

February 4, 1972

Let M be an exact category, and let $\mathcal{C}(M)$ be the category of chain complexes in M which are bounded. Then if $M \subset A$ we have the homology functor $M_\bullet \mapsto H_i(M_\bullet)$ which is effaceable, hence

$$K_g(P) \xrightarrow{\sim} K_g(\mathcal{C}(M))$$

where P is the full subcategory consisting of complexes such that $H_i(M_\bullet) = 0$ for $i > 0$.

It would be better to proceed as follows. Let $P \subset \mathcal{C}(M)$ consist of complexes M_\bullet such that $H_0(M_\bullet) \in M$ and $H_i(M_\bullet) = 0$. Then any complex \mathbb{Q} is a quotient of a member of P .

$$0 \rightarrow M_n \rightarrow \cdots \dashrightarrow \cdots \rightarrow M_0 \rightarrow 0$$

$$\begin{array}{ccccccc} M_n & \rightarrow & M_n & & & M_1 & \\ & & \oplus & & & & \\ & & M_{n-1} & \rightarrow & M_{n-1} & \rightarrow & M_0 \\ & & & & \oplus & & \\ & & & & M_{n-2} & & \end{array}$$

$$M_n \rightarrow \cdots \rightarrow M_1$$

And it is clear that every object has a finite resolution by members of P . Check that $0 \rightarrow M \rightarrow P \rightarrow P' \rightarrow 0 \Rightarrow M \in P$. But

$$\begin{array}{ccccccc}
 & \overset{o}{\downarrow} & & \overset{o}{\downarrow} & & & \\
 0 \rightarrow M_n & \rightarrow & \rightarrow M_1 & \rightarrow M_0 & \rightarrow ? & \rightarrow 0 \\
 & \uparrow f & & \uparrow f & & \uparrow f & \\
 0 \rightarrow P_n & \rightarrow & \rightarrow P_1 & \rightarrow P_0 & \rightarrow H_0 & \rightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \rightarrow P'_n & \rightarrow & \rightarrow P'_1 & \rightarrow P'_0 & \rightarrow H'_0 & \rightarrow 0 \\
 & \uparrow f & & \uparrow f & & \uparrow f & \\
 & o & & o & & o &
 \end{array}$$

No.: Example of ~~stable~~ free modules. Let M be
 $\rightarrow M \oplus A^P \simeq A^8$ and let M be free modules.
Then

$$\begin{array}{ccccccc}
 & \overset{o}{\downarrow} & & \overset{o}{\downarrow} & & \overset{o}{\downarrow} & \\
 0 \rightarrow A^P & \rightarrow A^8 & \rightarrow M & & & & \\
 & \uparrow & & \downarrow f & & \downarrow & \\
 0 \rightarrow A^8 & \rightarrow A^8 \times A^8 & \rightarrow A^8 & & & & \\
 & \uparrow & & \downarrow & & \downarrow & \\
 0 \rightarrow P_1 & \rightarrow P'_0 & \rightarrow A^P & & & & \\
 & \uparrow f & & \uparrow f & & \uparrow f & \\
 & o & & o & & o &
 \end{array}$$

but P_0, P_1 are stably-free. Now we can add some big free module to $A^8 \times A^8, A^8, P_0, P_1$ to make P_1, P_0 free.

How to do it. Start with a complex of length n and consider the functorial exact sequence

$$\begin{array}{ccc}
 M_0 : & M_n \rightarrow \dots \rightarrow M_0 \\
 \uparrow & & \\
 F(M.) : & M_n \rightarrow M_{n-1} \dots \rightarrow M_0 \\
 & \oplus & \oplus \\
 & M_n & M_1 \\
 \uparrow & & \\
 \sigma_1(M.) : & M_n \rightarrow \dots \rightarrow M_1
 \end{array}$$

and so one has that $(\text{length} \leq n)$ can be replaced by P and $\text{length} \leq (n-1)$. Thus one is done. So we can prove

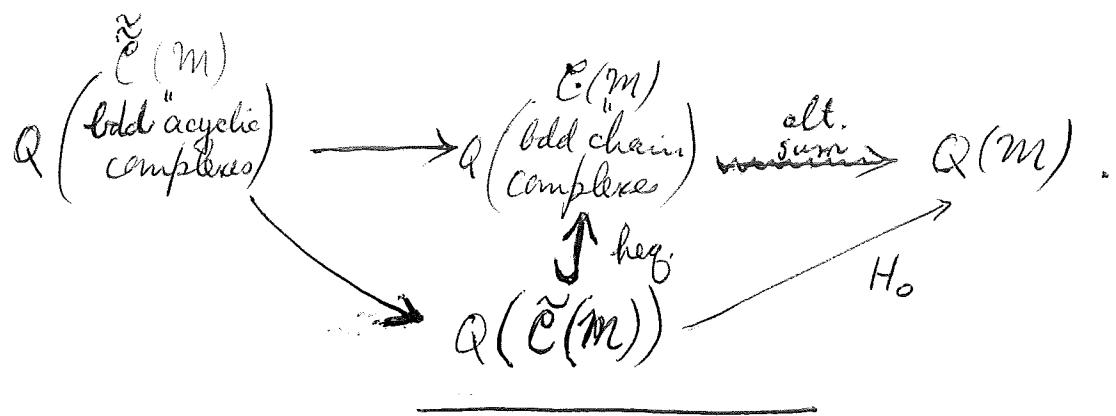
Prop. $\tilde{\mathcal{C}}(m)$ ~~is~~ bounded chain complexes $\tilde{\mathcal{C}}(m) \subset \mathcal{C}(m)$ full subcat. ~~is~~ containing $M.$ such that $H_+ M. = 0, H_0 M. \in M.$ Then $\tilde{\mathcal{C}}(m) \rightarrow \mathcal{C}(m)$ induces isos. on K-groups.

Now you have the exact functors: $\tilde{\mathcal{C}}(m) \rightarrow \mathcal{C}(m), M. \mapsto H_0(M.).$ Thus you get a map of K-groups $K_i(\tilde{\mathcal{C}}(m)) \rightarrow K_i M$

which is clearly the alternating sum of the exact functors

$$M. \longmapsto M_0.$$

We have a basic fibration (immediate, because you can compute everything)



Now suppose I am given $j: M \rightarrow M'$ exact.
I now want to consider $\tilde{C}(M')$ complexes (odd) in M
which become acyclic when j is applied.

1

mostly wrong
mistake p. 4.

February 16, 1972:

Let k be a field to simplify. I propose to define a category ~~W~~ having the homotopy type of $BGL(k)^+$ and hopefully permitting a good proof of the stable splitting theorem.

Begin with the following category \mathcal{B}' :

objects: fin. diml. vector space / k .

morphisms: ~~(Q, f)~~ an element of $\text{Hom}_{\mathcal{B}'}(V, V)$
consists of an injection $f: V' \hookrightarrow V$
together with a complement $Q \subset V$
for the image of f : notation: $(Q, f): V' \rightarrow V$

composition:

$$V'' \xrightarrow{f'} V' \xrightarrow{f} V$$
$$\downarrow Q' \qquad \qquad \qquad \downarrow Q$$

$$(Q, f)(Q', f') = (Q + fQ', ff').$$

Next define a functor $\mathcal{B}' \rightarrow (\text{groups})$:

~~$V \mapsto \text{Aut}(V)$~~

given $(Q, f): V' \rightarrow V$ define

$$(Q, f)_*: \text{Aut}(V') \rightarrow \text{Aut}(V)$$

by

$$(Q, f)_*(\theta) (f \circ \iota') = f(\theta \circ \iota')$$

$$(Q, f)_*(\theta)(g) = g \quad \text{if } g \in Q.$$

Thus $(Q, f)_* \Theta'$ is the identity on Q and the f -transform of Θ' on fV . Then this is a functor

$$\begin{aligned} (Q, f)_*(Q', f')_* \Theta'' &= \begin{cases} ff' \text{ transf. of } \Theta'' \text{ on } ff'V'' \\ f \text{ transf. of id } \text{ on } f^*Q' \\ \text{id} \text{ on } Q \end{cases} \\ &= \begin{cases} ff' \text{ transf. of } \Theta'' \text{ on } ff'V'' \\ \text{id} \text{ on } Q + fQ' \end{cases} \\ &= (Q + fQ', ff')_* \Theta''. \end{aligned}$$

Now define the category \mathcal{E}' to be the cotensored category over B whose fibres over V is the ~~group~~ group $\text{Aut}(V)$ and whose cobase change functors are $(Q, f)_*$ as above.

