

scratch work, go back to the old problem,

$S: S' \rightarrow S'$ smooth of degree zero, write

$-\log S = \sum c_n e^{in\theta}$ $\bar{c}_n = -c_{-n}$, so have

$-\log S = f + \bar{f}$ where $f = \frac{c_0}{2} + \sum_{n \neq 0} c_n e^{in\theta}$ +

arb. real constant which we can ~~normalize~~ ^{choose} so that

$\int |e^f|^2 \frac{d\theta}{2\pi} = 1$. Then $\log S = -f + \bar{f}$ $S = \frac{e^{\bar{f}}}{e^f}$

~~Picture~~ $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ $S = \frac{\bar{g}}{g}$ where g

~~analytic~~ analytic invertible.

Change ~~the~~ departure. Focus on a simple case

$S = \frac{z - \bar{h}z^{-1}}{1 - \bar{h}z}$ $g = \frac{1}{|1 - \bar{h}z|^2}$ $\bar{g} = \frac{1}{1 - \bar{h}z}$

Focus on the essentials. Where to begin? You

should start with $g = e^f$ f smooth on \bar{D} analytic in D , eg. $f(z) = \sum_{n \geq 0} a_n z^n$ $n^k |a_n| \rightarrow \infty \forall k$

In fact if it helps you can take f analytic on $(1+\epsilon)D$.

~~So~~ So $g = e^f$ is the basic data

and it is normalized so that $\int \frac{1}{|g|^2} \frac{d\theta}{2\pi} = 1$. Thus you

get $g = \frac{1}{|g|^2}$, $S = \frac{\bar{g}}{g}$.

Now form $H = L^2(S', d\mu)$, sequences of polys.

p_n, q_n . Also can use $H = L^2(S')g$ or $H = L^2(S')\bar{g}$.

~~So~~ So ^{you} get picture - polys in numerator

g in denominator.

Discuss more carefully. You begin with g

and get a prob. measure $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$, whence

an inner product on Laurent polys, whence an H

$= L^2(S', d\mu)$ with bifilt. $F_{mn} = \langle z^{-m}, \dots, z^n \rangle$

also your sequences p_n, q_n

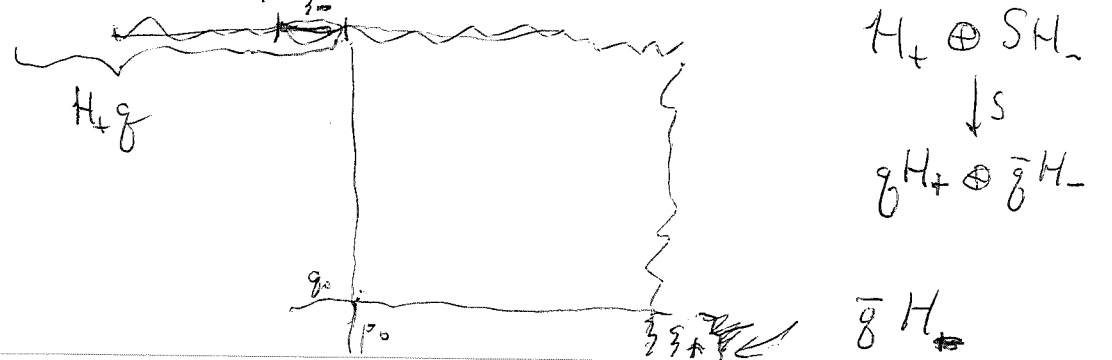
Repeat. You begin with $g = e^f$ f smooth on S^1 extending analytically inside, normalized so that $\int \frac{1}{|g|^2} \frac{d\theta}{2\pi} = 1$. Then $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ is a prob. meas whence you get $H = L^2(S^1, d\mu)$ with bifiltration $F_{mn} = \langle z^{-m}, \dots, z^n \rangle$, and orth. poly. system (p_n) . You want to prove that $g_n \rightarrow g$ among other things. Let's use the scattering picture. The scattering arises from $L^2(S^1) \xrightarrow{\sim} L^2(S^1, d\mu)$ and from $f \mapsto fg$.

It is ~~obviously~~ obviously isometric and since $\frac{1}{g} \in L^2(S^1)$ it is onto. Important point about \bar{g} is that mult by g, \bar{g} are invertible on H_+, H_- resp. Let's do some calculations.

Question. Suppose you change the boundary condition $p_0 = g_0$, i.e. you act by S^1 on h_0 . How does S change? Where to start? Family

Partial ~~is~~ unitary $aX = (\mathbb{C}\xi)^+$ $bX = (\mathbb{C}\bar{\xi})^+$
 $ua = b \quad \therefore u = ba^*$ on aX . Have family of contractions
 $c_\xi = ba^* + u\xi h \xi^*$

~~That~~. There are lots of things you can't do. Suppose S given, can you find g , using the fact that $H = H_+ \oplus SH_-$. Note this decomp fits with



You need to get ^{around} beyond the obstruction

Given $g = e^f$ f analytic on \bar{D} to simplify, you want to prove that the corresponding h sequence decays exponentially(?). You ~~normalize~~ normalize g so that $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ is a prob. measure.

~~You have the following situation.~~

~~$H_+^2(S^1, d\mu) = H_+^2(S^1, d\mu)$~~

Construct following: Hilbert space $H = L^2(S^1, d\mu)$

$\xi_- = \bar{g}$, $\xi_+ = \bar{g}$, forget $u = z$. Also

have $H_{\pm}^2(S^1, d\mu)$. Study this carefully.

What should be true?

$$\begin{aligned} \langle g | z^n \rangle &= \int \bar{g} z^n \frac{1}{|g|^2} \frac{d\theta}{2\pi} = \int \frac{z^n}{g} \frac{d\theta}{2\pi} \\ &= \begin{cases} 0 & n > 0 \\ \frac{1}{g(0)} & n = 0 \end{cases} \quad \frac{z^n}{g} \Big|_0 \end{aligned}$$

~~You can normalize~~ If you start with S and write $-\log S = f - \bar{f}$ f analytic on \bar{D} then f is unique up to an ^{additive} real constant. ~~But~~ also if you write $-\log g = f + \bar{f}$, then this f is unique up to an imaginary constant. ~~So~~ either S or g fix the non constant Taylor series ~~coeff of f~~ ~~term~~ and the constant ~~term~~ can be fixed by requiring $\frac{1}{g(0)} > 0$ $\int \frac{1}{|g|^2} \frac{d\theta}{2\pi} = 1$. This

should not be significant to worry about at this stage.

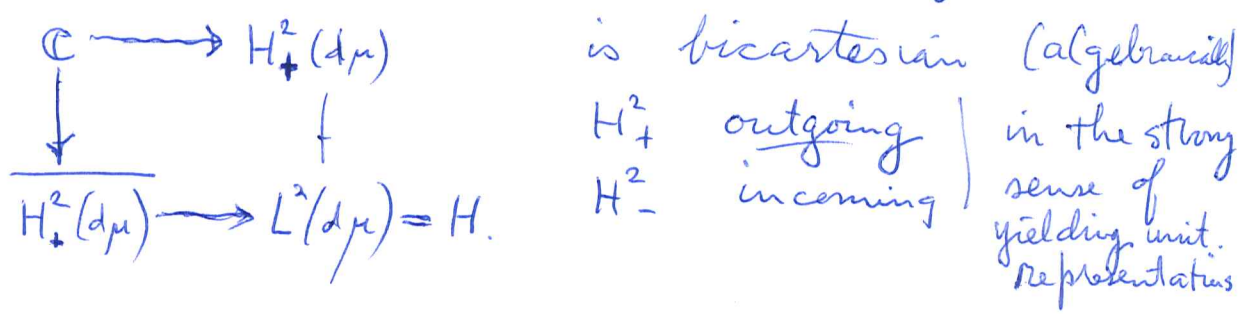
What might be important is ~~the~~ a difference between the measure ~~on~~ case and the partial unitary situation.

Fix $H = L^2(S^1, d\mu)$, $u = z_0$, $\xi_- = g$, $\xi_+ = \bar{g}$.

But before you guess ξ_{\pm} which depend upon g you should mention $H_{\pm}^2(S^1, d\mu)$ in the general case, ~~the~~ and the respective orthonormal bases $\{p_0, p_1, \dots\}$, $\{\underset{\bar{p}_0}{p_0}, \underset{\bar{p}_1}{z^{-1}g_1}, \underset{\bar{p}_2}{z^{-2}g_2}, \dots\}$

(assume μ inf support).

Now you want to check in the g case that



You need details. $\langle g, z^n g \rangle = \int \bar{g} z^n g \frac{1}{|g|^2} \frac{d\theta}{2\pi} = \delta_n$

We know that

$\{l = p_0, p_1, \dots\}$ is an orth basis for $H_+^2(S^1, d\mu)$

How to see that $\{z^{-1}g, z^{-2}g, \dots\}$, which is an orth set, extends this basis to an orth basis of $L^2(S^1, d\mu)$. Can you see that $L^2(S^1)g = H$?

$L^2(S^1) \longrightarrow H = L^2(d\mu)$	isometric and $\frac{1}{g}$ is odd etc.
$\mathbb{C} \longrightarrow \mathbb{C}g$	

Now you use the ^{correct} representation ~~that~~

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{\phi} & \mathbb{C} \\ \downarrow \frac{1}{g} & & \downarrow \psi \\ \mathbb{C} & \xrightarrow{\psi} & \mathbb{C} \end{array} \quad \text{where}$$

$\frac{z^n}{g} \in H_+$ for $n \geq 0$. So the square

$$\begin{array}{ccc} \mathbb{C} & \longrightarrow & H_+^2(d\mu) \\ \downarrow & & \downarrow \\ H_+^2(d\mu) & \longrightarrow & L^2(d\mu) \end{array} \quad \text{becomes} \quad \begin{array}{ccc} \mathbb{C} \frac{1}{g} & \longrightarrow & H_+ \\ \downarrow & & \downarrow \\ \frac{z}{g} H_- & \longrightarrow & L^2(S^1) \end{array} \quad \text{in the non. repn.}$$

but if you use g , which is odd + invertible on $L^2(S^1)$ you get

$$\begin{array}{ccc} \mathbb{C} & \longrightarrow & gH_+ = H_+ \\ \downarrow & & \downarrow \\ zH_- & \longrightarrow & L^2(S^1) \end{array}$$

Idea. Consider g on $L^2(S^1)$, this is given by a triangular matrix. i.e.

$$\langle z^m, g z^n \rangle = \int z^{n-m} g \frac{d\theta}{2\pi}$$

$$= \begin{cases} 0 & n > m \\ g(0) & n = m \end{cases}$$

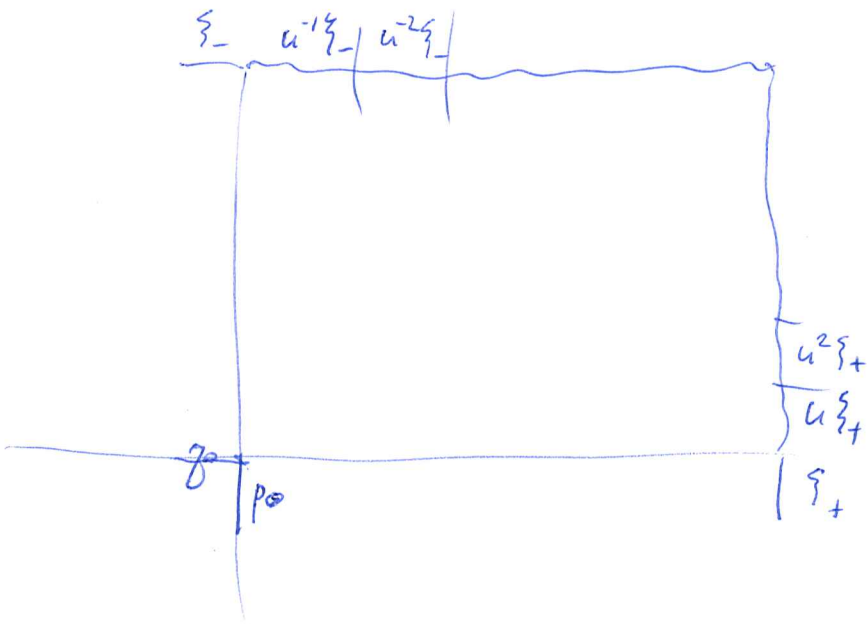
The picture emerging is that ~~algebraically~~ algebraically $H = L^2(S^1)$ (maybe $\mathbb{C}[z, z^{-1}]$), $u = z^0$, $F_{mn} = \langle z^{-m}, \dots, z^n \rangle$ so ~~the~~ ^{the main} subspaces of interest are in standard form. The inner product is generated by g . So what question

Picture: $H = L^2(S^1, d\mu) \xrightarrow{\sim} L^2(S^1)$

$$\begin{array}{ccc} z^k & \xrightarrow{\sim} & \frac{z^k}{g} \end{array}$$

Idea: $L^2(S^1) = H_+ \oplus \bar{S}H_-$

$f \in L^2(S^1)$ 6



$$H_- \xi_- \oplus H_+ \xi_+ = H \quad ?$$

$$H_- g \oplus H_+ \bar{g} = L^2(S^1, d\mu)$$



$$H_- \oplus H_+ \left(\begin{array}{c} \bar{g} \\ g \end{array} \right)_S$$

$$L^2(S^1)$$

Review. You have $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ a prob. measure
 $g = e^{\log g}$ $\log g$ analytic on \bar{D} . Then have

$$H = L^2(S^1, d\mu), u = u., \xi_- = g, \xi_+ = \bar{g}.$$

~~operator~~ $L^2(S^1) \xrightarrow{\quad} L^2(d\mu)$ isom.
 $f \xrightarrow{\quad} fg$

Basically a ^{st.} ^{bdd} pos. self adj oper. gives a new inner product

So let's try to exploit

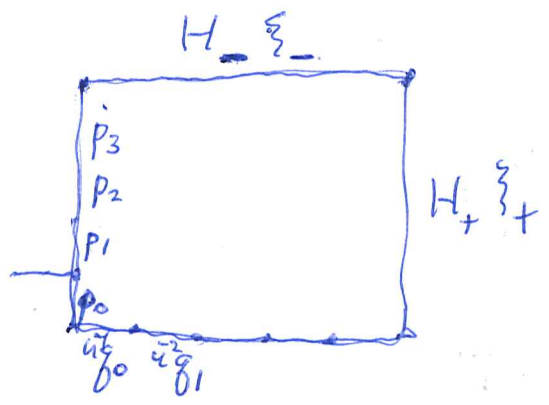
$$H_- \xi_- \oplus H_+ \xi_+ = H.$$

i.e. $H_- \bar{g} \oplus H_+ \bar{g} = L^2(S^1)$

or $\underbrace{\frac{1}{\bar{g}} H_-}_{H_-} \oplus \underbrace{\frac{1}{g} H_+}_{H_+} = L^2(S^1)$

Still quite confused. Basically any ~~$\eta \in H$~~ $\eta \in H$ can be ~~expressed~~ expressed uniquely in terms of the basis $u^{<0} \xi_-$ and $u^{\geq 0} \xi_+$. You need a specific formula. It looks like you have a bicart square

~~Diagram~~



$$\langle p_0, \dots, p_n, \dots \rangle = \overline{\mathbb{C}[z]} \text{ closure}$$

$$\langle u^1 g_0, u^2 g_1, \dots \rangle = \overline{z^{-1} \mathbb{C}[z^{-1}]} \text{ closure}$$

$$\therefore \langle p_0, \dots, p_n \rangle = \overline{\mathbb{C}[z]} = H_+$$

$$\langle u^1 g_0, \dots \rangle = \overline{z^{-1} \mathbb{C}[z^{-1}]} = z^{-1} H_-$$

$$H_+ \oplus H_- = z^{-1} H_- \oplus H_+$$

~~Inside \$H^2(d\mu)\$ you have \$\xi=1\$~~

Inside $H^2(d\mu)$ you have $\xi=1$

$\xi_- = \emptyset, \xi_+ = \bar{\emptyset}$. Then

$\langle p_0, p_1, \dots \rangle = H_+ \xi_0$
 $\langle u^{-1} \xi_0, u^{-2} \xi_-, \dots \rangle = H_- \xi_0$

Is $H_+ \xi_0 \oplus H_- \xi_- \cong H = H_- \xi_0 \oplus H_+ \xi_+$
orthog. direct sum O.d.s.

Apply invar. rep. $\xi_- \mapsto 1, \xi_+ \mapsto \frac{1}{\emptyset}, \xi \mapsto \frac{1}{\bar{\emptyset}}$

$H_+ \xi_0 + H_- \xi_- \xrightarrow{\sim} H_+ \frac{1}{\emptyset} + H_- \xi_-$

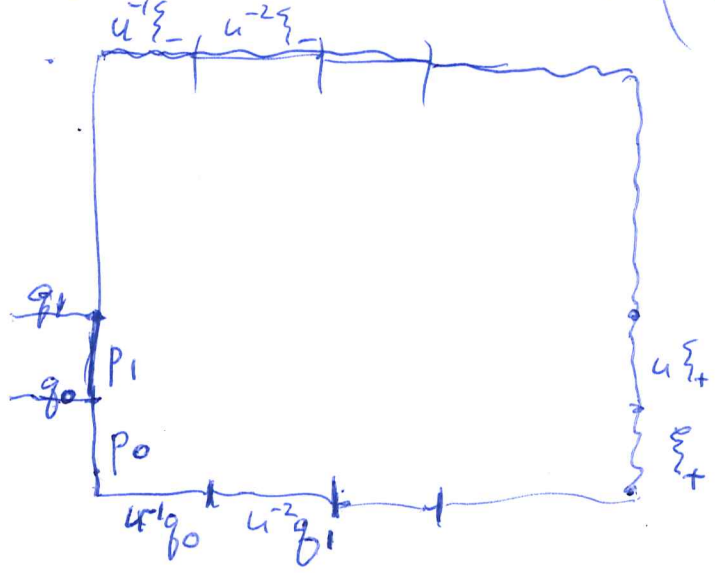
$\emptyset \xi_0 = \xi_- \quad \bar{\emptyset} \xi = \xi_+ \quad \frac{\bar{\emptyset}}{\emptyset} \xi_- = \bar{\emptyset} \xi = \xi_+$

$H_- \xi_0 + H_+ \xi_+ \xrightarrow{\sim} H_- \frac{1}{\bar{\emptyset}} + H_+ \frac{\bar{\emptyset}}{\emptyset}$

$\left(\begin{array}{cc} h_- \frac{1}{\bar{\emptyset}} & h_+ \frac{\bar{\emptyset}}{\emptyset} \\ h_- \frac{1}{\bar{\emptyset}} & h_+ \frac{\bar{\emptyset}}{\emptyset} \end{array} \right) \frac{d\sigma}{2\pi} = 0.$

Repeat this calculation

} two orth bases for H



$\{ p_0, p_1, \dots \}$
 $\cup \{ u^{-1} \xi_-, u^{-2} \xi_-, \dots \}$
 $\{ u^{-1} q_0, u^{-2} q_1, \dots \}$
 $\cup \{ \xi_+, u \xi_+, \dots \}$

This leads to a unitary isom.

$$H_+^{\xi_0} \oplus H_-^{\xi_-} \xrightarrow{\cong} H_-^{\xi_0} \oplus H_+^{\xi_+}$$

Check this using the ~~unitary~~ incoming representation

$$H = L^2(S^1, d\mu) \xrightarrow{f} L^2(S^1)$$

Thus

$$\xi_- = 0 \xrightarrow{\quad} 1$$

$$\xi_0 = 1 \xrightarrow{\quad} 1$$

$$\xi_+ = \bar{0} \xrightarrow{\quad} \frac{0}{0}$$



$$\circlearrowleft H_+ \frac{1}{0} \oplus H_- = L^2(S^1) ? \quad \text{YES}$$

$$H_- \frac{1}{0} \oplus H_+ \frac{\bar{0}}{0} = L^2(S^1) ? \quad \text{NO}$$

$$H_- \frac{1}{0} \oplus H_+ = L^2(S^1) \quad \text{YES.}$$

Let's see if I can get rid of the condition $p_0 = q_0 = \xi_0$. You want ~~to~~ use the partial unitary, dilated to a unitary.

