\mathbb{C}} [W \leftarrow W]$. Maybe the problem is how to reconcile $W^t = \bar{W}$. Just what is meant by $V \otimes \bar{W}$? Maybe involves adjoints.

$$\begin{bmatrix} V \leftarrow V_+ \\ V \leftarrow V_- \end{bmatrix} \quad \begin{bmatrix} V_+ \leftarrow V_+ \\ V_- \leftarrow V_- \end{bmatrix}$$

~~Handwritten scribbles~~ $[V, W] = V \otimes W^t$ ~~Handwritten scribbles~~

intrinsic description.  category of ^{fd} Hilb spaces

$a(V, W) = V \otimes ?$ Rank 1 operators

VW^* pattern should be that the space of ~~operators~~ operators is dual to the space of sesqui-linear forms $f(w, v)$ linear in v , antilinear in w .

clarify. Given $f: \bar{W} \rightarrow V^t, VW^*$

Def $(f, VW^*) = f(w, v)$. Another idea

might be ~~adjoints~~ $tr(fg)$

On Vector level have x, \bar{x}, x^t, x^*

400

Discuss the problem. You want an intrinsic picture, description of the hyperbolic symplectic space HV , where V is a f.d. Hilb space.

As a vector space / \mathbb{C} one has $HV = V \oplus V^t$. Because of the inner product on V one has $V^t = V^\sigma$.

V^σ seems simpler as it's covariant.

So fix $HV = \begin{bmatrix} V \\ V^\sigma \end{bmatrix}$. ~~□~~

Now you can focus on $\text{End}(HV)$ the space of \mathbb{C} -linear operators on HV .

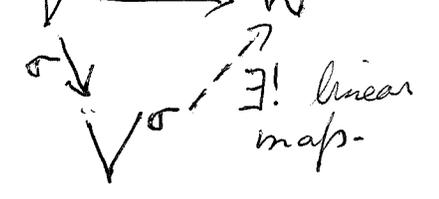
First you should ~~□~~ make precise V^σ and the isom. $V^\sigma \xrightarrow{\sim} V^t$. ~~□~~ What is a v.s. - it's a set with $+$, scalar mult. ~~□~~ define V^σ to be the set V with given ^{additive} operation. Maybe better would be to define V^σ to be a complex v.s. equipped with a bijection $V \xrightarrow{\sigma} V^\sigma$ s.t. comp. with $+$ and s.t. $\sigma(zv) = \bar{z} \sigma(v)$. Notice $\sigma^{-1}: V^\sigma \rightarrow V$ is a bijection $\sigma v \mapsto v$

satisfying $\sigma^{-1}(z \sigma(v)) = \sigma^{-1}(\sigma(\bar{z}v)) = \bar{z}v = \bar{z} \sigma^{-1}(\sigma v)$

~~□~~ Have exhibited $\sigma^{-1}: V^\sigma \rightarrow V$ which is bijective, comp with $+$, $\sigma^{-1}(z \sigma v) = \bar{z} \sigma^{-1}(\sigma v)$. Therefore

$(V^\sigma)^\sigma \xrightarrow{\sim} V$. Maybe a functorial approach

is better, namely you define an antilinear map $f: V \rightarrow W$ by $f(zv) = \bar{z} f(v)$, and then shows



401

Next look at V^t or V^* . Let's

use $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$, then $\text{End}(HV) = \begin{bmatrix} V \\ V^t \end{bmatrix} \otimes [V^t \ V]$

~~What is~~ The problem is still to understand intrinsically the hyperbolic ~~symplectic~~ symplectic space HV

$$= \begin{bmatrix} V \otimes V^t & V \otimes V \\ V^t \otimes V^t & V^t \otimes V \end{bmatrix}$$

You just noticed that $\text{End}(HV)$ is the Lie alg of infinitesimal ~~transformations~~ symmetries of the vector space $\begin{bmatrix} V \\ V^t \end{bmatrix}$. The sub Lie alg ~~preserving~~ preserving the symplectic form is probably $\begin{bmatrix} V \otimes V^t & S^2 V \\ S^2(V^t) & V^t \otimes V \end{bmatrix}$.

~~Next~~ Next you want to equip V with pos herm inner product, extend ~~the~~ the inner product to V^t in the standard way. You ~~know~~ ^{know} the Lie ^{sub}alg preserving both forms ~~is~~ using matrix notation should be

$$\mathcal{L}(\text{Sp}(2n)) = \left\{ X = \begin{bmatrix} a & -\bar{c} \\ c & \bar{a} \end{bmatrix} : \begin{matrix} a^* + a = 0 \\ c^t = c \end{matrix} \right\}$$

You seem to have something ~~that~~ that you did not notice before, namely, an intrinsic form for $\mathcal{L}U(V) \subset V \otimes V^t \cong \mathcal{L}GL(V)$.

What do you hope to do next? V f.d Hilb sp.

$$V^\sigma \xrightarrow{\sim} V^t$$

$$x \mapsto \langle x \rangle$$

$$\text{or } x \mapsto x^*$$

How does this relate to

$$\text{End}(V) = V \otimes V^t = V \otimes V^\sigma ?$$

~~What~~

Let's review the preceding. There seems to be something strange occurring. ~~Let's review the preceding.~~ Let V, W be finite diml Hilb spaces. Consider linear transfs $T: V \rightarrow W$. This should be equiv. to an elt of $W \otimes V^t$. $\text{Hom}(V, \mathbb{C}) \otimes_{\mathbb{C}} W = V^t \otimes_{\mathbb{C}} W$. On the other hand you have $V^{\circ} \xrightarrow{\sim} V^t$.

~~Let's review the preceding.~~ To understand linear transfs and their adjoints



Do it in words. T is a linear transfs from V to W . $\forall w$ one has a lin. transfs. $w^*: W \rightarrow \mathbb{C}$ given by the herm. form. Compose ~~to get~~ to get a linear ~~transfs~~ ~~functional~~ $w^* T: V \rightarrow \mathbb{C}$. Use the herm. form to write $w^* T = (T^* w)^*$.

$$\langle w, T v \rangle = \langle T^* w, v \rangle$$

Yesterday you ran into trouble ~~with~~ ~~operators~~ with $\text{End}(V) = V \otimes V^t = V \otimes V^{\circ}$

An orthonormal basis yields a partition of 1 whose operators are self-adjoint $\ni 0$. There is no phase to worry about. If V is a line then ~~is~~ $\text{End}(V) = V \otimes V^t$ is canonically $\cong \mathbb{C}$. What happens ~~to~~ to $V \otimes V^{\circ}$?

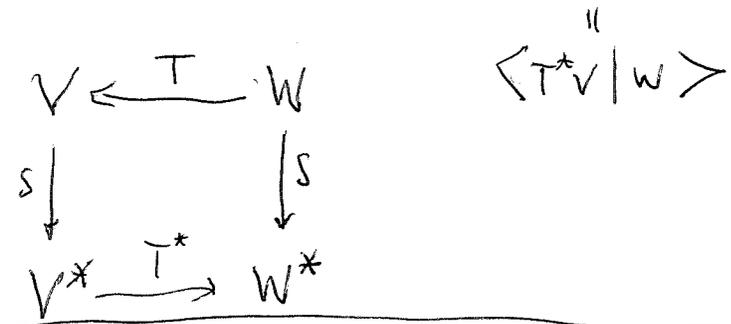
403

You continue struggling with an intrinsic picture of the hyperbolic symplectic space HV where V is equipped with pos hermit inner product. Let's consider the simpler situation of just V . In this case the symmetry group is the unitary group $U(V)$ and $\mathfrak{L}U(V)$ is the Lie algebra of skew adjoint operators on V .

There is also the contragredient representation of $U(V)$ on V^t , the dual.

Review the ideas. Given V with pos. hermit. form. Then given any \mathbb{C} -linear op T on V you get two sesquilinear forms $\langle x | Ty \rangle$ and $\langle Tx | y \rangle$??

Better given V, W with pos hermit. forms and $T: W \rightarrow V \rightarrow V^*$ $\langle v | Tw \rangle$ is a lin. form on W



Start again. Define $W^* = \{ f: W \rightarrow \mathbb{C} \mid f(zw) = \bar{z}f(w), f \text{ add.} \}$

$V \xrightarrow{T} W$ linear transf., $f \in W^* \Rightarrow fT \in V^*$

$fT(zw) = f(zTw) = \bar{z}fT(w)$. Now use $\exists!$

w s.t. $f = \langle w |$, then $fT = \langle w | T \in V^*$

then $\exists! v$ s.t. $\langle v | = \langle w | T$ which means $\langle v | v' \rangle = \langle w | T v' \rangle$

404

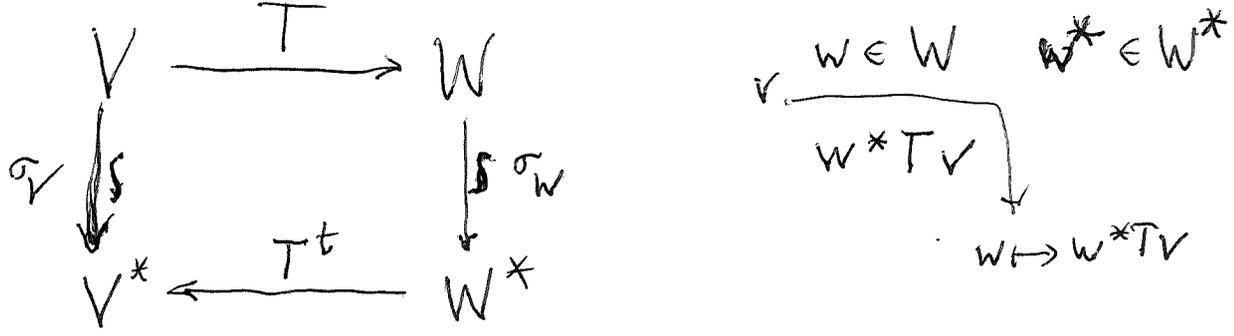
V, W pos herm. $T: V \rightarrow W$ linear.

To define the adjoint $T^*: W \rightarrow V$. Formula

$$(w, Tv) = (T^*w, v) \quad \forall w, v$$

equiv $(Tv, w) = (v, T^*w)$. ~~Right side~~

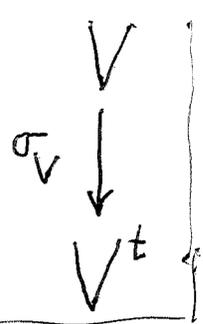
left side (\cdot, w) is an antilinear form on V , hence rep by a unique elt. Define T^*w to be this unique rep. elt. Then check T^*w is linear



You would really like to define T^* as T^t conjugated via σ_V, σ_W

$$T^* = \sigma_V^{-1} T^t \sigma_W$$

V pos herm v.s. $h_V(v, v')$ \mathbb{C} -linear in v' anti linear in v .



An important point is that $\sigma_V^t \sigma_V = I_V$
Why? because

Somehow a hermitian form has a Galois significance. ~~It should~~
It leads to maximal compact subgrps.

choose an orthonormal basis for V , there's a corresp. dual orthonormal basis for V^t , which yields a pos herm. form for V^t . Can view $V = \mathbb{C}^n$ column vectors, V^t as row vectors, $\sigma_V = *$. Next you need a way to transport the herm. form on V to a herm form on V^t .
Result:

405

Yesterday 29 Jun 03 you found a way around the difficulties with hermitian forms. Background: Your aim is to construct an intrinsic picture of ~~the~~ $\mathcal{L} Sp(2n)$, the Lie alg of infinitesimal symmetries of the hyperbolic symplectic space HC^n . You want to replace HC^n by HV , where V is a positive hermitian vector space. Since $HV = V \oplus V^t$, $\mathcal{L} Sp(HV)$ is contained in (a ~~is~~ Lie subalg) of

$$\text{End}(HV) = \begin{bmatrix} V \\ V^t \end{bmatrix} \otimes \begin{bmatrix} V^t & V \end{bmatrix} = \begin{bmatrix} V \otimes V^t & V \otimes V \\ V^t \otimes V^t & V^t \otimes V \end{bmatrix}.$$

This means that ^{cells of} $\mathcal{L} Sp(2n)$ are described by 2×2 blocks of $n \times n$ complex matrices. In the intrinsic picture you seek the diagonal blocks are Endo rings, and the odd blocks are ~~the~~ spaces of symmetric bilinear forms.