Thus \mathcal{E}' has

$$\begin{array}{ll} \text{objects:} & V \\ \text{morph:} & \text{Hom}_{\mathcal{E}'}(V', V) = \{(Q, f) \mid \begin{array}{l} f: V' \hookrightarrow V \\ Q \text{ comp. to } fV' \text{ in } V \\ \theta \in \text{Aut}(V) \end{array}\} \end{array}$$

and composition

$$(\Theta, Q, f)(\Theta', Q', f') = (\Theta \cdot (Q, f)_* \Theta', Q + fQ', ff')$$

Next let B be the category

$$\text{objects: } V \quad \text{f.d. v.s. / k}$$

$$\text{morph: } \text{Hom}_B(V, V) = \{\text{injections } f: V \hookrightarrow V\}$$

so there is an evident functor

$$(Q, f) \longmapsto f \\ \mathcal{B}' \longrightarrow \mathcal{B}.$$

We want to define a corresponding quotient \mathcal{E} of \mathcal{E}' .
Thus given ~~$f: V' \hookrightarrow V$~~ let

$$\Gamma_f = \left\{ \theta \in \text{Aut } V \mid \begin{array}{l} \theta f = f \\ \text{Im}(\theta - 1) \subset \text{Im } f \end{array} \right\}.$$

$$\Gamma_f \simeq \text{Hom}(V/fV, V)$$

Introduce the equivalence relation ~~\sim~~ on the arrows of \mathcal{E}' lying over f :

$$(\theta, Q, f) \sim (\theta \gamma^{-1}, \gamma^* Q, f) \quad \forall \gamma \in \Gamma_f$$

I claim this equivalence relation is compatible with composition in \mathcal{E}' : Thus suppose we are given ~~γ~~

$$V'' \xrightarrow{f'} V' \xrightarrow{\theta'} V \\ Q' \qquad Q$$

and $\gamma \in \Gamma_f$

$$(\theta \gamma^{-1}, \gamma Q, f)(\theta', Q', f') = (\theta \gamma^{-1} \cdot (\gamma Q, f)_* \theta', \gamma Q + f Q', f')$$

$$(\theta, Q, f)(\theta', Q', f') = (\theta \cdot (Q, f)_* \theta', Q + f Q', f')$$

since $\gamma(Q+fQ') = \gamma Q + f\gamma Q'$ $(\gamma f=f)$

we must prove that

$$\gamma^{-1}(\gamma Q, f)_* \theta' \cdot \gamma = (\gamma Q, f)_* \theta'$$

But

$$\begin{aligned} (\gamma^{-1}(\gamma Q, f)_* \theta' \cdot \gamma)(f\circ \gamma) &= (\gamma^{-1}(\gamma Q, f)_* \theta' \gamma)(f\circ \gamma) \\ &= \gamma^{-1} f \theta' \circ \gamma = \theta' \circ \gamma = ((\gamma Q, f)_* \theta')(f\circ \gamma) \end{aligned}$$

$$(\gamma^{-1}(\gamma Q, f)_* \theta' \cdot \gamma)(g) = \gamma^{-1}(\gamma g) = g.$$

so OKAY here. NO $\Gamma_f \neq \Gamma_{ff'}$ so this is no good.

If on the other hand we have $\gamma' \in \Gamma_f'$

$$\begin{aligned} (\theta, Q, f)(\theta' \gamma'^{-1}, \gamma' Q', f') &= (\theta \cdot (Q, f)_* (\theta' \gamma'^{-1}), Q + f \gamma' Q', ff') \\ &= (\theta \cdot (Q, f)_* \theta' \cdot [(\gamma Q, f)_* \gamma]^{\circ -1}, Q + f \gamma' Q', ff') \end{aligned}$$

so all we need show is that

$$((\gamma Q, f)_* \gamma)(Q + fQ') \stackrel{?}{=} Q + f \gamma' Q'$$

which is ~~$\gamma + f\gamma$~~ clear. Thus we ~~can't~~ define \mathcal{E} by objects:

V

morph: $\text{Hom}_{\mathcal{E}}(V, V) = \text{Hom}_{\mathcal{E}'}(V, V)/\Gamma$.

i.e.

$$\text{Hom}_{\mathcal{E}}(V, V) = \prod_{f \in \text{Hom}_B(V, V)} \text{Hom}_{\mathcal{E}^f}(V^f, V^f)$$

The next point is that the set of maps of \mathcal{E} lying over $f: V' \hookrightarrow V$ in B is

$$\text{Aut}(V) \times \{Q \text{ comp. to } fV'\} / \Gamma_f$$

which is a simply-transitive $\text{Aut}(V)$ -space.

Thus the category $\mathcal{E} \rightarrow B$ is cofibred with fibres ~~over V~~ equivalent to $\text{Aut}(V)$.

I want now to show that \mathcal{E} has the homotopy type of $B\text{GL}(k)^+$. Because the functor $p: \mathcal{E} \rightarrow B$ is cofibrant one has the Leray spectral sequence for $p: \mathcal{E}^A \rightarrow B^A$, the induced morphism of topoi

$$E_2^{P6} = H^P(B, R^6 p_*(F)) \Rightarrow H^{P+6}(\mathcal{E}, F)$$

$$R^6 p_*(F)(V) = H^6(\text{Aut}(V), F)$$

Thus what I want to show is

$$H^P(B, V \mapsto H^6(\text{Aut}(V), \mathbb{Z})) = 0 \quad P > 0.$$

It will be better to use covariant functors,
~~there~~ and homology. Thus have for F in \mathcal{E}^\vee

$$E_{pq}^2 = H_p(B, L_g p_*(F)) \Rightarrow H_{p+q}(B, F)$$

$$L_g p_*(F) \cong H_g(\text{Aut}(V), F)$$

and what I want to show is the vanishing of

$$H_p(B, V \mapsto H_g(\text{Aut}(V), \mathbb{Z}))$$

for $p > 0$.

Let W be an infinite dimensional vector space over k . It is thus an ind-object of B , its endos being the injective ~~surjective~~ maps, which form a monoid M . Now consider the morphism of topoi

$$M^V \xrightarrow{f} B^V$$

constituted by the adjoint functors

$$(f_* F')(V) = \varprojlim_{V \rightarrow W} F'(W) \quad F'(W) \text{ is an } M\text{-set}$$

$$(f^* F^*)(W) = \varinjlim_{V \hookrightarrow W} F(V)$$

(since W is an ind-object, this is indeed a morphism of topoi!)

I want to show that

$$H_i(M, f^*F) = H_i(B, F)$$

for all i . Now I think this ought to result from

$$Rf_*(\mathbb{Z}) = \mathbb{Z}.$$

Both sides are homological functors of F , and for $i=0$ they coincide since

$$\varinjlim_{V \in B} F(V) \leftarrow \varinjlim_M \varprojlim_{V \in W} F(V)$$

(here we need to know that given any injection

$$\begin{array}{ccc} V_1 & \hookrightarrow & V_2 \\ \downarrow & \cap & \downarrow \\ W & \dashrightarrow & W \end{array} \quad \begin{array}{ccc} V_1 & \xrightarrow{\quad} & V_2 \subset W \\ \downarrow & + & \downarrow \\ W & \xrightarrow{\quad} & W \sqcup V_2 \dots \end{array}$$

a dotted arrow exists.)

so it is necessary to check effaceability of the right side. Try F of the form

$$F(V) = \prod_{\text{Hom}_B(V_0, V)} \mathbb{Z}$$

then

$$\begin{aligned} H_i(M, f^*F) &= H_i(M, \prod_{\substack{\text{Hom}_B(V_0, W)}} \mathbb{Z}) = H_i(V_0 \rightarrow W, \mathbb{Z}) \\ &= \left(\prod_{\substack{i \\ B}} \varinjlim_{V_0 \rightarrow W} \mathbb{Z} \right). \end{aligned}$$

Thus what we need to show is the contractibility of the category of ~~arrows~~ arrows $V \rightarrow W$, i.e. injections $V \rightarrow W$ under the action of the monoid of injections. This is clear by the ~~existence~~ of fibred products, i.e. we have the following

~~The next point to understand is the existence of the fibred products.~~

functor and morphisms of functors from the category $B(\text{Inj}(W, W), \text{Inj}(V, W))$ with objects s and arrows $ms \xleftarrow{in} s$ which is equivalent to the cat. of countable dimensional v.s. under V :

$$\begin{array}{ccccc}
 & & W_0 & & \\
 & \xrightarrow{\quad in_1 \quad} & W_0 \amalg_V W & \xleftarrow{\quad in_2 \quad} & W \\
 & \swarrow \text{cont. functor} & \downarrow & \nearrow \text{identity} & \\
 & & W & &
 \end{array}$$

Define

$$\mathcal{E} \longrightarrow B'$$

$$V \dashv \vdash V$$

$$(\theta, Q, f) \mapsto (\theta Q, \theta f)$$

this is well-defined ~~on~~ on \mathcal{E}

$$(\theta r, r^{-1}Q, f) \mapsto (\theta Q, \theta rf) = (\theta Q, \theta f)$$

and it's a function since

$$(\theta \cdot (Q, f)_* \theta', Q + fQ', ff') \mapsto \\ ([\theta \cdot (Q, f)_* \theta'] (Q + fQ'), [\theta \cdot (Q, f)_* \theta'] ff')$$

$$= (\theta Q + \theta f \theta' Q', \theta f \theta' f') = (\theta Q, \theta f) (\theta' Q', \theta' f').$$