What does this mean? You continue the

Apparently things generalize to

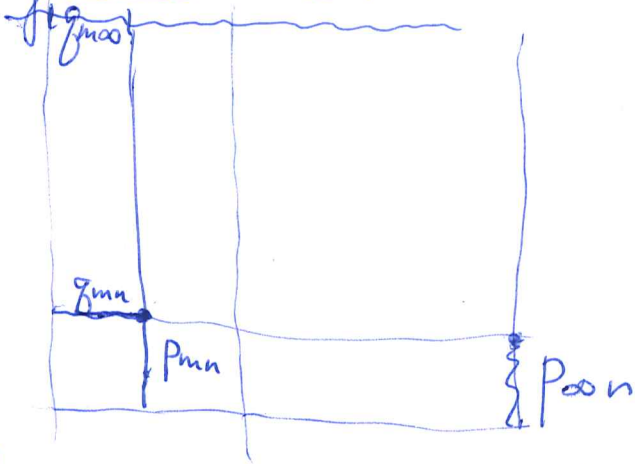
$$\{p_n, p_{n+1}, \dots\} \cup \{u^{-1}\xi_-, u^{-2}\xi_-, \dots\}$$

$$\simeq \{u^{-1}q_n, u^{-2}q_{n+1}, \dots\} \cup \{u^n \xi_+, u^{n+1} \xi_+, \dots\}$$

The general case:

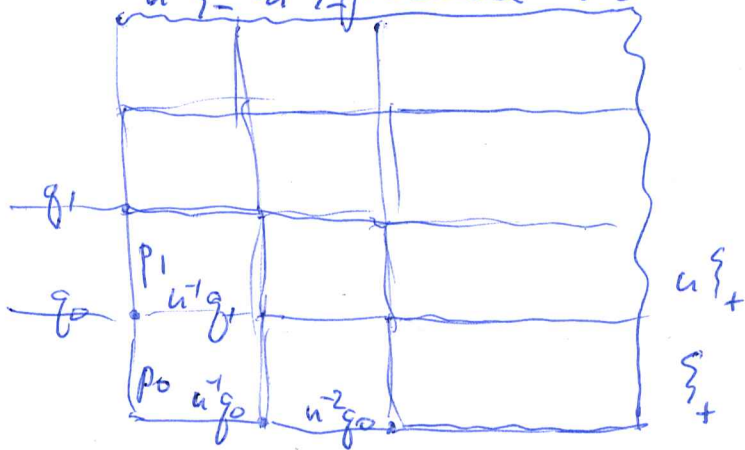
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The thing you avoid is to work out the sequences $\{p_0, p_1, \dots\}$ $\{u^{-1}g_0, u^{-2}g_1, \dots\}$ from the other side. $\{u^{-1}\xi_-, u^{-2}\xi_-, \dots\}$, $\{\xi_+, u\xi_+, \dots\}$

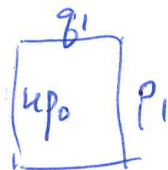
The basic picture is



Analyze this if you can. You have orth bases. ~~And~~ indexed by $\mathbb{Z}_{>0}$.

The last idea I had yesterday was to treat $\{u^{-1}\xi_-, u^{-2}\xi_-, \dots\}$ and $\{\xi_+, u\xi_+, \dots\}$

~~And~~ similar to $p_0 p_1$ in

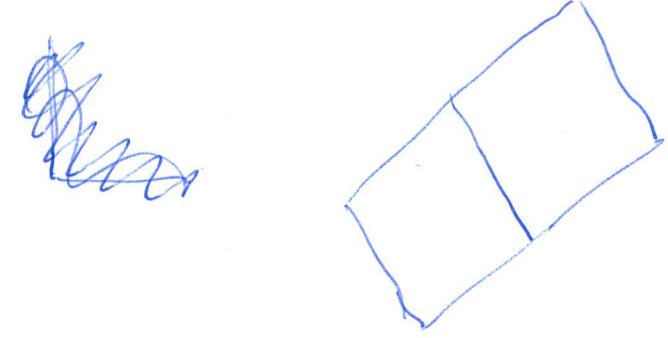


basically you have your old friend g_0



except you will ~~use~~ probably use ~~another~~ operator b such that $b^*b = 1 - c^2$

Let's work ~~all~~^{on} bicartesian squares, rather bifiltrations. You want to start with

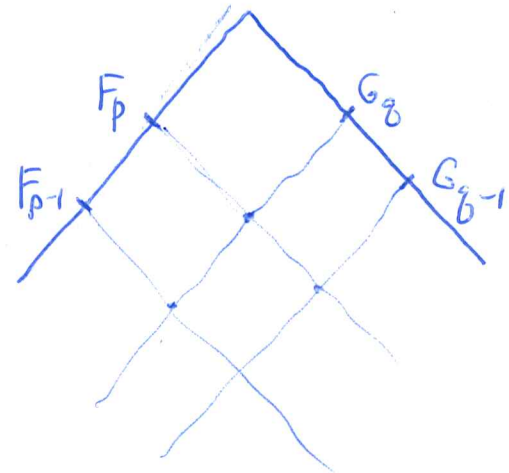


VITAMINS

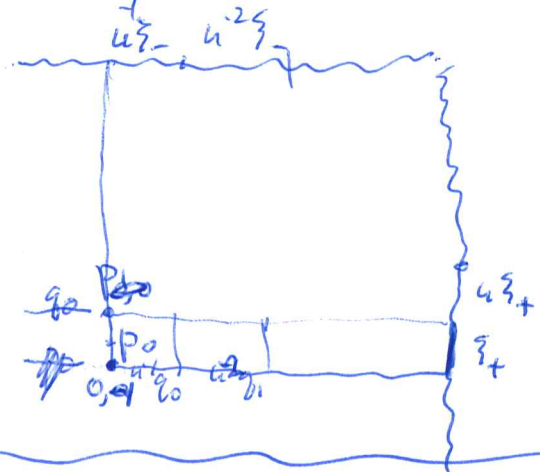
What is the general pattern? You know algebraically ~~the~~ simple transitivity properties

Let's look at a f.d. situation. Namely two increasing filtrations

$$\begin{aligned}
 g_{\beta}^{\alpha} (F_p / F_{p-1}) &= \text{Im} \{ F_p \cap G_{\beta} \rightarrow F_p / F_{p-1} \} / \text{Im} \{ F_p \cap G_{\beta-1} \rightarrow F_p / F_{p-1} \} \\
 &= \frac{F_p \cap G_{\beta} + F_{p-1}}{F_p \cap G_{\beta-1} + F_{p-1}} \leftarrow \frac{F_p \cap G_{\beta}}{F_p \cap G_{\beta-1} \cap (F_p \cap G_{\beta-1} + F_{p-1})} \\
 &\qquad\qquad\qquad F_p \cap G_{\beta-1} + F_{p-1} \cap G_{\beta}
 \end{aligned}$$



Assume each square is bicartesian



You should probably think of ~~de~~ decreasing filtrations.

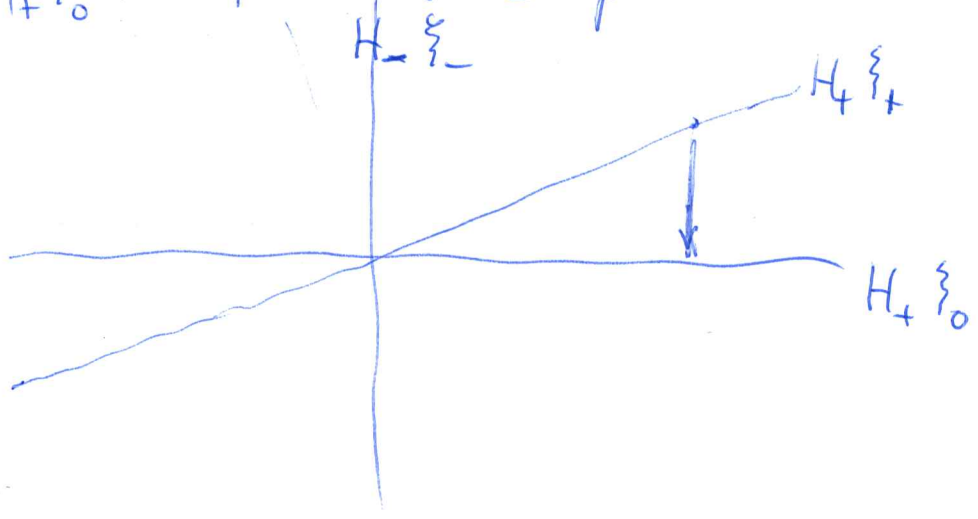
Let's work out the details. You start with g analytic invertible on \bar{D} . Then you have

$$H, u, \xi_-, \xi_+ \quad H = L^2(S^1, d\mu), \quad d\mu = \frac{1}{18^2} \frac{d\theta}{2\pi}$$

$$\xi_- = g, \quad \xi_0 = 1, \quad \xi_+ = \bar{g}$$

$$H_+ \xi_0 \oplus H_- \xi_- = H = H_- \xi_0 \oplus H_+ \xi_+$$

You want to understand projection of $H_+ \xi_+$ into $H_+ \xi_0$ and the other way round. ~~Thus~~ The point is that $H_+ \xi_+$ is a complement to $H_- \xi_-$ and $H_+ \xi_0$ is the orth. comp.

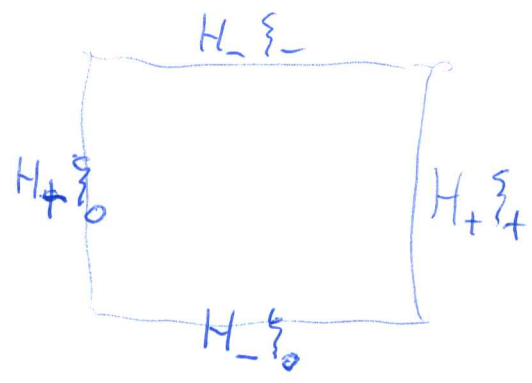


Basic picture



$$\langle p_0, p_1, \dots \rangle \oplus \langle u^{-1}\xi_{-}, u^{-2}\xi_{-} \rangle = H$$

$$= \langle u^{-1}g_0, u^{-2}g_1, \dots \rangle \oplus \langle \xi_{+}, u\xi_{+}, \dots \rangle$$



Now you know that $H_{+}\xi_{0} \oplus H_{-}\xi_{-} = H_{-}\xi_{-} \oplus H_{+}\xi_{+}$ so there is a unitary

matrix relating the two orthonormal bases, which is something like a scattering matrix.

Let's try to get information about (P_n) from the scattering. Keep in mind ^{that (P_n) case} (g_n) is another orthonormal basis. For example

Can we show that the ~~staircase~~ orthonormal set $p_0, u^{-1}g_1, u^{-2}g_2, \dots; u\xi_{+}, u^2\xi_{+}, \dots$ is a basis. ~~The problem is that you need~~

~~you should be able to identify the orthogonal complements~~ You should ~~be able to identify~~ be able to identify the orthogonal complements

of $u^{-n} H_- \xi_- + u^n H_+ \xi_+$.

$$\begin{aligned} (H_- \xi_- + u^n H_+ \xi_+)^{\perp} &= H_+ \cap z^n \frac{\bar{\delta}}{\delta} H_- \\ &= \frac{1}{\delta} (\delta H_+ \cap z^n \bar{\delta} H_-) = \frac{1}{\delta} (H_+ \cap z^n H_-) \\ &= \frac{1}{\delta} \langle 1, z, \dots, z^{n-1} \rangle \end{aligned}$$

I should be able to explicitly carry out this isomorphism. ~~By your taking $n=1$ then~~ Thus you get exactly, that the orthogonal complement of ~~the~~ ^{closed sub.} space spanned by $u^{\geq n} \xi_+$ and $u^{< 0} \xi_-$ is spanned by p_0, \dots, p_{n-1}

Now we have to go over this ~~carefully~~ repeatedly.

$$H = L^2(S^1, d\mu) \quad d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}, \quad \int d\mu = 1.$$

$$\xi_- = \delta, \quad \xi_0 = 1, \quad \xi_+ = \bar{\delta}.$$

$$L^2(S^1) \xrightarrow{\delta} H \xleftarrow{\bar{\delta}} L^2(S^1)$$

incoming representation is $H \xrightarrow{\sim} L^2(S^1)$

$$\begin{aligned} \xi_- = \delta &\longmapsto 1 \\ \therefore \xi_0 = \frac{1}{\delta} \delta &\longmapsto \frac{1}{\delta} \end{aligned}$$

This is not a good approach. Use $\xi_- = \delta$ and $\xi_+ = \bar{\delta}$ are cyclic vectors for the u action. you are interested in ~~the~~ "half spaces"

generated by ξ_- and ξ_+ namely

~~generated by ξ_- and ξ_+ namely~~

$$\begin{aligned}
 & H_- \xi_- + u^n H_+ \xi_+ \\
 &= H_- \bar{g} + z^n H_+ \bar{g} = |g|^2 \left(H_- \frac{1}{g} + z^n H_+ \frac{1}{g} \right) \\
 &= |g|/2
 \end{aligned}$$

$$\begin{aligned}
 H_- \xi_- + u^n H_+ \xi_+ &= H_- \bar{g} + u^n H_+ \bar{g} \\
 &= |g|^2 \left(H_- \frac{1}{g} + z^n H_+ \frac{1}{g} \right) \\
 &= |g|^2 (H_- + z^n H_+)
 \end{aligned}$$

and this has orthog complement in H
 those $f \in$

$$0 = \int \bar{f} |g|^2 (H_- + z^n H_+) \frac{1}{|g|^2} \frac{dQ}{2\pi}$$

i.e. $f \in \langle 1, z, \dots, z^{n-1} \rangle$

Thus $\langle p_0, \dots, p_{n-1} \rangle$ in H = orth complement
 of $H_- \xi_- + u^n H_+ \xi_+$.

$$H = \langle 1, \dots, z^{n-1} \rangle \oplus (H_- \bar{g} + z^n H_+ \bar{g})$$

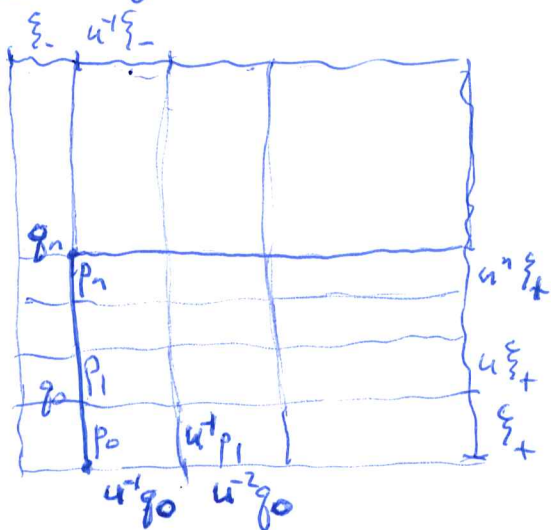
What kind of questions to ask? ~~Can you~~

Can you write $p_n \in H_- \bar{g} + z^n H_+ \bar{g}$

$$\frac{p_n}{g} \in H_- + z^n H_+ \bar{g}$$

You want to find $p_n \in H_- g + z^n H_+ \bar{g}$
 i.e. $p_n \perp (z, \dots, z^{n-1})$, and also you
 want $p_n \perp (H_- g + z^{n+1} H_+ \bar{g})$

$$\frac{p_n}{|g|^2} \in H_- + z^n H_+$$



$$H_+ \xi_- = (H_- \xi_-)^\perp$$

$$\begin{aligned} u^{n+1} H_- \xi_+ &= (u^{n+1} H_+ \xi_+)^\perp \\ &= (u^{>n} \xi_+)^\perp \end{aligned}$$

You want to find.

$$\begin{aligned} H_+ \xi_- \cap u^{n+1} H_- \xi_+ &\xrightarrow{\sim} H_+ \cap z^{n+1} H_- \frac{\bar{g}}{g} \subset L^2(S^1) \\ &\parallel \\ &= \frac{F_n}{g} \end{aligned}$$

You are on the right track. What to do next?
 How about the partial unitary case?

You have g given, but you will do something different with it.

$$\langle p >_n \rangle \oplus H_-^{\xi} = (F_n^{\xi})^{-1} = \langle u^{-1}g_n, u^{-2}g_{n+1}, \dots \rangle \oplus u^{n+1} H_+^{\xi}$$

Anyway Review: Start with g analytic invertible on \bar{D} normalized so that $\int d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ and $\int d\mu = 1$. Then you have a Hilbert space $H = L^2(d\mu)$, unitary op $u = z$, cyclic vector $\xi_0 = 1$. incoming subspace H_-g , outgoing subspace $H_+ \bar{g}$. Here $H_{\pm} \subset L^2(S^1)$ have the orthonormal bases $\{z^n \mid n \geq 0\}$, $z^{<0}$ resp.

~~Result~~ Results $\xi_- = g$, $\xi_+ = \bar{g}$ are cyclic vectors, yielding isos. $L^2(S^1) \xrightarrow{g} L^2(d\mu) \xleftarrow{\bar{g}} L^2(S^1)$

or better incoming + outgoing rep.

$$L^2(S^1) \xleftarrow{\bar{g}} L^2(d\mu) \xrightarrow{g} L^2(S^1)$$

\cup \cup
 H_- H_+

~~Result~~ In a scattering situation you get filtration by looking at the support. You can project a state onto the outgoing subspace and look at the support.

