

Integral models of Shimura varieties II

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Main Theorem

Let (G, X) be a Shimura datum of abelian type.

$K_p = G_{\mathbb{Z}_p}(\mathbb{Z}_p) \subset G(\mathbb{Q}_p)$ hyperspecial, $K^p \subset G(\mathbb{A}_f^p)$ compact open

$K = K_p K^p \subset G(\mathbb{A}_f)$.

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Theorem. *Let $p > 2$, and $\lambda | p$ be a prime of E . There is a smooth \mathcal{O}_{E_λ} -scheme $\mathcal{S}_K(G, X)$ extending $\mathrm{Sh}_K(G, X)$ such that the $G(\mathbb{A}_f^p)$ -action on*

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If R is a regular, formally smooth \mathcal{O}_{E_λ} -algebra, then

$$\mathcal{S}_{K_p}(G, X)(R) = \mathcal{S}_{K_p}(G, X)(R[1/p]).$$

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$K'_p \subset \text{GSp}(V_{\mathbb{Q}_p})$, the stabilizer of a lattice $V_{\mathbb{Z}_p} \subset V_{\mathbb{Q}_p}$, and

$$G_{\mathbb{Z}_p} \hookrightarrow \text{GL}(V_{\mathbb{Z}_p}) \quad (\text{Prasad-Yu}).$$

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Idea: Describe the local structure of $\mathcal{S}_{\mathbb{K}_p}(G, X)$ in terms of moduli of “ p -adic Hodge structures”, or more precisely p -divisible groups.

Hodge cycles.

Let $E(G, X) \subset K \subset \mathbb{C}$ be a field, and

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and hence using the comparison between étale and singular cohomology to

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Theorem. (*Deligne: “Hodge \implies Absolute Hodge”*)

$s_{\alpha,x,l} \in H_{\acute{e}t}^1(\mathcal{A}_{\bar{K}}, \mathbb{Q}_\ell)^\otimes$ are fixed by $\text{Gal}(\bar{K}/K)$.

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This is motivated by the Hodge conjecture which predicts that the $s_{\alpha,x,\ell}$ are the classes of algebraic cycles, so would at least be fixed by an open subgroup $\text{Gal}(\bar{K}/K)$.

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Now let $V_{\mathbb{Z}_{(p)}} = V_{\mathbb{Z}_p} \cap V \subset V_{\mathbb{Q}_p}$, a $\mathbb{Z}_{(p)}$ -lattice in V .

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The tensors $s_{\alpha,x,p} \in H_{\text{ét}}^1(\mathcal{A}_{\bar{K}}, \mathbb{Z}_p)^\otimes$ are $\text{Gal}(\bar{K}/K)$ -invariant

Now suppose K/E_λ is finite, with residue field of k .

Suppose \mathcal{A}_x has good reduction:

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 \circlearrowleft & & \downarrow & & \circlearrowright \\
 \mathrm{Gal}(\bar{K}/K) & & \mathcal{G}_x = \varinjlim \tilde{\mathcal{A}}_x[p^n] & & \text{Abs. Frobenius } \varphi
 \end{array}$$

Here $\tilde{\mathcal{A}}_x$ is the abelian scheme over \mathcal{O}_K extending \mathcal{A}_x ; i.e the Néron model.

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One has Fontaine's p -adic comparison isomorphism

$$H_{\acute{e}t}^1(\mathcal{A}_{x, \bar{K}}, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} B_{\mathrm{cris}} \xrightarrow{\sim} H_{\mathrm{cris}}^1(\mathcal{A}_{\bar{x}}/W(k)) \otimes_{W(k)} B_{\mathrm{cris}}$$

which is the analogue of the de Rham isomorphism.

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B_{cris} is a $K_0 = W(k)[1/p]$ -algebra with an action of $\mathrm{Gal}(\bar{K}/K)$ and φ .

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This package is the p -adic analogue of a Hodge structure of weight 1.

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$s_{\alpha,x,p} \in H_{\text{ét}}^1(\mathcal{A}_{x,\bar{K}}, \mathbb{Z}_p)^{\otimes}$ maps to $s_{\alpha,x,0} \in H_{\text{cris}}^1(\mathcal{A}_{\bar{x}}/W(k)) \otimes \mathbb{Q}_p$, which is invariant by φ , and in Fil^0 .

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To equip our integral p -adic Hodge structure with a “G-structure” and consider its deformations one needs the following

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To equip our integral p -adic Hodge structure with a ‘‘G-structure’’ and consider its deformations one needs the following

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H_{\text{ét}}^1(\mathcal{A}_{x,\bar{K}}, \mathbb{Z}_p) & \xleftarrow{\quad \text{wavy} \quad} & \mathcal{A}_x & \xrightarrow{\quad \text{wavy} \quad} & H_{\text{cris}}^1(\mathcal{A}_{\bar{x}}/W(k)) \\
\circlearrowleft & & \downarrow \text{wavy} & & \circlearrowleft \\
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Then using 1), one can identify this deformation space with the completion of $\mathcal{S}_{\kappa}(G, X)$ at \bar{x} .