Consider the change of coordinates

$$\langle \theta, Q, f \rangle = (\theta, \theta^{-1}Q, \theta^{-1}f).$$

$$\langle \theta, Q, f \rangle \langle \theta', Q', f' \rangle = (\theta, \theta^{-1}Q, \theta^{-1}f) (\theta', \theta'^{-1}Q', \theta'^{-1}f') \\ = (\theta \cdot (\theta'^{-1}Q, \theta'^{-1}f)_* \theta', \theta'^{-1}Q + \theta'^{-1}f \theta'^{-1}Q', \theta'^{-1}f \theta'^{-1}f') \\ = ((Q, f)_* \theta' \cdot \theta, " " ") \\ = \langle (Q, f)_* \theta' \cdot \theta, (Q, f)_* \theta' (Q + f \theta'^{-1}Q'), (Q, f)_* \theta' (f \theta'^{-1}f') \rangle \\ = \langle (Q, f)_* \theta' \cdot \theta, Q + fQ', ff' \rangle.$$

(Have used formulae

$$\theta \cdot (\theta'^{-1}Q, \theta'^{-1}f)_* \theta' = (Q, f)_* \theta' \cdot \theta \quad \text{or}$$

$$\theta \cdot (\theta'^{-1}Q, \theta'^{-1}f)_* \theta' \cdot \theta^{-1} = (Q, f)_* \theta'$$

To prove must check for $g \in Q$, $f \circ' \in V$:

$$\theta \cdot (\theta^{-1}Q, \theta^{-1}f) * \theta' \cdot \theta^{-1} \begin{cases} g \\ f \circ' \end{cases} = \begin{cases} \theta \theta^{-1}g \\ \theta \theta^{-1}f \theta' \circ' \end{cases} = \begin{cases} g \\ f \theta' \circ' \end{cases}.$$

Thus we have the composition formula

$$\boxed{\langle \theta, Q, f \rangle \times \langle \theta', Q', f' \rangle = \langle (\theta, f) * \theta' \cdot \theta, Q + fQ', ff' \rangle}$$

On the other hand observe that

$$\tilde{\theta}^1 \Gamma_f \theta \quad = \quad \Gamma_{\tilde{\theta}^1 f}$$

so if $r \in \Gamma_f$

$$\begin{aligned} \langle r\theta, Q, f \rangle &= (r\theta, \theta^{-1}r^{-1}Q, \theta^{-1}r^{-1}f) \\ &= (\theta \theta^{-1}r\theta, \theta^{-1}r^{-1}Q, \theta^{-1}f) \quad \Gamma_f f = f \\ &\sim (\theta, \theta^{-1}\cancel{\theta \theta^{-1}}r^{-1}Q, \theta^{-1}f) \\ &= \langle \theta, Q, f \rangle. \end{aligned}$$

$$\langle\langle \theta, Q, f \rangle\rangle = \langle\theta^{-1}, Q, f\rangle = (\theta^{-1}, \theta Q, \theta f)$$

$$\langle\langle \theta, Q, f \rangle\rangle \langle\langle \theta', Q', f' \rangle\rangle = (\theta^{-1}, \theta Q, \theta f)(\theta'^{-1}, \theta' Q', \theta' f')$$

$$= (\theta^{-1} \cdot (\theta Q, \theta f) \cdot \theta'^{-1}, \theta Q + \theta f \theta' Q', \theta f \theta' f')$$

$$= ((Q, f) \cdot \theta'^{-1} \cdot \theta^{-1},)$$

$$= ([\theta \cdot (Q, f) \cdot \theta']^{-1}, [\theta \cdot (Q, f) \cdot \theta'] (Q + f Q'), [\theta \cdot (Q, f) \cdot \theta'] ff')$$

$$= \langle\langle \theta \cdot (Q, f), \theta', Q + f Q', ff' \rangle\rangle$$

Also if $x \in \Gamma_f$, then

$$\langle\langle \theta x, Q, f \rangle\rangle = (x^{-1} \theta^{-1}, \theta x Q, \theta x f)$$

$$= (\theta^{-1} \theta x^{-1} \theta^{-1}, \theta x Q, \theta x f) \quad \theta x^{-1} \in \Gamma_{\theta}$$

$$\approx (\theta^{-1}, \theta Q, \theta f)$$

$$= \langle\langle \theta, Q, f \rangle\rangle.$$

Thus the category \mathcal{E} I have constructed
~~isomorphic to category filled with the~~
~~category~~ is equivalent to category with

objects V

morphisms: a complemented injection $(Q, f): V' \rightarrow V$
 + a coset in $\text{Aut } V / \Gamma_f$.

February 18, 1972.

I want now to understand passage to the limit a bit better.

Let W be an infinite dimensional vector space (countable dimension, to simplify). Then we can ~~affix~~ restrict the category \mathcal{E} to B_W , i.e. consider the category $\tilde{\mathcal{E}}_W$ with objects $V \subset W$ and morphisms equivalence classes of (θ, Q, i) , where $i: V' \rightarrow V$ is the inclusion, and Q is a complement of V' , and $\theta \in \text{Aut}(V)$. Now following SGAA we form the inductive limit category

$$\mathcal{E}_W = \varinjlim_{V \subset W} (\mathcal{E}, \text{Aut}(V))$$

by inverting all the arrows in $\tilde{\mathcal{E}}_W$. Thus the objects of \mathcal{E}_W are the f.d. subspaces $V \subset W$ and ~~also~~

$$\text{Hom}_{\mathcal{E}_W}(V'', V') = \varinjlim_{V''+V' \subset V \subset W} \text{Aut}(V).$$

This has to be made clear! ~~affix~~ The first thing to note is that given $i: V' \subset V$ when we say that $i_*(V') \cong V$ we must give a cartesian arrow over i , i.e. a triple (θ, Q, i) . ~~affix~~ Now of course we will pick the arrow belonging to some splitting Q , i.e.

$$i_*(V') \cong V \text{ means } \left\{ \begin{array}{l} V' \xrightarrow{(\theta, Q, i)} V \\ V' \xrightarrow{i} V \end{array} \right.$$

Thus it would seem that the category \mathcal{E}_W is a groupoid with group isomorphic to

$$\varinjlim_{T \subset S} \text{Aut}(k[T])$$

if $W = k[S]$.

What is the relation between this thing and the ~~the~~ subgroup of $\text{Aut}(W)$ consisting of automorphisms of the form $I + K$, $K: W \xrightarrow{\sim} W$ of finite rank?

First observe that if $\Theta = I + K$ is of this form then we can choose $Q \subset \text{Ker}(K)$ of finite codimension such that $Q \cap \text{Im}(K) = 0$, and we can choose $V' \subset W$ such that $\text{Im}(K) \subset V'$, $V' \oplus Q = W$. Then

$$K: Q \longrightarrow Q \quad \text{is zero}$$

$$K: V' \longrightarrow V'$$

and so Θ comes from an auto. of V' i.e.

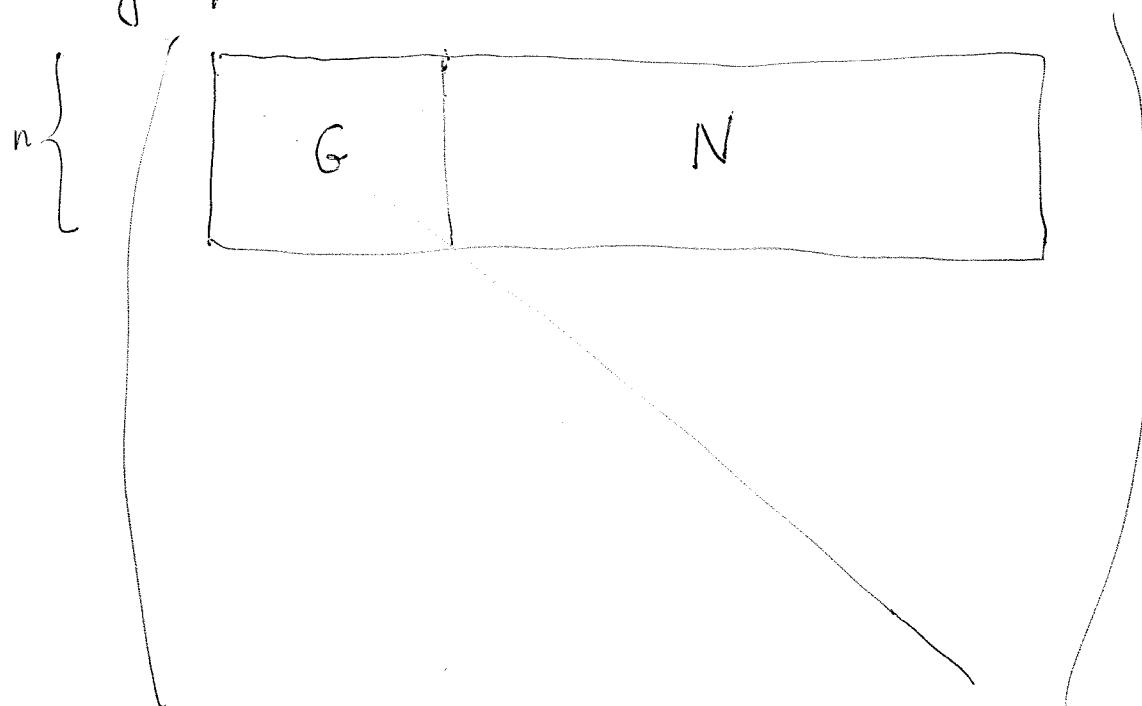
$$\Theta = (Q, f)_* \Theta'$$

where $\Theta': V' \rightarrow V'$ is $I + K|_{V'}$.