So in the ^{outgoing (resp. incoming)} case if you look at the

$$\xi \in L^2(d\mu) \text{ such that } \frac{\xi}{\delta} \in (z^n H_+)^{\perp} = z^n H_-$$

resp such that $\frac{\xi}{\delta} \in (z^{-m} H_-)^{\perp} = z^{-m} H_+$ ⓪

~~This interprets in $z^n H_+$ and $z^{-m} H_-$~~

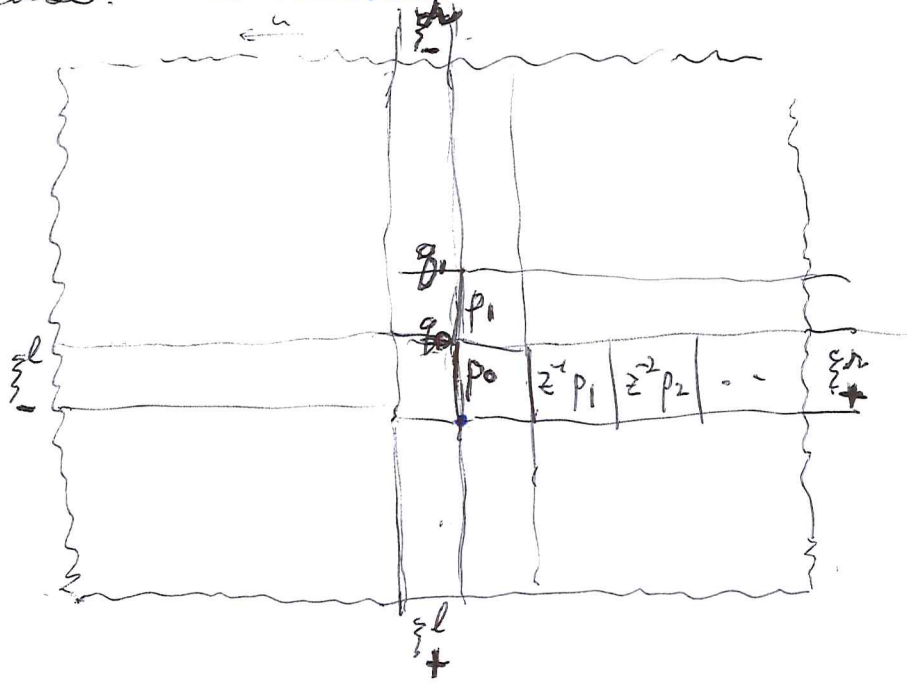
$$\therefore \xi \in z^n \bar{\delta} H_- \cong z^n H_-$$

$$\xi \in z^{-m} \delta H_+ \cong z^{-m} H_+$$

i.e. $\xi \in \langle z^{-m}, \dots, z^{n-1} \rangle$

Anyway what next?

So let's try to understand the two-sided case. Situation.



$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n \\ \bar{h}_n & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} p_{n-1} \\ g_{n-1} \end{pmatrix}$$

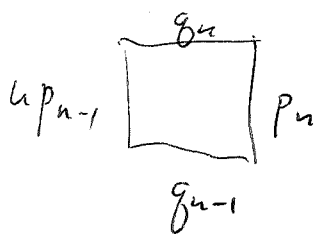
$$\begin{pmatrix} z^{-n} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} z^{-n} & h_n z^{-n} \\ \bar{h}_n z^n & 1 \end{pmatrix} \begin{pmatrix} z^n & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} z^{-n+1} p_{n-1} \\ g_{n-1} \end{pmatrix}$$

You need the analogue of g .
 What do we have? Basically you have

$$L^2(S^1) \Big|_{-}^k \oplus L^2(S^1) \Big|_{-}^e \simeq L^2(S^1) \Big|_{+}^k \oplus L^2(S^1) \Big|_{+}^e$$

given by ~~...~~ a 2×2 S -matrix.

This situation should be similar to



$$h_n = (g_n, p_n)$$

$$p_n - g_n h_n = k_n u p_{n-1}$$

$$p_n = k_n u p_{n-1} + g_n h_n$$

$$\therefore |k_n|^2 + |h_n|^2 = 1$$

If $k_n > 0$, then you have a unitary 2×2 matrix

~~$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = \begin{pmatrix} k_n & h_n \\ h'_n & k'_n \end{pmatrix} \begin{pmatrix} u p_{n-1} \\ g_{n-1} \end{pmatrix}$$~~

$$\begin{pmatrix} p_n \\ g_{n-1} \end{pmatrix} = \begin{pmatrix} k_n & h_n \\ h'_n & k'_n \end{pmatrix} \begin{pmatrix} u p_{n-1} \\ g_n \end{pmatrix}$$

If also $k'_n > 0$
 then $k'_n = k_n$
 and $h'_n = -h_n$

$$\begin{pmatrix} z^{-n} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n z^{-n} \\ \bar{h}_n z^n & 1 \end{pmatrix} \begin{pmatrix} z^{-n+1} p_{n-1} \\ g_{n-1} \end{pmatrix}$$

Go over what you know

$$\frac{1}{k_n} \begin{pmatrix} z & h_n \\ \bar{h}_n z & 1 \end{pmatrix} \cdots \frac{1}{k_m} \begin{pmatrix} z & h_m \\ \bar{h}_m z & 1 \end{pmatrix} = P_{n-m+1}^d$$

$$\in \begin{pmatrix} \langle 1, \dots, z^{d-1} \rangle z & \langle 1, \dots, z^{d-1} \rangle \\ \langle 1, \dots, z^{d-1} \rangle z & \langle 1, \dots, z^{d-1} \rangle \end{pmatrix}$$

$$T_{nm} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n z^{-n} \\ \bar{h}_n z^n & 1 \end{pmatrix} \cdots \frac{1}{k_m} \begin{pmatrix} 1 & h_m z^{-m} \\ \bar{h}_m z^m & 1 \end{pmatrix} = \begin{pmatrix} \bar{d} & \bar{c} \\ c & d \end{pmatrix}$$

$$T_{nm} \in \begin{pmatrix} z^n & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \langle 1, \dots, z^{d-1} \rangle z & \langle 1, \dots, z^{d-1} \rangle \\ \langle 1, \dots, z^{d-1} \rangle z & \langle 1, \dots, z^{d-1} \rangle \end{pmatrix} \begin{pmatrix} z^{m-1} & 0 \\ 0 & 1 \end{pmatrix}$$

$$\in \begin{pmatrix} z^{-n} \langle 1, \dots, z^{d-1} \rangle z^m & z^{-n} \langle 1, \dots, z^{d-1} \rangle \\ \langle 1, \dots, z^{d-1} \rangle z^m & \langle 1, \dots, z^{d-1} \rangle \end{pmatrix}$$

$$\in \begin{pmatrix} \langle z^{-n+m}, \dots, z^0 \rangle & \langle z^{-n}, \dots, z^{-m} \rangle \\ \langle z^m, \dots, z^0 \rangle & \langle 1, \dots, z^{n-m} \rangle \end{pmatrix}$$

What are you trying for?

$$\begin{pmatrix} \bar{a}^m & p_n \\ q_n \end{pmatrix} = T_{nm} \begin{pmatrix} \bar{a}^{n-m+1} & p_{m-1} \\ q_{m-1} \end{pmatrix}$$

You will get a form for the transfer matrix $T_{\infty, -\infty}$ which expresses ?

$$\begin{aligned} \begin{pmatrix} \xi_+^r \\ \xi_+^l \end{pmatrix} &= \lim_{h \rightarrow +\infty} \begin{pmatrix} a^{-h} p_h \\ q_h \end{pmatrix} = \lim_{\substack{h \rightarrow +\infty \\ m \rightarrow -\infty}} T_{m, m+1} \begin{pmatrix} a^{-m} p_m \\ q_m \end{pmatrix} \\ &= T_{\infty, -\infty} \begin{pmatrix} \xi_-^l \\ \xi_-^r \end{pmatrix} = \begin{pmatrix} \bar{d} & \bar{c} \\ c & d \end{pmatrix} \begin{pmatrix} \xi_-^l \\ \xi_-^r \end{pmatrix} \end{aligned}$$

find 5



$$\begin{aligned} \xi_+^r &= \bar{d} \xi_-^l + \bar{c} \xi_+^l \\ \xi_+^l &= c \xi_-^l + d \xi_+^r \end{aligned}$$

$$\xi_+^l = -\frac{c}{d} \xi_-^l + \frac{1}{d} \xi_+^r$$

$$\xi_+^r = \bar{d} \xi_-^l + \bar{c} \left(-\frac{c}{d} \xi_-^l + \frac{1}{d} \xi_+^r \right)$$

$$= \left(\frac{\bar{d}d - \bar{c}c}{d} \right) \xi_-^l + \frac{\bar{c}}{d} \xi_+^r$$

$$\begin{pmatrix} \xi_+^r \\ \xi_+^l \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{\bar{c}}{d} \\ \frac{-c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} \xi_-^l \\ \xi_+^r \end{pmatrix}$$

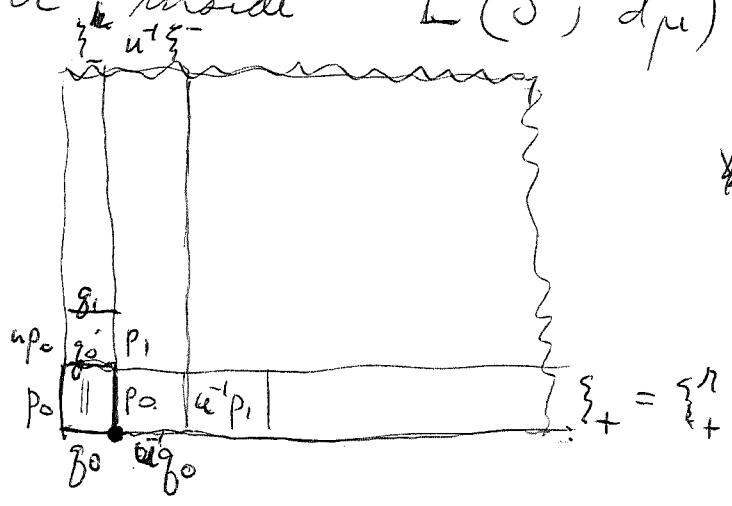
Suppose that we start with $L^2(S^1, \frac{1}{|g|^2} \frac{d\theta}{2\pi})$

~~Adapted~~ from the partial unitary by removing the b condition $p_0 = q_0$

$$c_h = b a^* + u \begin{pmatrix} c \\ d \end{pmatrix} h \begin{pmatrix} c \\ d \end{pmatrix}^*$$

Basically you have this $X \xrightarrow[a]{a} Y$

Work inside $L^2(S', d\mu)$



~~W~~
~~W~~
~~W~~
 18.
 3.48
 21.48

You've found that $\xi_-^l = p_0$

Start with g construct $L^2(S', d\mu)$, u then the partial unitary obtained by removing the boundary condition $p_0 = q_0$, and then dilate.

Model $Y = L^2(S')$

$$\xi_- = 1, \quad \xi_0 = \frac{1}{g}, \quad \xi_+ = \frac{\bar{g}}{g}$$

$$L^2(S') \xleftarrow[\sim]{\frac{1}{g}} L^2(d\mu) \xrightarrow[\sim]{\frac{1}{g}} L^2(S')$$

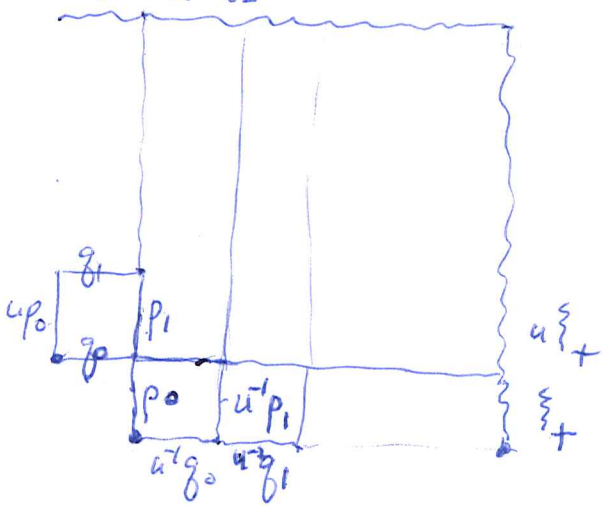
$$\begin{array}{l} \xi_- = 1 \\ \xi_0 = \frac{1}{g} \\ \xi_+ = \frac{\bar{g}}{g} \end{array} \qquad \begin{array}{l} \xi_- = g \\ \xi_0 = 1 \\ \xi_+ = \bar{g} \end{array}$$

~~See if you can get picture~~

$$\begin{aligned} X &= \langle p_0, p_1, \dots \rangle \oplus \langle u^{-1}\xi_-, u^{-2}\xi_-, \dots \rangle \\ &= \langle u^{-1}q_0, u^{-2}q_1, \dots \rangle \oplus \langle \xi_+, u\xi_+, \dots \rangle \end{aligned}$$

X

Given g $d\mu = \frac{1}{|g|^2} \frac{d\theta}{2\pi}$ etc.



$$L^2(S^1, d\mu) = \langle p_0, p_1, \dots \rangle \oplus \langle u^{-1}\xi_-, u^{-2}\xi_-, \dots \rangle$$

$$= \langle u^{-1}g_0, u^{-2}g_1, \dots \rangle \oplus \langle \xi_+, u\xi_+, \dots \rangle$$

~~partial u partial xi partial xi partial xi~~

Go back over the perturbation

H, u, ξ

~~u~~

$$H = aX \oplus \mathbb{C}\xi$$

$$= bX \oplus \mathbb{C}u\xi$$

$$X = (\mathbb{C}\xi)^{\perp}$$

$$ba^{-1} = u/aX$$

$$u = ba^* + u(\xi)\xi^*$$

$$(\lambda - u)^{-1} = \frac{1}{\lambda - ba^* - u(\xi)\xi^*}$$

$$\xi^* \frac{1}{\lambda - u} = \xi^* \frac{1}{\lambda - ba^*} + \left(\xi^* \frac{1}{\lambda - ba^*} u(\xi)\xi^* \frac{1}{\lambda - ba^*} + \dots \right)$$

$$= \left(1 + \xi^* \frac{1}{\lambda - ba^*} u(\xi) + \dots \right) \xi^* \frac{1}{\lambda - ba^*}$$

$$\xi^* \frac{1}{\lambda - u} u(\xi) = \frac{1}{1 - \xi^* \frac{1}{\lambda - ba^*} u(\xi)} \xi^* \frac{1}{\lambda - ba^*} u(\xi)$$

Perhaps it is possible to ~~each~~ find
~~them~~ $\langle u^k \xi_-, u^l \xi_+ \rangle$.

~~Some things are clear. Some things are clear.~~

You work in $L^2(S^1, d\mu)$ with
 $\xi_- = \bar{g}$, $\xi_0 = 1$, $\xi_+ = \bar{g}$. And you
 have the ^{two} orth bases $\{p_0, p_1, \dots\} \cup \{u^1 \xi_-, u^2 \xi_-, \dots\}$
 $\{u^{-1} g_0, u^{-2} g_1, \dots\} \cup \{\xi_+, u \xi_+, \dots\}$. The latter
 can be shifted by u to be the conjugate of the
 former.

The next step is to find the partial
 unitary. Look at the ~~Dirac~~ disc. Dirac eqn.

$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = k_n^{-1} \begin{pmatrix} 1 & h_n \\ \bar{h}_n & 1 \end{pmatrix} \begin{pmatrix} z p_{n-1} \\ g_{n-1} \end{pmatrix}$$

Possible viewpoint - all these vectors
 you work with are functions of z , many
 are Laurent polys, all should at least be
 continuous functions on S^1 . ~~So when you~~
 are

Let's get back to the ideas

First situation, ~~a~~ smooth probability measure $d\mu = \int \frac{d\theta}{2\pi} = \frac{1}{191^2} \frac{d\theta}{2\pi}$ where

~~analytic invertible~~ analytic invertible on \bar{D} , i.e. on $(1+\epsilon)D$, some $\epsilon > 0$.

$$H = L^2(S^1, d\mu) \quad \xi_- = \theta, \quad \xi_0 = 1, \quad \xi_+ = \bar{\theta}$$

digress. Suppose given $d\mu$ a prob. measure, ^{inf supp} you form the orth poly system

~~Consider~~ Consider $X \xrightarrow{a} Y$ $Y = aX \oplus \mathbb{C}\xi_+ = bX \oplus \mathbb{C}\xi_-$

$$\langle \dots, u^{-2}\xi_-, u^{-1}\xi_-, \dots \rangle \oplus aX \oplus \mathbb{C}\xi_+ \oplus (u\xi_+, \dots) \\ \langle \dots, \xi_-, \dots \rangle \oplus bX \oplus \mathbb{C}\xi_-$$

to get ~~an~~ an (H, u) ~~with incoming and outgoing representations.~~ with incoming and outgoing rps.

Eigenvector equation

$$u^{-2}\sigma_{-,2} + u^{-1}\sigma_{-,1} + ax + u\sigma_{+,0} + u^2\sigma_{+,2} + \dots = \lambda\eta \\ + u^{-1}\sigma_{-,2} + \sigma_{-,1} + bx + u\sigma_{+,0} = u\eta$$

$$\eta = \lambda^2 u^{-2}\sigma_- + \lambda u^{-1}\sigma_- + ax + \sigma_+ + \frac{1}{\lambda} u\sigma_+ + \dots \\ \lambda^2 u^{-1}\sigma_- + \lambda u^{-1}\sigma_- + (\sigma_- + \frac{1}{\lambda} bx) + \frac{1}{\lambda} u\sigma_+ + \frac{1}{\lambda^2} u^2\sigma_+$$

Start again. Consider $Y = aX \oplus V_+ = V_- \oplus bX$
 a partial unitary. $V_{\pm} = \mathbb{C} \xi_{\pm}$, $\|\xi_{\pm}\| = 1$.

$$H = \langle \dots, u^{-2}\xi_-, u^{-1}\xi_- \rangle \oplus aX \oplus \langle \xi_+, u\xi_+, \dots \rangle$$

$$= \langle \dots, u^{-2}\xi_-, u^{-1}\xi_-, \xi_- \rangle \oplus bX \oplus \langle u\xi_+, u^2\xi_+, \dots \rangle$$

$$H = H_- \xi_- \oplus aX \oplus H_+ \xi_+ \cong H_- \xi_- \oplus bX \oplus H_+ \xi_+$$

Describe ~~scattering matrix~~ outgoing representation

$$\langle u^n \xi_+ | ax \rangle = \xi_+^* u^n ax$$

The basic idea is to look at $u^n(ax)$ as $u \rightarrow +\infty$ and see what comes out.

$$\underbrace{u^{-2}V_+ \oplus u^{-1}V_+ \oplus V_+ \oplus uV_+ \oplus \dots}_{\cap} \underbrace{\oplus u^{-2}V_- \oplus u^{-1}V_- \oplus aX \oplus V_+ \oplus uV_+ \oplus \dots}_{\cap}$$

$$\underbrace{\dots \oplus u^{-1}V_- \oplus V_- \oplus bX \oplus uV_+ \oplus \dots}_{\cup}$$

$$\oplus u^{-1}V_- \oplus V_- \oplus uV_- \oplus u^2V_- \oplus \dots$$

~~Start with~~ $y \in Y$. $\xi_+ \xi_+^* y$ π_+

$$y = aa^*y + (1 - aa^*)y$$

$$uy = ba^*y + u\pi_+y$$

$$= aa^*ba^*y + \pi_+ba^*y + u\pi_+y$$

$$u^2y = (ba^*)^2y + u\pi_+ba^*y + u^2\pi_+y$$

$$u^3y = ba^*(ba^*)^2y + u\pi_+(ba^*)^2y + u^2\pi_+(ba^*)y + u^3\pi_+y$$

$$y = u^{-3}(ba^*)^3y + u^{-2}\pi_+(ba^*)^2y + u\pi_+(ba^*)y + \pi_+y$$

so the outgoing repr. is

$$y \mapsto \sum_{n \geq 0} u^{-n} \pi_+ (ba^*)^n y$$

$$\mapsto \sum_{n \geq 0} z^{-n} \pi_+ (ba^*)^n y = \pi_+ \frac{1}{1 - z^{-1} ba^*} y \in L^2(S^1) V_+$$

Better

$$y \mapsto \xi_+^* \frac{1}{1 - \bar{z}^{-1} ba^*} y \text{ is the outgoing representation}$$

which we know is ~~isometric~~ isometric iff $(ba^*)^n y \rightarrow 0$
 $\forall y$.