Let's now ~~try~~ try to understand the effect of a pos herm. form on V . Recall the definition: It is a sesquilinear form $\langle x | y \rangle$, ~~with~~ $x, y \in V$ which is \mathbb{C} -antilinear in x and \mathbb{C} -linear in y . ~~A~~ A sesquilinear form is equivalent to ~~an~~ an antilinear map $V \rightarrow V^t$, $x \mapsto \langle x | -$. Next one has hermitian symmetry $\langle x | y \rangle = \overline{\langle y | x \rangle}$, which is equivalent to $\langle x | x \rangle \in \mathbb{R}$ for all $x \in V$. Finally there's the positivity condition $\langle x | x \rangle > 0$ for $x \neq 0$.

Ex ~~the~~ $V = \mathbb{C}^n$ (column vectors).

$$\langle x | y \rangle = x^* y = \sum_a \bar{x}_a y_a$$

406

If V is equipped with pos. herm. $\langle x|y \rangle$ then the anti-linear map $V \rightarrow V^t, x \mapsto \langle x| -$ is injective by positivity and so is bijective.

Denote this map by $\sigma_V: V \xrightarrow{\sim} V^t$

~~Thus a pos herm form on V determines an antilinear isomorphism $\sigma_V: V \xrightarrow{\sim} V^t$. Conversely an antilinear transf $V \xrightarrow{h} V^t$ is equivalent to a sesquilinear form $h(x,y)$,~~

Now discuss what it means for h to be hermitian symmetric

Idea: You may need the polarization identity which expresses $\langle x|y \rangle$ in terms of 4 diagonal terms: $\langle z|z \rangle$

~~Definition. Let $b: V \rightarrow V^t$ be a bilinear form on V . What is $x \mapsto b(x, -)$ the transpose of the map b ? $b^t: (V^t)^t \rightarrow V^t$. Let $\varphi_x: V^t \rightarrow \mathbb{C}$ be $\lambda \mapsto \lambda(x)$. What is $b^t(\varphi_x)$? In general it is $\varphi_x \circ b$.~~

$b(x,y)$ bilinear on $V \times V$. From b get $V \rightarrow V^t, x \mapsto b(x, -)$

407

$b(x,y) \in L$ bilinear on $V \times V$

same as a ^{linear} map $V \otimes V \rightarrow L$

same as the linear map $x \mapsto (y \mapsto b(x,y))$

$b': V \rightarrow V^t, x \mapsto (y \mapsto b(x,y))$ $V \rightarrow \text{Hom}(V, L)$

What is b'^t ? It's defined on $(V^t)^t = V$. For $\forall y \in V$

let $\varphi_y \in (V^t)^t$ be defd by $\varphi_y(\lambda) = \lambda(y)$.

Then $\varphi_y: V^t \rightarrow \mathbb{C}$ $(b')^t \varphi_y = (\varphi_y \circ b') = \{x \mapsto \varphi_y(b'x)\}$

TRY again. $b(x,y)$ bilinear on $V \times V$.

$b(x,y)$

Let $b': V \rightarrow V^t$ $b'(x) = \{z \mapsto b(x,z)\}$.

What is $b'(x)^t: (V^t)^t \rightarrow V^t$?

$b'(x)^t(\varphi_y) = \varphi_y \circ b'(x) = \varphi_y\{z \mapsto b(x,z)\}$

$b(x,y)$ bilinear on $V \times V$

Define $b': V \rightarrow V^t$ by $b'(x) = \{y \mapsto b(x,y)\}$

To calculate $b'^t: (V^t)^t \rightarrow V^t$. ~~Define~~ b'^t is

defined $(b'^t(\varphi_y)) = \varphi_y \circ b' = \varphi_y$

$b(x,y)$ bilinear on $V \times W$

$b': V \rightarrow W^t$ defined by $b'(x) = \{y \mapsto b(x,y)\}$

$(b')^t: (W^t)^t \rightarrow V^t$ defined by $(b')^t \varphi_y = \varphi_y \circ b' = \{x \mapsto b(x,y)\}$

408

something is still funny.

$$\text{Hom}(V \otimes W, \mathbb{C}) = \text{Hom}(V, \text{Hom}(W, \mathbb{C}))$$

also $= \text{Hom}(W, \text{Hom}(V, \mathbb{C}))$

$$(V \otimes W)^t = \text{Hom}(V^t, W^t) = \text{Hom}(W, V^t)$$

This might be interesting, ~~because~~ because ~~the~~ transpose should relate the latter two Hom's, even though the transpose of $V \rightarrow W^t$ is a map $W^{tt} \rightarrow V^t$.

~~What is the relationship between~~

Let's spend time on the identification $\sigma_V: V \xrightarrow{\sim} V^t$ (antilinear) for a pos hermitian vector space. Question: What is a hermitian form on V a complex vector space?

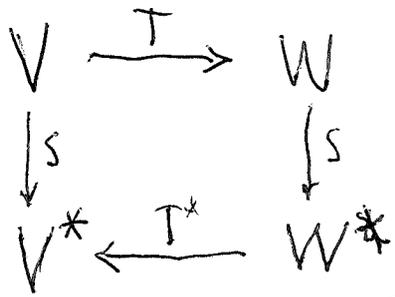
If V, W are \mathbb{C} -vector spaces, there is the notation of a sesquilinear form $f(v, w)$, linear in the variable w , antilinear in the variable v . Same as linear functionals on $V^t \otimes_{\mathbb{C}} W$. What happens if V, W are complex lines.

Let V be a pos. herm. v.s. Then the herm. form yields an ~~isomorphism~~ antilinear isom. $\sigma_V: V \rightarrow V^t$. Question: Is $\sigma_V^2 = 1$ in some sense? ~~Probably~~

~~is there a way to get this?~~ There should be a way s.t. the dual V^t has an induced pos. herm. form.

Idea A basis for a \mathbb{C} -vector space V yields the strongest identification of V with V^t . A pos herm form gives a weaker identification.

409



This defines the adjoint operator for T

You want the endos

of $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$ explained ~~really~~ intrinsically

$$\begin{bmatrix} V \otimes V^t & V \otimes V \\ V^t \otimes V^t & V^t \otimes V \end{bmatrix}$$

You seem to have two intrinsic pictures for $\text{End}(V)$

Problem. $\text{End}(V) = V \otimes V^t$, $V^t = V^\sigma$

$\therefore V \otimes V^t = V \otimes V^\sigma$

$x \otimes y^*$ There's a real problem here in understanding

Maybe there are two tensor pictures for $\text{End}(V)$.

Is it possible that the product in the picture $V \otimes V^\sigma$ invokes the hermitian form on V .

~~scribble~~

symplectic form on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix} \approx \begin{bmatrix} x \\ y \end{bmatrix}$ $\begin{bmatrix} x_1 \\ y_1 \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ y_2 \end{bmatrix}$

$\mathbb{C} \xrightarrow{y} V^t \Rightarrow V \xrightarrow{y^t} \mathbb{C}$

go over the difficulties

$$\begin{bmatrix} x_1^t & y_1^t \end{bmatrix} \begin{bmatrix} y_2 \\ -x_2 \end{bmatrix} = \begin{matrix} x_1^t y_2 \\ -y_1^t x_2 \end{matrix}$$

410

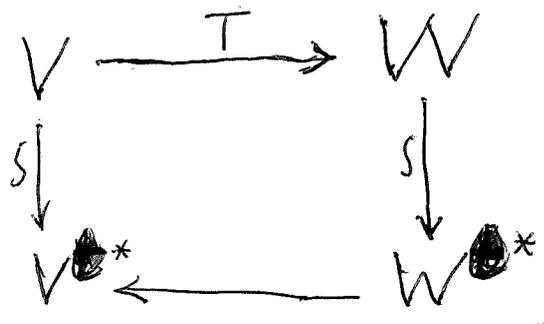
The viewpoint you need might be ideas from the Schwartz kernel theorem. Explain.

Your problem is to find an intrinsic picture of operators on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$, where V is a hermitian vector space. By operator on HV you mean an infinitesimal symmetry preserving the relevant structure: the inner product and the symplectic form. When $V = \mathbb{C}^n$ with usual ^{hermitian} inner product x^*y (or x^*y),

you get the Lie algebra $\mathcal{L}(Sp(2n)) = \left\{ X = \begin{bmatrix} a & -\bar{b} \\ c & \bar{a} \end{bmatrix} : \begin{array}{l} a^* = -a \\ c^t = c \end{array} \right\}$.

So you need intrinsic pictures for a, \bar{a}, c, \bar{c} .

Now a is a skew adjoint operator on V .



$$V^* = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$$

$$\mathcal{L}(u(V)) = \left\{ X \in \text{End}(V) \text{ s.t. } X + X^* = 0 \right\}$$

basic representation V , contragredient repr. $V^t = \bar{V}$
all you have to do is to ~~show how~~ use $\bar{X} = -X^t$.



So you can write

$$\text{End}(V) \cong V \otimes V^t \cong V \otimes \bar{V}$$

Look at notation for ~~the~~ elts of ~~the~~ V and V^t

An elt $x \in V$ is equivalent to the linear map $\mathbb{C} \rightarrow V$ sending 1 to x .

An elt of V^t is ~~equivalent to the~~ linear map ~~from~~ $V \rightarrow \mathbb{C}$ sending 1 to ξ , which is equiv. ~~to~~ by transpose to $\xi^t: \mathbb{C} \rightarrow V^t$



~~for V finite dim.~~

fin. dim.

V vector space, V^t the dual vector space

elt of V same as map $\mathbb{C} \xrightarrow{x} V$ same as $V^t \xrightarrow{x^t} \mathbb{C}$

elt of V^t $\mathbb{C} \xrightarrow{\xi} V^t$ " " $V \xrightarrow{\xi^t} \mathbb{C}$

$\mathbb{C} \xrightarrow{x} V \xrightarrow{\xi^t} \mathbb{C}$ $\xi^t x$

$\mathbb{C} \xrightarrow{\xi} V^t \xrightarrow{x^t} \mathbb{C}$ $x^t \xi$

Maybe the point is that ~~if~~ if we interpret elements $x \in V$ and $\xi \in V^t$ as maps $\mathbb{C} \xrightarrow{x} V$ and $\mathbb{C} \xrightarrow{\xi} V^t$, then the transposes of these maps

~~can be identified with an element of V and V^t respectively~~

$V^t \xrightarrow{x^t} \mathbb{C}$ and $(V^t)^t \xrightarrow{\xi^t} \mathbb{C}$
 $\eta \longmapsto \eta(x)$ $V \ni y$

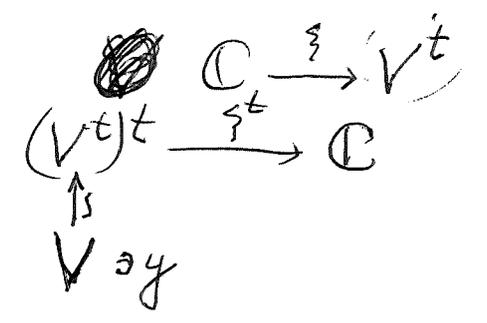
what is $y(\eta)$? Ans $\eta(y)$

so $y \longmapsto (\eta \longmapsto \eta(y))$
 $\xi \longmapsto \xi(y)$

In words you can identify $y \in V$ with the linear fcn $\eta \longmapsto \eta(y)$ on $\{\eta \in V^t\}$. Next you have $\xi \in V^t$ yielding $\mathbb{C} \xrightarrow{\xi} V^t$, and ξ^t takes $\eta \in V^t$ to $\mathbb{C} \xrightarrow{\xi} V^t$

412

Review Start with

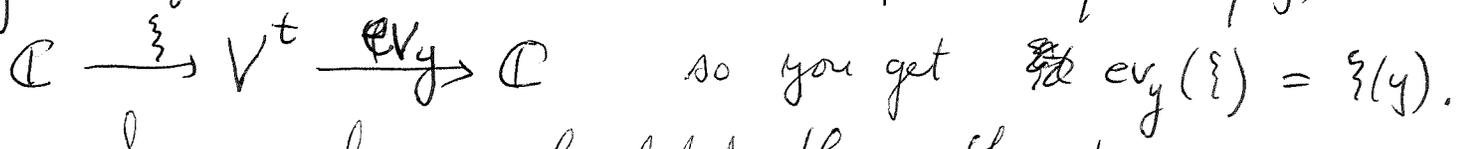


y gives the elt $ev_y \in (V^t)^t$

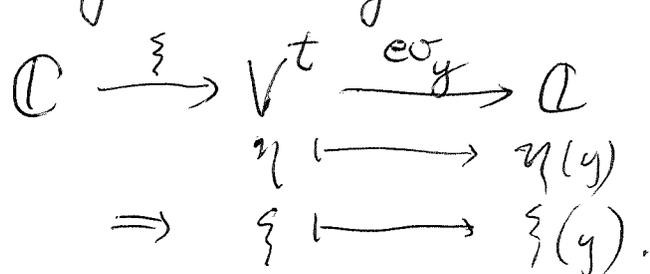
defined by $ev_y(\eta) = \eta(y)$. $\therefore y$ becomes $\eta \mapsto \eta(y)$.