Conjecture: $GL_{\infty}(R) \subset \text{Aut}^f(R^{(\infty)})$ induces an isomorphism on cohomology.

$\text{Aut}^f(R^{(\infty)})$ is the subgroup of automorphisms of the form $1+K$, where K is of finite rank. This means that K lies in the submodule $E \otimes E^* \subset \text{End}(E)$.

In terms of matrices K has only finitely many non-zero rows. ~~Thus it is~~ Thus it is union of the subgroups



~~so~~ $G \tilde{\times} N$. Again we consider the extension

$$1 \longrightarrow N \longrightarrow G \tilde{\times} N \longrightarrow G \longrightarrow 1$$

and the unstable argument goes through. So I need only check the norm argument.

Actually the following argument should be simpler.
 Observe that if H is a fin. gen. subgroup of $\text{Aut}^f(\mathbb{K}^{(0)})$, then it should be possible to find a decomposition

$$k^{(0)} = V' \oplus Q$$

so that H comes from a subgroup of V' . Then ~~then~~
all you have to do is show that $\text{Aut}(k^{(0)})$ acts
trivially on the cohomology of $\text{Aut}^f(k^{(0)})$.

February 19, 1972

Consider a ring R and an automorphism of $R^{(S)}$ of the form $1+K$ where $K: R^{(S)} \rightarrow R^{(S)}$ is an endomorphism of finite rank, i.e. contained in R^T for some finite subset T of S . (In general if E is an ~~vector~~ R -module we have a map

$$\boxed{\text{Hom}_R(E, R) \otimes_R E} \longrightarrow \text{Hom}_R(E, E)$$

and elements in the image ~~vector~~ are what I mean by endomorphisms of finite rank. More generally, I can consider

$$\text{Hom}_R(E, R) \otimes_R F \longrightarrow \text{Hom}_R(E, F)$$

which will be an isomorphism for ~~vector~~ F f.g. projective, and injective for F infinitely generated and free.)

I want now to understand when ~~vector~~ given $1+K$, does there exist a splitting $R^{(S)} = V \oplus Q$ with V finitely generated such that $K(V) \subset V$, $K(Q) = 0$. Consider $\text{Im } K \subset R^T$ for some finite $T \subset S$. If $\text{Im } K$ is finitely generated let ~~vector~~ $K e_i$ $i \in T_1$ generate it and write

$$K e_j = \sum_{i \in T_1} r_{j,i} K e_i \quad j \in S - T_1$$

and let Q' be spanned by

$$e_j - \sum_i r_{j,i} e_i \quad j \in S - T_1.$$

Then Q' is a direct summand of V and by making the evident change of coordinates we can suppose

$$e_j \in \text{Ker}(K) \quad j \in S - T_1$$

Now take Q to be spanned by

$$e_j \quad j \in S - T_1 - T$$

and V to be spanned by

$$e_j \quad j \in T_1 \cup T.$$

Then $V \supset R^T \supset \text{Im } K$, $Q \subset \text{Ker}(K)$ and $Q \oplus V = R^{(S)}$, so ~~so~~ we have what we want.

Thus if $\text{Im } K$ is finitely generated, \exists splitting $R^{(S)} = V \oplus Q$ with $K(Q) = 0$ $K(V) \subset V$. and V finitely generated.

Conversely if such a splitting exists $\nexists \text{Im } K = K(V)$ is finitely generated.

So if R is not noetherian, then there are finite rank maps

$$R^{(S)} \xrightarrow{K} R$$

whose kernels are so small they contain no ~~summand~~ Q of finite corank.

Now suppose R noetherian, or better, a field k , (since we know already there are limitations on the generality possible). Then for each decomposition

$$R^{(s)} = V \oplus Q$$

I get a subgroup

$$(V, Q) \in \text{Aut}(V) \subset \text{Aut}_f(R^{(s)})$$

and the union of these subgroups in $\text{Aut}_f(R^{(s)})$. Suppose I partially order the decompositions:

$$(V, Q) \leq (V', Q') \quad \text{if} \quad \begin{cases} V \supseteq V' \\ Q \supseteq Q' \end{cases}$$

I then want to show that the partially ordered set is directed. So given (V_1, Q_1) and (V_2, Q_2) , I consider the map

$$R^{(s)} \xrightarrow{\varphi} R^{(s)}/Q_1 \times R^{(s)}/Q_2 \cong V \oplus V'$$

The image is finitely generated, as R is noetherian, hence I know there exists a summand Q of finite corank in the kernel, i.e. in $Q_1 \cap Q_2$. In fact I consider this by choosing $T \subset S$ so that $\varphi(e_i)$, $i \in T$, spans $\text{Im } \varphi$ and letting Q be a complement to R^T spanned by vectors of the form $e_j - \sum_{i \in T} r_{ij} e_i$, $j \notin T$. Choosing T so large that

V_1 and V_2 are contained in $V = R^T$, we are done.

Therefore when R is noetherian

$$\text{Aut}_f(R^{(s)}) = \varinjlim_{(V, Q)} \text{Aut}(V)$$

where (V, Q) runs over the directed set of decompositions

$$R^{(s)} = V \oplus Q$$

with V finitely generated.

It follows that

$$H_*(\text{Aut}_f(R^{(s)})) = \varinjlim_{(V, Q)} H_*(\text{Aut}(V))$$

but we have already seen that if $V' \subset V^*$ is a direct injection, the arrow

$$H_*(\text{Aut}(V^*)) \rightarrow H_*(\text{Aut}(V)),$$

induced by choosing a complement, is in fact independent of the choice. Thus

$$H_*(\text{Aut}_f(R^{(s)})) = \varinjlim_V H_*(\text{Aut}(V))$$

where V runs over the finitely generated direct summands of $R^{(s)}$. By cofinality this is the same as

$$H_*(\text{GL}(R)).$$

February 20, 1972.

Suppose k a field to simplify. Fix a vector space W and consider the category whose objects are exact sequences

$$0 \longrightarrow V_0 \longrightarrow V \longrightarrow W \longrightarrow 0$$

with V_0 finite-dimensional, and whose morphisms are isomorphisms of exact sequences inducing the identity on W . Now this category has a direct sum operation: Given $V \rightarrow W$ and $V' \rightarrow W$ we get

$$V \times V' \xrightarrow{W} W$$

with kernel ~~$V \oplus V'$~~ $\simeq V_0 \oplus V'_0$. The operation is commutative, associative, and unitary (e.g. permutative category). In addition it is cofibred over the category of finite diml k -vector spaces meaning that given $V_0 \rightarrow Z$ we have a functor

$$\begin{array}{ccccccc} 0 & \longrightarrow & V_0 & \longrightarrow & V & \longrightarrow & W \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & Z & \longrightarrow & Z \amalg_{V_0} V & \longrightarrow & W \longrightarrow 0. \end{array}$$

And finally Grothendieck has signalled that it is left exact in the sense that given

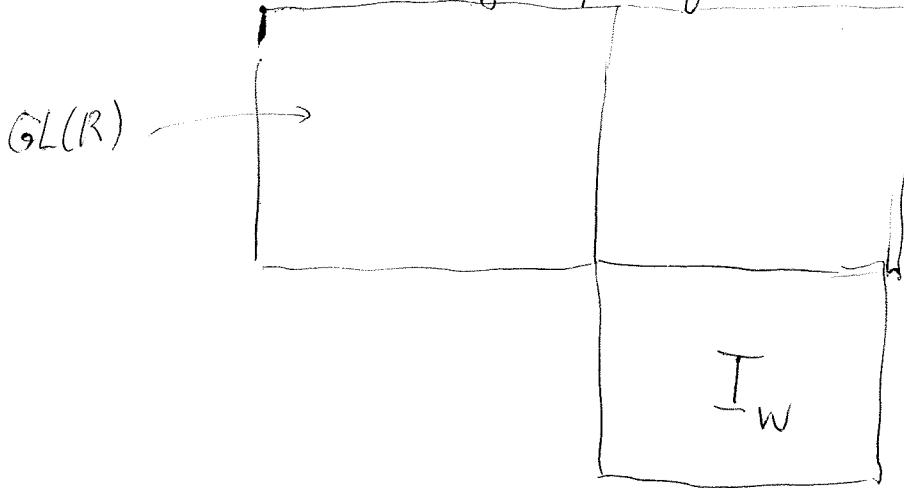
$$0 \longrightarrow V_0' \longrightarrow V_0 \longrightarrow V_0'' \longrightarrow 0$$

then $\underline{\text{Ext}}(W, V_0')$ is the fibre of $\underline{\text{Ext}}(W, V_0) \rightarrow \underline{\text{Ext}}(W, V_0'')$.