Now look at $c_h = ba^* + \xi_- h \xi_+^*$ for $|h| \leq 1$, a path of contractions joining ba^* to the unitary extension of the partial unitary such that $u(\xi_+) = \xi_-$

Question. Consider

$$\begin{pmatrix} \bar{z}^n p_n \\ q_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n \bar{z}^{-n} \\ h_n z^{+n} & 1 \end{pmatrix} \begin{pmatrix} z^{-n+1} p_{n-1} \\ q_{n-1} \end{pmatrix}$$

with a variable bdy condition $\frac{p_0}{q_0} = e^{i\phi}$.

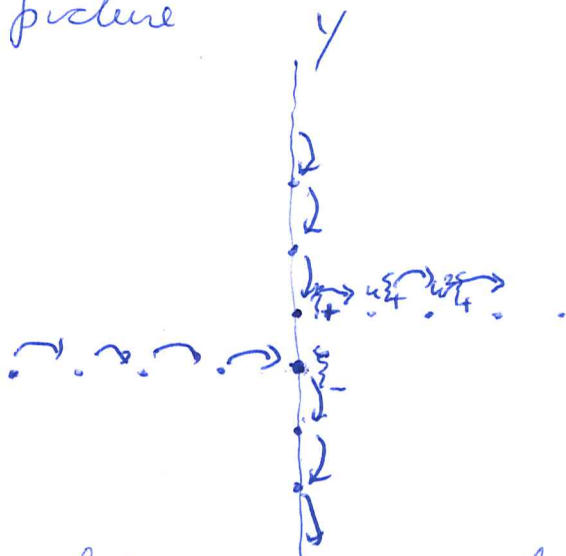
This should be the same as conjugating with $\begin{pmatrix} e^{i\phi/2} & \\ & e^{-i\phi/2} \end{pmatrix}$

$$\begin{pmatrix} e^{i\phi/2} \bar{z}^{-n} p_n \\ e^{-i\phi/2} q_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & e^{i\phi} h_n \bar{z}^{-n} \\ e^{-i\phi} h_n z^{+n} & 1 \end{pmatrix} \begin{pmatrix} e^{i\phi/2} z^{-n+1} p_{n-1} \\ e^{-i\phi/2} q_{n-1} \end{pmatrix}$$

Check.

$$\begin{pmatrix} e^{i\phi} p_n \\ q_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} e^{i\phi} & e^{i\phi} h_n \\ e^{-i\phi} h_n & 1 \end{pmatrix} \begin{pmatrix} z^{-n+1} p_{n-1} \\ q_{n-1} \end{pmatrix}$$

another picture



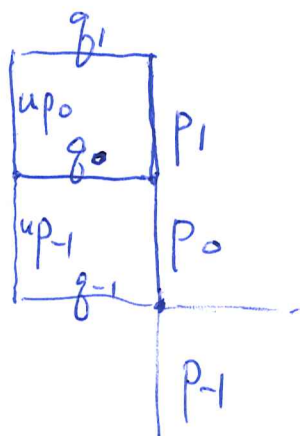
$$aX \oplus \mathbb{C}\xi_+$$

$$\mathbb{C}\xi_- \oplus bX$$

Recall what you are doing. You have a partial unitary $Y = aX \oplus \mathbb{C}\xi_+ = \mathbb{C}\xi_- \oplus bX$, which you dilate to get H .

~~It's better to start~~ This ~~starting point~~ lacks the property that H is generated under u by ξ_+ . ~~Something~~ Something to work out: Given such a partial unitary, you construct a Szegő system (p_n) with $\begin{pmatrix} p_0 \\ q_0 \end{pmatrix} = \begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix}$. This should yield the non bound state part.

Let's check this. Given $Y = aX \oplus \mathbb{C}\xi_+ = \mathbb{C}\xi_- \oplus bX$. Picture? $\xi_- = q_0, \xi_+ = p_0$? Picture of H

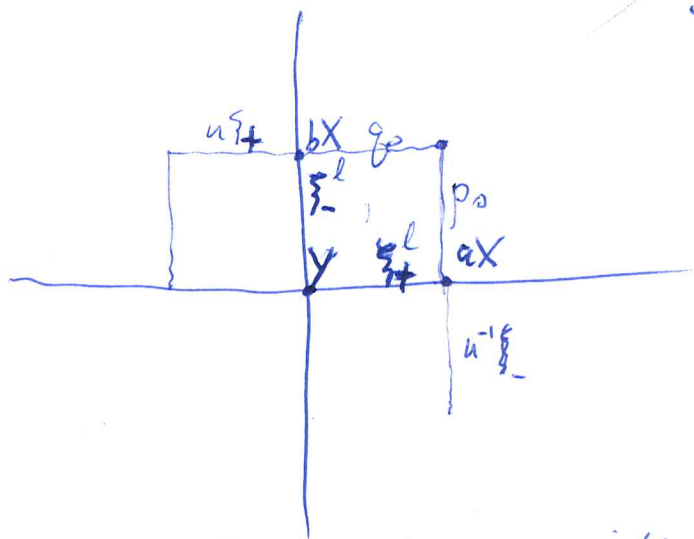


$$\langle \dots, u^{-2}\xi_-, u^{-1}\xi_- \rangle \oplus Y \oplus \langle u\xi_+, u^2\xi_+, \dots \rangle$$

$$\parallel$$

$$aX \oplus \mathbb{C}\xi_+ = \mathbb{C}\xi_- \oplus bX$$

$$u^{-1}\xi_- \perp \xi_+ \quad \xi_- \perp u\xi_+$$



Try setting it up directly. You begin with partial unitary is
 $y = aX \oplus \mathbb{C} \xi_+ = bX \oplus \mathbb{C} \xi_-$
 $ba^* = ba^{-1} : aX \rightarrow bX$. Look at $\langle \xi_+, \xi_- \rangle = \xi_+^* \xi_-$.

Recall that ~~the~~ one scattering function is

$$\xi_+^* \frac{z}{z - ba^*} \xi_-$$

~~then~~ Put $h = \xi_+^* \xi_-$ and

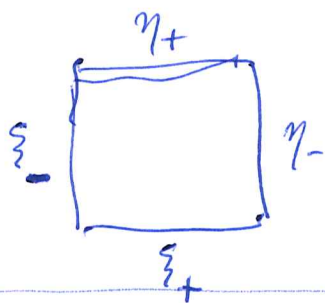
$$\tilde{\eta}_- = \xi_- - \xi_+ \xi_+^* \xi_- = \xi_- - \xi_+ h \quad \tilde{\eta}_- \perp \xi_+$$

$$\tilde{\eta}_+ = \xi_+ - \xi_- \xi_-^* \xi_+ = \xi_+ - \xi_- \bar{h} \quad \tilde{\eta}_+ \perp \xi_-$$

Normalize $\xi_- = \tilde{\eta}_- + \xi_+ h$

$$1 = \|\tilde{\eta}_-\|^2 + |h|^2$$

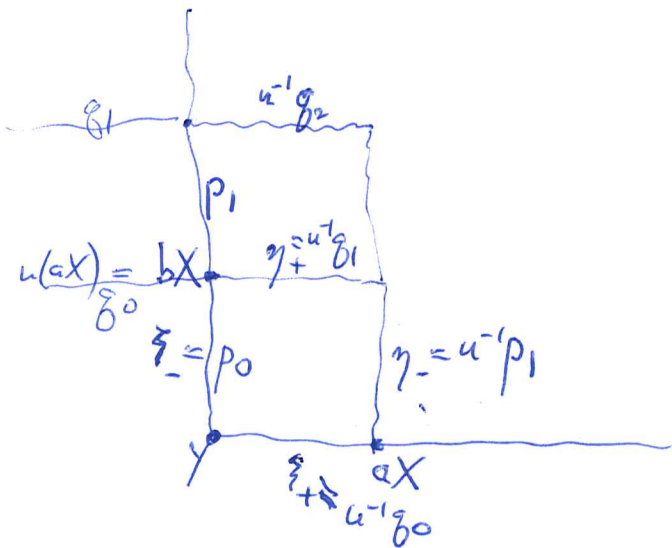
$$\therefore \|\tilde{\eta}_-\| = k = \sqrt{1 - |h|^2}$$



$$\begin{pmatrix} \eta_- \\ \eta_+ \end{pmatrix} = \frac{1}{k} \begin{pmatrix} 1 & -h \\ -\bar{h} & 1 \end{pmatrix} \begin{pmatrix} \xi_- \\ \xi_+ \end{pmatrix}$$

$$k \eta_+ = \xi_+$$

Review: begin with $Y = aX \oplus \mathbb{C}\xi_+ = bX \oplus \mathbb{C}\xi_-$ 31



$$\begin{pmatrix} \eta_+ \\ \eta_- \end{pmatrix} = \frac{1}{k} \begin{pmatrix} 1 & h \\ \bar{h} & 1 \end{pmatrix} \begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix}$$

$$h = \langle \eta_- | \eta_+ \rangle = -\langle \xi_- | \xi_+ \rangle$$

$$k\eta_- = \bar{h}\xi_+ + \xi_-$$

$$k\eta_+ = \xi_+ + h\xi_- \Rightarrow$$

$$0 = \langle k\eta_- | \xi_+ \rangle = \langle \bar{h}\xi_+ + \xi_- | \xi_+ \rangle = h + \langle \xi_- | \xi_+ \rangle$$

$$0 = \xi_-^* (k\eta_+) = \xi_-^* \xi_+ + \underbrace{\xi_-^* h \xi_-}_h$$

$$\therefore \xi_-^* \xi_+ = -h$$

$$\begin{pmatrix} p_1 \\ g_1 \end{pmatrix} = \frac{1}{k_1} \begin{pmatrix} 1 & \bar{h}_1 \\ \bar{h}_1 & 1 \end{pmatrix} \begin{pmatrix} u p_0 \\ g_0 \end{pmatrix}$$

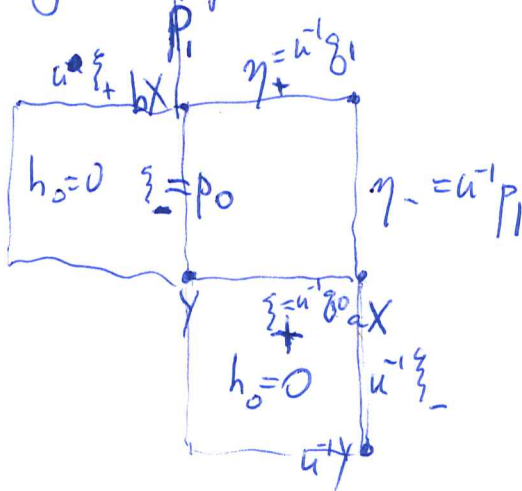
$$\bar{h}_1 = \langle u^{-1}p_1 | u^{-1}g_1 \rangle = \langle p_1 | g_1 \rangle$$

Repeat. Given $Y = aX \oplus \mathbb{C}\xi_+ = bX \oplus \mathbb{C}\xi_-$, dilate to

$$H = H_- \xi_- \oplus Y \oplus z H_+ \xi_+$$

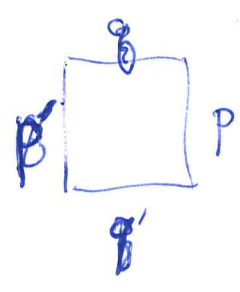
$$aX = (H_- \xi_- \oplus H_+ \xi_+)^{\perp}$$

What really happens is:



Now you ask $\int_{k,r} u^k H_- \xi_- + \int_{k,r} u^k H_+ \xi_+$ dense in H .

Then $L^2(S^1) \xi_+ + L^2(S^1) \xi_- = H$, whence H known from scattering fun. $S(z) = \xi_+^* \frac{1}{1-z'ba^*} \xi_-$



transfer matrix

$$\begin{pmatrix} p \\ q \end{pmatrix} = \frac{1}{k} \begin{pmatrix} 1 & h \\ \bar{h} & 1 \end{pmatrix} \begin{pmatrix} p' \\ q' \end{pmatrix}$$

scatt matrix

$$\begin{pmatrix} p \\ q' \end{pmatrix} = \begin{pmatrix} k & h \\ -\bar{h} & k \end{pmatrix} \begin{pmatrix} p' \\ q \end{pmatrix}$$

$$p = kq' + hg$$

$$(q|p) = h$$

~~$$kg = \bar{h}p' + q'$$

$$\bar{h}kp = \bar{h}p' + \bar{h}hg$$~~

~~$$k(q|p) = k(q|q')$$

$$kq = \bar{h}p' + q'$$~~

~~$$kp = p' + hg'$$

$$kg = \bar{h}p' + q'$$~~

~~$$\bar{h}kp = \bar{h}p' + \bar{h}hg'$$

$$kg = q'$$

$$\bar{h}kp = kg$$~~

$$kg = \bar{h}p' + q'$$

$$kp = p' + h(kg - \bar{h}p')$$

$$= k(kp' + hg)$$

$$q' = kg - \bar{h}p'$$

$$p = kp' + hg$$

d/d DE

$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n \\ \bar{h}_n & 1 \end{pmatrix} \begin{pmatrix} z p_{n-1} \\ g_{n-1} \end{pmatrix}$$

$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = T_{nm} \begin{pmatrix} p_m \\ g_m \end{pmatrix} \quad n \geq m$$

$$T_{nm} = \frac{1}{k_n} \begin{pmatrix} z & h_n \\ \bar{h}_n & 1 \end{pmatrix} \cdots \frac{1}{k_{m+1}} \begin{pmatrix} z & h_{m+1} \\ \bar{h}_{m+1} & 1 \end{pmatrix}$$

$$\sigma_n \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \sigma_{n-1} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \cdots \sigma_{m+2} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \sigma_{m+1} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \in M_2 \begin{pmatrix} F_n & 0 \\ 0 & F_n \end{pmatrix}$$

$$\sigma \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} F_n \\ F_n \end{pmatrix} = \sigma \begin{pmatrix} z F_n \\ F_n \end{pmatrix} \subset \begin{pmatrix} F_{n+1} \\ F_{n+1} \end{pmatrix}$$

$$\sigma_{m+3} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \cdots \sigma_{m+2} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \sigma_{m+1} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \in M_2(F_1)$$

$$M_2(F_2)$$

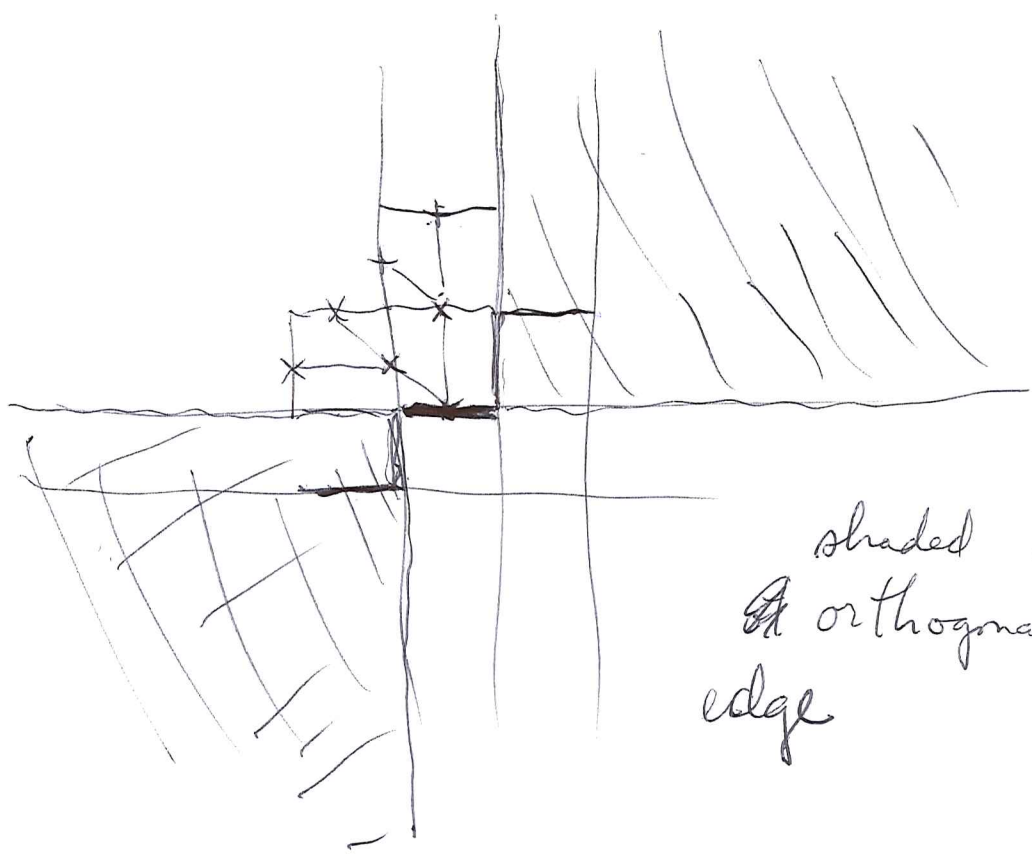
$$T_{nm} \in M_2(F_{n-m-1}) \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \in \begin{pmatrix} F_{n-m-1} & F_{n-m-1} \\ z F_{n-m-1} & F_{n-m-1} \end{pmatrix}$$

$$\begin{pmatrix} z^{-n} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} z^{-n} & z^{-n} h_n \\ \bar{h}_n z^n & 1 \end{pmatrix} \begin{pmatrix} z p_{n-1} \\ g_{n-1} \end{pmatrix}$$

$$\tilde{T}_{nm} = \begin{pmatrix} z^{-n} & 0 \\ 0 & 1 \end{pmatrix} T_{nm} \begin{pmatrix} z^m & 0 \\ 0 & 1 \end{pmatrix}$$