What is ξ^t then? ~~the transpose of ξ~~ . You take

any linear fun on V^t - it has the form $\eta \mapsto \eta(y)$



So you have calculated that the transpose of the map $\mathbb{C} \xrightarrow{\xi} V^t$ is the linear map on V sending each $y \in V$ to the composite map



$\xi^t : (V^t)^t \rightarrow \mathbb{C}$ maybe you can ~~make~~ distinguish between $\xi^t : (V^t)^t \rightarrow \mathbb{C}$ and $\xi : V \rightarrow \mathbb{C}$

~~Recap.~~ Let V be a fin. dim. v.s., V^t its dual. An $x \in V$ is equivalent to the linear map $\mathbb{C} \rightarrow V, z \mapsto zx$

V fin. dim., V^t the dual space. An element $x \in V$ same as a map $\mathbb{C} \xrightarrow{x} V$. ~~same as~~ same as transpose map $V^t \xrightarrow{x^t} \mathbb{C}$

413 V finite dim v.s., V^t dual space

TFAE: (i) the elt $x \in V$

(ii) the linear map $\mathbb{C} \xrightarrow{x} V$ sending 1 to x

(iii) the transposed map $V^t \xrightarrow{x^t} \mathbb{C}$ to (ii).

Similarly TFAE: (i) the elt $\xi \in V^t$

(ii) the linear map $\mathbb{C} \xrightarrow{\xi} V^t$

(iii) the transposed map $V \xrightarrow{\xi^t} \mathbb{C}$ to (ii)

(Remark that \blacksquare defined the transposed map requires the canon isom $V \xrightarrow{\sim} (V^t)^t$. Proof. Injective because $x \neq 0 \Rightarrow \exists \xi \in V^t$ s.t. $\xi(x) \neq 0$. Then get iso as both spaces have the same finite dimension).

~~Review the computation of the transpose of the map (ii):~~ Review the computation of the transpose of the map (ii): One has

$$(V^t)^t \xrightarrow{\xi^t} \mathbb{C}$$

canon. map: $ev \uparrow \uparrow$
 V

For $y \in V$, define $ev(y)$ to be the linear fcn on

the dual space $\{\eta \in V^t\}$ given by $ev(y)(\eta) = \eta(y)$.

~~Calculate $\xi^t \circ ev$. Given $y \in V$, one has $ev(y)$ is the linear fcn on $\{\eta \in V^t\}$ given by $ev(y)(\eta) = \eta(y)$. ξ^t is the transpose of $\mathbb{C} \xrightarrow{\xi} V^t$, which means that for any linear fcn on V^t , equivalently for any $y \in V$, you compose (or pull back) the linear functional $ev(y)(\eta) = \eta(y)$ by means of the map ξ , getting $ev(y)(\xi) = \xi(y)$.~~

Calculate $\xi^t \circ ev$. Given $y \in V$, one has $ev(y)$ is the linear fcn on $\{\eta \in V^t\}$ given by $ev(y)(\eta) = \eta(y)$. ξ^t is the transpose of $\mathbb{C} \xrightarrow{\xi} V^t$, which means that for any linear fcn on V^t , equivalently for any $y \in V$, you compose (or pull back) the linear functional $ev(y)(\eta) = \eta(y)$ by means of the map ξ , getting $ev(y)(\xi) = \xi(y)$.

(414)

So it seems that your calculation of the composition $V \xrightarrow{\sim} (V^t)^t \xrightarrow{\xi^t} \mathbb{C}$ is $y \mapsto \text{ev}(y) \mapsto \xi(y)$.

~~ξ^t ∘ ev~~ is the actual linear funl $y \mapsto \xi(y)$.

(The background here is that you had some ambiguity involved before with the notation for a linear functional ξ . On one hand ξ is a map $\xi: V \rightarrow \mathbb{C}$, which has ~~the~~ a transpose $\xi^t: \mathbb{C} \rightarrow V^t$ which sends $1 \in \mathbb{C}$ to the element $\xi \in V^t$.

$\text{End}(V) = V \otimes V^t$ Didn't you look at elements of a tensor product $X \otimes Y$ of two vector spaces, separable algebras being quasi-free?

~~Consider~~ Consider $\xi = \sum_{i=1}^n x_i \otimes y_i$. Suppose the elts x_1, \dots, x_n are lin. dep., say $x_1 = \sum_{i=2}^n c_i x_i$

$$\xi = \sum_{i=2}^n x_i \otimes y_i + \sum_{i=2}^n c_i x_i \otimes y_1$$

$$\xi = x_1 \otimes y_1 + \sum_{i=2}^n x_i \otimes y_i = \left(\sum_{i=2}^n c_i x_i \right) \otimes y_1 + \sum_{i=2}^n x_i \otimes y_i$$

$$= \sum_{i=2}^n x_i \otimes c_i y_1 + \sum_{i=2}^n x_i \otimes y_i = \sum_{i=2}^n x_i \otimes (c_i y_1 + y_i)$$

(415)

Idea: Let $\xi \in X \otimes Y$. Claim ξ has a rank r and $\exists \begin{matrix} x_1, \dots, x_r \\ y_1, \dots, y_r \end{matrix}$ linearly indep.

s.t. $\xi = \sum_{i=1}^r x_i \otimes y_i$. Proof. Can suppose Y is finite dimensional, whence $X \otimes Y = \text{Hom}(Y^t, X)$, so ξ can be interpreted as a linear map from Y^t to X .

Look at the image: $X \leftarrow \begin{matrix} W \\ \uparrow \\ \end{matrix} \leftarrow Y^t$, choose a basis w_1, \dots, w_n for W , let v_1, \dots, v_n ??

You need notation for basis and dual basis for a finite diml v.s. W . Basis $w_1, \dots, w_n \in W$ and dual basis $w_1^t, \dots, w_n^t \in W^t$. Is $w_i = w_i^t$? What does it mean for w_1, \dots, w_n to be a basis for W ?

$$W \xleftarrow{[w_1, \dots, w_n]} \mathbb{C}^n \xleftarrow{\begin{bmatrix} w_1^t \\ \vdots \\ w_n^t \end{bmatrix}} W$$

$$\text{get } w_i^t w_j = \delta_{ij}, \quad \sum_i w_i w_i^t = 1$$

Let's be careful. Suppose that e_1, \dots, e_n is a basis for W . This means that the map

$$W \xleftarrow{[e_1, \dots, e_n]} \mathbb{C}^n \xleftarrow{\begin{bmatrix} z_1 \\ \vdots \\ z_n \end{bmatrix}} \sum_{i=1}^n e_i z_i$$

is an isomorphism. The inverse will take any w into its coords relative to the basis. So you get

$\epsilon_1, \dots, \epsilon_n \in W^t$ such that

$$\begin{aligned} \epsilon_j(w) &= z_j \quad \text{where } w = \sum e_i z_i \\ &= \sum_j \epsilon_j(w) = \sum_j \epsilon_j(e_i) z_i \end{aligned}$$

(416)

$$\forall w \in W \exists! \epsilon_i(w) \in \mathbb{C} \text{ s.t.}$$

$$w = \sum_i e_i \epsilon_i(w) \quad \text{or}$$

$$\epsilon_j(w) = \sum_i \epsilon_j(e_i) \epsilon_i(w)$$

$$\forall w \in W \exists! \epsilon_i(w) \in \mathbb{C} \quad 1 \leq i \leq n \text{ s.t.}$$

$$w = \sum_i e_i \epsilon_i(w) \quad \text{by uniqueness } \epsilon_i \in W^t$$

$$\text{and } \epsilon_i(e_j) = \delta_{ij}$$

$$\left. \begin{aligned} zw &= \sum_i e_i \epsilon_i(zw) \\ zw &= \sum_i e_i z \epsilon_i(w) \end{aligned} \right\} \Rightarrow \epsilon_i(zw) = z \epsilon_i(w)$$

What is e_i^t

$$\mathbb{C} e_i \subset W$$

$$W^t \longrightarrow \mathbb{C} e_i^t$$

$$\eta \longmapsto \eta(e_i)$$

~~Let W have basis e_i . What is $e_i^t \in W^t$?
 You know that $\forall w \in W$ there is $w^t \in W^t$ such
 that $w^t w = 1$.~~

$$\mathbb{C} \xrightarrow{x} X \implies X^t \xrightarrow{x^t} \mathbb{C} \quad x^t \in (X^t)^t$$

$$X \xrightarrow{\xi} \mathbb{C} \implies \mathbb{C} \xrightarrow{\xi^t} X^t$$

Review confusion $X \xrightarrow{u} Y^t \iff Y \xrightarrow{(Y^t)^t u^t} X^t$

417

Let's try to do something using tensor product pictures. $\text{End}(V) = V \otimes V^t \simeq V \otimes V^t$

Let $a \in \mathcal{L} \mathcal{U}(V)$, it's the same as $a + a^* = 0$.

You want to obtain \bar{a} somehow.

~~Consider a self-adjoint operator~~

Problem: V pos herm. v.s. You want to understand $\mathcal{U}(V)$. Look at $\mathcal{L} \mathcal{U}(V)$ first.

$\mathcal{L} \mathcal{U}(V) \subset \text{End}(V)$ is the space of skew-adjoint operators; it's a Lie algebra under bracket.

On $\text{End}(V)$ you have $T \mapsto T^t$. No.

$$V \xrightarrow{T} V \rightsquigarrow V^t \xrightarrow{T^t} V^t$$

This is an anti autom. You also have the adjoint $T \mapsto T^*$.

$$V \xrightarrow{T} V \rightsquigarrow V \xrightarrow{T^*} V$$

$x \mapsto y^* T x$ linear functional on V . repn.

thm. for linear funls says $\forall y, \exists F_y \in V$ s.t.

$(F_y)^* = y^* T$ i.e. $(F_y)^* x = y^* T x$. Then ~~define~~

replace F by T^* . $(T^* y)^* = y^* T$.

Is there something interesting here you've overlooked. Idea - Schwarty kernel stuff.

418

If $T \in \text{End}(V)$, then $y^*Tx \in \bar{V} \otimes V$.

Given $T \in \text{End}(V)$ you get this "kernel" y^*Tx which is sesquilinear. ~~Clearly~~ Clearly there should be a 1-1 correspondence between ~~operators~~ operators and sesquilinear forms. Next apply σ to the sesquilinear form ~~form~~, you get $\overline{y^*Tx}$ which is ~~linear~~ linear in y , antilinear in x . Now interchange x and y to get $\overline{x^*Ty}$ which is ~~the~~ sesquilinear in the right way, so that it corresponds to an operator T^* satisfying $y^*Tx = \overline{(T^*y)^*x}$

Program. You want to see if ~~the $T \in V \otimes V^t$~~ your observation about $T \in V \otimes V^t$ and the image of T is useful.