Now I believe the old norm argument works. Thus let me suppose given a stable exponential class Θ for representations of groups in $\underline{\text{Ext}}(?, W)$. Observe that ~~the monoid of~~ the monoid of isomorphism classes of such extensions is \mathbb{N} , so Θ should be the same as a ring homomorphism

$$H_*(G) \longrightarrow S$$

where G is the group of autos of $R^{(N)} \oplus W$



consisting of matrices ~~with top left part~~ $I + K$, where $K(W) = 0$ and $\text{Im } K \subset R^T$ T finite. Better

$$G = \bigcup G_n$$

where $G_n = \text{GL}_n R \times \text{Hom}(W, R^n) \subset \text{Aut}(R^n \oplus W)$

$$(\alpha, \beta)(v, w) = \alpha(v) + \alpha\beta w + w$$

Thus G_n is a semi-direct product where the second factor is an abelian group, an R -module. Thus it is now clear to me that the main argument should work.

Conclusions:

I). The group of matrices

$$G_n = GL_n R \times \text{Hom}_R(W, R^n)$$

and any ring R

for any R -module W , has the same cohomology as $GL_n R$ in the limit as $n \rightarrow \infty$.

II) If W is an infinitely-generated free R -module, then the group of autom. of W of the form $I + K$ where K ~~is an endomorphism of W~~ is an endomorphism of W of "trace class" (comes from $W \otimes W^*$) has the same cohomology as $GL(R)$.

Note: three subgroups

$$GL(R) \subset \{I + K \mid \text{Im } K \text{ fin. gen}\} \subset \{I + K\}$$

D. Quillen

February 24, 1972.

three diml. real class belonging to a
codim 1 foliation. Attempt at ~~finding~~
finding the corresponding 2-diml class
for group of diffes of \mathbb{R} with comp. support.

Let L be a real line bundle ~~over a~~ over a manifold X stratified with respect to a foliation of codim 1. To define an element of $H^3(X, \mathbb{R})$. Observe $p_1(L) = \pm c_1(L)^2$ vanishes for two reasons - by Bott's theorem, and because $c_1(L)$ is torsion.

Choose a metric $| \cdot |$ on L . If D' is a connection preserving the metric and s is a local section

$$D's = s\theta'$$

then

$$\begin{aligned} d|s|^2 &= \langle s\theta, s \rangle + \langle s, s\theta \rangle \\ &= 2|s|^2\theta' \end{aligned}$$

or

$$\theta' = \frac{1}{2} d \log |s|^2 = d \log |s|$$

~~The given metric $| \cdot |$ is not necessarily flat, so θ' is not determined up to \mathbb{R} .~~
Observe the curvature $d\theta' = 0$ in this connection.

On the other hand, let D be ~~a~~ connection adapted to the S -connection given on L , and let s be an S -flat local section (S = subbundle of T of tangents to the leaves). Then

$$Ds = s\theta$$

where θ is a ~~local~~ section of Q^* , ($Q = T/S$). Then the curvature in this connection is $d\theta$, which is a local section of Q^*T^* , so $(d\theta)^2 \subset \Lambda^2 Q^* \cdot \Lambda^2 T^* = 0$,

since $\dim(Q) = 1$.

Now $\Theta - \Theta'$ is a global 1-form (independent of the choice of s), and so is $d\Theta$, so also is $(\Theta - \Theta')d\Theta$

But this form is closed, since

$$d[(\Theta - \Theta')d\Theta] = (d\Theta)^2 = 0$$

so we get an element of $H^3(X, \mathbb{R})$. I omit the verification that this class is independent of the choices. (see page 3)

Next take $L = Q^*$. Here the S-connection \tilde{D} may be identified with d :

$$\begin{array}{ccccc} Q^* & \xrightarrow{d} & Q^* \wedge T^* & \xrightarrow{d} & Q^* \wedge \Lambda^2 T^* \\ \parallel & & \uparrow s & & \uparrow \\ Q^* & \xrightarrow{\tilde{D}} & Q^* \otimes S^* & \xrightarrow{\tilde{D}} & Q^* \otimes \Lambda^2 S^* \end{array}$$

Choose a metric on Q^* and let ω denote a local unit section. Then to lift \tilde{D} to a connection D means we choose a form $\tilde{\Theta}$ with

$$d\omega = \omega \wedge \tilde{\Theta}$$

and put

$$D\omega = \omega \cdot \tilde{\Theta}$$

Let $f\omega$ be an S-flat local section. Then

$$\Theta' = d \log |f\omega| = d \log f$$

$$D(f\omega) = \omega df + f\omega \tilde{\Theta} = f\omega \left(\frac{df}{f} + \tilde{\Theta} \right)$$

$$\theta = \frac{df}{f} + \tilde{\theta}$$

Thus our three form is

$$(\theta - \theta')d\theta = \tilde{\theta} \cdot d\tilde{\theta}.$$

Procedure: To find the three form, choose a non-vanishing section ω of Q^* (possible over double covering) and choose $\tilde{\theta}$ so that

$$d\omega = \omega \tilde{\theta}$$

(possible by integrability). $\tilde{\theta}$ exists ^{globally} over X . Then the three form is $\tilde{\theta} d\tilde{\theta}$.

Why well-defined: (write θ for $\tilde{\theta}$)

$d(\theta \cdot d\theta) = (d\theta)^2$. $0 = d^2\omega = d\omega \cdot \theta - \omega d\theta = -\omega d\theta$ so as ω non-vanishing $\exists \eta \quad d\theta = \eta \omega \Rightarrow (d\theta)^2 = 0$. Thus $\theta \cdot d\theta$ is a closed form.

Change θ to $\theta + fw$. Then

$$\begin{aligned} (\theta + fw)(d\theta + df\omega + f d\omega) &= \theta \cdot d\theta + \theta \cdot df\omega + \theta \cdot f d\omega \\ &\quad + f d\theta + f d\omega + f \omega f d\omega \\ &= \theta \cdot d\theta + d(f d\omega) \end{aligned}$$

Change ω to fw where f non-vanishing. ~~so~~

$$d(fw) = df \omega + f \omega \theta = f \omega \left(-\frac{df}{f} + \theta \right)$$

$$\left(-\frac{df}{f} + \theta \right) (\theta + d\theta) = \theta \cdot d\theta + d\left(\frac{df}{f} \theta \right)$$

so the cohomology class is well-defined.

February 25, 1972

Let $Y = X \times \mathbb{R}$ be endowed with a codimension 1 foliation $S \subset T_Y$ transversal to the fibres. ~~the~~
 Thus if $\pi: Y \rightarrow X$ is the projection, the composite

$$S \rightarrow T_Y \rightarrow \pi^* T_X$$

is an isomorphism, and so the two exact sequences

$$0 \rightarrow S \rightarrow T_Y \rightarrow Q \rightarrow 0$$

$$0 \rightarrow T_\pi \rightarrow T_Y \rightarrow \pi^* T_X \rightarrow 0$$

split each other, i.e. have Cartan-Eilenberg picture

$$\begin{array}{ccccc} 0 & \xrightarrow{\quad} & S & \xrightarrow{\sim} & \pi^* T_X & \xrightarrow{\quad} 0 \\ & & \downarrow & \nearrow & \downarrow & \\ & & T_Y & & & \\ & & \downarrow & \nearrow & \downarrow & \\ 0 & \xrightarrow{\quad} & T_\pi & \xrightarrow{\sim} & Q & \xrightarrow{\quad} 0 \end{array}$$