$kg - k'p' = g'$
 $p = k(p' + h(kg - k'p'))$

$$\begin{aligned}
 & \begin{pmatrix} z^{-n} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} z F_{n-m-1} & F_{n-m-1} \\ z F_{n-m-1} & F_{n-m-1} \end{pmatrix} \begin{pmatrix} z^m & 0 \\ 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} z^{-n+m+1} F_{n-m-1} & z^{-n} F_{n-m-1} \\ z^{m+1} F_{n-m-1} & F_{n-m-1} \end{pmatrix} \\
 &= \begin{pmatrix} \langle 1, z^{-1}, \dots, z^{-n+m+1} \rangle & \langle z^{-n}, z^{-n+1}, \dots, z^{-n-1} \rangle \\ \langle z^{m+1}, \dots, z^n \rangle & \langle 1, z, \dots, z^{n-m-1} \rangle \end{pmatrix}
 \end{aligned}$$

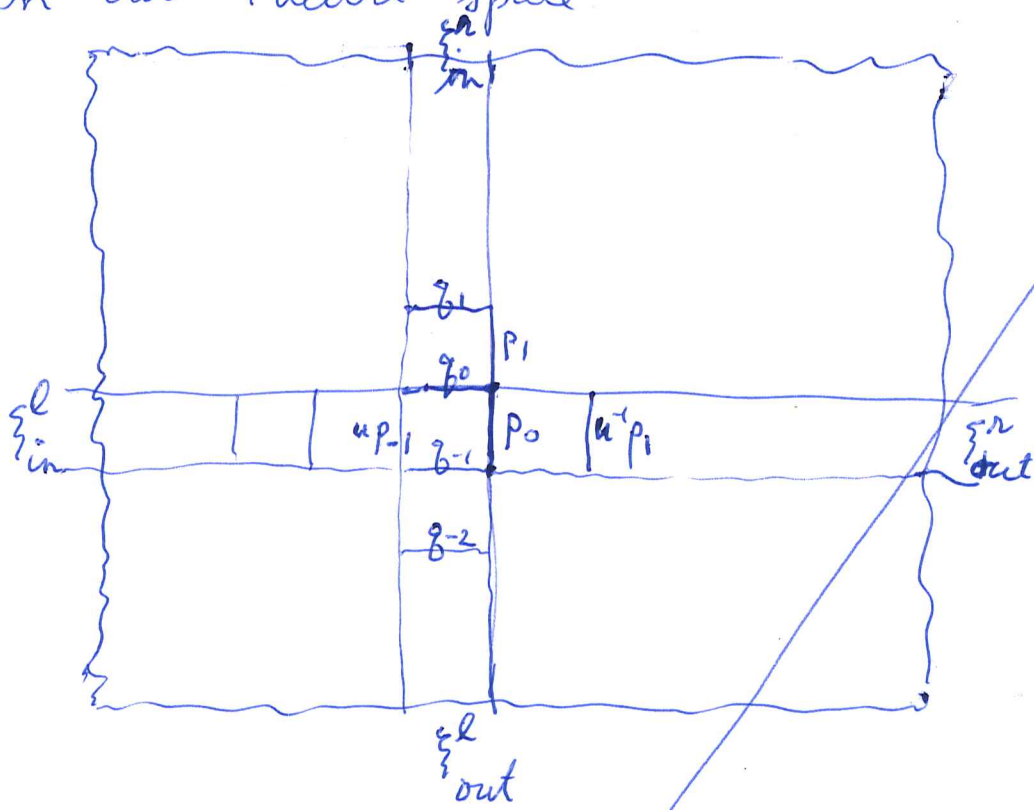


shaded region
 is orthogonal to the
 edge

Get two sided scattering straight
 Take finite support (h_n) . For $|n|$ large

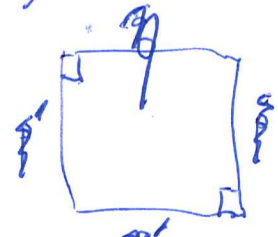
$\begin{pmatrix} z^{-n} p_n \\ q_n \end{pmatrix}$ constant. i.e. ~~graph~~

in our Hilbert space



Point $\begin{pmatrix} \xi_{out} \\ \xi_{in} \end{pmatrix} = T_{\infty, -\infty} \begin{pmatrix} \xi_{in} \\ \xi_{out} \end{pmatrix} = \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix} \begin{pmatrix} \xi_{in} \\ \xi_{out} \end{pmatrix}$

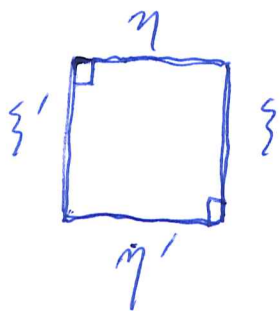
There's a new "square" here.



where $h = \int \eta^* \xi$ is a function on S^1 . So you are doing ~~Hilbert~~ C^* modules. Instead of the inner product being a scalar it lies in $C(S^1)$.

$\begin{pmatrix} \xi \\ \eta \end{pmatrix} = \frac{1}{k} \begin{pmatrix} 1 & h \\ h & 1 \end{pmatrix} \begin{pmatrix} \xi' \\ \eta' \end{pmatrix}$ No different d^*, d

You have to set this up carefully, to avoid taking positive square roots. ~~the~~



$$\begin{pmatrix} \xi \\ \eta' \end{pmatrix} = \underbrace{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}}_{\text{unitary}} \begin{pmatrix} \xi' \\ \eta \end{pmatrix}$$

$$\eta^* \xi = \beta$$

$$\eta' = \gamma \xi' + \delta \eta$$

$$\eta' - \gamma \xi' = \delta \eta$$

$$\eta = \frac{1}{\delta} (\eta' - \gamma \xi')$$

$$\xi = \alpha \xi' + \beta \frac{1}{\delta} (\eta' - \gamma \xi')$$

$$= \left(\alpha - \beta \frac{\gamma}{\delta} \right) \xi' + \frac{\beta}{\delta} \eta'$$

$$\begin{pmatrix} \xi \\ \eta \end{pmatrix} = \begin{pmatrix} \alpha - \frac{\beta\gamma}{\delta} & \frac{\beta}{\delta} \\ -\frac{\gamma}{\delta} & \frac{1}{\delta} \end{pmatrix} \begin{pmatrix} \xi' \\ \eta' \end{pmatrix}$$

transfer

$$\begin{vmatrix} \alpha - \frac{\beta\gamma}{\delta} & \frac{\beta}{\delta} \\ -\frac{\gamma}{\delta} & \frac{1}{\delta} \end{vmatrix} = \frac{\alpha\delta - \beta\gamma}{\delta^2} + \frac{\beta\gamma}{\delta^2} = \frac{\alpha}{\delta}$$

$$\begin{pmatrix} \alpha - \frac{\beta\gamma}{\delta} & \frac{\beta}{\delta} \\ -\frac{\gamma}{\delta} & \frac{1}{\delta} \end{pmatrix} = \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix}$$

$$\delta = \frac{1}{d}$$

$$\beta = \delta c^* = \frac{c^*}{d}$$

$$\gamma = -\delta c = -\frac{c}{d}$$

$$d^* = \alpha - \frac{\beta\gamma}{\delta} = \alpha - \frac{c^*}{d} \left(-\frac{c}{d} \right) d = \alpha + \frac{c^*c}{d}$$

$$\alpha = d^* - \frac{c^*c}{d} = \frac{d^*d - c^*c}{d} = \frac{1}{d}$$

So if $\begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix}$ is the transfer matrix
 then $\begin{pmatrix} \frac{1}{d} & \frac{c^*}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix}$ is the unitary scattering matrix.

$$\xi = a\xi' + b\eta'$$

$$\eta = c\xi' + d\eta'$$

$$\eta' = \frac{1}{d}(\eta - c\xi')$$

$$= -\frac{c}{d}\xi' + \frac{1}{d}\eta$$

$$\xi = a\xi' + b\left(-\frac{c}{d}\xi' + \frac{1}{d}\eta\right)$$

$$\xi = \left(a - \frac{bc}{d}\right)\xi' + \frac{b}{d}\eta$$

$$\frac{ad - bc}{d} + \frac{b}{d} \left(-\frac{c}{d}\right) = a$$

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{b^*}{d} & \frac{1}{d} \end{pmatrix}$$

$$\frac{1 + |b|^2}{|d|^2} = 1.$$

~~What comes next?~~ What comes next? Basically you start with a $\beta(z)$, smooth function on S^1 , s.t. $1 - |\beta|^2 > 0$, form the corresp. S

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{\bar{b}}{d} & \frac{1}{d} \end{pmatrix} \quad \left|\frac{1}{d}\right|^2 + \left|\frac{b}{d}\right|^2 = \frac{1 + |b|^2}{|d|^2} = 1.$$

Anyway what should I do? You want to reconstruct (h_n) from the scattering, the reflection coeff. β .

$$\beta = \begin{pmatrix} \xi_n \\ \xi_{out} \end{pmatrix}^* \begin{pmatrix} \xi_n \\ \xi_{in} \end{pmatrix}$$

Recall $\tilde{T}_{nm} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n z^{-n} \\ \bar{h}_n z^{+n} & 1 \end{pmatrix} \dots \frac{1}{k_{m+1}} \begin{pmatrix} 1 & h_m z^{-m-1} \\ \bar{h}_m z^{m+1} & 1 \end{pmatrix}$ 38

~~\tilde{T}_{nm}~~ $= \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix}$ $n > m$

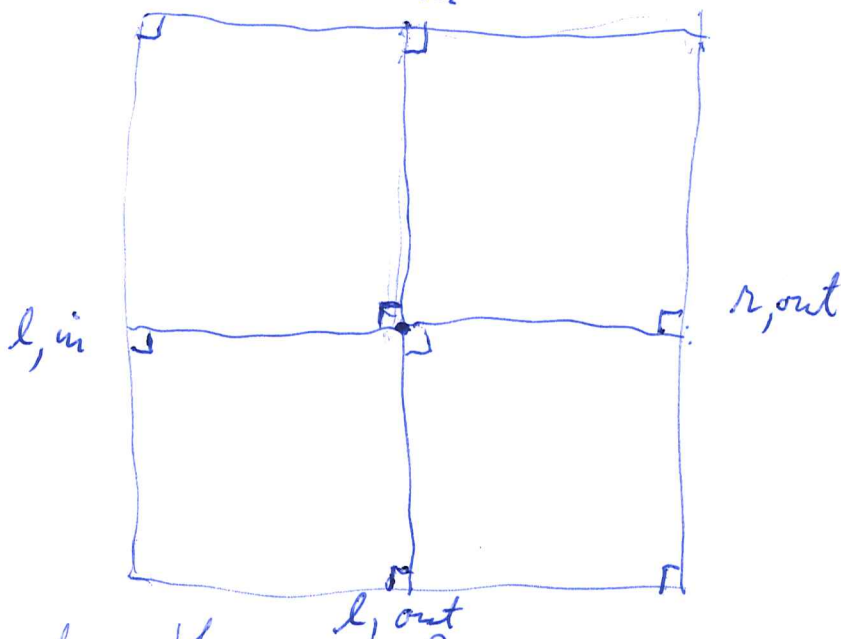
where $c \in [z^{m+1}, \dots, z^n]$ $d \in [1, \dots, z^{n-m-1}]$

We want to factor

$$\tilde{T}_{nm} = \tilde{T}_{n0} \tilde{T}_{0m}$$

assuming $n > 0 > m$

Your idea of the factorization looks like



What does this mean?

$$\begin{pmatrix} z^n \\ \text{out} \\ z^n \\ \text{in} \end{pmatrix} = \lim_{n \rightarrow +\infty} \begin{pmatrix} u^{-n} p_n \\ q_n \end{pmatrix} = \tilde{T}_{n0} \begin{pmatrix} p_0 \\ q_0 \end{pmatrix}$$

$$\tilde{T}_{n0} = \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix} \text{ with } z \text{ replaced by } u.$$

$c \in [z^1, \dots, z^n]$ $d \in [1, \dots, z^{n-1}]$

Consider a finite support (h_n) . Idea: Compare the ~~sets~~ these generating sets $\begin{pmatrix} p \\ \xi_{in} \\ q \\ \xi_{out} \end{pmatrix}$ $\begin{pmatrix} p_0 \\ q_0 \end{pmatrix}$ $\begin{pmatrix} r \\ \xi_{out} \\ s \\ \xi_{in} \end{pmatrix}$

Analogy with half line case:

~~H, u.~~ $\xi_- \quad \xi_0 \quad \xi_+$

$H = L^2(d\mu)$, $\lim q_n = \xi_-$, $\lim u^{-n} p_n = \xi_+$.

Again I won't use the h_n but rather the smooth ~~scattering~~ data, i.e. the analogy of the function q .

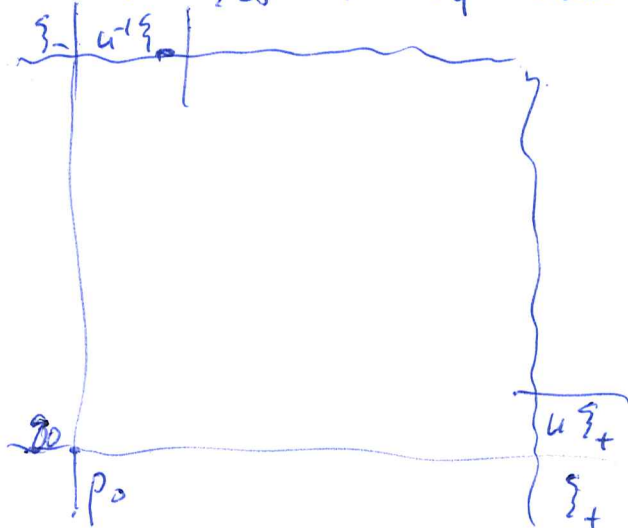
Go over the rank 1 case. Scattering function $S(z)$ which is a smooth loop in S' of degree 0 which you factor to get q .

$S(z) = \lim \frac{z^{-n} p_n}{q_n}$

If you start with S , then what? What path goes from S to the Hilbert space with array of unit vectors?

~~Point should be~~

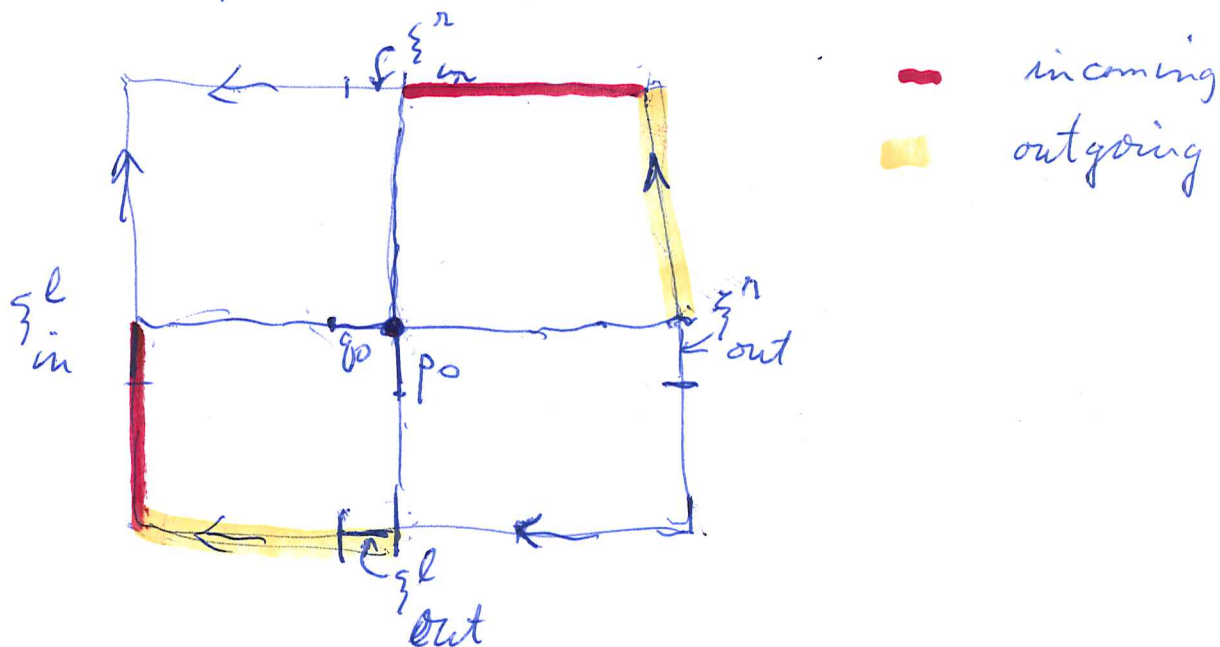
Answer is the bifiltration



$F_{00} = (H_- \xi_-)^\perp \cap (\mathbb{Z} H_+ \xi_+)^\perp$
 $= (H_- \xi_- + z H_+ \xi_+)^\perp$

$H_- \xi_- + z H_+ \xi_+ \rightsquigarrow H_- \otimes + z H_+ \otimes S$

I am still puzzled by all of this. What to do. Think perturbation, e.g. (h_n) to first order. What happens if $h_n = 0$ then. Then $u^{-n} p_n, q_n$ are constant fu. of n .



For each (m,n) you have an orthogonal splitting. Work out the algebra

Transfer matrix. $\begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix}$ scat: $\begin{pmatrix} \frac{bc}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix}$

$S = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix}$ a smooth loop in $U(2)$.