It would be nice to use the sesquilinear ^{form} picture of T if possible.

Let's start with the case where $T = T^*$.

Maybe better to consider $V \xleftarrow{T} W$. Then there should be a standard picture for the image

$$V \xleftarrow{\quad} I \xleftarrow{\quad} W$$

You expect two norms on I , induced resp. from V and W . ~~clearly~~

The way to handle this is to form $\begin{bmatrix} 0 & T^* \\ T & 0 \end{bmatrix}$ on $\begin{bmatrix} V \\ W \end{bmatrix}$; this should lead to characteristic values for T .

419

~~Let $T = T^* \in \text{End}(V)$.~~ You want a Schwarz kernel for the operator $T: y^* T x$.
 Let $T = T^* \in \text{End}(V)$. ~~What can you say about the image of T ?~~ Use spectral decomp.
 The image of T is the orthog complement of the kernel of T .

Idea: The graph of T^* is a kind of orthogonal complement to the graph of T : $\begin{bmatrix} 1 & -T^* \\ T & 1 \end{bmatrix}$

Let's go back to the main problem, namely $\text{End}(V) = V \otimes V^t \stackrel{?}{=} V \otimes \bar{V}$. ~~Look~~

The problem is to understand the repn $a \mapsto \begin{bmatrix} a & 0 \\ 0 & \bar{a} \end{bmatrix}$ of $\text{LU}(V)$ on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$

~~Take $n=1$. V is a line~~

rank 1 operator on ~~V~~ V has the form

$Tv = x \langle y | v \rangle$. Find T^* . ~~$\langle r | T^* v \rangle = \langle T r | v \rangle$~~

~~$\langle r | T v \rangle =$~~

$$\langle r | x \langle y | v \rangle \rangle = \langle r | x \rangle \langle y | v \rangle \stackrel{?}{=} \langle T^* r | v \rangle$$

$$= \langle \overline{\langle r | x \rangle} y | v \rangle = \langle \langle x | r \rangle y | v \rangle = \langle y \langle x | r \rangle | v \rangle$$

$$Tv = x \langle y | v \rangle \iff T^* v = y \langle x | v \rangle$$

How unique are x, y ? If you want to understand the possible T 's, you look at the span of x, y . Note T is unchanged by a mult x, y by the same phase. You should be able to adjust the ~~norms~~ norms of x, y so that $\|x\| = \|y\|$.

420

It looks like there's ~~an~~ an angle between x, y which might be an invariant of T .

Idea This reminds you of the Hilbert-Schmidt inner product $\text{tr}(T^*T)$, which you should have looked at much earlier.

What you want to have is a ~~picture~~ ^{Schwartz kernel} picture of $\text{End}(V)$. You want to ~~view~~ view $\text{End}(V)$ as ~~the~~ the tensor product of two reps of $U(V)$, namely the basic repn. V and the contra-gradient repn: $V^t = \bar{V}$. ~~the~~ $\mathfrak{L}U(V)$ = the vector space of skew-adjoint operators on V ; it is a ^{real} Lie algebra under $[\cdot, \cdot]$ which acts on $\text{End}(V)$. Better to say $\mathfrak{L}U(V)$ is a Lie subalgebra of $\text{End}(V)$, and ^{that} $\text{End}(V)$ is the complexification of ~~the~~ $\mathfrak{L}U(V)$.

Now you need to use $\text{End}(V) = V \otimes V^t = V \otimes \bar{V}$.

~~Return to rank 1 operators~~ Return to rank 1 operators

$$T(v) = x \langle y | v \rangle \quad T^*(v) = y \langle x | v \rangle$$

$$\|T\| = \|x\| \|y\| = \|T^*\| \quad \text{tr}(T^*T) = \text{tr} \left(\underbrace{x \langle y | y \rangle}_{T} \underbrace{\langle x |}_{T^*} \right) = \|x\|^2 \|y\|^2$$

~~When is T self-adjoint?~~ When is T self-adjoint? This means

$$x y^* = y x^* \Rightarrow x(y^* y) = y(x^* x) \Rightarrow x = y \frac{x^* y}{y^* y}$$
$$\Rightarrow x^* x = \frac{(x^* y)^2}{y^* y}$$

Cauchy-Schwartz tells us that

$$|x^* y| \leq \|x\| \|y\| \quad \text{with equality} \Leftrightarrow y = \lambda x \quad \lambda \in \mathbb{R}?$$

421

Recall Cauchy-Schwartz. Let x, y be two nonzero vectors. Form

~~$r^2\|x\|^2 + 2rs(x^*y + y^*x) + s^2\|y\|^2$~~ $\|rx + sy\|^2$ with $r, s \in \mathbb{R}$

$$\underbrace{r^2\|x\|^2}_a + rs \underbrace{(x^*y + y^*x)}_{2b} + \underbrace{s^2\|y\|^2}_c \geq 0$$

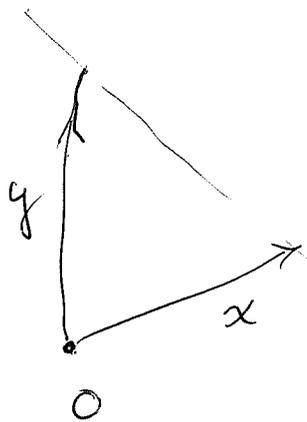


$$\begin{bmatrix} x \\ y \end{bmatrix}^* \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x^* & y^* \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x^*x & y^*x \\ x^*y & y^*y \end{bmatrix}$$

~~$a + 2bs + cs^2$~~

$$ar^2 + 2br + c$$

$$b^2 - ac \leq 0$$



$$T = xy^* \quad , \quad T^* = yx^*$$

Assume $x, y \neq 0$ and $T = T^*$

$$xy^* = yx^*$$

$$\underbrace{x(y^*y)}_{>0} = yx^*y$$

$$(x^*x)(y^*y) = (x^*y)^2 \quad \therefore x^*y \in \mathbb{R}$$

Repeat $xy^* = yx^*$

$$\Rightarrow x^*x y^*y = x^*y x^*y$$

$$\therefore x^*y \in \mathbb{R}$$

$$x^*y = \pm \|x\| \|y\|$$

422

$$T = xy^* = yx^* \quad \text{self-adjoint rk 1.}$$

$$\Rightarrow (x^*x)(y^*y) = (x^*y)(x^*y) \quad \text{but } x^*x, y^*y > 0 \text{ so}$$

$$x^*y = \pm |x||y|. \quad \therefore x^*y \in \mathbb{R}.$$

Let u, v be ^{the} unit vectors s.t. $x = |x|u, y = |y|v$.

$$\text{Then } u^*v = \frac{x^*y}{|x||y|} = \pm 1.$$

$$0 \leq (tx + y)^*(tx + y) = |t|^2 \underbrace{x^*x}_a + (\bar{t}x^*y + ty^*x) + \underbrace{y^*y}_c$$

$$= \bar{t}ta + (\bar{t}b + t\bar{b}) + c$$

$$= \frac{1}{a}(\bar{t}a + \bar{b})(ta + b) + c - \frac{|b|^2}{a}$$

$$|b|^2 \leq ac$$

$$\uparrow$$

$$|ta + b|^2$$

$$\text{conclude } c - \frac{|b|^2}{a} \geq 0$$

If $|b|^2 = ac$, then setting $t = -\frac{b}{a}$ gives 0.

$$-\frac{b}{a}x + y = 0 \quad \therefore x, y \text{ are proportional}$$

$$\text{NO signs are wrong} \quad \frac{1}{a}|ta + b|^2 + c - \frac{|b|^2}{a} \geq 0$$

~~What~~ Good viewpoint: You have a ^{complex} Hilbert space generated by two vectors x, y . What does this mean?

~~It~~ Possibilities: 1) A ~~complex~~ complex v.s. spanned by ~~two~~ ^{two} elts x, y and equipped with a positive hermitian form. 2) Take two vectors

x, y in a complex Hilbert space and form what they generate. Clearly this is the same as 1)

$$(sx + ty, sx + ty) = \bar{s}s(x, x) + \bar{t}s(y, x) + \bar{s}t(x, y) + \bar{t}t(y, y)$$

423

Let's ~~also~~ review Cauchy-Schwartz.

It concerns two vectors in a complex Hilbert space V , call them x and y . wlog we may suppose $V = \mathbb{C}x + \mathbb{C}y$ with the pos. herm. form on $\mathbb{C}x + \mathbb{C}y$ induced by that form on V . ~~we may suppose $V = \mathbb{C}x + \mathbb{C}y$~~

$$\forall s, t \in \mathbb{C} \text{ one has } \|sx + ty\|^2 = (sx + ty, sx + ty) =$$

$$\underbrace{\bar{s}s}_{a} \|x\|^2 + \underbrace{\bar{t}s}_{b} (y, x) + \underbrace{\bar{s}t}_{\bar{b}} (x, y) + \underbrace{\bar{t}t}_{c} \|y\|^2 \geq 0$$

~~Try~~ Try $t=1$: $\bar{s}s a + \bar{s}b + \bar{s}b + c \geq 0$

$\forall s \in \mathbb{C}$. Look for critical point.

$$\left. \begin{aligned} \partial_s F &= sa + \bar{b} = 0 \Rightarrow s = -\frac{\bar{b}}{a} \\ \partial_{\bar{s}} F &= \bar{s}a + b = 0 \Rightarrow \bar{s} = -\frac{b}{a} \end{aligned} \right\} \text{consistent}$$

$$\underbrace{\left(-\frac{\bar{b}}{a}\right)\left(-\frac{\bar{b}}{a}\right)a + \left(-\frac{\bar{b}}{a}\right)b + \left(-\frac{b}{a}\right)\bar{b} + c}_{0} \geq 0$$

$$\therefore c \geq \frac{|b|^2}{a}$$

How did you get involved with this? You are trying to ~~understand~~ produce a simple picture, an intrinsic description, of self-adjoint operators on V . Look at rank 1: $T = xy^*$. The adjoint is $T^* = yx^*$. If T is self-adjoint, then

~~$x^*x y^*y = x^*y x^*y$~~ which means

$$(x^*y)^2 = \|x\|^2 \|y\|^2 \text{ so } x^*y = \pm \|x\| \|y\|.$$

~~Because~~ Because \odot Cauchy Schwarz

so an equality, it should be true that x and y are proportional. Look at this differently. $x y^* = y x^*$. Recall

$$(x^* y)^2 = x^* x y^* y > 0$$

so that $x^* y = \pm \|x\| \|y\|$. Then $u = \frac{x}{\|x\|}$, $v = \frac{y}{\|y\|}$ are unit vectors satisfying $u^* v = \frac{x^* y}{\|x\| \|y\|} = \pm 1$,

also $v^* u = \pm 1$ 7.99 §138 1150

Let's go over the program: Given Hilbert space V (fn. dual), you want to understand the infinitesimal reps of $U(V)$ on V and V^* .

An element of $\mathcal{L}U(V)$ is a skew adjoint operator T .

~~Let's reconstruct the reasoning.~~

Let's reconstruct the reasoning. First the

obstruction: Given $T \in \text{End}(V) = V \otimes V^t = V \otimes \bar{V}$, here you have used the canon isom. $\bar{V} \xrightarrow{\sim} V^t$, $x \mapsto x^*$, where $x^* y$ is the hermitian form $h(x, y)$. But you need to understand the tensor product $V \otimes_{\mathbb{C}} \bar{V}$. This should be the v.s. with generators $x \otimes y^*$ and suitable ~~relations~~ bilinearity relations. If $\lambda \in \mathbb{C}$, then $\lambda x \otimes y^* = x \lambda \otimes y^* = x \otimes \lambda y^* = x \otimes (\bar{\lambda} y)^*$? This is confused - let's try to understand the rank 1 case.