Let $t: Y \rightarrow \mathbb{R}$ be the second projection. Then we can project $dt \in \Gamma(Y, T_Y^*)$ onto $\Gamma(Y, Q^*)$ to obtain a section ω of the latter which locally is of the form

$$\omega = dt + \sum_{i=1}^m a_i dx_i$$

$$m = \dim X$$

$$a_i = a_i(x_j t)$$

A ~~vector field~~ vector field

$$v = u_0 \frac{\partial}{\partial t} + \sum u_i \frac{\partial}{\partial x_i}$$

is tangent to the foliation iff

$$\langle v, \omega \rangle = u_0 + \sum a_i u_i = 0$$

Thus the ~~foliation~~ bundle S has the frame

$$v_i = -a_i \frac{\partial}{\partial t} + \frac{\partial}{\partial x_i} \quad 1 \leq i \leq m$$

since

$$[v_i, v_j] = \left[-a_i \frac{\partial}{\partial t} + \frac{\partial}{\partial x_i}, -a_j \frac{\partial}{\partial t} + \frac{\partial}{\partial x_j} \right]$$

$$= \begin{bmatrix} a_i \frac{\partial a_j}{\partial t} - a_j \frac{\partial a_i}{\partial t} \\ -\frac{\partial a_j}{\partial x_i} + \frac{\partial a_i}{\partial x_j} \end{bmatrix} \frac{\partial}{\partial t}$$

and this must lie in S by integrability. Thus the equations

$$a_i \frac{\partial a_j}{\partial t} - a_j \frac{\partial a_i}{\partial t} + \frac{\partial a_i}{\partial x_j} - \frac{\partial a_j}{\partial x_i} = 0 \quad \forall i, j$$

express the integrability of S .

Suppose now $\tilde{\Theta}$ such that $d\omega = \omega \tilde{\Theta}$. By removing $f\omega$ from $\tilde{\Theta}$ we can suppose that $\tilde{\Theta} \in \Gamma(Y, \pi^* T_X^*)$, which locally means $\tilde{\Theta}$ is of the form

$$\tilde{\Theta} = \sum b_i dx_i.$$

Thus

$$\begin{aligned} \omega \tilde{\Theta} &= \sum b_i dt dx_i + \sum a_i b_j dx_i dx_j \\ &= \sum_i b_i dt dx_i + \sum_{i < j} (a_i b_j - a_j b_i) dx_i dx_j \end{aligned}$$

$$\begin{aligned}
 d\omega &= \sum_i \frac{\partial a_i}{\partial t} dt dx_i + \sum_{i,j} \frac{\partial a_i}{\partial x_j} dx_j dx_i \\
 &= \sum_i \frac{\partial a_i}{\partial t} dt dx_i + \sum_{i < j} \left(\frac{\partial a_j}{\partial x_i} - \frac{\partial a_i}{\partial x_j} \right) dx_i dx_j
 \end{aligned}$$

so we conclude that

$$b_i = \frac{\partial a_i}{\partial t}$$

Thus

$$\tilde{\theta} = \sum_{i=1}^n \frac{\partial a_i}{\partial t} dx_i$$

$$d\tilde{\theta} = \sum \frac{\partial^2 a_i}{\partial t^2} dt dx_j + \sum \frac{\partial^2 a_k}{\partial t \partial x_j} dx_j dx_k$$

$$\tilde{\theta} \cdot d\tilde{\theta} = \sum_{i,j} -\frac{\partial a_i}{\partial t} \frac{\partial^2 a_j}{\partial t^2} dt dx_i dx_j + \sum_{i,j,k} \frac{\partial a_i}{\partial t} \frac{\partial^2 a_k}{\partial t \partial x_j} dx_i dx_j dx_k$$

Recall the foliation for $|t|$ large is flat, i.e.
 $a_i \equiv 0$ for $|t|$ large, so $\tilde{\theta} \cdot d\tilde{\theta}$ is a form with
support proper over X . We want then the 2-form

$$\pi_* (\tilde{\theta} \cdot d\tilde{\theta}) = \int w \tau \rightarrow t$$

Thus last term disappears and we get

$$\pi_*(\tilde{\theta} \cdot d\tilde{\theta}) = \sum_{i < j} \int_{t=-\infty}^{t=\infty} \left[\frac{\partial^2 a_i}{\partial t^2} \cdot \frac{\partial a_j}{\partial t} - \frac{\partial a_i}{\partial t} \frac{\partial^2 a_j}{\partial t^2} \right] dt \cdot dx_i dx_j$$

I want to review Bott's formulas for Chern classes in terms of transition functions.

Suppose $E \rightarrow X$ is a complex vector bundle over a manifold X . Let $\{U\}$ be a covering of X over which the bundle is trivial, and choose trivializations

$$s_u: U \times \mathbb{C}^d \xrightarrow{\sim} E_u.$$

I will think of s_u as a map from sections of the trivial bundle to sections of E_u . Thus

$$s_u = s_v g_{vu}$$

where $g_{vu}: V \cap U \rightarrow GL_d(\mathbb{C})$ is a smooth function. Similarly if D is a connection, and $v: U \rightarrow \mathbb{C}^d$ is a section of the trivial bundle

$$D s_u(v) = s_u(\theta_u + d)(v)$$

where θ_u is a $d \times d$ -matrix of 1-forms on X , and d denotes the canonical connection on the trivial bundle. Abbreviation:

$$D s_u = s_u(\theta_u + d)$$

Next compute change in forms going from

$u \rightarrow V:$

$$s_V = s_u g_{uv}$$

$$D(s_V) = s_V(\theta_V + d) = s_u g_{uv}(\theta_V + d)$$

"

$$D(s_u g_{uv}) = s_u(\theta_u + d)g_{uv}$$

~~$\theta_u g_{uv} + dg_{uv} + g_{uv}d$~~

$$= s_u (\theta_u g_{uv} + dg_{uv} + g_{uv}d)$$

so

$$\boxed{g_{uv} \theta_V = \theta_u g_{uv} + dg_{uv}}$$

or

~~$\theta_V = g_{uv}^{-1} \theta_u g_{uv} + g_{uv}^{-1} dg_{uv}$~~

$$\boxed{\theta_V = g_{uv}^{-1} \theta_u g_{uv} + g_{uv}^{-1} dg_{uv}}$$

Formula for curvature

$$\begin{aligned} D^2 s_u &= D s_u(\theta_u + d) \\ &= s_u(\theta_u + d)(\theta_u + d) \\ &= s_u(\theta_u \theta_u + \theta_u d + d \theta_u - \theta_u d) \end{aligned}$$

so

$$\boxed{K_u = \theta_u \theta_u + d \theta_u}$$

Now suppose $\{s_u\}$ is a partition of unity. Then we start with the local connections

$$D_u s_u = s_u d$$

$$D_V s_u = D_V(s_V g_{vu}).$$

$$= \cancel{s_V} s_V d \cdot g_{vu}$$

$$= s_V (d g_{vu} + g_{vu} d)$$

~~$s_u (g_{vu}^{-1} dg_{vu} + d)$~~

$$= s_u (g_{vu}^{-1} dg_{vu} + d)$$

and average: $D = \sum s_V D_V$.

$$D(s_u) = (\sum s_V D_V) s_u$$

$$= s_u (\sum s_V g_{vu}^{-1} dg_{vu} + d)$$

hence

$$\boxed{\theta_u = \sum_V s_V g_{vu}^{-1} dg_{vu}}$$

Back to $\pi: Y \rightarrow X$, let $\{U\}$ be a covering of X over which the bundle is "trivial" and let $f_u: \pi^{-1}U \rightarrow \mathbb{R}$ be flat coordinate functions. Then we can suppose

$$t = \sum_V p_V f_V \quad (\text{strictly } p_V \circ \pi)$$

where $\{f_u\}$ is a partition of unity. Also we can take

$$\omega = \sum_V p_V df_V$$

for basis of Q^* . ~~(not really a basis)~~
since

$$dt = \sum V p_V \cdot f_V + \omega$$

$$\omega = dt - \sum V f_V dp_V$$

so ω is locally of the form

$$\omega = dt + \sum a_i dx_i$$

$$-a_i = \sum_V f_V \frac{\partial p_V}{\partial x_i}$$

Thus

$$\tilde{\theta} = - \sum_{i,V} \frac{\partial f_V}{\partial t} \frac{\partial p_V}{\partial x_i}$$

$$\pi_*(\tilde{\theta} \cdot d\tilde{\theta}) = \sum_{i,j} \left(\int_{-\infty}^{\infty} \frac{\partial^2 a_i}{\partial t^2} \frac{\partial a_j}{\partial t} dt \right) dx_i dx_j$$

$$\begin{aligned}
 &= \sum_{i,j} \left(\int_{-\infty}^{\infty} dt \sum_V \frac{\partial^2 f_V}{\partial t^2} \frac{\partial p_V}{\partial x_i} \cdot \sum_W \frac{\partial f_W}{\partial t} \frac{\partial p_W}{\partial x_j} \right) dx_i dx_j \\
 &= \sum_{V,W} \left(\int_{-\infty}^{\infty} \frac{\partial^2 f_V}{\partial t^2} \frac{\partial f_W}{\partial t} dt \right) dp_V dp_W
 \end{aligned}$$

The trouble with this formula is that we don't know what t is.