$\det S = \frac{1+cc^*}{d^2} = \frac{d\bar{d}}{d^2} = \frac{\bar{d}}{d}$

This has index 0, as d is analytic invertible on \bar{D}

$$\begin{pmatrix} z^{n,l}_{out} \\ z^{n,l}_{in} \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z^{n,l}_{in} \\ z^{n,l}_{out} \end{pmatrix} \quad \begin{pmatrix} z^{n,l}_{out} \\ z^{n,l}_{in} \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} z^{n,l}_{in} \\ z^{n,l}_{out} \end{pmatrix}$$

Can you see that

$$\begin{pmatrix} H_+ & z^{n,l}_{in} \\ H_- & z^{n,l}_{in} \end{pmatrix} \rightarrow \begin{pmatrix} H_+ & z^{n,l}_{out} \\ H_+ & z^{n,l}_{out} \end{pmatrix} = H$$

Then you want! soln for

$$\begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} f_- \\ g_- \end{pmatrix} + \begin{pmatrix} f_+ \\ g_+ \end{pmatrix} = \text{arb. elt of } L^2(S^1)^{\oplus 2}$$

Can you relate this to factorization of the transfer matrix? Actually you should check the line bundle with clutching fn. S is trivial. need $S v_- = v_+$ to have only trivial solns.

$$-\frac{c}{d} f_- + \frac{1}{d} g_- \in H_+ \quad d \text{ invertible on } H_+$$

so $-c f_- + g_- \in H_+$

also

$$f_- + b g_- \in H_+$$

$$\begin{pmatrix} 1 & b \\ -c & 1 \end{pmatrix} = \begin{pmatrix} 1 & c^* \\ & d \end{pmatrix}$$

$$\begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} f_- \\ g_- \end{pmatrix} = \begin{pmatrix} f_+ \\ g_+ \end{pmatrix}$$

$$\begin{pmatrix} 1 & b \\ -c & 1 \end{pmatrix} \begin{pmatrix} f_- \\ g_- \end{pmatrix} = \begin{pmatrix} d f_+ \\ d g_+ \end{pmatrix}$$

$$\begin{aligned} f_- + b g_- &\in H_+ \\ -c f_- + g_- &\in b H_+ \end{aligned}$$

$$\begin{pmatrix} f_- \\ g_- \end{pmatrix} = \frac{1}{d} \begin{pmatrix} 1 & -b \\ c & 1 \end{pmatrix} \begin{pmatrix} d f_+ \\ d g_+ \end{pmatrix}$$

$$\bar{d} \begin{pmatrix} f_- \\ g_- \end{pmatrix} = \begin{pmatrix} 1 & -b \\ c & 1 \end{pmatrix} \begin{pmatrix} f_+ \\ g_+ \end{pmatrix}$$

Basic problem. Suppose you have a d/d DE with (h_n) fun. support, whence $\rho_n = \begin{matrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{matrix} \quad n \gg 0$

$\rho_n = \begin{matrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{matrix} \quad n \ll 0$ and $u^{-n} \rho_n = \begin{matrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{matrix} \quad n \ll 0.$

$u^n \rho_n = \begin{matrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{matrix} \quad n \gg 0$

transfer matrix $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$

You have the $a = d^*, b = c^*, ad - bc = 1$

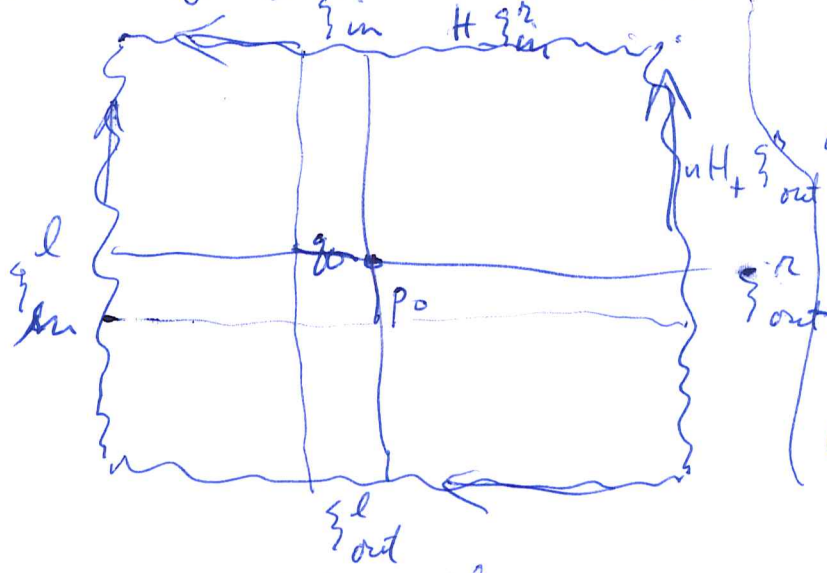
$\begin{pmatrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \xi^{\text{in}} \\ \xi^{\text{out}} \end{pmatrix}$

which can be put into scattering form

$\begin{pmatrix} \xi^{\text{out}} \\ \xi^{\text{in}} \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} \xi^{\text{in}} \\ \xi^{\text{in}} \end{pmatrix}$

The problem is to express $\begin{pmatrix} p_0 \\ q_0 \end{pmatrix}$ in terms of $\begin{pmatrix} \xi^{\text{in}} \\ \xi^{\text{in}} \end{pmatrix}$

The idea is to look in H :



There's a splitting of H into two subspaces

$(uH_+ \xi^{\text{out}} + H_- \xi^{\text{in}})$ and $(H_+ \xi^{\text{out}} + uH_- \xi^{\text{in}})$

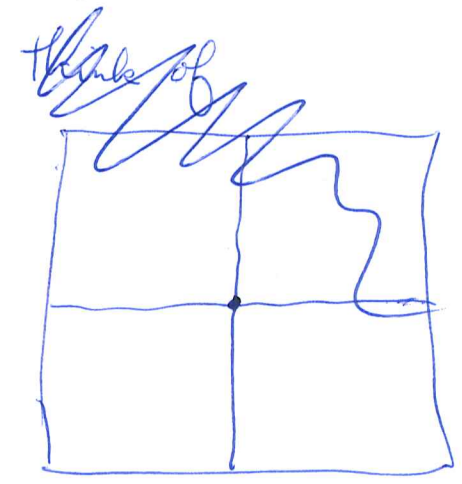
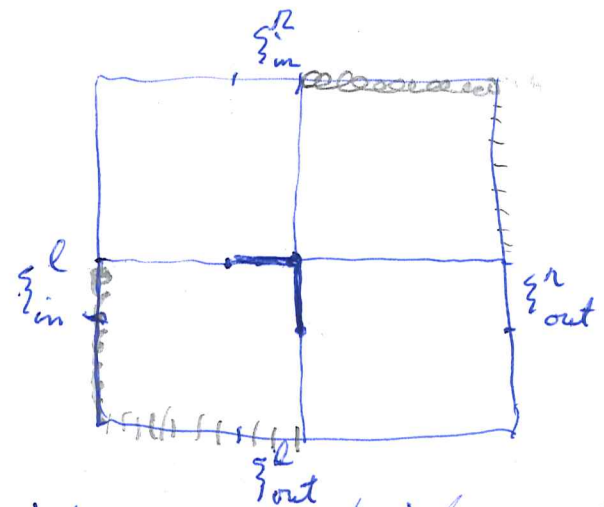
rearrange into the subspaces

$H_+ u \xi^{\text{out}} + H_+ \xi^{\text{out}}$

and $H_- u \xi^{\text{in}} + H_- \xi^{\text{in}}$

Review: First suppose $h_n = 0 \quad \forall n$, so
 that $g_n = \begin{cases} \text{in} \\ \text{out} \end{cases} = \begin{cases} \text{out} \\ \text{in} \end{cases}$
 $u^{-n} p_n = \begin{cases} \text{out} \\ \text{in} \end{cases} = \begin{cases} \text{in} \\ \text{out} \end{cases}$
 for all n . So $H = L^2(S^1)^{\oplus 2}$

Picture



the point $(0,0)$ specifies incoming and outgoing subspaces

incoming $H_- \begin{cases} \text{in} \\ \text{in} \end{cases} \mp H_- u \begin{cases} \text{in} \\ \text{in} \end{cases}$

outgoing $H_+ \begin{cases} \text{out} \\ \text{out} \end{cases} + H_+ u \begin{cases} \text{out} \\ \text{out} \end{cases}$

When all $h_n = 0$ these are complementary. (You can get rid of the u by moving $(0,0)$ to $(0,-1)$?)

$$\begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} \xi_{in}^l \\ \xi_{in}^r \end{pmatrix}$$

see what the subspaces are

~~scribbles~~

$$\begin{aligned} (zf_+ \quad g_+) \begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} &= (zf_+ \quad g_+) \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \\ &= \begin{pmatrix} zf_+ \frac{1}{d} + g_+ (-\frac{c}{d}) \\ zf_+ \frac{b}{d} + g_+ \frac{1}{d} \end{pmatrix} = \begin{pmatrix} zf_+ - cg_+ \\ bz f_+ + g_+ \end{pmatrix} \frac{1}{d} \end{aligned}$$

row vector

$$H_+ \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{out} + H_+ u \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{out} = \frac{1}{d} (zf_+ - cg_+) \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in} + \frac{1}{d} (bz f_+ + g_+) \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in}$$

Check this ^{zero} intersects with $H_- u \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in} + H_- \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in}$

$$\frac{1}{d} (zf_+ - cg_+) \in zH_-$$

$$\frac{1}{d} (bz f_+ + g_+) \in H_-$$

simplifies to

$$z\tilde{f}_+ - c\tilde{g}_+ \in zH_-$$

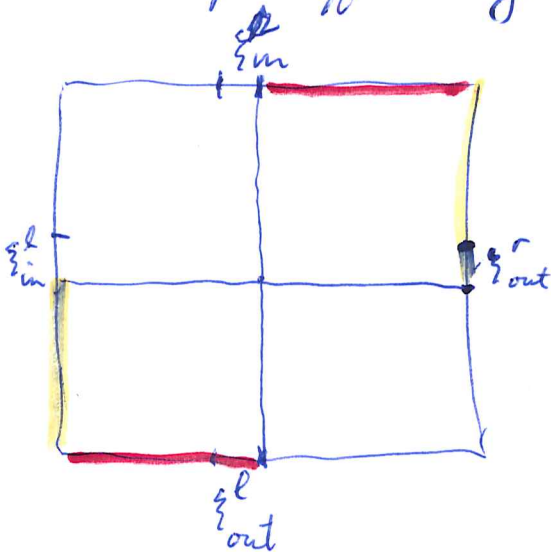
$$bz\tilde{f}_+ + \tilde{g}_+ \in H_-$$

$$\tilde{f}_+ - z^{-1}c\tilde{g}_+ \in H_-$$

$$bz\tilde{f}_+ + \tilde{g}_+ \in H_-$$

$$\tilde{f}_+ = \frac{f_+}{d}$$

Set this up differently



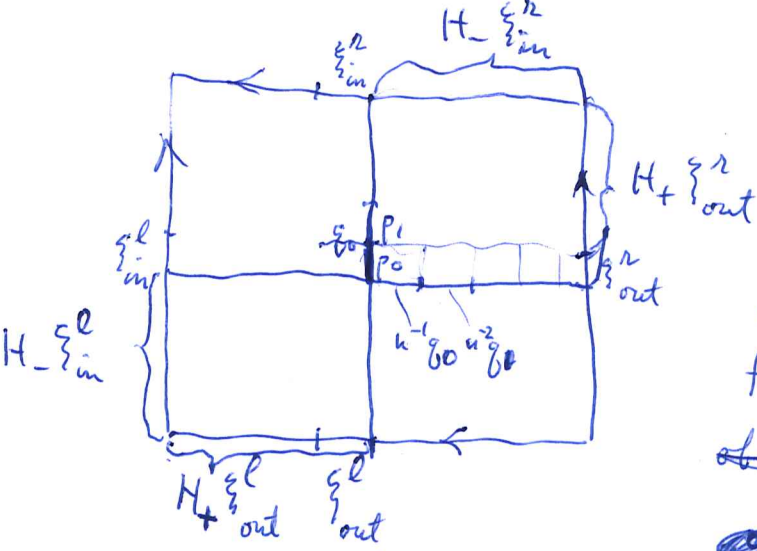
$$H_+ \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{out} + H_+ \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{out}$$

$$H_- \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in} + H_- \begin{Bmatrix} \xi^l \\ \xi^r \end{Bmatrix}_{in}$$

There are four subspaces here which ~~complementary~~ should split H .

Aim to understand this

You seek a kind of orthogonalization process. p_0, p_1, \dots is an orthonormal sequence orthogonal to $H_-^{\xi^r}$. ~~In fact you obtain~~ You get p_0 by making ξ^r out orthogonal to $H_-^{\xi^r}$ NO



$$\xi^r \text{ out} = \sum_{k=1}^{\infty} u^{-k} \xi^r \text{ in} \left(u^{-k} \xi^r \text{ in} \mid \xi^r \text{ out} \right)$$

~~$\xi^r \text{ out} \perp u H_+^{\xi^r \text{ out}}$~~

$$p_0 \perp H_-^{\xi^r \text{ in}} + u H_+^{\xi^r \text{ out}}$$

$$\tilde{p}_0 \in \left(H_-^{\xi^r \text{ in}} + u H_+^{\xi^r \text{ out}} \right)^\perp \cap \left(\xi^r \text{ out} + H_-^{\xi^r \text{ in}} + u H_+^{\xi^r \text{ out}} \right)$$

$$\tilde{p}_0 = \text{[scribble]} + \sum_{k \geq 1} a_{-k} u^{-k} \xi^r \text{ in} + \sum_{l \geq 0} b_l u^l \xi^r \text{ out}$$

$$b_0 = 1$$

$$\tilde{p}_0 = \xi^r \text{ out} + \sum_{l \geq 1} b_l u^l \xi^r \text{ out} + \sum_{k \geq 1} a_{+k} u^{-k} \xi^r \text{ in}$$

b_l, a_{+k} chosen to minimize $\|\tilde{p}_0\|^2$.

$$\tilde{p}_0 = f_+(u) \xi^r \text{ out} + g_-(u) \xi^r \text{ in}$$

$f_+ \in H_+$

$g_- \in H_-$

You have a concrete problem now to solve

$$0 = (u^{l\zeta_1^r} | \tilde{p}_0) = b_l + \sum_{k \geq 1} (u^{l\zeta_1^r} | u^{-k\zeta_1^r}) a_{+k} \quad \text{for } l \geq 1$$

$$0 = (u^{-k\zeta_1^r} | \tilde{p}_0) = \sum_{l \geq 0} (u^{-k\zeta_1^r} | u^{+l\zeta_1^r}) b_l + a_{+k} \quad \text{for } k \geq 1.$$

So you have a matrix.
and you want to solve

$$S_{k+l} = (u^{-k\zeta_1^r} | u^{+l\zeta_1^r})$$

$$b_l + \sum_{k \geq 1} \bar{S}_{k+l} a_k = 0 \quad l \geq 1$$

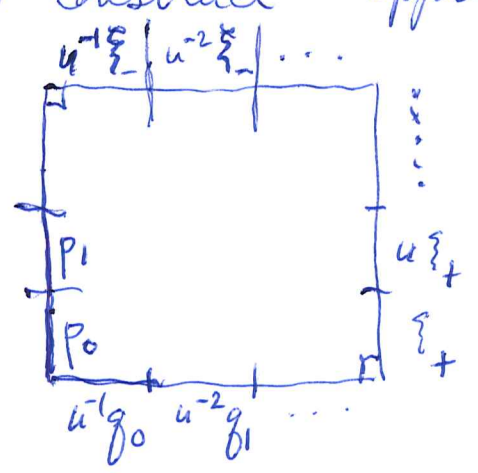
$$a_k + \cancel{S_{k0}} b_0 + \sum_{l \geq 1} S_{k+l} b_l = 0 \quad k \geq 1$$

$$\tilde{p}_0 = \sum_{k \geq 1} a_k u^{-k\zeta_1^r} + \sum_{l \geq 0} b_l u^{+l\zeta_1^r}$$

$$0 = (u^{-k\zeta_1^r} | \tilde{p}_0) = a_k + \sum_{l \geq 0} b_l S_{k+l} \quad k \geq 1$$

$$0 = (u^{+l\zeta_1^r} | \tilde{p}_0) = \sum_{k \geq 1} a_k \bar{S}_{k+l} + b_l \quad l \geq 1$$

At this point I see pretty much how to get around the obstacle. The point you missed: ~~Given~~ Given $H_-^{\xi_-}$ and $H_+^{\xi_+}$ you want to construct opposite orthonormal bases



You want to solve ~~the problem~~

$$\tilde{p}_0 = f_+(u) \xi_+ + f_-(u) \xi_-$$

with $f_+(0) = 1$ $(uH_+ \xi_+, \tilde{p}_0) = (H_- \xi_-, \tilde{p}_0) = 0$

$$\tilde{p}_0 = \sum_{l \geq 0} b_l u^l \xi_+ + \sum_{k \geq 0} a_k u^{-k} \xi_-$$

$$0 = (u^l \xi_+, \tilde{p}_0) = b_l + \sum_{k \geq 0} a_k \underbrace{(u^l \xi_+, u^{-k} \xi_-)}_{S_{lk}}$$

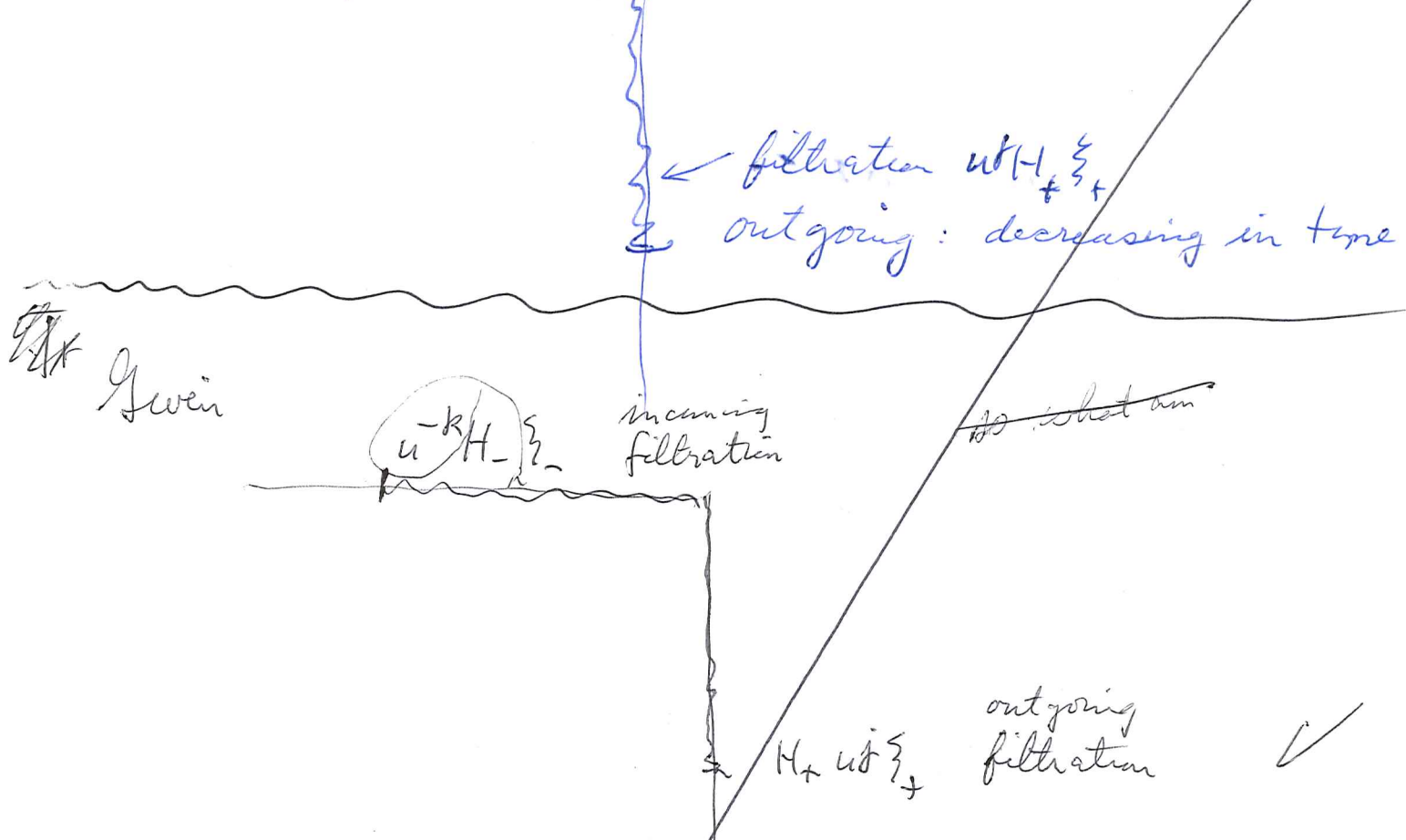
$$0 = (u^{-k} \xi_-, \tilde{p}_0) = \sum_{l \geq 0} b_l \underbrace{(u^{-k} \xi_-, u^l \xi_+)}_{S_{kl}} + a_k$$