If $x \in V$, recall that x^* is the element of V^t defined by $x^*(y) = h(x, y)$, simpler: $x^* y = h(x, y)$.

Let's repeat what you learned about rank 1 operator $T = x y^*$. Its adjoint is $T^* = y x^*$. T is self-adjoint when $T = T^*$: $x y^* = y x^*$. This implies

$$\|x\|^2 \|y\|^2 = (x^* x)(y^* y) = (x^* y)(x^* y) \Rightarrow x^* y = \pm \|x\| \|y\|$$

425

Assume $x, y \neq 0$. The unit vectors $u = \frac{x^*}{\|x\|}$ and $v = \frac{y^*}{\|y\|}$ satisfy $u^*v = \pm 1$, $v^*u = \pm 1$.

Look at the matrix of inner products

$$\begin{bmatrix} u^*u & u^*v \\ v^*u & v^*v \end{bmatrix} = \begin{bmatrix} 1 & \pm 1 \\ \pm 1 & 1 \end{bmatrix}$$

which is positive of rank 1. Two cases $\begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$, $\begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$ yielding $u=v$, $u=-v$ resp. ~~Conclude that~~

~~Conclude that~~

$$xy^* = yx^* = \lambda uv^* = \lambda vu^*, \quad \lambda = \|x\| \|y\|$$

Now you need to understand the operator uv^* where u, v are unit vectors with either $u=v$ or $u=-v$. If $v=u$, then you have uu^* which is the orthogonal projection onto the line $\mathbb{C}u$, and if $v=-u$ you have $-$ this projection.

Next project is to analyze a self adjoint operator of the form $xy^* + yx^*$. What you are doing is to start with the rank 1 operator xy^* . What comes to mind is a kind of $*$ alg

with generators $\begin{bmatrix} xx^* & xy^* \\ yx^* & yy^* \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix}$

(426)

Review the problem: To find an intrinsic picture of the action of $\mathcal{L}U(V)$ on V and V^t . ~~It~~ You haven't made much progress so far, so maybe you should try a different approach.

Begin with V a finite diml complex Hilb. space.

~~Let~~ Let $\text{End}(V)$ be the $*$ algebra of linear transformations on V . Define $U(V) = \{g \in \text{End}(V) : g^*g = I\}$; this is naturally ^{both} a group and a smooth manifold; these two structures are compatible making $U(V)$ a compact Lie group. ~~The associated~~ The associated Lie algebra is $\mathcal{L}U(V) = \{X \in \text{End}(V) : X^* + X = 0\}$. ~~It~~ It consists of ~~all~~ all skew adjoint operators under Lie bracket. There's an obvious action of $\mathcal{L}U(V)$, skew adjoint operators, on V . What about V^t ?

Idea: You understand how to represent elts of $\mathcal{L}U(V)$ by matrices once you choose an orthonormal basis for V . Not clear.

You want $\mathcal{L}Sp(HV)$ acting on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$ etc. an intrinsic picture of You know how ~~to~~ to handle this via matrices once you choose $V \simeq \mathbb{C}^n$, ~~an~~ an orthonormal basis. You might get useful information by describing what happens to the matrix picture when the orthonormal basis is changed. $\mathcal{L}Sp(2n) = \left\{ X = \begin{bmatrix} a & b \\ -\bar{b} & \bar{a} \end{bmatrix} : \begin{array}{l} a^* = -a \\ b^t = b \end{array} \right\}$

Still the real problem is present about how $\mathcal{L}U(V) = \{\text{skewadj matrices}\}$ acts on $V^t = \bar{V}$.

427

~~Let~~ Let V be a f. dim. Hilbert space,

$U(V)$ = the group of unitary operators on V , $\mathcal{L}U(V)$ = the Lie algebra of skew adjoint operators on V . The problem is to give an intrinsic description of

the ~~action~~ ^{natural} action of $\mathcal{L}U(V)$ on V^t . One idea is to choose an orthonormal basis for V , take the dual basis for V^t , and ~~then calculate~~ then calculate the induced action ~~of~~ of $U(V)$ and $\mathcal{L}U(V)$ on V^t using the dual basis.

On the matrix level you should have $g \in U(V) \cong U(n)$ acting on $V^t = (\mathbb{C}^n)^t$ = row vectors. ~~the action is~~

You should get a matrix picture which might be invariant under change of the orthonormal basis on V . Some calculations:

The action of $U(V)$ on V^t is restriction of the $\mathcal{L}(U(V))$ action to $U(V)$, which preserves the fundamental pairing ~~the~~ $(y^t, x) \mapsto y^t x$, $V^t \times V \rightarrow \mathbb{C}$. So

you want $(gy)^t(gx) = y^t x$ i.e. $g^t g = 1$, which should mean that the action ~~on~~ on V^t induced

by g over V should be $g \mapsto (g^t)^{-1}$. Infinitesimal

version: $T \in \text{End}(V)$ preserves $y^t x$ means

$$(Ty)^t x + y^t Tx = 0 \quad \text{i.e.} \quad T^t + T = 0$$

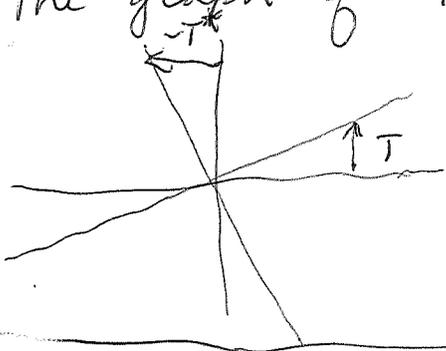
or also $(Ty)^t = -y^t T$

Second idea: Replace linear transformations by their graphs. You probably want ~~explicit~~ explicit complements for these graphs which might arise from the pos. herm. inner product on V .

428

So the first thing to look at is

The graph of $T: V_+ \rightarrow V_-$



$$X = \begin{bmatrix} 0 & -T^* \\ T & 0 \end{bmatrix} \in \text{End} \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$$

Maybe you ~~should~~ should try for a splitting of $\begin{bmatrix} V \\ V^t \end{bmatrix}$

Still struggling with making $\mathcal{L}U(V)$ act on V^t .
~~Maybe~~ $\mathcal{L}U(V)$ is a Lie subalgebra of $\mathcal{L}GL(V) = \text{End}(V)$ equipped with Lie bracket.

~~Working with algs seems easier than with Lie algebras.~~

Working with algs seems easier than with Lie algebras. Let $A = \text{End}(V)$, then V is a left A -module and $V^t = \text{Hom}(V, \mathbb{C})$ is a right A -module. Have compatibility with pairing

$$\begin{aligned} V^t \times A \times V &\longrightarrow V^t \times V \longrightarrow \mathbb{C} \\ (y^t, T, x) &\longmapsto (y^t T, x) \longrightarrow y^t T x \\ &\longmapsto (y^t, T x) \longrightarrow y^t T x \end{aligned}$$

What happens in the case of a Lie homom. $\rho: \mathfrak{g} \rightarrow A$
 $\rho[X, Y] = [\rho X, \rho Y]$? Same as an alg hom $U(\rho) \rightarrow A$.

$$\begin{aligned} &V^t \times A \times A \times V && V^t \times A \times V && V^t \times V \\ &y^t, \rho X, \rho Y, x && && \\ &-y^t, \rho Y, \rho X, x && && \\ &\left. \begin{array}{l} \\ \\ \end{array} \right\} &\longrightarrow & \begin{array}{l} y^t [\rho X, \rho Y], x \\ y^t \rho[X, Y], x \end{array} \end{aligned}$$

(429)

Try matrices again. ~~GL(V)~~ One has $\mathcal{L}GL(V) = \text{End}(V)$, so any $T \in \text{End}(V)$ yields an infinitesimal symmetry δ_T of V , given by $\delta_T x = T \cdot x$. What is δ_T the inf-symm. on V^t corresp to T . Intrinsic, or canonical, pairing $y^t x$: $V^t \times V \rightarrow \mathbb{C}$, $(y^t, x) \mapsto y^t x$; this is to be preserved by δ_T so $0 = \delta_T(y^t)x + y^t \delta_T(x)$. Now $\delta_T(y^t) = (\delta_T y)^t = (Ty)^t = y^t T^t$. $\therefore 0 = y^t T^t x + y^t T x$
 $\Rightarrow T^t = -T$ and $\boxed{\delta_T(y^t) = -y^t T}$.

Next you want to check that $T \mapsto \delta_T$ respects the Lie bracket. Given $T_1, T_2 \in \text{End} V$

$$\delta_{T_1}(\delta_{T_2}(y^t)) = \delta_{T_1}(-y^t T_2) = y^t T_2 T_1$$

$$\delta_{T_2}(\delta_{T_1}(y^t)) = y^t T_1 T_2$$

$$[\delta_{T_1}, \delta_{T_2}](y^t) = \boxed{y^t [T_2, T_1]} = -y^t [T_1, T_2] = \underbrace{\delta_{[T_1, T_2]}(y^t)}$$

Review: $\text{End}(V)$ equipped with Lie bracket is the space of infinitesimal symmetries of the vector space V/\mathbb{C} . ~~GL(V)~~ $\mathcal{L}GL(V) = (\text{End}(V), [,])$. One has an induced action on $V^t = \text{Hom}(V, \mathbb{C})$ by $GL(V)$ given by $(g^t)^{-1} \lambda = \boxed{\lambda g^{-1}}$ better: given by $(g, \lambda) \mapsto \lambda g^{-1}$ from $GL(V) \times V^t \rightarrow V^t$. The infinitesimal action δ_T on V^t is $\delta_T \lambda = -\lambda T$.

430

$$HV = \begin{bmatrix} V \\ V^t \end{bmatrix} \quad \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ \vdots \\ x_n \end{bmatrix} = x_1^t x_2 - \sum_{i=1}^{n-1} x_i^t x_{i+1}$$

$$Sp(HV) = \left\{ g \in GL(HV) \mid (gz_1)^t J (gz_2) = z_1^t J z_2 \implies g^t J g = J \right\}$$

$$\mathcal{L} Sp(HV) = \{ X \in \text{End}(HV) : X^t J + J X = 0 \}$$

Let's restrict attention to homogeneous symmetries, that is, ~~the subgroup of~~ the subgroup of $\begin{bmatrix} GL(V) & 0 \\ 0 & GL(V^t) \end{bmatrix}$

respecting the duality: $\left\{ \begin{bmatrix} g & 0 \\ 0 & (g^t)^{-1} \end{bmatrix} : g \in GL(V) \right\}$

Consider $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$ equipped with $z_1^t J z_2$ $z_i = \begin{bmatrix} x_i \\ \vdots \\ x_n \end{bmatrix}, J = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$

$$Sp(HV) = \left\{ g \in GL(HV) : (gz_1)^t J (gz_2) = z_1^t J z_2 \text{ equiv: } g^t J g = J \right\}$$

You want to understand the subgroup of $g \in Sp(HV)$ which respect the grading, that is $g = \begin{bmatrix} g_+ & 0 \\ 0 & g_- \end{bmatrix}$ such that $g^t J g = J$, which translates to

$$\begin{bmatrix} g_+^t & 0 \\ 0 & g_-^t \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} g_+^{-1} & 0 \\ 0 & g_-^{-1} \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 & g_-^{-1} \\ -g_+^{-1} & 0 \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} g_-^{-1} & 0 \\ 0 & g_+^{-1} \end{bmatrix}$$

$\therefore g_- = (g_+^t)^{-1}$ is the contragredient repn. of g_+ on V .
inf version $X = \begin{bmatrix} x_+ & 0 \\ 0 & x_- \end{bmatrix}$ should satisfy $x_- = -x_+^t$. In prior notation: $\begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}$ one has $d = -a^t$

Next comes imposing the condition that g respect a given positive hermitian form. ~~This form is~~ This form is equiv. to an ~~isomorphism~~ ~~antilinear~~ isomorphism $V \rightarrow V^t$, satisfying $g^* = \dots$ for the ~~hermitian~~ ^{pos} hermitian form, then ? ?