February 25, 1972.

{stable splitting of exact sequences,
of representations.}

Fix a f.d. v.s. V_0 and consider the category
of arrows $V_0 \xrightarrow{V} V$ ~~and~~ and isomorphisms
inducing the identity on V_0 . Then this category,
call it \mathcal{A} , has a permutative operation. Moreover
we have the identity

$$(V \xrightarrow{V_0} V) = V \xrightarrow{V_0} (V_0 \oplus V/V_0)$$

The point is that the map

$$\begin{matrix} V & \longrightarrow & V \xrightarrow{V_0} V \\ w & & (w, +w) \end{matrix}$$

kills V_0 and hence induces a map

$$V/V_0 \longrightarrow V \xrightarrow{V_0} V$$

so that

$$\begin{matrix} V \oplus V/V_0 & \xrightarrow{\sim} & V \xrightarrow{V_0} V \\ v & w & (v-w, w) \end{matrix}$$

Now the isomorphism classes of \mathcal{A} , $Is(\mathcal{A})$, is
an abelian monoid, \mathbb{N} in the case of modules over
a field. Characteristic classes are thus classified
by points of the Hopf algebra.

$$\textcircled{1} \quad Aut(P_0 \oplus V_0; V_0)$$

II

$$\bigoplus_{P \in S} H_*(Aut(P_0) \times \text{Ham}(P_0, V_0))$$

where P_j are representatives for the different isom. classes of projective f.g. R -modules.

~~the~~ If θ is an invertible exponential char class, then we have for any representation E

$$\theta(E \xrightarrow{V_0} E) = \theta(E) \theta(V_0)$$

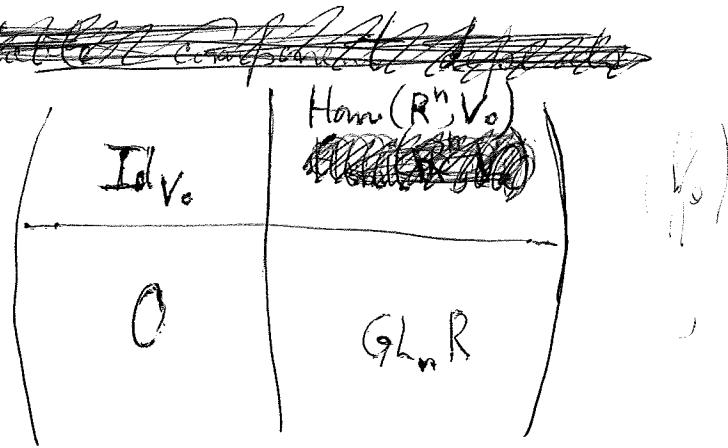
$$\theta(E \xrightarrow{V_0} (E \oplus E/V_0)) = \theta(E) \theta(V_0 \oplus E/V_0)$$

so by invertibility, we have

$$\theta(E) = \theta(V_0 \oplus E/V_0).$$

Now I recall we can split ~~the~~ invertible exponential classes into ~~the~~ inessential and stable components, the stable ones being classified by points of

$$\varinjlim_n H_*(GL_n R \tilde{\times} \text{Hom}(R^n, V_0))$$



Since we have the identity $\theta(E) = \theta(V_0 \oplus E/V_0)$ we conclude that

$$\varinjlim_n H_*(GL_n R \times \text{Hom}(R^n, V_0)) \simeq \varinjlim_n H_*(GL_n R).$$

similarly in the other direction we can consider the category of surjections

$$V \rightarrow V_0$$

with V_0 fixed. This has permutative structure

$$V \times_{V_0} V'$$

and again we have

$$V \times_{V_0} V \simeq V \times_{V_0} (V_0 \oplus \text{Ker})$$

so again we can conclude

$$\varinjlim_n H_*(GL_n R \times \text{Hom}(V_0, R^n)) = \varinjlim_n H_*(GL_n R).$$

In a general ^{small} abelian category consider representations on extensions

$$V_0 \hookrightarrow V$$

inducing the identity on V_0 . Claim that any stable characteristic class $(\Theta(E \xrightarrow{V_0} V) = \Theta(E))$ if G acts trivially on V) ~~is~~ satisfies

$$\Theta(E) = \Theta(E/V_0) \oplus V_0)$$

at least with field coefficients. In effect, let S be the monoid of iso. classes of such extensions, and $\{V_s\}$ a system of representatives. Then char. classes for all repns. are linear functionals on

$$\bigoplus_s H_*(\mathrm{Aut} V_s)$$

and the stable classes are linear functions on

$$\varinjlim H_*(\mathrm{Aut} V_s).$$

Now let $T \subset S$ be the class of split extensions, so that $T = I_0(a)$. Then we are claiming that

$$(x) \quad \varinjlim_t H_*(\mathrm{Aut} V_t) \xrightarrow{\sim} \varinjlim_s H_*(\mathrm{Aut} V_s)$$

(A stable Θ is a linear fn. on latter; its composition with the map is the restriction to split representations. Since $\varphi(E) = \Theta(E/V_0 \oplus V_0)$ is a stable class, ~~is~~ the map is

has a retraction, so the only point is its surjectivity, i.e. whether $\varphi(E) = \Theta(E)$.) Now the point is that

$$\lim_{\leftarrow} H_*(\mathrm{Aut} V_s)$$

connected
is a Hopf algebra whose points are the stable exponential classes. Previous arg:

$$\Theta(E) \cdot \Theta(E) = \Theta(E \xrightarrow{V_0} E) = \Theta(E) \cdot \Theta(E/V_0 \oplus V_0)$$

$$\Theta(E) = \Theta(E/V_0 \oplus V_0)$$

Θ invertible by connectedness of Hopf algebra. Thus the map $(*)$ in question induces an isomorphism on points, and so is an isomorphism.

Question:

~~Suppose~~ Let \mathcal{A} be a small abelian category, and Θ an exponential class for representations in \mathcal{A} , i.e.

$$\Theta(E_1 \oplus E_2) = \Theta(E_1) \Theta(E_2).$$

Assume also that for any exact sequence of reprs.

$$0 \longrightarrow V \longrightarrow E \longrightarrow E'' \longrightarrow 0$$

with V a trivial representation, we have

$$\Theta(E) = \Theta(E'')$$

Then is Θ exponential for all exact sequences of representations?

Proposition: Let Θ be a characteristic class for representations in a small abelian category \mathcal{A} . Assume that for any ~~any~~ exact sequence of repns.

$$0 \rightarrow E' \rightarrow E \rightarrow E'' \rightarrow 0$$

with E'' trivial we have

$$\Theta(E') = \Theta(E).$$

Then we have $\Theta(E) = \Theta(E'')$ whenever E' is trivial.

Proof: Fix V_0 and consider repns. in the category of injections $V_0 \hookrightarrow V$. Then if E is such a representation ($E' = V_0$) and if V is a trivial repn. in the category, we have an exact sequence of repns

~~$$0 \rightarrow E \rightarrow E \amalg V \rightarrow V/V_0 \rightarrow 0$$~~

$$0 \rightarrow E \rightarrow E \amalg^{\overset{V_0}{\downarrow}} V \rightarrow V/V_0 \rightarrow 0$$

hence

$$\Theta(E) = \Theta(E \amalg^{\overset{V_0}{\downarrow}} V)$$

by hypothesis. By previous arguments we know then that

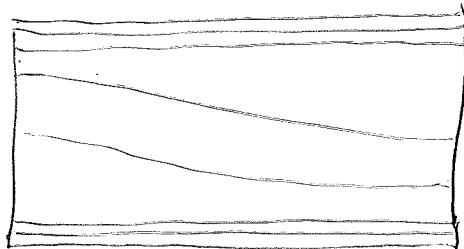
$$\Theta(E) = \Theta(E/V_0)$$

q.e.d.