Let $\underline{b} = (b_l)_{l \geq 1}$. Then $b + S^* a = 0$

~~$b + S a$~~ and $a + S b + S_{*,0} b_0 = 0$

$$\boxed{a - S S^* a + S_{*,0} b_0 = 0}$$

Go over what you learned. Given
 filtration $u^{-k} H_- \xi_-$ incoming increasing in time. increasing



~~What the flow is about~~ This is inside a Hilbert space $H = L^2(S^1) \xi_+ + L^2(S^1) \xi_-$ whose inner product results from a contraction, reflection coefficient $S: L^2(S^1) \xi_+ \rightarrow L^2(S^1) \xi_-$
 $S_{kj} = (u^{-k} \xi_-, u^j \xi_+)$, (which commutes with u
 i.e. S_{kj} depends only on $k+j$, ignore for the moment) $\|f_+ \xi_+ + f_- \xi_-\|^2 =$

$$\begin{pmatrix} f_+ \\ f_- \end{pmatrix}^* \begin{pmatrix} 1 & S \\ S^* & 1 \end{pmatrix} \begin{pmatrix} f_+ \\ f_- \end{pmatrix}$$

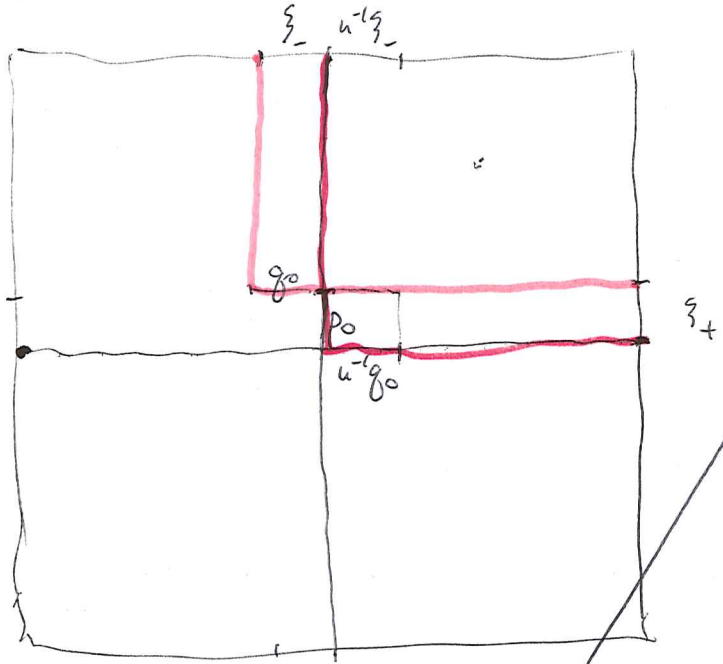
Now you put in your filtration

The only concern here is ~~that~~ probably that H should be the ~~sum~~ alg. sum of $L^2(S^1) \xi_+$ which means basically that $(1 - S^* S)^{1/2}, (1 - S S^*)^{1/2}$ have closed image, hence are bounded away from 0.

~~Abraham's ...~~

Consider unitary ref. $\mathcal{B} = \frac{\xi}{\eta}$

$$S_{ky} = (u^{-k} \xi_-, u^k \xi_+)$$



$$p_0 \in \left(H_+ \xi_+ + H_- \xi_- \right) \ominus \left(H_+ u \xi_+ + H_- \xi_- \right)$$

$$p_0 = b_0 \xi_+ + \sum_{j \neq 0} b_j u^j \xi_+ + \sum$$

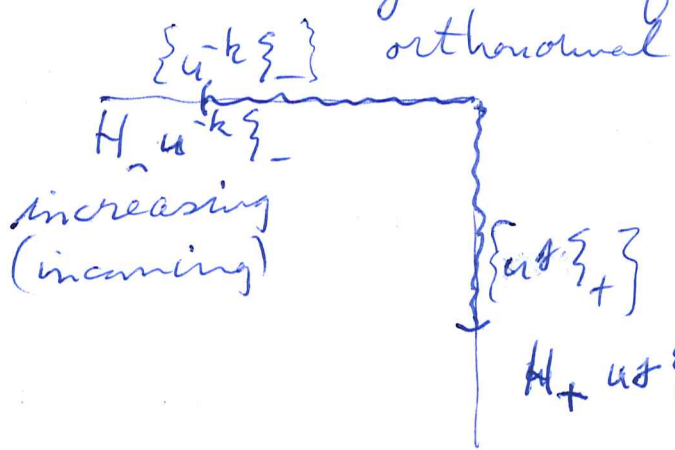
$$p_0 = b_0 \xi_+ + (\text{something in } H_+ u \xi_+ + H_- \xi_-)$$

$$g_0 = a_0 \xi_- + (\text{something in } H_+ \xi_+ + H_- \xi_-)$$

$$(g_0 | p_0) = (g_0 | b_0 \xi_+) = (a_0 \xi_- + \text{something in } H_+ \xi_+ + H_- \xi_- | b_0 \xi_+)$$

Today perhaps you can write up the inverse scattering transform.

First,



The fact that you have filtrations amounts to an ordering on these orthonormal sets.

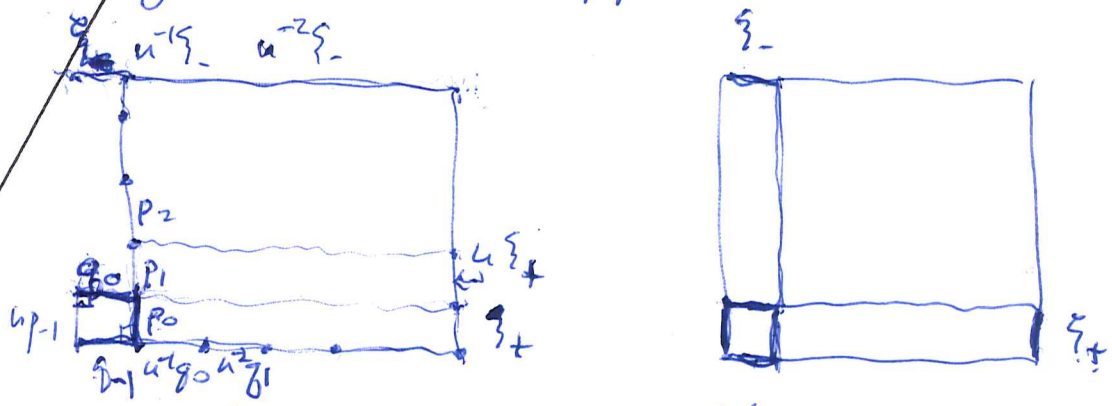
$S_{ktj} = (u^{-k} \xi_- | u^j \xi_+)$ contraction

Alg you have $V_+ = L^2(S^1) \xi_+$ glued together via $S: V_+ \rightarrow V_-$. Assuming $1 - S^* S > 0$ and invertible you have a transversal situation. Description

$$\| f_+(u) \xi_+ + f_-(u) \xi_- \|^2 = \begin{pmatrix} f_+ \\ f_- \end{pmatrix}^* \begin{pmatrix} 1 & S \\ S^* & 1 \end{pmatrix} \begin{pmatrix} f_+ \\ f_- \end{pmatrix}$$

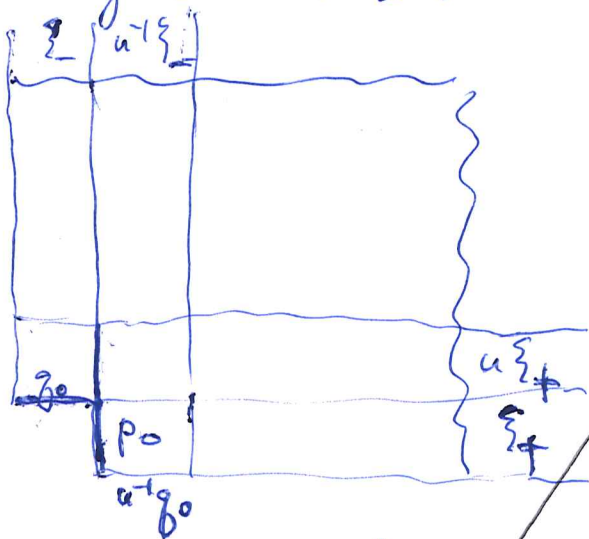
Suppose you restrict to ~~the~~ the subspace $H_+ \xi_+ + H_- \xi_-$ so $f_{\pm} \in H_{\pm}$.

What can you say about the opposite sides.



Another idea: Make clear the relation between S restricted between $H_+ \xi_+$ and $H_- \xi_-$ and the Schur expansion.

~~Problem:~~ Problem: Suppose $S = \frac{\bar{z}}{g}$ with g invertible + analytic over \bar{D} . Then you get usual



except that p_0, g_0 are dependent
 incoming space $u^{-k} H_- \xi_-$
 outgoing space $u^j H_+ \xi_+$

Then $(u^{-k} H_- \xi_- + u^j H_+ \xi_+)^{\perp} \quad \xi_- = S(u) \xi_+$

$= u^{-k} H_+ \xi_- \cap u^j H_- \xi_+$

$S_{k+j} = (u^{-k} \xi_-, u^j \xi_+)$

$L^2(S', \frac{1}{|g|^2} \frac{d\theta}{2\pi}) \quad (u^{-k} \xi_-, u^j \xi_+) = \int z^{k+j} \frac{\bar{z}}{g} \frac{1}{|g|^2} \frac{d\theta}{2\pi}$

$= \int z^{k+j} \frac{\bar{z}}{g} \frac{d\theta}{2\pi} = S_{k+j}$

$u^{-k} H_+ \xi_- \cap u^j H_- \xi_+ \xrightarrow{\sim} z^{-k} H_+ \xi_- \cap z^j H_- \xi_+ = z^{-k} H_+ \cap z^j H_-$

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$$S_{kj} = \int_{\gamma} (u^{-k} \xi_-, u^j \xi_+)$$

$$= \int z^{+k} \frac{1}{g} z^j \frac{1}{g} \frac{1}{|g|^2} \frac{d\theta}{2\pi}$$

$$= \int z^{j+k} \frac{1}{g} \frac{d\theta}{2\pi}$$

$$S_{kj} = \sum_{-}^* z^{k+j} \xi_+$$

$$S_n = \sum_{-}^* u^n \xi_+$$

$$f_+(u) \xi_+ = \sum c_n u^n \xi_+ = \sum_k u^{-k} \xi_- (u^{-k} \xi_-)^* \sum c_n u^n \xi_+$$

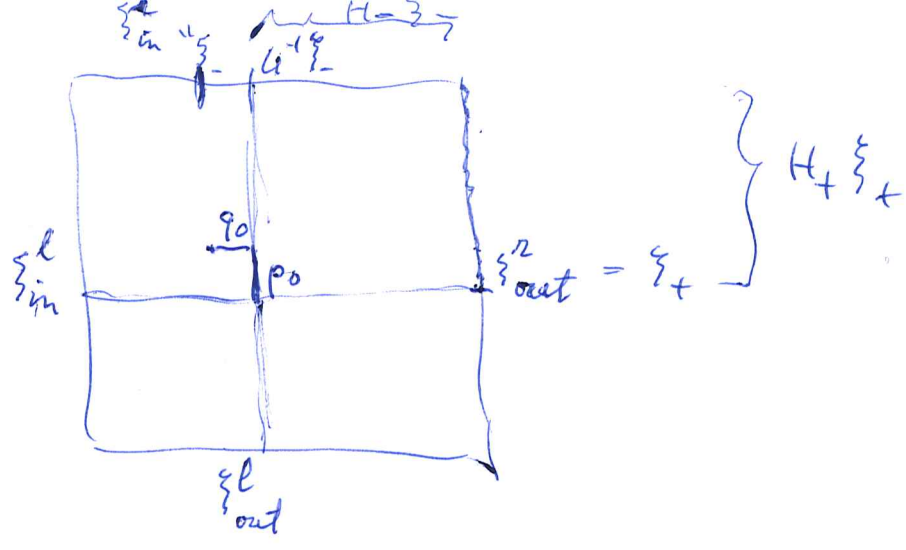
$$= \sum u^{-k} \xi_- \sum_{-}^* c_n u^{k+n} \xi_+$$

$$= \sum u^{-k} \xi_- \sum c_n S_{k+n}$$

$$= \sum_k u^{-k} \xi_- \sum_n S_{k+n} c_n$$

$$= \sum_{k,n} S_{k+n} c_n u^{-k} \xi_-$$

$$= \sum_{k \geq 1} \left(\sum_n \right)$$



OKAY

$$\begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \xi_{in}^l \\ \xi_{in}^r \end{pmatrix}$$

Note that $\xi_{out}^r = \xi_+$ is \perp to $\langle 0 | \xi_{in}^l \rangle$ so that

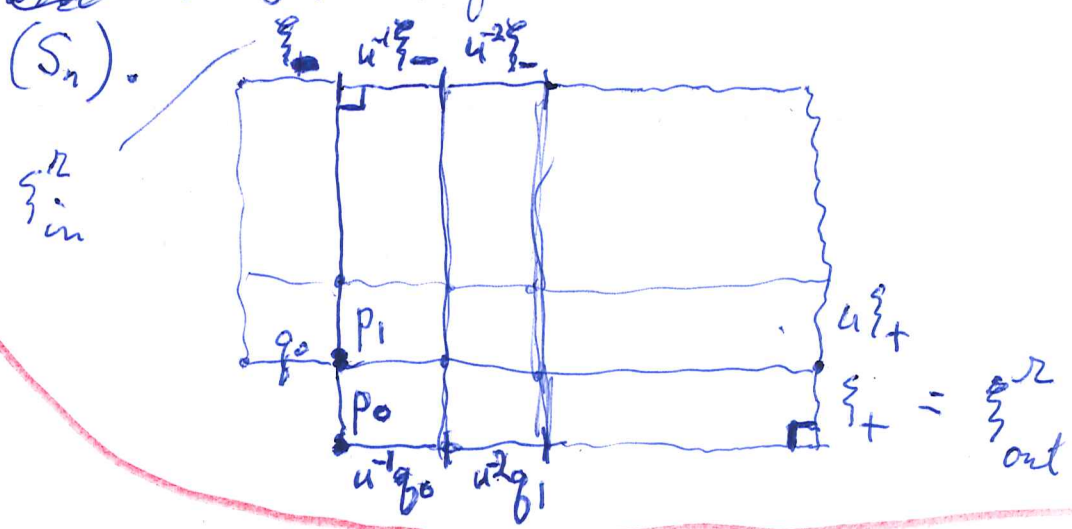
ξ_{out}^r expressed in terms of the orthon. basis $\langle n | \xi_{in}^l \rangle, \langle n | \xi_{in}^r \rangle$ has no terms $\langle 0 | \xi_{in}^l \rangle$

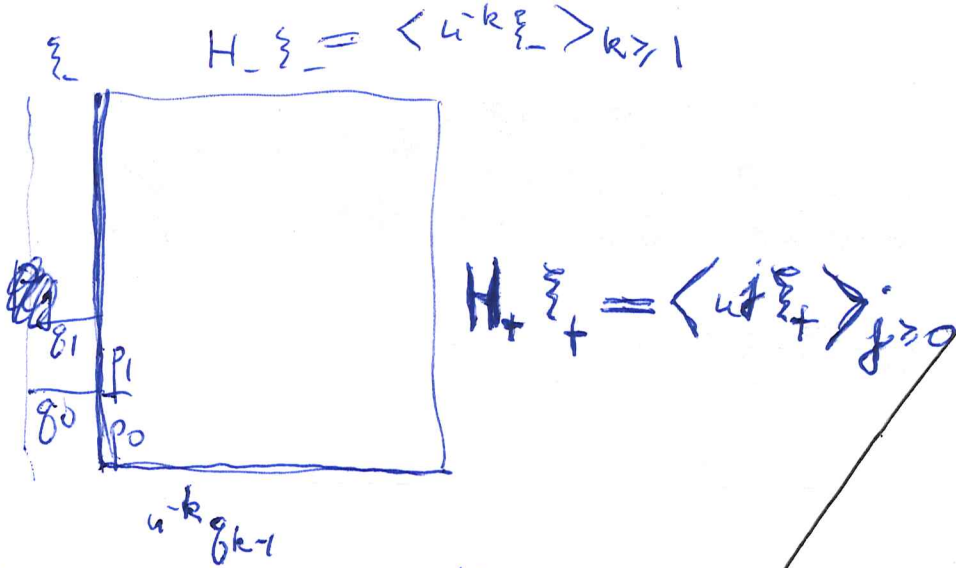
$$\therefore \xi_{out}^r = \alpha \xi_{in}^l + \beta \xi_{in}^r \quad \alpha = \sum_{n \geq 0} \alpha_n \xi_n^l$$

ξ_{out}^l exp. in terms of $\langle n | \xi_{in}^l \rangle, \langle n | \xi_{in}^r \rangle$

$$\xi_{out}^l = \gamma \xi_{in}^l + \delta \xi_{in}^r$$

My ~~aim~~ aim: equivalence between the (h_n) and (S_n) .