(431) Hermitian form $h(y, x)$ is sesquilinear: antilinear in y , linear in x ; $h(x, y) = \overline{h(y, x)}$ is Hermitian conditions. ~~What is the dual of V ?~~ Note $y \mapsto h(y, x)$ is an anti linear map from V to \mathbb{C} . Little idea: What is the dual of V ? You have been defining it as the space $\text{Hom}(V, \mathbb{C})$ of linear functionals on V . But it seems better to define the dual space up to canonical isomorphism. (The functorial approach). Thus ~~the dual space~~ W is any vector space W equipped with a bilinear pairing $W \times V \rightarrow \mathbb{C}$ which is nondegenerate in both variables. Use $\varphi(w, v)$ for the bilinear form. For φ to be nondegenerate in the variable v , this means that the linear map from V to W^t given by $v \mapsto \varphi(-, v)$ is an isom.

You want to understand better the notation required for the dual spaces. Recall what's involved: a bilinear pairing $Y \times X \rightarrow \mathbb{C}$ $(y, x) \mapsto y^t x$ which is nondegenerate: this means

$$Y \rightarrow X^t, \quad y \mapsto (x \mapsto y^t x) \quad \text{is an isom.}$$

$$X \rightarrow Y^t, \quad x \mapsto (y \mapsto y^t x) \quad \text{is an isom.}$$

Here $X^t = \text{Hom}(X, \mathbb{C})$. ~~What is the dual of V ?~~ So far you have two vector spaces, better: an ordered pair (Y, X) of vector spaces, and a nondegenerate bilinear map $Y \times X \rightarrow \mathbb{C}$, $(y, x) \mapsto y^t x$, between them.

Next you ~~the dual space~~ want to examine the notation $y^t \in X^t$, $x^t \in Y$ in the case of a ~~dual pair~~ dual pair (ordered pair (X, Y) w. non ~~deg~~ ^{deg} pairing)

432

Change notations: ~~XXXX~~

$$Y \times X \rightarrow \mathbb{C}, (y, x) \mapsto \blacksquare b(x, y)$$

$$Y \xrightarrow{\sim} X^t, y \mapsto (x \mapsto b(x, y))$$

$$X \xrightarrow{\sim} Y^t, x \mapsto (y \mapsto b(x, y))$$

There are still problems with the notation x^t, y^t .

~~XXXX~~ Again try a functorial approach, ~~XXXX~~ where functorial means wrt ~~XXXX~~ automorphisms eventually.

~~XXXX~~ Start with the canonical isomorphism $X \xrightarrow{\sim} (X^t)^t$. Thus you consider an ordered pair ~~XXXX~~ (X, Y) equipped with a bilinear form $b: X \times Y \rightarrow \mathbb{C}, (x, y) \mapsto b(x, y)$.

~~Assuming b nondegenerate in the variable x , which means $\forall x \neq 0 \exists y$ s.t. $b(x, y) \neq 0$, you get a linear transf. ~~XXXX~~ $X \rightarrow Y^t, x \mapsto b(x)$ (which is injective, so $\dim(X) \leq \dim(Y)$. Similarly $(y \mapsto b(x, y))$ assuming b nondegenerate in the variable y , you get a linear transf $b^y: X \rightarrow Y^t, x \mapsto b^y(x) = b(x, y)$~~

Consider (X, Y) equipped with bilinear $b: \blacksquare X \times Y \rightarrow \mathbb{C}$, let $b_x \in Y^t$ ~~XXXX~~ be defined by $b_x(y) = b(x, y)$, and let $b^y \in X^t$ ~~XXXX~~ $b^y(x) = b(x, y)$.

b nondeg in x means $X \rightarrow Y^t, x \mapsto b_x$ injective
 b — y — $Y \rightarrow X^t, y \mapsto b^y$ "

In funclin $\Rightarrow \dim(X) \leq \dim(Y) \leq \dim(X)$

433

~~XXXXXXXXXXXXXXXXXXXX~~

You are studying a complex vector space V equipped with a bilinear form and a sesquilinear form, where both forms have a symmetry property. A bilinear form $b(v_1, v_2)$ is equivalent to a linear map $V \rightarrow V^t$, $v \mapsto (v' \mapsto b(v, v'))$, also equivalent to $V \rightarrow V^t$, $v' \mapsto (v \mapsto b(v, v'))$, and when b is symmetric (resp. skew-symm.) these two maps agree with the appropriate sign.

A sesquilinear form $h(v_1, v_2)$ is \mathbb{C} -linear in v_1 , and anti-linear in v_2 , so h is equivalent to $V \xrightarrow{\text{linear}} V^*$, $v \mapsto (v' \mapsto h(v, v'))$, also to $V \xrightarrow{\text{anti-linear}} V^t$, $v' \mapsto (v \mapsto h(v, v'))$. Hermitian symmetry: $\overline{h(v, v')} = h(v', v)$ relates these two maps.

You want to look at the images of these maps. ~~XXXXXXXXXXXXXXXXXXXX~~ Motivation: A linear map

$T: V \rightarrow W$ factors canonically into

$$V \rightarrow V/K \xrightarrow{\sim} I \rightarrow W$$

This should be related to ~~the~~ expressing

$$T \in \text{Hom}(V, W) = W \otimes V^t$$

as $\sum_{i=1}^n \omega_i \otimes \lambda_i$ in a minimal way. $\{\omega_i\}$

is a basis for the image I ; $\{\lambda_i\}$ should be a basis for $(V/K)^t$, the space of linear functionals on V which vanish on K . Also these two bases are dual w.r.t the isom $V/K \cong I$.

~~Repeat: Given $T: V \rightarrow W$ linear,~~
 you have ^{the} canonical factorization

$$V \twoheadrightarrow V/K \simeq I \xrightarrow{\quad} W.$$

This is related to expressing

$$T \in \text{Hom}(V, W) = W \otimes V^t$$

in the form $T = \sum_{i=1}^r \omega_i \otimes \lambda_i$ where r is minimal

$\{\omega_i\}$ is a basis for I and $\{\lambda_i\}$ is a basis for $(V/K)^t$, the space of linear fns on V which vanish on K .

You also should know that these two bases are dual relative to the isom. $V/K \simeq I$. ??

~~Go back to $\xi \in X \otimes Y$. Choose $x_i \in X, y_i \in Y$
 $1 \leq i \leq n$ s.t. $\xi = \sum_{i=1}^n x_i \otimes y_i$ and n minimal~~

~~Claim $\{x_i\}$ is lin. ind. If false some x_i (may assume $i=1$)
 is such that $x_1 = \sum_{j=2}^n c_j x_j$. Then~~

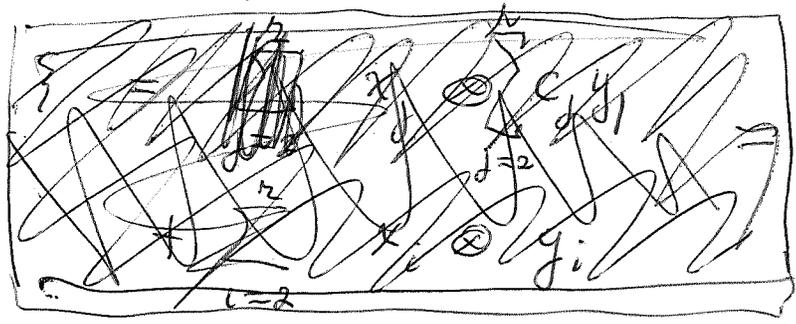
~~$$\begin{aligned} \xi &= \sum_{j=2}^n c_j (x_j \otimes y_1) + \sum_{l=2}^n x_l \otimes y_l \\ &= \left(\sum_{j=2}^n c_j x_j \right) \otimes y_1 + \sum_{l=2}^n x_l \otimes y_l \end{aligned}$$~~

435

$$\xi = \sum_{i=1}^n x_i \otimes y_i \quad \text{at least, assume this}$$

Claim ~~_____~~ x_1, \dots, x_n are linearly independent. If not, wlog may as. $\exists x_1 = \sum_{j=2}^n c_j x_j$

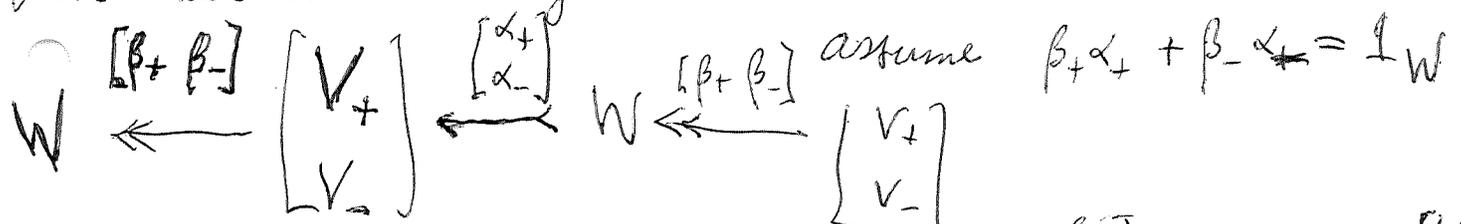
then $x_1 \otimes y_1 = \sum_{j=2}^n x_j \otimes c_j y_1$



$$\begin{aligned} \xi = \sum_{i=1}^n x_i \otimes y_i &= \sum_{j=2}^n x_j \otimes c_j y_1 + \sum_{j=2}^n x_j \otimes y_j \\ &= \sum_{j=2}^n x_j \otimes (c_j y_1 + y_j) \end{aligned}$$

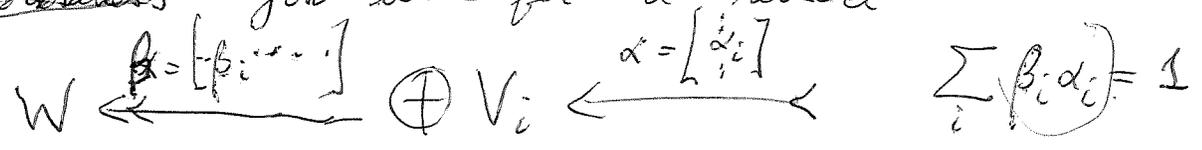
contradicts choice of n .

Let's review "images" retracts.



$$p = \alpha \beta = \begin{bmatrix} \alpha_+ \\ \alpha_- \end{bmatrix} \begin{bmatrix} \beta_+ & \beta_- \end{bmatrix} = \begin{bmatrix} \alpha_+ \beta_+ & \alpha_+ \beta_- \\ \alpha_- \beta_+ & \alpha_- \beta_- \end{bmatrix} \text{ or } V = \begin{bmatrix} V_+ \\ V_- \end{bmatrix}$$

You remember a different notation: $V = \bigoplus_{i \in I} V_i$
~~_____~~ You look for a retract $\alpha = \begin{bmatrix} \alpha_i \\ \vdots \\ \alpha_i \end{bmatrix}$



437

Start with $T \in \text{Hom}(V, W) = W \otimes V^t$

You want to understand the nuclear picture of a linear transformation $T: V \rightarrow W$.

Fact: Any $\xi \in X \otimes Y$ where X, Y are v.s. has a ~~minimal~~ repr. $\xi = \sum_{i=1}^r x_i \otimes y_i$ with ~~smallest~~ ~~number~~ r . Better consider ~~expressions~~ ways of splitting ξ into decomposable tensors $(x_i \otimes y_i)$ and choose a repr. $\xi = \sum_{i=1}^r x_i \otimes y_i$ with r least.