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Definition. *A crystalline \mathbb{Z}_p -representation is a finite free \mathbb{Z}_p -module L equipped with an action of $\text{Gal}(\bar{K}/K)$. such that*

$$\dim_{K_0}(L \otimes B_{\text{cris}})^{\text{Gal}(\bar{K}/K)} = \text{rk}_{\mathbb{Z}_p} L.$$

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We denote by $\text{Rep}_{\mathbb{Z}_p}^{\text{cris}}$ the category of crystalline \mathbb{Z}_p -representations.

Let $W = W(k)$, fix a uniformiser $\pi \in K$, and let $E(u) \in W[u]$ be the Eisenstein polynomial for π .

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Let $\text{Mod}_{/\mathfrak{S}}^{\varphi}$ denote the category of finite free \mathfrak{S} -modules \mathfrak{M} equipped with a Frobenius semi-linear isomorphism

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The functor \mathfrak{M} is still somewhat mysterious. It is constructed using p -adic Hodge theory. But see Scholze's final talk (?).

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Specializing the (\tilde{s}_{α}) at $u = 0$ gives

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So we have to show that P is flat over \mathfrak{S} with non-empty fibres.

Claim: $P = \underline{\mathrm{Hom}}_{\mathfrak{S}, s_\alpha}(\mathfrak{M}', \mathfrak{M}) \subset \underline{\mathrm{Hom}}_{\mathfrak{S}}(\mathfrak{M}', \mathfrak{M})$ is a $G_{\mathbb{Z}_p}$ -torsor.

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For R a \mathfrak{S} -algebra, we set $P_R = P \times_{\mathrm{Spec} \mathfrak{S}} \mathrm{Spec} R$.

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U contains the generic point by Step 1, so $\mathrm{Spec} \mathfrak{S}[1/p] \setminus U$ is finite.

Claim: $P = \underline{\mathrm{Hom}}_{\mathfrak{S}, s_\alpha}(\mathfrak{M}', \mathfrak{M}) \subset \underline{\mathrm{Hom}}_{\mathfrak{S}}(\mathfrak{M}', \mathfrak{M})$ is a $G_{\mathbb{Z}_p}$ -torsor.

For R a \mathfrak{S} -algebra, we set $P_R = P \times_{\mathrm{Spec} \mathfrak{S}} \mathrm{Spec} R$.

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As

$$\mathcal{O}_{\widehat{\mathcal{E}}_{\mathrm{ur}}} \otimes_{\mathbb{Z}_p} L \xrightarrow{\sim} \mathcal{O}_{\widehat{\mathcal{E}}_{\mathrm{ur}}} \otimes_{\mathfrak{S}} \mathfrak{M},$$

$P_{\mathcal{O}_{\widehat{\mathcal{E}}_{\mathrm{ur}}}}$ is a trivial $G_{\mathbb{Z}_p}$ -torsor.

Since $\mathcal{O}_{\widehat{\mathcal{E}}_{\mathrm{ur}}}$ is faithfully flat over $\widehat{\mathfrak{S}}_{(p)}$ and $\mathfrak{S}_{(p)}$, $P_{\mathfrak{S}_{(p)}}$ is a $G_{\mathbb{Z}_p}$ -torsor.

Step 2: $P_{\mathfrak{S}[1/pu]}$ is a $G_{\mathbb{Z}_p}$ -torsor:

Let $U \subset \mathrm{Spec} \mathfrak{S}[1/up]$ the maximal open subset over which P is flat with non-empty fibres.

U contains the generic point by Step 1, so $\mathrm{Spec} \mathfrak{S}[1/p] \setminus U$ is finite.

Since the \tilde{s}_α are Frobenius invariant, and $\varphi^*(\mathfrak{M})[1/E(u)] \xrightarrow{\sim} \mathfrak{M}[1/E(u)]$ we have

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One of these conditions always hold, which contradicts finiteness.

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$P_{K_0[[u]]} \xrightarrow{\sim} P_{K_0} \otimes_{K_0} K_0[[u]]$, which is a $G_{\mathbb{Z}_p}$ -torsor by Step 3.

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Hence any $G_{\mathbb{Z}_p}$ -torsor over U extends to $\text{Spec } \mathfrak{S}$, and hence is trivial.

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Since any vector bundle over U has a canonical extension to \mathfrak{S} , this implies that P is the trivial $G_{\mathbb{Z}_p}$ -torsor.

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Example: Suppose $G_{\mathbb{Z}_p}$ is a reductive model of G , as before, let $B \subset G_{\mathbb{Z}_p} \otimes \mathbb{F}_p$ be a Borel subgroup, and take

$$\mathbf{K}_p = \{g \in G_{\mathbb{Z}_p}(\mathbb{Z}_p) : g \mapsto \bar{g} \in B(\mathbb{F}_p) \subset G_{\mathbb{Z}_p}(\mathbb{F}_p)\}$$

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Theorem. (*MK-Pappas*) Suppose $p > 2$, and $G_{\mathbb{Q}_p}$ splits over a tamely ramified extension. If $\lambda|p$ is a prime of E , there exists an \mathcal{O}_{E_λ} -scheme $\mathcal{S}_{\mathbf{K}_p}(G, X)$ extending $\text{Sh}_{\mathbf{K}_p}(G, X)$ such that

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4. There is an explicit description of the local structure in terms of orbit closures of G acting on a certain Grassmannian; “local models”.

This was conjectured by Rapoport and Pappas, and was known previously in some PEL cases.