There is some big scattering type matrix relating the ^{two} orthonormal bases for $H_+ \xi_+ + H_- \xi_-$. First basis is

$p_0, p_1, \dots ; u^{-1} \xi_-, u^{-2} \xi_-, \dots$



2nd basis $u^{-1} g_0, u^{-2} g_1, \dots ; \xi_+, u \xi_+, \dots$

One example is $L^2(S^1, \frac{1}{|g|^2} \frac{d\theta}{2\pi})$ with $p_0 = g_0 = 1$

$L^2(S^1, d\mu) \quad p_0 = g_0 = 1.$

$\langle p_0, p_1, \dots, p_n \rangle = [z^0, \dots, z^n]$

$\therefore \langle p_0, p_1, \dots \rangle = \overline{\mathbb{C}[z]}$ in $L^2(S^1, d\mu)$

Now $\overline{\mathbb{C}[z]}$ is outgoing, so get

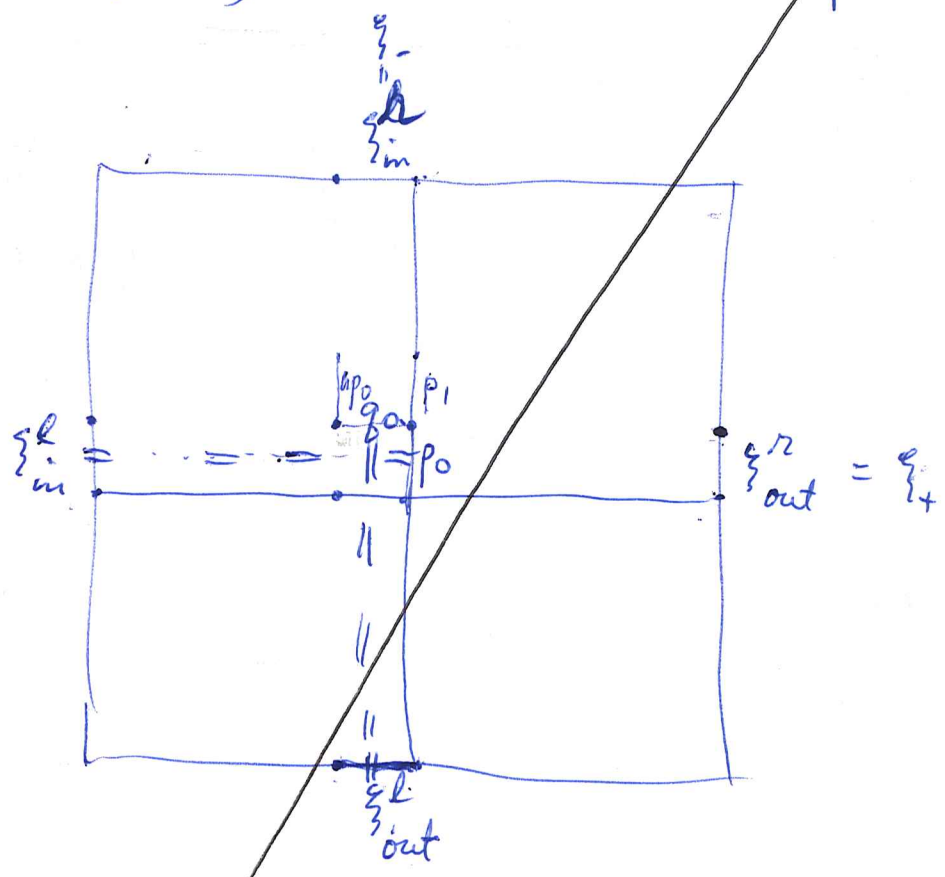
$g = \lim_{k \rightarrow \infty} g_{u^{-k}} \perp u^j, j \geq 0$

$\xi_- \quad \text{Thus } (u^{-1} \xi_- | \overline{\mathbb{C}[z]}) = 0$

~~Take an S and restrict attention to~~ $S_{k+j} = (u^{-k} \xi_- | u^j \xi_+)$ $k+j > 0$.

These coefficients should determine h_1, h_2, \dots
~~also~~ set $h_0, h_{-1}, \dots = 0$. Thus $p_0 = \xi_{in}^l$

$g_0 = \xi_{out}^l$



This is a scattering situation so det. by

$$\begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} p_0 \\ g_0 \end{pmatrix} \quad \left| \quad \begin{pmatrix} \xi_+ \\ g_0 \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} p_0 \\ \xi_- \end{pmatrix} \right.$$

$\xi_+ = \alpha \xi_{in}^l + \beta \xi_-$

$\alpha, \beta \in H_+$

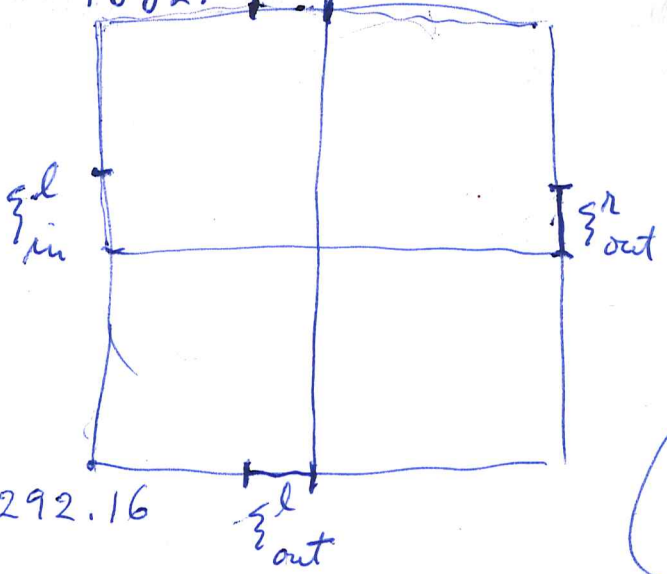
$\xi_{out}^l = g_0 = \gamma p_0 + \delta \xi_-$



Go back to notation

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1882.03 ξ_{in}^r



Then

$$\begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \xi_{in}^r \\ \xi_{in}^l \end{pmatrix}$$

$$\alpha, \delta \in H_+$$

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in U(2, \mathbb{C}(S^1))$$

You want to understand why $\alpha = \delta$.

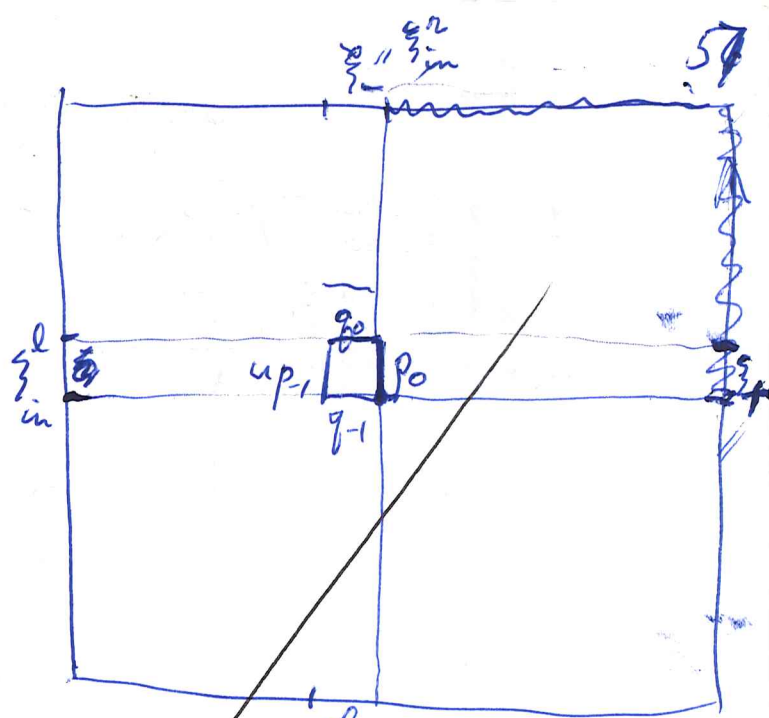
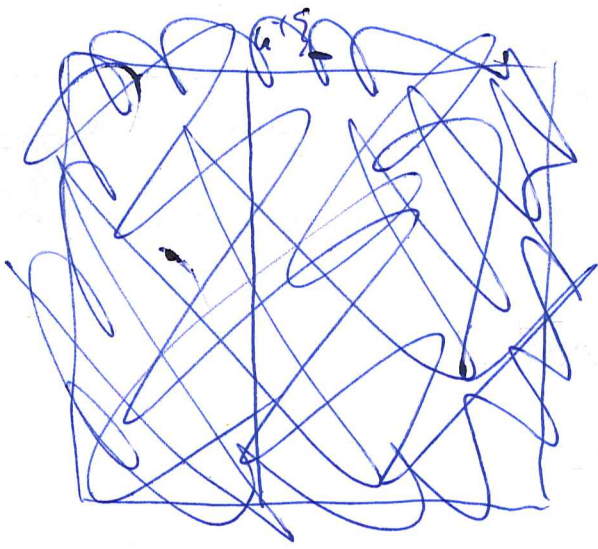
If you write

$$\begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} = \begin{pmatrix} \gamma & \delta \\ \alpha & \beta \end{pmatrix} \begin{pmatrix} \xi_{in}^r \\ \xi_{in}^l \end{pmatrix}$$

$\alpha = \delta$ means symmetry of the unitary matrix $\begin{pmatrix} \gamma & \delta \\ \alpha & \beta \end{pmatrix}$. Does this mean there

is a ~~symplectic~~ form around? Should be so because we know that $\alpha = \delta$

is equivalent to the transfer matrix $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ having $\det = 1$. So it should be true that there is a natural volume form on $\mathbb{C}(S^1)$ module.



$$\begin{pmatrix} \xi_{out}^r \\ \xi_{out}^l \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \xi_{in}^l \\ \xi_{in}^r \end{pmatrix} = \begin{pmatrix} \frac{ad-bc}{d} & \frac{b}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} \xi_{in}^l \\ \xi_{in}^r \end{pmatrix}$$

$$\begin{pmatrix} \xi_{out}^r \\ \xi_{in}^r \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \xi_{in}^l \\ \xi_{out}^l \end{pmatrix}$$

$$\begin{pmatrix} \xi_+ \\ \rho_0 \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \rho_0 \\ \xi_- \end{pmatrix}$$

$$\begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \rho_0 \\ \rho_0 \end{pmatrix}$$

$$\begin{pmatrix} \rho_0 \\ \rho_0 \end{pmatrix} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix}$$

$$\rho_0 = d \xi_+ - b \xi_-$$

$$\rho_0 = \sum_{d \geq 0} a_j u^d \xi_+ + \sum_{k \geq 1} b_k u^{-k} \xi_- \in H_+ \xi_+ + H_- \xi_-$$

$$\langle u^{-k} \xi_- | \rho_0 \rangle = \sum_{d \geq 0} a_j \langle u^k \xi_- | u^d \xi_+ \rangle + b_k$$

ξ_{j+k}

" 0 for $k \geq 1$

Certain things are clear



$$p_0 \in H_+ \xi_+ + H_- \xi_-$$

$$a^1 g_0 \in H_+ \xi_+ + H_- \xi_-$$

$$\begin{pmatrix} p_n \\ g_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n \\ \bar{h}_n & 1 \end{pmatrix} \begin{pmatrix} p_{n-1} \\ g_{n-1} \end{pmatrix}$$

$$\begin{pmatrix} p_0 \\ g_0 \end{pmatrix} = \begin{pmatrix} p_{-1} \\ g_{-1} \end{pmatrix}$$

$$p_0 \in H_+ \xi_+ + H_- \xi_-$$

$$g_0 \in a H_+ \xi_+ + d H_- \xi_-$$

$$\begin{pmatrix} p_0 \\ g_0 \end{pmatrix} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix}$$

a, d

Review.

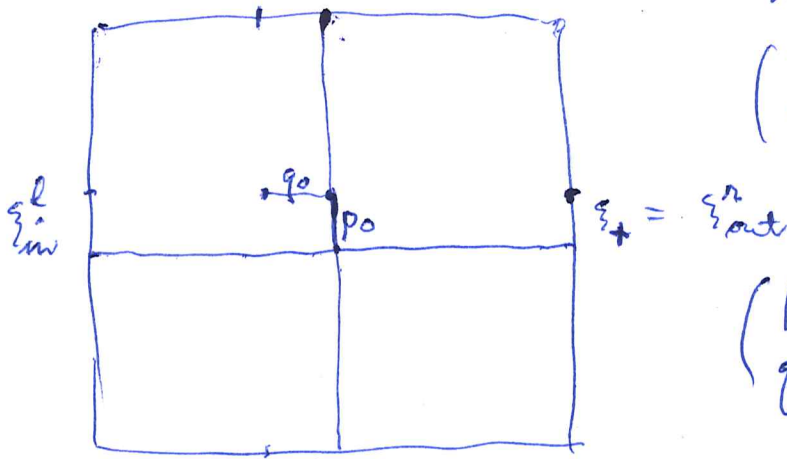
$$\xi = \xi_{in}^n$$

$$\xi_{in}^l = p_0$$

$$\xi_{out}^l = g_0$$

$$\begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix} = \begin{pmatrix} d^* & b^* \\ c & d \end{pmatrix} \begin{pmatrix} p_0 \\ g_0 \end{pmatrix}$$

$$\begin{pmatrix} p_0 \\ g_0 \end{pmatrix} = \begin{pmatrix} d & -b^* \\ -c & d^* \end{pmatrix} \begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix}$$



$$d \in H_+ \quad -c^* \in H_-$$

$$-z^{-1}c \in H_+ \quad z^{-1}d^* \in H_-$$

$$p_0 = d \xi_+ - c^* \xi_-$$

$$g_0 = -c \xi_+ + d^* \xi_-$$

$$d \in H_+ \quad -c^* \in H_-$$

$$-c \in H_+ \quad d^* \in H_-$$

Suppose now you begin with ~~an~~ g invertible analytic on \bar{D} , let $\bar{g} = \overline{g}$

$S = \frac{\bar{g}}{g}$, focus on $S_n = \left(\begin{array}{c|c} \xi_+ & u^n \xi_+ \\ \hline \xi_- & \end{array} \right)_{n \geq 1}$

$$S_n = \int \frac{\bar{g}}{g} z^n \frac{1}{|g|^2} \frac{d\theta}{2\pi} = \int z^n \frac{\bar{g}}{g} \frac{d\theta}{2\pi}$$

$$S(z) = \sum S_n z^{-n}$$

$$\begin{pmatrix} \xi_+ \\ \xi_- \end{pmatrix} = \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix} \begin{pmatrix} p_0 \\ q_0 \end{pmatrix}$$

You should get

$$\begin{pmatrix} \bar{g} \\ g \end{pmatrix} = \begin{pmatrix} d^* & c^* \\ c & d \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$g = c + d$$

Still not clear how to get c, d from g .

$$\begin{pmatrix} u^n p_n \\ q_n \end{pmatrix} = \frac{1}{k_n} \begin{pmatrix} 1 & h_n u^{-n} \\ h_n u^n & 1 \end{pmatrix} \begin{pmatrix} u^{n-1} p_{n-1} \\ q_{n-1} \end{pmatrix}$$

$$\bar{g} = \frac{1 + c^* c + c^* g}{d} = d^* + c^*$$



$$g = c + d$$

$$d d^* = c c^* = 1$$

both g, d are invertible analytic
 $c \in \mathbb{Z}H_+$

Look at scattering picture

$$\begin{pmatrix} \xi_+ \\ q_0 \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{c^*}{d} \\ \frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} p_0 \\ \xi_- \end{pmatrix}$$

$$\begin{pmatrix} \bar{g} \\ 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{c^*}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} 1 \\ g \end{pmatrix}$$

$$\bar{g} = \frac{1 + c^* g}{d} \quad \checkmark \quad 1 = \frac{-c}{d} + \frac{1}{d} g$$

So ~~you~~ you need to somehow use the fact that only half of the Fourier coeffs of S are relevant. So ask some intelligent questions. Given $S = \frac{\bar{g}}{g}$ you glue $L^2(S^1)_+$ and $L^2(S^1)_-$ via

glue H_+ and H_- so that

$$(u^{-k} \xi_- | u^k \xi_+) = (\xi_- | u^{2+k} \xi_+) = \int z^{2+k} \frac{\bar{g}}{g} \frac{d\theta}{2\pi}$$

for $k \geq 1, g \geq 0$. How can we alter $\frac{\bar{g}}{g}$ without changing these numbers.

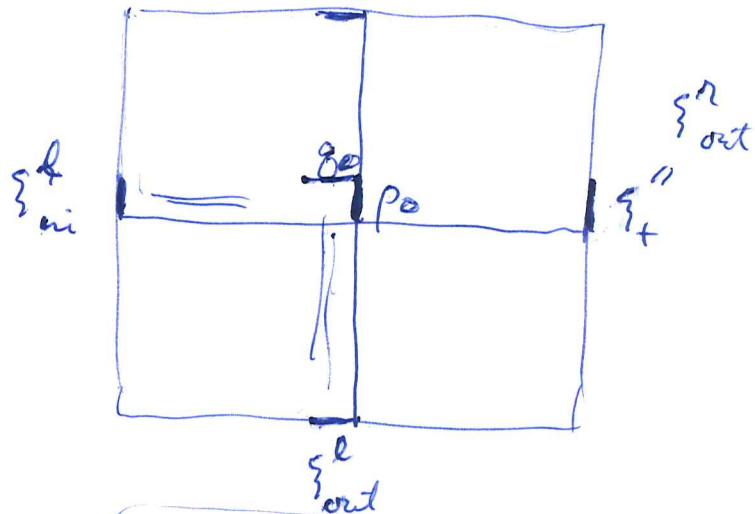
$$S_n = \int z^n S(z) \frac{d\theta}{2\pi} \quad n \geq 1$$

Answer S can be any F.S. $\sum_{n \leq 0} c_n z^n$

$$\frac{\bar{g}}{g} = \sum_{n \in \mathbb{Z}} c_n z^n$$

$$\xi_+ = \frac{1}{d} p_0 + \frac{c^*}{d} \xi_-$$

$$g_0 = \frac{-c}{d} p_0 + \frac{1}{d} \xi_-$$



$$\left(\xi_- | u^n \xi_+ \right) = \left(\xi_- | u^n \frac{1}{d} \xi_{in} \right) + \left(\xi_- | u^n \frac{c^*}{d} \xi_- \right)$$

So $\frac{c^*}{d} \equiv \frac{\bar{g}}{g}$ modulo H_+ ? $\int z^n \frac{c^*}{d} \frac{d\theta}{2\pi}$

You want $\int z^n \frac{c^*}{d} \frac{d\theta}{2\pi} = \int z^n \frac{\bar{g}}{g} \frac{d\theta}{2\pi} \quad n \geq 1$

$\frac{c^*}{d} - \frac{\bar{g}}{g} = \sum_{n \geq 0} g_n z^n$ we know $c^* \in H_-$

obvious choice is $d = g$. $c^* =$ nonconst part of \bar{g} .

Repeat $E =$ Hilb. space = $L^2(S^1, \frac{1}{|g|^2} \frac{d\theta}{2\pi})$

$\xi_- = g, \xi_+ = \bar{g} \quad S_n = (\xi_- | u^n \xi_+)$

$= \int \bar{g} z^n \bar{g} \frac{1}{|g|^2} \frac{d\theta}{2\pi} = \int z^n \frac{\bar{g}}{g} \frac{d\theta}{2\pi}$ YES

This is the appropriate reflection coeff for this Hilbert spaces, ~~which~~ which has the property that $H_+ \xi_+ \oplus_{\text{alg}} H_- \xi_- = E$.

However I want to replace ~~it~~ it by

a ref $\begin{pmatrix} \xi_{out} \\ g_{out} \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \xi_{in} \\ g_{in} \end{pmatrix}$

||

$\begin{pmatrix} \xi_+ \\ g_0 \end{pmatrix} = \begin{pmatrix} \frac{1}{d} & \frac{c^*}{d} \\ -\frac{c}{d} & \frac{1}{d} \end{pmatrix} \begin{pmatrix} p_0 \\ \xi_- \end{pmatrix}$