~~Claim $\{x_i\}$ the x_i ~~is~~ lin. indep. because if $x_j = \sum_{i \neq j} c_{ji} x_i$, then $\xi = \sum_{j \neq i} x_j \otimes y_j + x_i \otimes y_i = \sum_{i \neq j} x_i \otimes c_{ji} y_j$~~

Claim x_1, \dots, x_n are lin. ind. because if not $\exists i$ s.t. $x_i = \sum_{j \neq i} c_{ij} x_j$. Then

~~$\xi = \sum_{j \neq i} x_j \otimes y_j + x_i \otimes y_i$~~ $\sum_{j \neq i} c_{ij} x_j \otimes y_i$
 $\square x_j \otimes \sum_{j \neq i} c_{ij} y_i$

$x_0 = \sum_{j \neq 0} c_j x_j$, $\xi = \sum_j x_j \otimes y_j = \sum_{j \neq 0} x_j \otimes y_j + x_0 \otimes y_0$

$x_0 \otimes y_0 = \left(\sum_{j \neq 0} c_j x_j \right) \otimes y_0 = \sum_{j \neq 0} x_j \otimes c_j y_0$

438

$$\{ \} = \sum_{i=1}^n x_i \otimes y_i \in X \otimes Y \quad n \text{ least.}$$

Claim x_1, \dots, x_n are linearly indep. If not $\exists i, c_j$ for $j \neq i$ s.t. $x_i = \sum_{j \neq i} c_j x_j$. Then

$$\{ \} = \sum_{j \neq i} x_j \otimes y_j + x_i \otimes y_i$$

$$= \sum_{j \neq i} c_j x_j \otimes y_i$$

$$\{ \} = \sum_{j \neq i} x_j \otimes (y_j + c_j y_i)$$

$$\sum_{j \neq i} x_j \otimes c_j y_i$$

simpler version

$$\{ \} = \sum_{i=1}^n x_i \otimes y_i \quad x_i = \sum_{i \neq 0} c_i x_i$$

$$\{ \} = \sum_{i \neq 0} x_i \otimes y_i + x_0 \otimes y_0$$

$$\sum_{i \neq 0} c_i x_i \otimes y_0 = \sum_{i \neq 0} x_i \otimes c_i y_0$$

$$\{ \} = \sum_{i \neq 0} x_i \otimes (y_i + c_i y_0)$$

contradicting the choice of n .

What next? A similar argument shows that y_1, \dots, y_n are linear independent.

You can describe the above "calculation" as saying that a relation between the x

$$x_0 = \sum_{i \neq 0} c_i x_i \implies \sum_{i \neq 0} x_i \otimes y_i = \sum_{i \neq 0} x_i \otimes (y_i + c_i y_0)$$

439

The obvious question is whether

$$\{ = \sum_{i=1}^n x_i \otimes y_i \in X \otimes Y \text{ with } n \text{ minimum}$$

implies a duality relation between ~~subspaces~~



$$\sum_{i=1}^n \mathbb{C} x_i$$

$$\text{and } \sum_{i=1}^n \mathbb{C} y_i.$$

You

can suppose these subspaces $\blacksquare = X, Y$ resp. Why should this \blacksquare be true? because

$$I_X \in \text{Hom}(X, X) = X \otimes X^t \text{ has the expansion}$$

$$I = \sum_{i=1}^n x_i \otimes y_i$$

where y_1, \dots, y_n is the dual basis to x_1, \dots, x_n

Canonical map. Given $\{ \in X \otimes Y$ you get maps $X^t \rightarrow Y$ and $Y^t \rightarrow X$, sort of the opposite of a bilinear form.



some ideas: ~~the~~ One has

$$\text{Hom}(X, Y) = Y \otimes X^t$$

$$\text{Hom}(Y, X) = X \otimes Y^t$$

"clearly" compatible with transpose.

~~Back to the page~~

Finish Image stuff

$$T \in \text{Hom}(X, Y) = Y \otimes X^t, \quad T = \sum_{i=1}^n y_i \otimes \lambda_i$$

assertion should be that T has a unique repr. up to $GL(n, \mathbb{C})$.

~~Canonical factorization~~ $T: X \rightarrow Y$ factors canonically into surj followed by injection

$$Y \longleftarrow I \cong X/K \longleftarrow X$$

~~Canonical factorization~~

$T \in \text{Hom}(X, Y)$ factors canonically into a surj followed by an injection

$$Y \longleftarrow I \longleftarrow X$$

Choosing basis for I yields $y_i \in Y, \xi_i \in X^t$
 $1 \leq i \leq r$ such that $T = \sum_i y_i \otimes \xi_i$

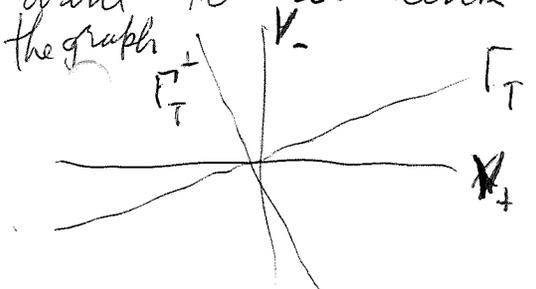
variants: You want to introduce pos. hermitian forms somehow. Suppose X, Y equipped with pos hermitian forms, let $T: X \rightarrow Y$ be linear, and let $T^*: Y \rightarrow X$ be its adjoint. One has $K = \text{Ker}(T), I = \text{Im}(T)$, canon factoran

$$Y \longleftarrow I \longleftarrow X/K \longleftarrow X$$

I inherits an inner product from Y , and X/K inherits K^\perp , so there are two natural inner products on $I = X/K$. These lead to an eigenspace decomposition of I

Case of $T: X \rightarrow Y$ where X, Y have inner prods
 Again you have fact $Y \longleftarrow I \longleftarrow X$, but you get two inner products on I , leading to "spectral decomp. You want to link this to char values. So introduce the graph

$$X = \begin{bmatrix} 0 & -T^* \\ T & 0 \end{bmatrix}, \quad X^2 = \begin{bmatrix} -T^*T & 0 \\ 0 & -TT^* \end{bmatrix}$$



Dellddy

441

Recall problem: Given V with inner product you want ~~an~~ an intrinsic description of the natural action of $\mathcal{L}U(V)$ on V^t . $\mathcal{L}U(V)$ is the ~~real~~ real Lie algebra of skew-adjoint operators on V . Matrix case: suppose $V = \mathbb{C}^n$ (column vectors).

Then $\text{End}(V) = \mathfrak{gl}(n, \mathbb{C}) = M_n \mathbb{C} =$ the alg of matrices \mathbb{C}

$\mathcal{L}U(V) = \{\text{skew-adj matrices}\} = \{a \in M_n \mathbb{C} : a^* = -a\}$. $V =$

space of column vectors $x \in \mathbb{C}^n$, $V^t =$ space of row vectors

$\xi \in \mathbb{C}^n$. The action of a on V is left mult $a: x \mapsto ax$

and the action of a on V^t should be right mult.

$a: \xi \mapsto \xi a$ up to sign.

Both Column + row vectors is confusing. ~~Instead~~

Let $V^t = \{\xi^t : \xi \in \mathbb{C}^n \text{ (column)}\}$. Then the action of

a on $\xi^t \in V^t$ is $a: \xi^t \mapsto \xi^t a = (a^t \xi)^t$. So

you find that the action of $a \in \mathcal{L}U(n)$ on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix} = \begin{bmatrix} x \\ \xi^t \end{bmatrix}$

should be $a \mapsto \begin{bmatrix} a & 0 \\ 0 & -a^t \end{bmatrix}$. NOT CLEAR

~~You~~ You should find the action of $\mathfrak{gl}(V)$ on $HV = \begin{bmatrix} V \\ V^t \end{bmatrix}$. You've learned something ~~new~~ new about the dual, namely that there's a canonical

element $\delta_V \in \text{Hom}(V, V) = V \otimes V^t$ 'governing' the duality

Let $g \in GL(V)$. One has $g \delta g^{-1} = \delta$ where

$\delta = \sum_i x_i \otimes \xi_i \approx \mathbb{1} \in \text{End}(V)$. Hence

$\sum_{i=1}^n g x_i \otimes \xi_i g^{-1} = \sum_{i=1}^n x_i \otimes \xi_i$ which should tell

you that the action of g on $\xi \in V^t$ is ξg^{-1}

442

inf form of $g\delta g^{-1} = \delta$ is $a\delta = \delta a$ or

$$\sum_i a x_i \otimes \xi_i = \sum_i x_i \otimes \xi_i a \quad \sum_i \xi_j a x_i \otimes \xi_i = \xi_j a$$

$$\sum_i a x_i \otimes \underbrace{\xi_i x_j}_{\delta_{ij}} = \sum_i x_i \otimes \xi_i a x_j \quad \therefore a x_j = \sum_i x_i (a_{ij})$$

$$\sum_i a_{ji} \xi_i = \xi_j a$$

$$HV = \begin{bmatrix} V \\ V^t \end{bmatrix} \quad \text{End}(HV) = \begin{bmatrix} V \otimes V^t & V \otimes V \\ V^t \otimes V^t & V^t \otimes V \end{bmatrix} \quad \begin{bmatrix} V \\ V^t \end{bmatrix}$$

Now you ~~want~~ want to get the Lie subalgebra of $\text{End}(HV)$ which respects the duality, i.e. the canonical ~~diagonal~~ diagonal elements $\delta_V \in V \otimes V^t$ and $\delta_{V^t} \in V^t \otimes V$. ~~How to clarify?~~ How to clarify?

Go back to matrix picture:

$$\begin{bmatrix} x_1 \\ \xi_1 \end{bmatrix}^t \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_2 \\ \xi_2 \end{bmatrix} = x_1^t \xi_2 + \xi_1^t x_2$$

The duality between V and V^t is given by the pairing $(x, \xi) \mapsto x^t \xi = \xi^t x$. \therefore You have interpreted ???

Let X, Y be vector spaces of the same dimension. When can you say that X and Y are dual (or in duality)? There seem to be two structures specifying this: (i) bilinear pairing $X \otimes Y \rightarrow \mathbb{C}$ which is non degenerate in each variable (ii) a "diagonal" elt $\delta \in X \otimes Y$ with rank = $\dim(X) = \dim(Y)$.

(i) means the maps $X \rightarrow Y^t, Y \rightarrow X^t$ are $(-)^*$
 (ii) $X^t \rightarrow Y, Y^t \rightarrow X$ are onto $*$

* and therefore bijective since $\dim(X) = \dim(Y)$.

443

Let X, Y be in duality, let $x_i, 1 \leq i \leq n$ be a basis for X , and let $y_i, 1 \leq i \leq n$ be the dual basis for Y . Use the definition of "in duality" via a bilinear pairing $x^t y$. Then one has $x_i^t y_j = \delta_{ij}$. Next use the defn by means of a $\delta \in X \otimes Y$ of rank $= n$. One has $\delta = \sum_{i=1}^n x_i \otimes y_i$

where y_1, \dots, y_n is a basis for Y . Then $y_j^t \delta = \sum_i y_j^t x_i \otimes y_i = \sum_i \delta_{ji} y_i = y_j$. This means that the map $Y \rightarrow Y, y \mapsto y^t \delta = \sum_i y^t x_i \otimes y_i$ is id_Y .

Repeat: X, Y same \dim are in duality when you give a nondegenerate pairing $X \otimes Y \rightarrow \mathbb{C}$, and also when you give a diagonal elt $\delta \in X \otimes Y$ of rank n . These two structures:
 nondegenerate pairing $X \otimes Y \rightarrow \mathbb{C}$
 diagonal elt. $\delta \in X \otimes Y$ of rank n

should be related. You expect that the pairing and diagonal elt. are related by the duality between $X \otimes Y$ and $Y \otimes X$: $(X \otimes Y)^t = X^t \otimes Y^t = Y \otimes X$.

$$\text{Alternate: } X \otimes Y = X \otimes X^t = \text{End}(X)$$

$$Y \otimes X = X^t \otimes X = \text{End}(X^t)$$

X, Y both $\dim n$, $b: X \otimes Y \rightarrow \mathbb{C}$ bilinear, b same as $Y \rightarrow X^t, y \mapsto (x \mapsto b(x, y))$ assume 1-1, then equal $\dim \Rightarrow Y \xrightarrow{\sim} X^t \Rightarrow X \otimes Y \xrightarrow{\sim} X \otimes X^t = \text{End}(X)$

try coords. Assume x_i basis for X , y_j dual basis for Y ,

$$Y \xrightarrow{\sim} X^t \quad X \otimes Y = \bigoplus x_i \otimes y_j$$

$$y \mapsto (x \mapsto b(x, y))$$

444

Suppose given $Y \xrightarrow{\sim} X^t$

$$y \mapsto (x \mapsto b(x,y))$$

Better: Start with $b: X \times Y \rightarrow \mathbb{C}$ nondeg.

choose x_1, \dots, x_n basis for X , get ~~basis~~ elements ~~for Y~~ $b(x_i, y) \in ?$

Given $b(x,y)$, this is the same as $b(x_i, y) \in Y^t ?$

Confused. You want to start with a bilinear form $b(x,y)$, that is, a pairing $X \otimes Y \xrightarrow{b} \mathbb{C}$, ~~is~~ assumed nondegenerate in the variable y , which means the assoc. map $Y \xrightarrow{\sim} X^t$ is 1-1, and \therefore an isom.