February 26, 1972:

Another approach: suppose given a bundle $Y \rightarrow X$ with foliation transversal to the fibres and flat "way out"

$Y:$



X

and suppose also given $\{u\}$ and $f_u: Y_u \rightarrow \mathbb{R}$ flat such that $f_v = g_{vu} \circ f_u$

where g_{vu} is a diffeom. with compact support of \mathbb{R} . Following the Bott-Segal idea, for each simplex $\sigma = \{u_0, \dots, u_g\}$ of the nerve of the covering consider $u_0 \cap \dots \cap u_g \times \Delta(g)$. Over this we have

$$\omega_{u_0 \dots u_g} = \sum_{i=0}^g t_i \, df_{u_i}$$

where t_i are the barycentric coordinates. Observe

$$f_{u_i} = g_{u_i u_0} \circ f_{u_0}$$

$$df_{u_i} = (g'_{u_i u_0} \circ f_{u_0}) \, df_{u_0}$$

so

$$\omega_{u_0 \dots u_g} = \sum t_i (g'_{u_i u_0} \circ f_{u_0}) \cdot df_{u_0}$$

$$d\omega_{u_0 \dots u_g} = \sum t_i dt_i (g'_{u_i u_0} \circ f_{u_0}) \, df_{u_0}$$

so

$$\theta_{u_0 \dots u_8} = - \frac{\sum dt_i (g'_{u_i u_0} \circ f_{u_0})}{\sum t_i (g'_{u_i u_0} \circ f_{u_0})}$$

because this lies in $\pi^* T_{X'}$, $X' = (U_0 \dots U_8) \times \Delta(g)$.
Now if

$$\theta = \frac{\alpha}{f} \quad \alpha \text{ odd degree form}$$

$$\theta \cdot d\theta = \frac{\alpha}{f} \cdot \left(\frac{d\alpha}{f} - \frac{1}{f^2} df \alpha \right) = \frac{\alpha \cdot d\alpha}{f^2}$$

so

$$\theta_{u_0 \dots u_8} \cdot d\theta_{u_0 \dots u_8} = \frac{\sum dt_i (g'_{u_i u_0} \circ f_{u_0}) \cdot \sum dt_i (g''_{u_i u_0} \circ f_{u_0}) \cdot df_{u_0}}{\left[\sum t_i (g'_{u_i u_0} \circ f_{u_0}) \right]^2}$$

Now we have to integrate this over fibres of π ,
and we can use the coordinate furnished by f_{u_0} .
So

$$\pi_*(\theta_{u_0 \dots u_8} \cdot d\theta_{u_0 \dots u_8}) = \int_{-\infty}^{\infty} \frac{\sum dt_i g'_{u_i u_0}(z) \cdot \sum dt_i g''_{u_i u_0}(z)}{\left[\sum t_i g'_{u_i u_0}(z) \right]^2} dz$$

Note that this is independent of x and hence is a
closed 2-form on $\Delta(g)$ pulled up to $(U_0 \dots U_8) \times \Delta(g)$.

$$\begin{aligned}
 & \iint_{\substack{0 \leq x+y \leq 1 \\ 0 \leq x, y}} \frac{dx dy}{(1+ax+by)^2} = \int_0^1 dx \int_{y=0}^{1-x} dy \frac{1}{(1+ax+by)^2} \\
 &= \int_0^1 dx \frac{1}{b} \left[\frac{-1}{1+ax+by} \right]_0^{1-x} = \frac{1}{b} \int_0^1 dx \left[\frac{1}{1+ax} - \frac{1}{1+b+(a-b)x} \right] \\
 &= \frac{1}{ab} \left[\log(1+ax) \right]_0^1 - \frac{1}{b(a-b)} \left[\log(1+b+(a-b)x) \right]_0^1 \\
 &= \frac{1}{ab} \log(1+a) - \frac{1}{b(a-b)} [\log(1+a) - \log(1+b)] \\
 &= \frac{\log(1+a)}{a(b-a)} + \frac{\log(1+b)}{b(a-b)}
 \end{aligned}$$

Since

$$\frac{1}{ab} - \frac{1}{b(a-b)} = \frac{1}{b} \left[\frac{a-b-a}{a(a-b)} \right] = \frac{1}{a(b-a)}$$

February 27, 1972.

Conjecture: Let X be a manifold (smooth) and $\mathcal{U} = \{U_i\}$ an open covering. Let

$$\tilde{X} = \bigcup_{\sigma \in \text{New}(\mathcal{U})} U_\sigma \times \Delta(\dim \sigma)$$

be Segal's space and suppose we are given a closed n -form ω over X , that is, a compatible family of closed n -forms $\omega|_{U_\sigma \times \Delta(\dim \sigma)}$. If $\{p_\sigma\}$ is a partition of unity subordinate to $\{U_\sigma\}$, it defines a section $\rho: X \rightarrow \tilde{X}$ and we can pull back ω to obtain a closed n -form $p_\sigma^*(\omega)$ on X . On the other hand, given σ of dim. g we can integrate over the fibres of the projection

$$\text{pr}_\sigma^\sigma: U_\sigma \times \Delta(\dim \sigma) \longrightarrow U_\sigma$$

and obtain a form

$$\tau_\sigma = \text{pr}_\sigma^\sigma * \omega_\sigma \in \Gamma(U_\sigma, \Omega^{n-g}).$$

Thus from ω we obtain elements

$$\tau^g = \{\tau_\sigma\} \in C^g(\mathcal{U}, \Omega^{n-g}).$$

The first claim is that $\{\tau\}$ is a "cocycle in the double complex $C^*(\mathcal{U}, \Omega^*)$ ", i.e.

$$d\tau^g = \delta \tau^{g-1} \quad (\text{modulo signs}).$$

If this is so τ gives rise to an element of

$$\check{H}^n(U, \Omega^\circ) \longrightarrow H^n(X, \Omega^\circ) = H_{\text{DR}}^n(X)$$

and the second claim is that τ coincides with $f^*\omega$ when pushed into $H_{\text{DR}}^n(X)$.

~~Get back from Monday 2/16/96~~

The point should be that for the map

$$\pi: U \times \Delta(g) \longrightarrow U$$

we have a Stokes formula:

$$d\pi_* \blacksquare = (-1)^{\circ} \pi_* d + \pi_*$$

Stokes formula should then give \blacksquare a map of complexes

$$\blacksquare: \Gamma(\tilde{X}, \Omega^\circ) \longrightarrow C^*(U, \Omega^\circ)$$

probably compatible with $\overset{\text{the two maps}}{\text{maps}}$ from $\Gamma(X, \Omega^\circ)$, so what we want will then follow from the fact that the latter two maps are quasi-isomorphism.

Special case: If ω form on $X \times I$, then

$$\iota_1^* \omega - \iota_0^* \omega = d\pi_* \omega + \pi_* d\omega$$

where if $\omega = dt \alpha + \beta \blacksquare \alpha, \beta \in \Gamma(\pi^* \tilde{T}_X^\circ)$, then

$$\pi_* \omega = \int_{t=0}^{t=1} dt (\iota_t^* \alpha) \stackrel{\text{defn}}{=} \lim_{\|A\| \rightarrow 0} \sum (t_i - t_{i-1}) \iota_{t_i}^* \alpha$$

Now back to Γ : Assuming the above conjecture is true, ~~the~~ the Čech 2-cocycle we are after is obtained by integrating the two form on $U \cap V \cap W \times \Delta(2)$

with respect to the first projection. So here we have

$$\begin{aligned} \sum t_i g'_{u_i u_0}(z) &= t_0 + t_1 g'_{vu} + t_2 g'_{wu} \\ &= 1 + t_1(g'_{vu}-1) + t_2(g'_{wu}-1) \end{aligned}$$

$$\sum dt_i g'_{u_i u_0} = dt_1(g'_{vu}-1) + dt_2(g'_{wu}-1)$$

$$\sum dt_i g''_{u_i u_0} = dt_1 \cdot g''_{vu} + dt_2 \cdot g''_{wu}$$

so we want

$$\int_{-\infty}^{\infty} dz \int_{\substack{t_1+t_2 \leq 1 \\ 0 \leq t_1, t_2}} \frac{\begin{vmatrix} g'_{vu}-1 & g'_{wu}-1 \\ g''_{vu} & g''_{wu} \end{vmatrix}}{\left[1 + t_1(g'_{vu}-1) + t_2(g'_{wu}-1)\right]^2} dt_1 dt_2$$

$$\begin{aligned} &= \int_{-\infty}^{\infty} dz \left| \begin{matrix} g'_{vu}-1, g'_{wu}-1 \\ g''_{vu}, g''_{wu} \end{matrix} \right| \cdot \left[\frac{\log(g'_{vu})}{(g'_{vu}-1)(g'_{wu}-g'_{vu})} \right. \\ &\quad \left. + \frac{\log(g'_{wu})}{(g'_{wu}-1)(g'_{vu}-g'_{wu})} \right] \end{aligned}$$

If we put $\varphi = g'_{vu} - 1$, $\psi = g'_{wu} - 1$
then this takes the more pleasant form

$$\int_{-\infty}^{\infty} (\varphi \psi' - \psi \varphi') \frac{f(\varphi) - f(\psi)}{\varphi - \psi} dz$$

where

$$f(x) = \frac{\log(1+x)}{x}$$

This is still unworkable but perhaps there
should be some infinitesimal version of this cocycle
which could be recognized. (This is probably where
Helfand-Fuchs enters.)

Motivation for preceding work

Find the ^{central} extension of G (group of
diffeos. of \mathbb{R} with compact support) by \mathbb{R}

which one knows exists by Mather theorem.

This extension should be an analogue of
the Steinberg group in alg. K-theory.