What do you mean by ~~the~~ Given a basis x_1, \dots, x_n of X the dual basis y_1, \dots, y_n for Y ? Ans. $b(x_i, y_j) = \delta_{ij}$

~~My conclusion~~

Let $X \otimes Y \xrightarrow{b} \mathbb{C}$ be a bilinear form ~~that is~~

~~nondegenerate in the variable y~~ which is nondegenerate in Y , meaning the map

$$Y \xrightarrow{\sim} X^t, \quad y \mapsto (x \mapsto b(x,y))$$

is 1-1, that is, $y \neq 0 \Rightarrow \exists x$ s.t. $b(x,y) \neq 0$. Then

$$\dim(Y) \leq \dim(X^t) = \dim X.$$

Assume $\dim Y = \dim X$

then $Y \xrightarrow{\sim} X^t$. Moreover

$$X \otimes Y \xrightarrow{\sim} X \otimes X^t = \text{End}(X)$$

so b yields a linear functional on $\text{End}(X)$

445

$b: X \otimes Y \rightarrow \mathbb{C}$ bilinear form which is nondegenerate on Y , meaning: $\forall y \neq 0, \exists x$ st. $b(x, y) \neq 0$.
 One has injection $Y \rightarrow X^t, y \mapsto (x \mapsto b(x, y))$
 so $\dim(Y) \leq \dim(X^t) = \dim(X)$. Assume ~~dim(Y) = dim(X)~~
 one has $Y \xrightarrow{\sim} X^t$. Moreover

$$X \otimes Y \xrightarrow{\sim} X \otimes X^t = \text{End}(X)$$

so b yields a linear functional on $\text{End}(X)$; in particular one has a number $b(\text{id}_X)$; a kind of trace?

~~you need to understand this better.~~

You need to understand this better.
 What ^{can} you do with an isomorphism $Y \xrightarrow{\sim} X^t$?
 It seems that you get an algebra structure on $X \otimes Y$.

Object to study is ~~two vector spaces~~ a perfect dual pair of vector spaces (X, Y) which means that ~~you have~~ one has $Y \otimes X \rightarrow \mathbb{C}$

~~X, Y two v.s. dim n are in duality~~

X, Y two vector spaces of same dimension n . ~~How do you choose a basis for X and Y ?~~

- (i) $b: X \otimes Y \rightarrow \mathbb{C}$ nondeg bilinear form
- (ii) $\delta: \mathbb{C} \rightarrow X \otimes Y$ nondeg "diagonal"

Choose basis x_i for X . Then $(y \mapsto b(x_i, y))$ is a basis for Y^t .
 Why? nondeg $\Rightarrow X \xrightarrow{\sim} Y^t, x \mapsto (y \mapsto b(x, y))$, and then you get a basis y_i for Y s.t. $b(x_i, y_j) = \delta_{i,j}$

$$\delta = \sum x_i \otimes \tilde{y}_i$$

446

Repeat: X and Y are v.s. of same dim
You have two ^{different} ways to construct a duality ~~isomorphism~~
isomorphism between X and Y .

(i) $b: X \otimes Y \rightarrow \mathbb{C}$, a non degenerate bilinear form

(ii) $\delta: \mathbb{C} \rightarrow X \otimes Y$, a ~~diagonal~~ diagonal elt.

Either ~~each~~ of these will associate to each basis $\{x_i\}$ of X
a basis ~~set~~ $\{y_j\}$ of Y . In the first case because
 b is non degenerate you have an isom.

$$X \xrightarrow{\sim} Y^t, \quad x \mapsto (y \mapsto b(x, y))$$

so a basis $\{x_i\}$ for X yields a basis for Y^t
consisting of the linear functionals $y \mapsto b(x_i, y)$, which
in turn yields a basis $\{y_j\}$ for Y defined by $b(x_i, y_j) = \delta_{ij}$

Claim: If $b: X \otimes Y \rightarrow \mathbb{C}$ is a non deg bilinear
form, and $\{x_i\}$ is a basis for X , then there is a
unique basis $\{y_j\}$ for Y such that $b(x_i, y_j) = \delta_{ij}$

~~Proof~~ Proof: nondeg \Rightarrow isom $X \xrightarrow{\sim} Y^t, \quad x \mapsto (y \mapsto b(x, y))$
so $(y \mapsto b(x_i, y))$ is a basis for Y^t , giving isom

$$Y \xrightarrow{\sim} \mathbb{C}^n \quad y \mapsto \begin{bmatrix} b(x_1, y) \\ \vdots \\ b(x_n, y) \end{bmatrix}$$

whence a unique basis $\{y_j\}$ s.t. $b(x_i, y_j) = \delta_{ij}$

There's probably a better way to introduce
the matrix picture: A non degenerate bilinear form
on $X \otimes Y$ is an ~~invertible~~ ^{invertible} $n \times n$ matrix $b_{ij} = b(x_i, y_j)$
where $\{x_i\}, \{y_j\}$ are bases for X, Y resp.



$x^t b y$

447

Now look at $\delta \in X \otimes Y$. If you pick bases $\{x_i\}$, $\{y_j\}$ for X and Y resp. then you get a matrix δ_{ij} of components.

$$\text{Hom}(X \otimes Y, \mathbb{C}) = \text{Hom}(X, \text{Hom}(Y, \mathbb{C})) = \text{Hom}(Y, \text{Hom}(X, \mathbb{C}))$$

$$(X \otimes Y)^t = \text{Hom}(X, Y^t) = Y^t \otimes X^t$$

~~Consider~~ non deg $\delta \in X \otimes Y$ given, choose basis \hat{x}_i for X , then $X \otimes Y = \bigoplus \hat{x}_i \otimes Y$, so $\delta = \sum_i \hat{x}_i \otimes \hat{y}_i$, and you know \hat{y}_i is a basis for Y . You can view $\delta \in X \otimes Y$ as an ~~map~~ $X \xrightarrow{\sim} Y^t$ or as an isom $X^t \rightarrow Y$. What do you need to make clear the idea that δ maps bases in X to bases in Y in a ~~left~~ precise way.

Two ~~basic~~ basic examples.

(i) $Y = X^t$. A basis in Y yields a basis in X^t which ~~then~~ in turns yields a dual basis in $X^{tt} = X$.

(ii) $Y = X$. A basis in Y yields a basis in X .

Review: Given nondeg ~~map~~ $\phi \in X \otimes Y$, one has ~~isom~~ $X^t \rightarrow Y$, $Y^t \rightarrow X$ ~~which are isom.~~ mutually transpose ~~which~~ whose inverses ~~are~~ hopefully come from a ~~nondeg~~ bilinear form $b: X \otimes Y \rightarrow \mathbb{C}$

Calculate: Choose basis x_i for X , write ~~phi~~ then $X \otimes Y = \bigoplus_i x_i \otimes Y$, so one has $\{y_i\}$ such that $\phi = \sum x_i \otimes y_i$, nondeg $\Rightarrow y_i$ basis for Y .

448 Calculate $X^t \rightarrow Y$. need dual basis ξ_i to x_i

$$\xi_j x_i = \delta_{ji} \quad \text{Then } \xi_j \mapsto \sum_i \xi_j x_i \otimes y_i = y_j$$

similarly let $\eta_i \in Y^t$ be dual basis to y_i : $\eta_j y_i = \delta_{ji}$

$$\text{so } Y^t \rightarrow X \text{ is } \eta_j \mapsto \sum_i x_i \otimes \eta_j y_i = x_j$$

$$\text{Summ. } X^t \xrightarrow{\sim} Y \text{ is } \xi_i \mapsto y_i$$

$$Y^t \xrightarrow{\sim} X \text{ is } \eta_i \mapsto x_i$$

to calc transpose of $X^t \rightarrow Y$, take $\xi_i \mapsto y_i$ followed by

$\eta_j: Y \rightarrow \mathbb{C}$, getting $\xi_i \mapsto y_i \mapsto \eta_j y_i = \delta_{ji}$, so you have the lin. fun on X^t given by $\xi_i \mapsto \delta_{ji}$ which is

$$\text{exactly } x_j \quad \therefore X^t \xrightarrow{f} Y \text{ is } \xi_i \mapsto y_i$$

$$\square f^t: Y^t \rightarrow X \text{ is } \eta_j \mapsto x_j$$

\square Inverting f gives $f^{-1}: Y \rightarrow X^t$, $y_i \mapsto \xi_i$

$$b(x_j, y_i) = \xi_i(x_j) = \delta_{ji}$$

inverting f^t gives $(f^t)^{-1}: X \rightarrow Y^t$, $x_i \mapsto \eta_i$

$$b(x_i, y_j) = \eta_j(y_i) = \delta_{ij}$$

X, Y v.s same dim, $\phi \in X \otimes Y$ nondeg

If $\{x_i\}$ basis for X , there is unique $\{y_i\}$ sequence st. $\phi = \sum x_i \otimes y_i$, moreover $\{y_i\}$ is a basis for Y .

If $\{x_i\}$ basis for X , $\{y_i\}$ basis for Y , then

$\phi = \sum x_i \otimes y_i$ is a nondeg elt of $X \otimes Y$.

Q: When is $\sum x_i \otimes y_i = \sum x_i' \otimes y_i'$?

449

$$x'_i = g_{i1}x_1 + g_{i2}x_2 + \dots + g_{in}x_n$$

$$x'_i = \sum_{j=1}^n g_{ij}x_j$$

$$\begin{aligned} \phi &= \sum_i x'_i \otimes y'_i = \sum_{i,j} g_{ij}x_j \otimes y'_i = \sum_j x_j \otimes \sum_i g_{ij}y'_i \\ &= \sum_j x_j \otimes y_j \quad \text{where } y_j = \sum_i g_{ij}y'_i \end{aligned}$$

Statement $\sum_j x_j \otimes y_j = \sum_i x'_i \otimes y'_i$

$$\Leftrightarrow \exists (g_{ij}) \in GL(n) \text{ s.t. } \underline{x}' = g\underline{x} \text{ and } \underline{y}'g = \underline{y}$$

Repeat. ~~X, Y same dim. Let $\phi \in X \otimes Y$ be nonzero which means~~

X, Y f.d. v.s., $\phi \in X \otimes Y$, ϕ is a sum of decomposable tensor $x \otimes y$, choose a repr $\phi = \sum_{i=1}^r x_i \otimes y_i$ with r least. You know that

the ~~sequences~~ sequences $\{x_i\}$ in X , $\{y_i\}$ in Y are lin ind

get subspaces $\sum \mathbb{C}x_i \subset X$, $\sum \mathbb{C}y_i \subset Y$ rank r and an isomorphism $x_i \mapsto y_i$ not natural because

$$X^t \ni \xi \mapsto \xi \cdot \phi = \sum_i \xi(x_i) y_i, \quad X^t \rightarrow Y$$

sends dual basis $\xi_j(x_i) = \delta_{ji}$ to $\xi_j \cdot \phi = y_j$

450

X, Y vector spaces, finite dim, $\varphi \in X \otimes Y$.

You know that φ is a sum of decomposable tensors:

$$\varphi = \sum_{i=1}^r x_i \otimes y_i. \quad \text{Choose such a sum with } r \text{ least.}$$